COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

)

In the Matter of:

EAST KENTUCKY POWER COOPERATIVE, INC.

ALLEGED FAILURE TO COMPLY WITH KRS 278.042

CASE NO. 2007-00404

<u>order</u>

East Kentucky Power Cooperative, Inc. ("EKPC") is an electric generating and transmission cooperative organized under KRS Chapter 279. Pursuant to KRS 279.210(1), EKPC is "subject to the general supervision" of the Commission and is "subject to all provisions of KRS 278.010 to 278.450 inclusive, and KRS 278.990." Pursuant to KRS 278.042, the Commission shall prescribe the service adequacy and safety standards for electric utilities, as stated in the Commission's administrative regulations and in the most recent edition of the National Electrical Safety Code ("NESC").

Commission Staff submitted to the Commission a Utility Incident Report ("Report") dated August 22, 2007, attached hereto as Appendix A. The Report alleges that, on June 11, 2007, in Winchester, Clark County, Kentucky, Willie Ray Williams was injured while loading transmission poles in the EKPC pole yard.

EKPC had contracted with Irby Construction Company, Inc. ("Irby Construction") to load and transport poles for a job in Inez, Martin County, Kentucky. Mr. Williams was

an employee of Irby Construction and was part of a 4-man crew that worked on loading the poles.

At the time of the accident, Mr. Williams was operating the truck-mounted crane when the steel sling failed, which allowed an 80-foot, Class 1 pole to strike Mr. Williams on the head, causing severe injuries. The Report points out that the sling being used as a choker to lift the pole was not strength-rated for the load being lifted. The pole being lifted weighed approximately 5,200 pounds per EKPC's summary report. The rating tag on the steel sling that failed in this accident states that the sling being used as a choker is rated at 1.6 tons (3,200 pounds).

The Report noted three probable violations of KRS 278.042. All violations arise under the 2007 edition of the NESC. The alleged violations are as follows:

1. NESC Section 42, Rule 421-A-1: Duties of First Level Supervisor or Person in Charge to [A]dopt such precautions as are within the individual's authority to prevent accidents.

2. NESC Section 42, Rule 421-A-2: Duties of First Level Supervisor or Person in Charge to [S]ee that the safety rules and operating procedures are observed by the employees under the direction of this individual.

3. NESC Section 42, Rule 421-A-5: Duties of First Level Supervisor or Person in Charge to [P]rohibit the use of tools or devices unsuited to the work at hand or that have not been tested or inspected as required.

The Commission, on its own motion, HEREBY ORDERS that:

1. EKPC shall file with the Commission, within 20 days of the date of this Order, a written response to the allegations contained in the Report.

Case No. 2007-00404

-2-

2. EKPC shall appear on January 8, 2008 at 9:00 a.m., Eastern Standard Time, in Hearing Room 1 of the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky, to present evidence concerning the incident which is the subject of the Report. Specifically, EKPC shall present evidence as to the three alleged violations of KRS 278.042 and the NESC and show cause why it should not be subject to the penalties of KRS 278.990 for the alleged violations.

3. The Report, attached hereto as Appendix A, is made a part of the record in this case.

4. Any request for an informal conference with Commission Staff shall be set forth in writing and filed with the Commission within 20 days of the date of this Order.

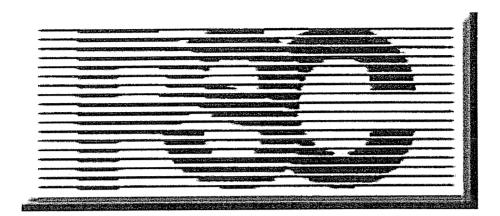
Done at Frankfort, Kentucky, this 24th day of October, 2007.

By the Commission

ATTES Executive Director

APPENDIX A

APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE COMMISSION IN CASE NO. 2007-00404 DATED October 24, 2007



INCIDENT INVESTIGATION ~ Staff Report

Report Date ~ August 22, 2007

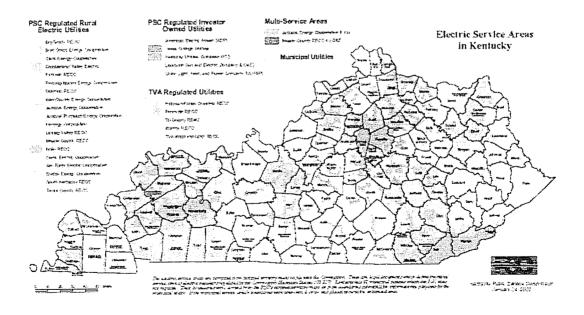
Incident Date ~ June 11, 2007

Serving Utility ~ East Kentucky Power Cooperative

Incident Location ~ Winchester, Kentucky

Victim - Mr. Willie Ray Williams

PSC Lead Investigator ~ Steve Kingsolver





Electric Utility Personal Injury Incident Report

Utility:	East Kentucky Power Cooperative
Reported By:	Vernon Shearer, Safety Supervisor
Incident Occurred:	6-11-07, Approximately 12:45 PM
Utility Notified:	6-11-07, Approximately 12:45 PM
PSC Notified:	6-11-07, Approximately 1:35 PM
PSC Investigated:	6-11-07, Approximately 2:30 PM
Report Received:	6-15-07, Approximately 2:36 PM
Incident Location:	East Ky. Power Coop. Pole Yard, Winchester, Ky. (Clark County) (4775 Lexington Road)
Incident Description:	A contract crew with Irby Construction Company, working for East Kentucky Power Cooperative, was loading transmission poles in the Kentucky Power Cooperative pole yard in Winchester, Kentucky when a steel sling they were using to attach the winch line to the poles failed. The victim, Willie Ray Williams (Foreman), was operating the lift truck when the steel sling failed and this allowed the 80 foot, Class 1 pole to strike Mr. Williams on the head causing severe injuries. Mr. Williams was flown to the University of Kentucky Medical Center for treatment of his injuries. It appears that the sling being used as a choker to lift the pole was not strength rated for the load being lifted. The pole being lifted weighs approximately 5200 lbs. per East Kentucky Power's summary report. The rating tag on the steel sling that failed in this accident states that the sling being used as a choker is rated at 1.6 tons, (3200 lbs.).

Victim:	Name:	Address:	Employer:
	Willie Ray Williams (Foreman)	Unknown	Irby Construction
	Age: 46	Fatality: No	

Injuries: Head struck by pole.

Witnesses:	Name:	Address:	Employer:
<u></u>	Lanny Griffin	Irby Construction	Irby Construction
	Lanny Griffin, II	Irby Construction	Irby Construction
	Lynn Menard	Irby Construction	Irby Construction

Information From: Name:	Position:	Employer:
Vernon Shearer	Safety Supervisor	East Ky. Power
Mike Willoughby	Safety Coordinator	East Ky. Power
Greg Berry	Safety Director	Irby Construction

Probable Violations: KRS 278.042 Service Adequacy and Safety Standards

<u>NESC</u>

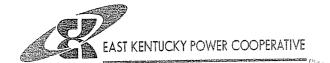
- 1. Section 42: (421-A-1) Duties of First-Level Supervisor or person in Charge.
- 2. Section 42: (421-A-2) Duties of First-Level Supervisor or person in Charge.
- 3. Section 42: (421-A-5) Duties of First-Level Supervisor or person in Charge.
- Temp & Weather: Clear and approximately 80 Degrees

Investigated By:	Name:	Company:
	Steve Kingsolver	PSC
	Utility Regulatory and Safety Invest	tigator
Signed:	Stew Kin polue	-
Date:	0 23-07	
5410.	8-23-07	
	Manag	Company
Reviewed By:	Name:	<u>Company:</u> PSC
	John Shupp Managar, Electric Branch	FGC
	Manager, Electric Branch	
Signed:	Joh V. Spp	
Date:	8/23/07	
Attachments:	A. Utility Incident Report and B. KPSC Photographs of Acc C. Text of Cited Violations	

(FOR ATTACHMENTS SEE ATTACHED CD)

Attachment A

Utility Incident Report and Photographs



June 14, 2007

Steve Kingsolver Public Service Commission Post Office Box 615 211 Sower Blvd Frankfort, Kentucky 40602

JUN 1 8 2007 PUBLIC SERVICE COMMISSION

RECEIVED

Re: East Kentucky Power Cooperative (EKPC) Accident D.O.L. – June 11, 2007

Dear Mr. Kingsolver:

In accordance with the Commission's Regulation 807 KAR5:006, Section 26, this letter serves as a summary written report of an accident which occurred on **June 11, 2007** and involved **a contractor employee**. The details of the accident are outlined below:

Date, Time & Location of Accident

Monday, 06/11/07 – Approximately 12:45 p.m. East Kentucky Power Cooperative, Winchester, KY, Clark Co. Reported to EKPC HQ – 06/11/07 approximately 12:45 p.m.

Reported to Commission

June 11, 2007 – approximately 1:34 p.m. by phone call to Steve Kingsolver at the Public Service Commission.

Injured Contractor Employee

Willie Ray Williams

Contractor Witnesses

Lanny Griffin, Lanny Griffin, II, Lynn Menard

These employees' addresses and phone numbers are available through IRBY Construction at:

817 South State Street Jackson, MS 39201-5908 (601) 709-IRBY (4729) Fax (601) 948-8988

> 4775 Lexington Road 40391 P.O. Box 707, Winchester, Kentucky 40392-0707

Tel. (859) 744-4812 Fax: (859) 744-6008 http://www.ekpc.com



Letter to Steve Kingsolver Page Two June 14, 2007

EKPC Employees assisting in rescue

Mike Varner, Earl Dickerson, Ronnie Parker, Britten Carter, Paul Rose, and Barry Baber

These employees' addresses and phone numbers are available through East KY Power Cooperative at:

4775 Lexington Road Winchester, KY 40391 (859) 744-4812 Fax (859) 744-6008

Description of Accident

On June 11, 2007, at the East Kentucky Power pole yard facilities in Clark County, Kentucky, a 4-man crew for Irby Construction was loading poles for a job in Inez, Kentucky. While loading an 80', class 1 pole (approx. 5,200 lbs.) the cable sling around the pole broke allowing the pole to fall on Mr. Williams. Several of East Kentucky's employees heard the accident and heard the Irby employees calling for help and responded. First Aid care was administered until ambulance and helicopter arrived. Mr. Williams was airlifted to UK Medical Center.

If you have any questions, please let me know. Additional requested information will be sent as it is received.

Sincerely,

Vanon Sheares

Vernon Shearer Corporate Safety and Compliance Supervisor

/da

c: Bob Marshall, John Twitchell, Stacy Barker, David Smart, Dave Eames, Jim Lamb, and Steve McClure



I was in warehouse B and I heard someone yell that somebody had been hurt outside. When I got outside people were running toward the pole yard. Once there Eurl and Mike (Earl Dickerson & Mike Varner) were already there and the guy that was hurt was slumped over against the crane controls. He started to fall and I helped Mike and Earl get him down to the ground.

Roma L. Tarke

Ronnie Parker

First I heard Mike Varner yell that be needed help because someone was hum. We ran to the truck and Mike was checking for the hurt man's pulse. He couldn't find one so we helped get him down onto the ground. After that I went to the front gate.

with Carter

Britten Carter

On June 11th around 1:00pm there was a loud noise where there was some work going on in the pole yard. One of the contractors came running in and 1 told Steve Hollon to call 911. I ran to the trailer and picked up the AED as 1 was running to the scene.

I found Ray sliumped over the controls. Earl, Ronnie, Britten and I (Earl Dickerson, Ronnie Parker, Britten Carter and Mike Varner) removed him from the truck and turned him on his side to clear his alrway, one of his coworkers assisted us. When the EMTs arrived they took over the scene.

Allam-

Mike Vamer

146-11-07 menuru Buom Log_trailor SAMA- cable and abad to person Logs from Truck that morningat first cable ups placed about Back From Coul of Lug. - This- Time- CAble- was about 10-15 From- End - Ray Tayed once log Elij Any Susag over Head! the Touch again the state pours to the winch dog and him at above 30' mayle cable Broke Lagarent Forward - Derving his h the - control s -. His - Body was By- his Herd made into- the control The other - men went for - Halp! -Sigas- of Life. Matz if BAY - makes it. Et was do to the cell - Phine's

<u>.</u>] ς<u>γ</u>. 6-12-07 indugand of the second of the second At a around 12:45, We was on the 7st pole it was booked to cable an he brought I and from the pile Lifting it in the air like hidd done the last 6th he go I up note the cuestre of the boom only having it to swing back to explicitly the sole to ducked and to come up Trying agins to sich up the smaller but herryes and and it anapped = Running around the truck I could are Right have first blowing in the wind praying he was in shock and quist still ducked down I rounded The door only to see him. With a frage last of turn on his face and . How dearing out of his head. His looky was ble less and I new for help. Came back over and they guilterer from Ky-Power had laid him on the ground at that time his stonet moved to clean his an word He was buing a very hard to clean his in way He was twing a very hard time builting with the blood and form and of his month Bloodby these of the Resear Equal pulled up a we store back tut - faind and He was not only a Co- Worker Grittin JT 70

I was standing on the back of Flort Aroyut 17:45 while hay willight was longing poles - on the "it' pole the was having transfer getting pills in profition he hectory. It was so nearly. He piled It up 14124 Ha dian poly in with boom it was have between ples End and when he polled boom in the pole shipt on survive over herd he dicked down Aes Atol's when it stopped surveying the still aven he's head the they toged the whole pole up to get small end on He had both of pole over his head with cross for to load really was proved 15 port. pile ou picting of pile of the down from bott he tryed ground completely and did had if up and cable swaped he book ducked on lancel prevent over control's looked with his here toned to the night ist me with the seared lost on his offer for second pole dropped on site of house direct mished his head it a coustral's pale drapped direct to the provide Ray Aras part to pell to still standing or his pret had mashed in controls with his Eyes wide open and month unde open, planding Hot" per the mosth I may to two new standing outside of Building and Ask for them to cold from e 166

A brief description of the Pole Yard Accident at EKPC on 6/11/07

coming from the mouth area because of the internal bleeding. Once on the ground we saw coming from his mouth. Mike and I both were not able to find a pulse, and at this time we to have had severe trauma to the head due to the massive internal bloeding that was some movement from the body and than it looked like he was starting to choke because ground. We could not see that there was any way of performing CPR due to what was thought that he had already passed on. I then made the suggestion to bring him to the of the massive internal bleeding that was still coming from his mouth. We then rolled him IO INC OVER the young man to hang with us and that help was on the way. The EMTs were soon there breathing and coughing on his own but was still bleeding badly. We tried to encourage to his side and large amount of blood and stuff came from his month and he then started found a young man at the controls of the Crane truck in a crouched position that looked When I arrived an accident had just occurred at the EKPC Pole Yard. I and Mike Varner

could call the proper people to make them aware of what is happening, while we tried m do as much as we could for the Young Man. Mike arrived I had some one to call Vernan Shearer and Mike Willoughby so that they I had not mentioned that at the beginning 911 had already been called. Then once I and

Earl Dickerson East Kentucky Power Bardstown Service Center Supervisor I was in warehouse B when someone yelled that they needed help out here. I saw Mike Varner running toward the pole yard with an AED. Some other guys and me ran over to the pole yard and saw the guy still on the crane all slumped over the controls and quite a bit of blood. Mike or Earl (Earl Dickerson) one checked him for a pulse, he did not seem to be breathing. They (Mike & Earl) said go get more gloves. I ran and got some gloves. When I got back, they had him down and I saw him trying to breathe. EMS arrived shortly thereafter.

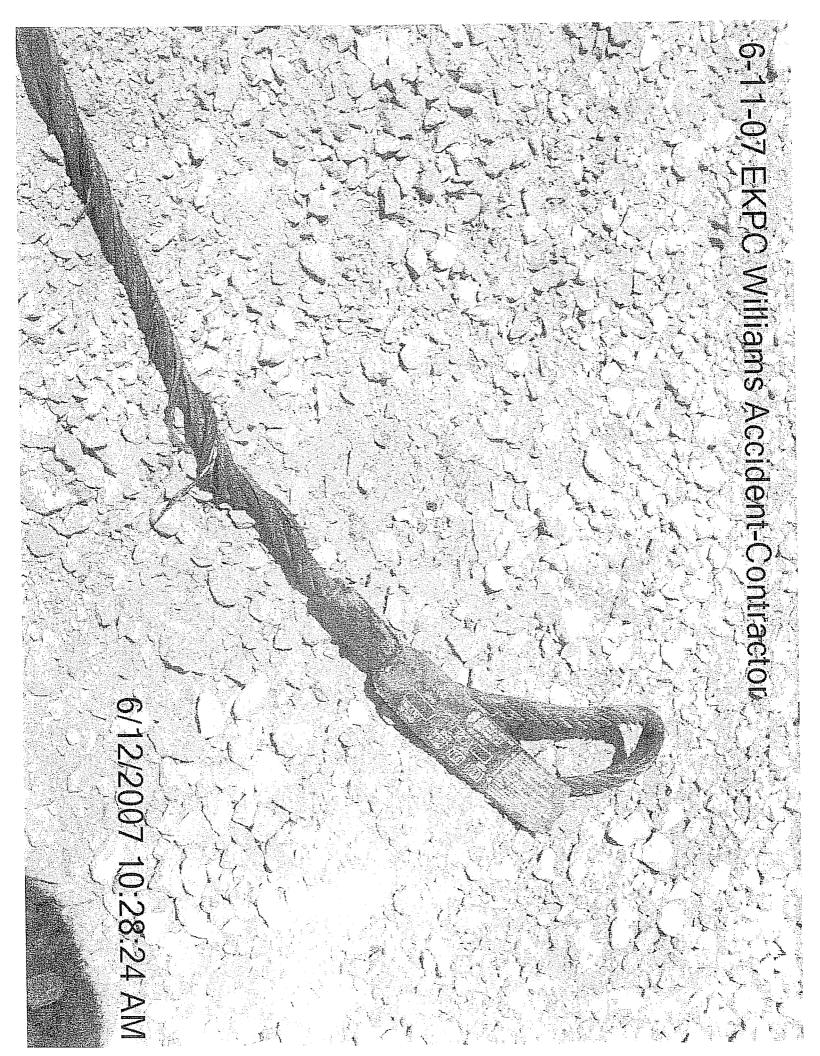
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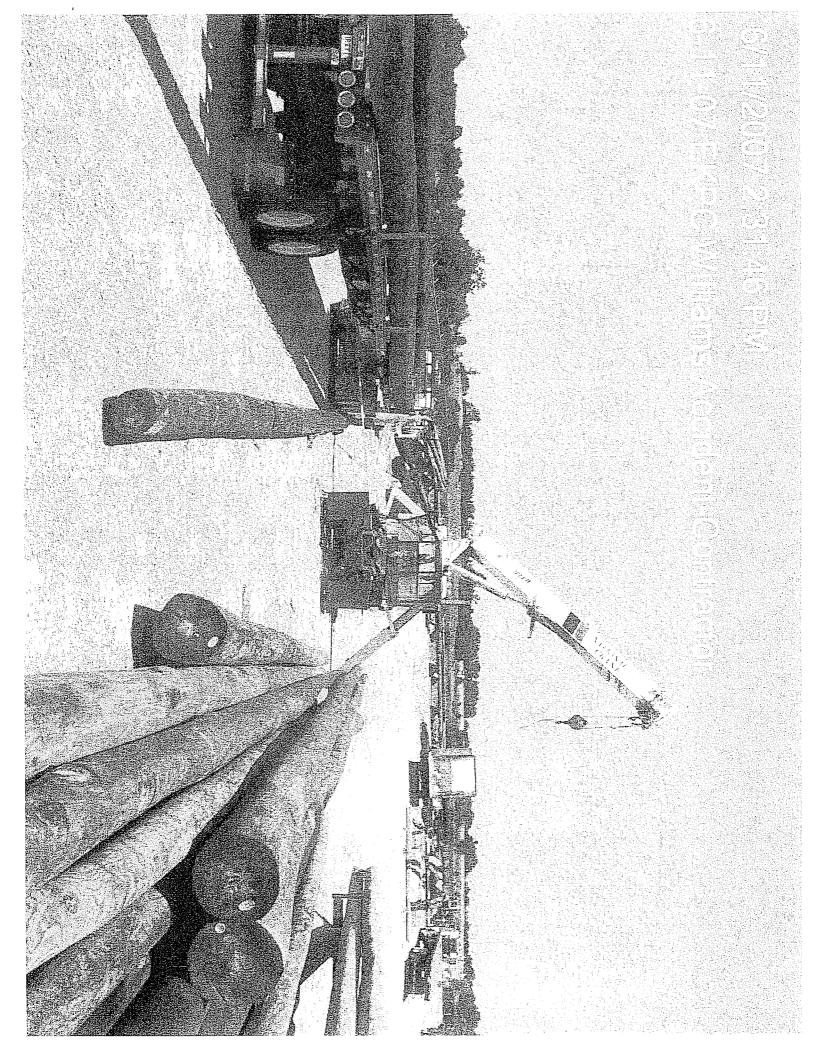
Paul Rose

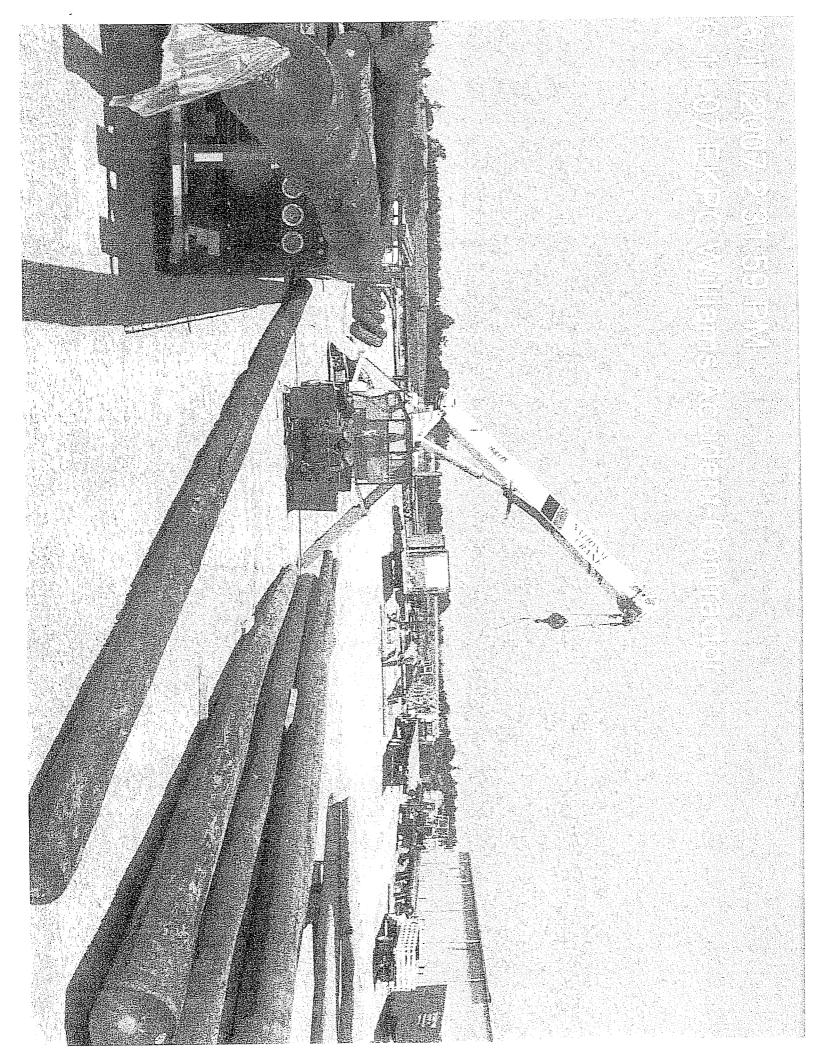
I was in warehouse B working on a filter rig when Mike Varner ran back in and yelled for help white he was getting the AED. Mike said a pole had fallen on a guy in the pole yard. Paul Rose and I ran out to the pole yard as the guy slid off the boom controls and about fell off the truck. Mike said we needed more latex gloves, so Paul and I ran back to warehouse B to get some, by the time we got back the guy was on the ground on his side. I then went to get Mike Willoughby, after that I went up to the gate to stop traffic so the helicopter could land.

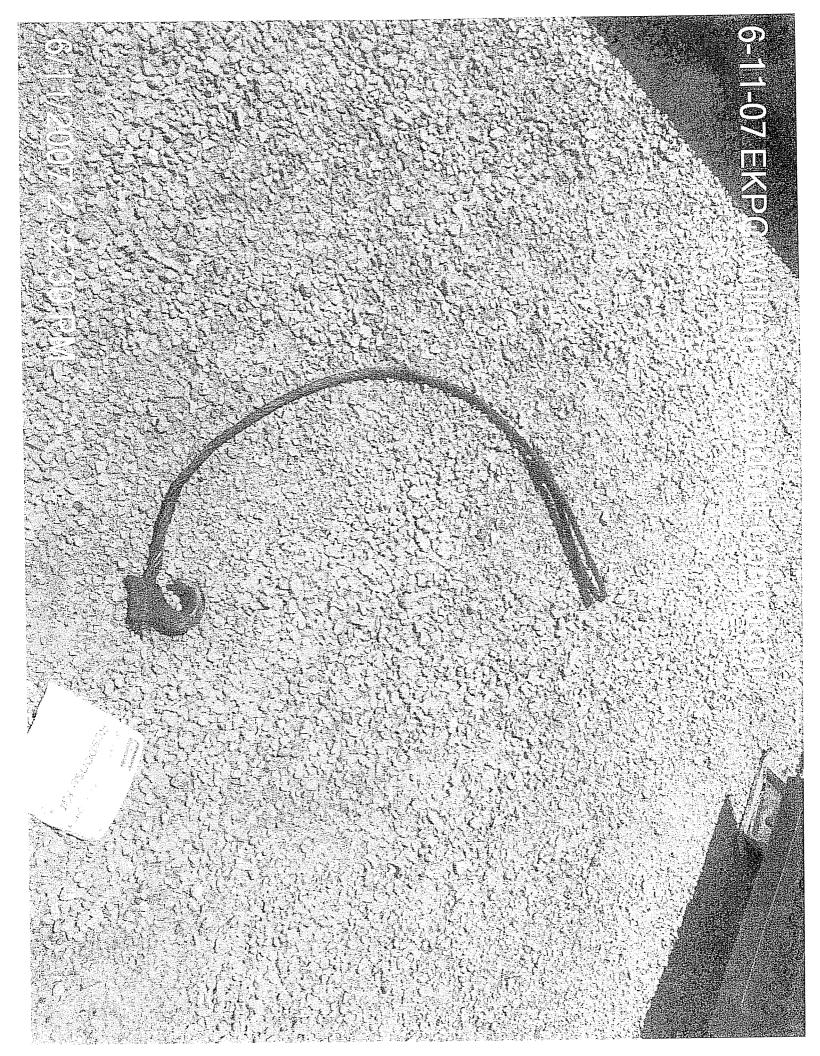
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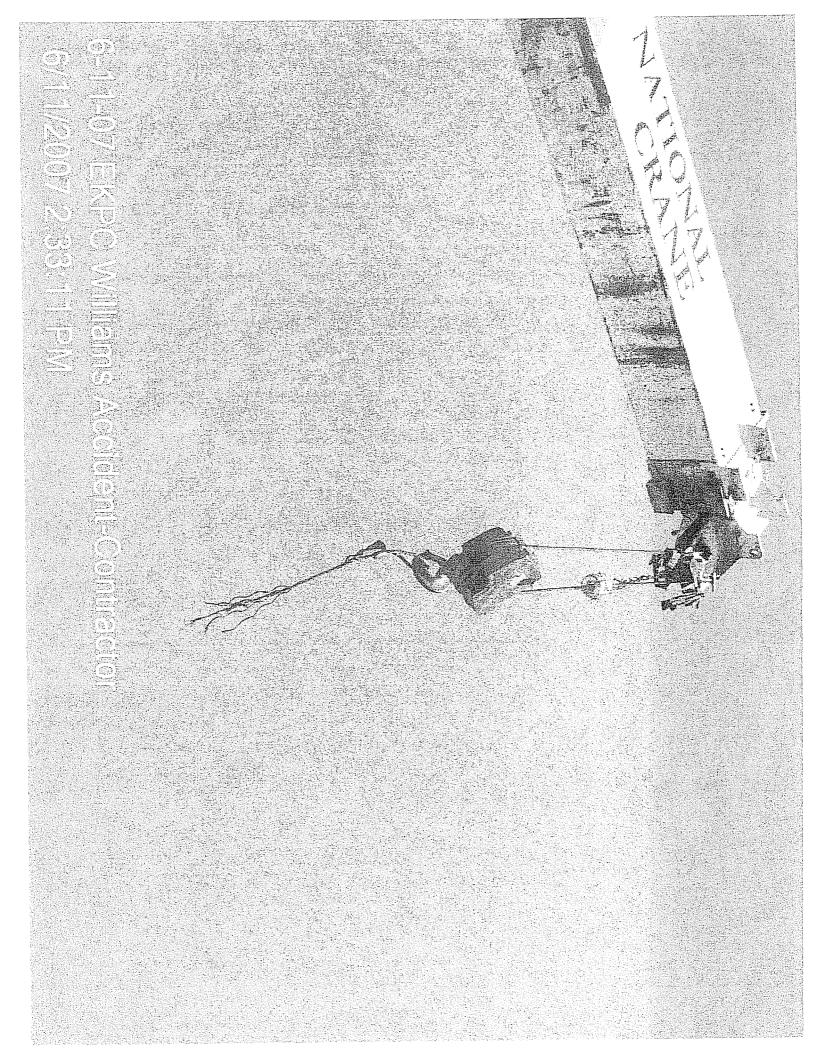
Barry Baber

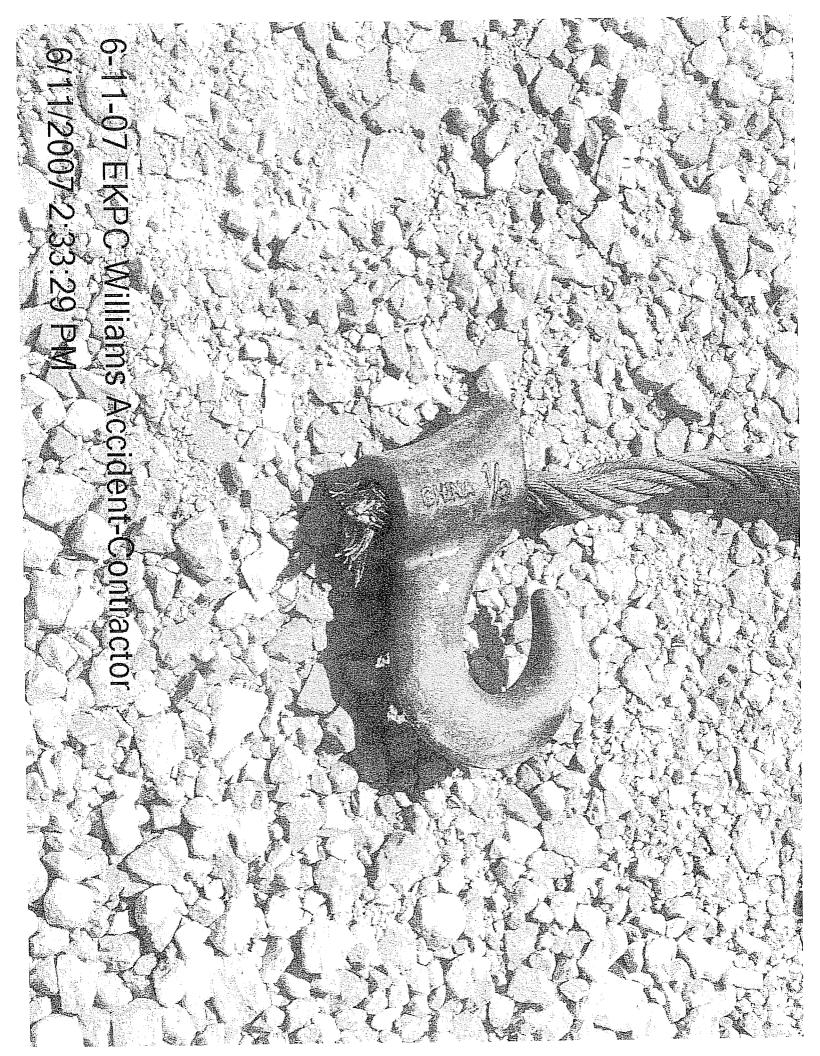


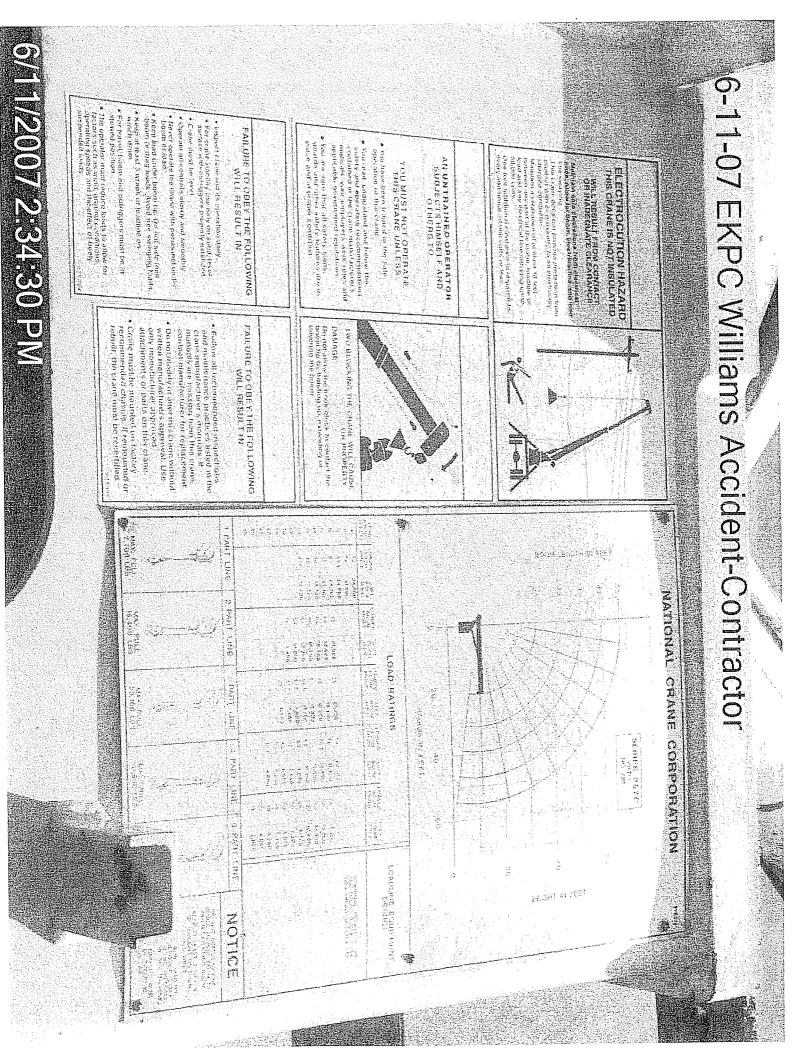


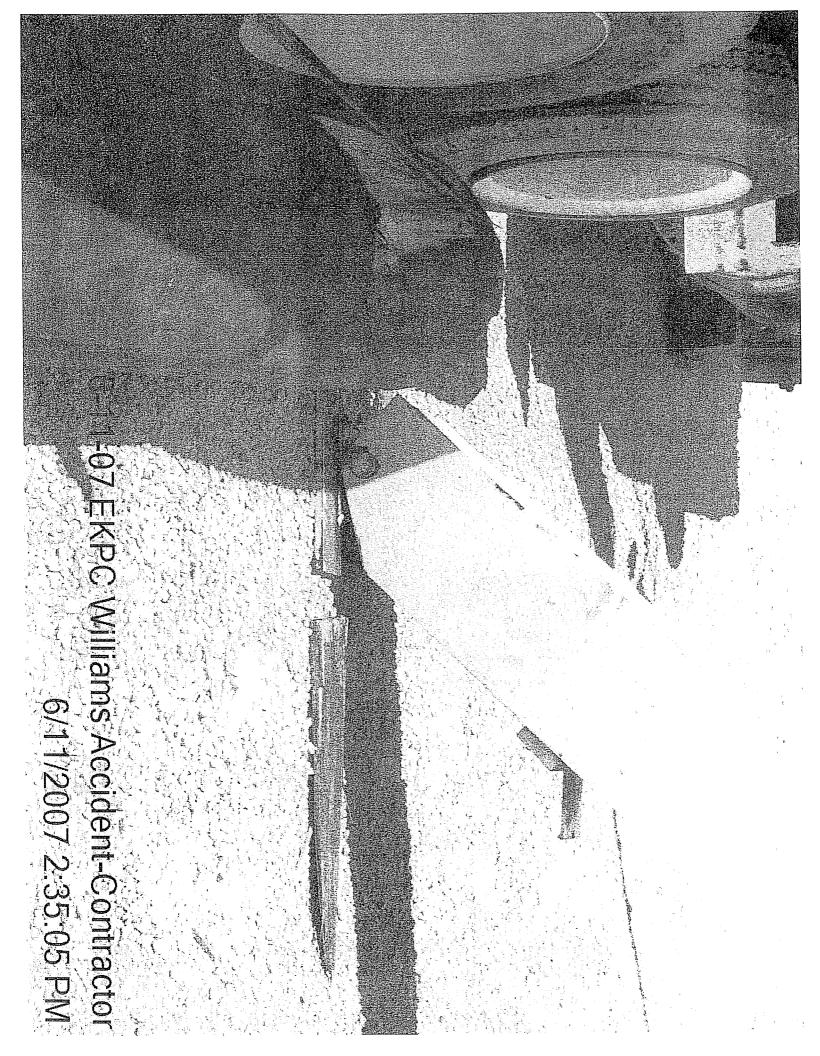


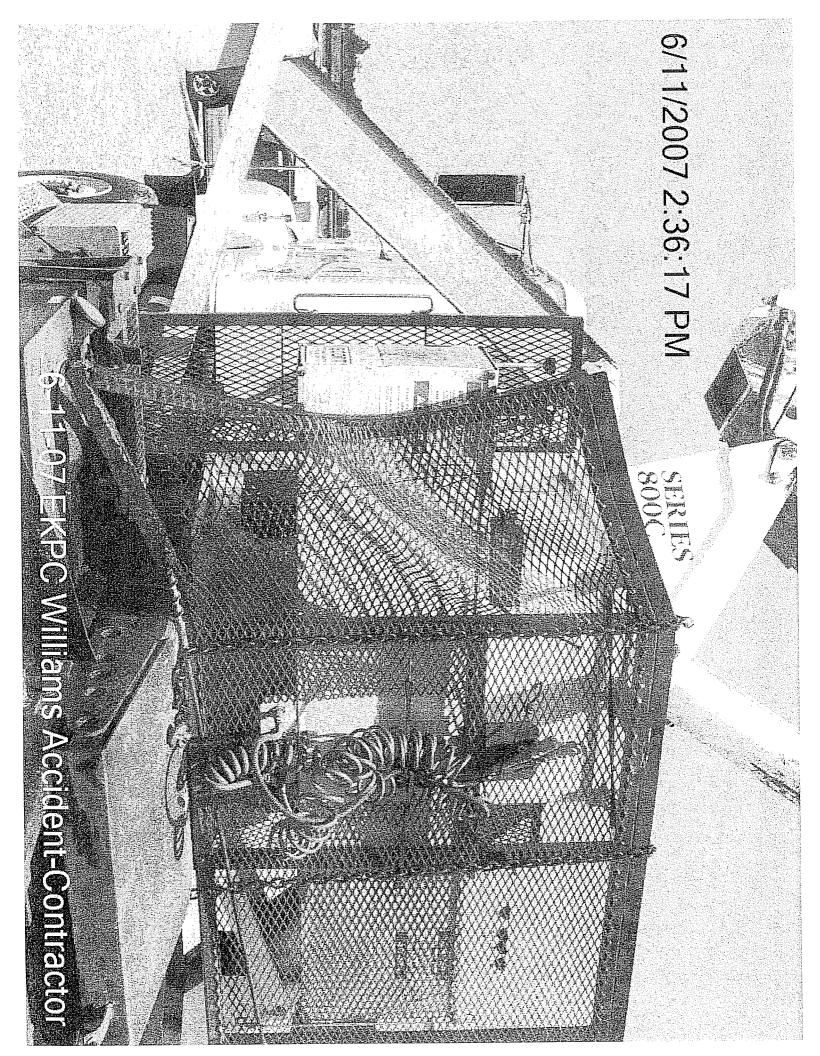


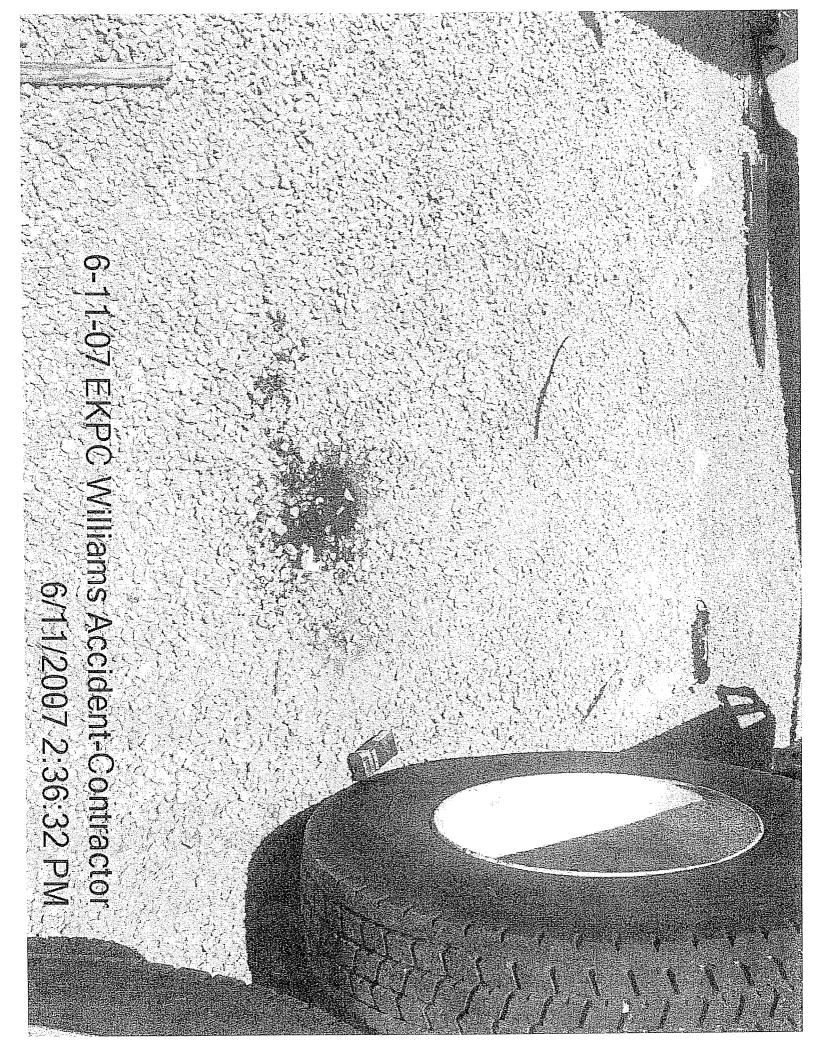


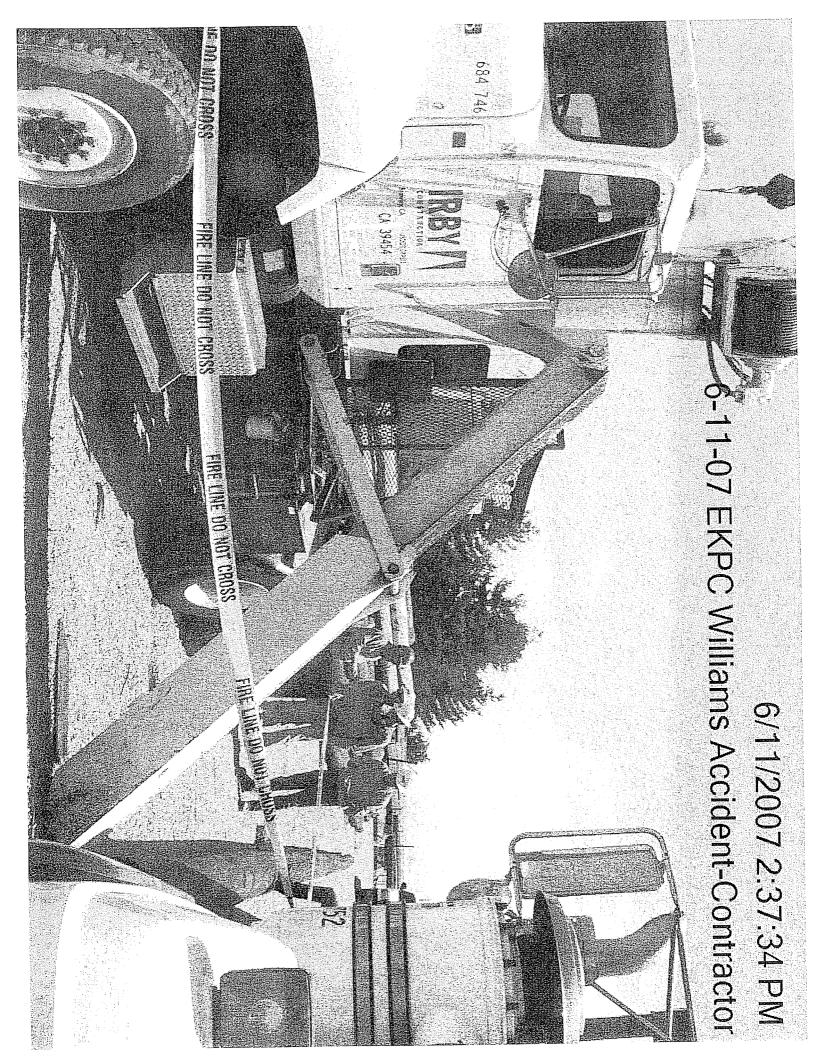


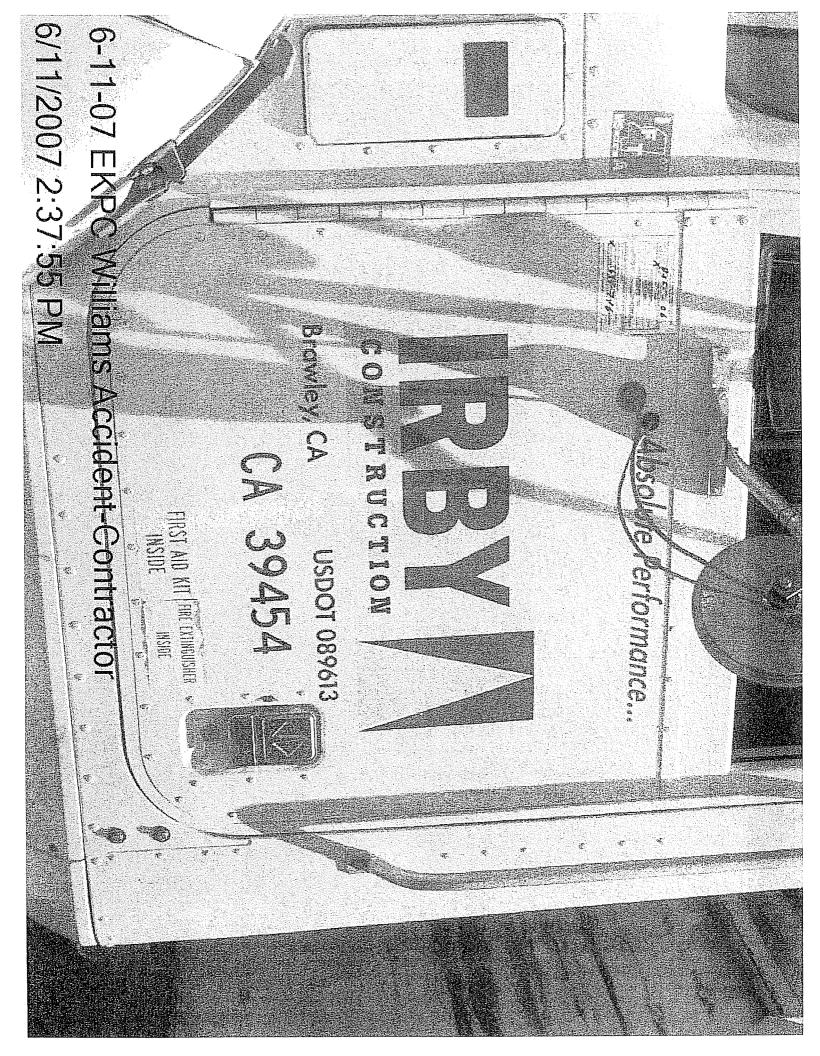




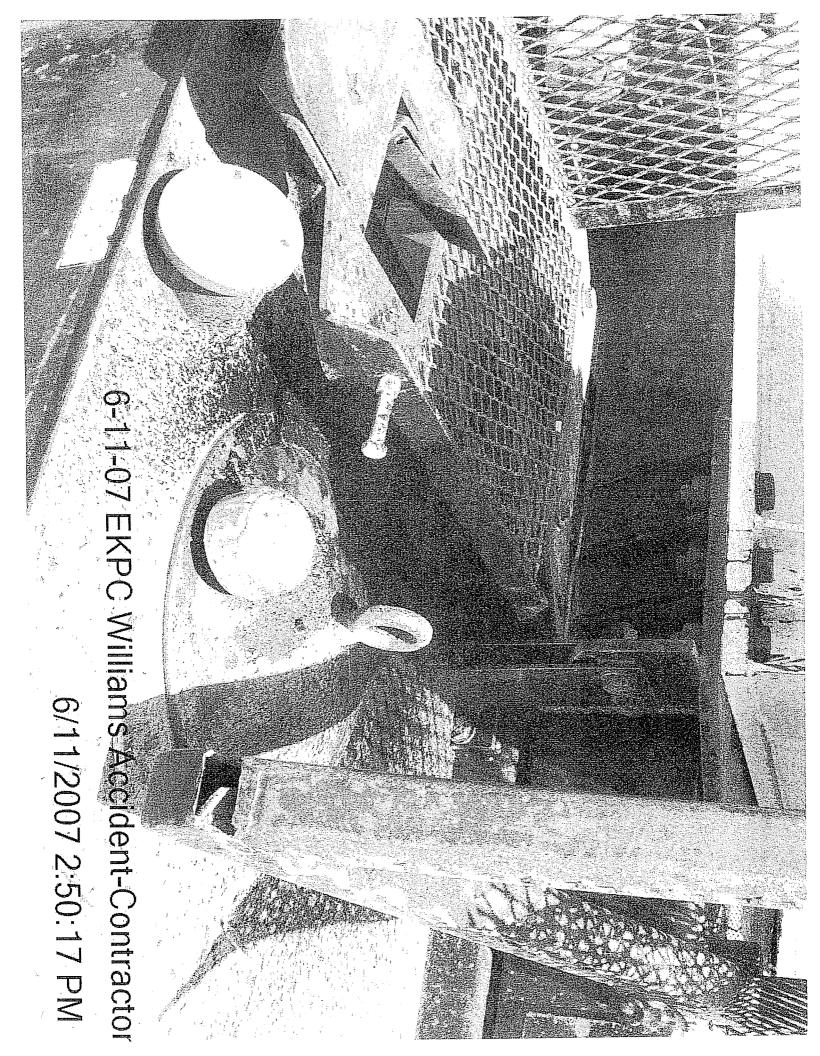


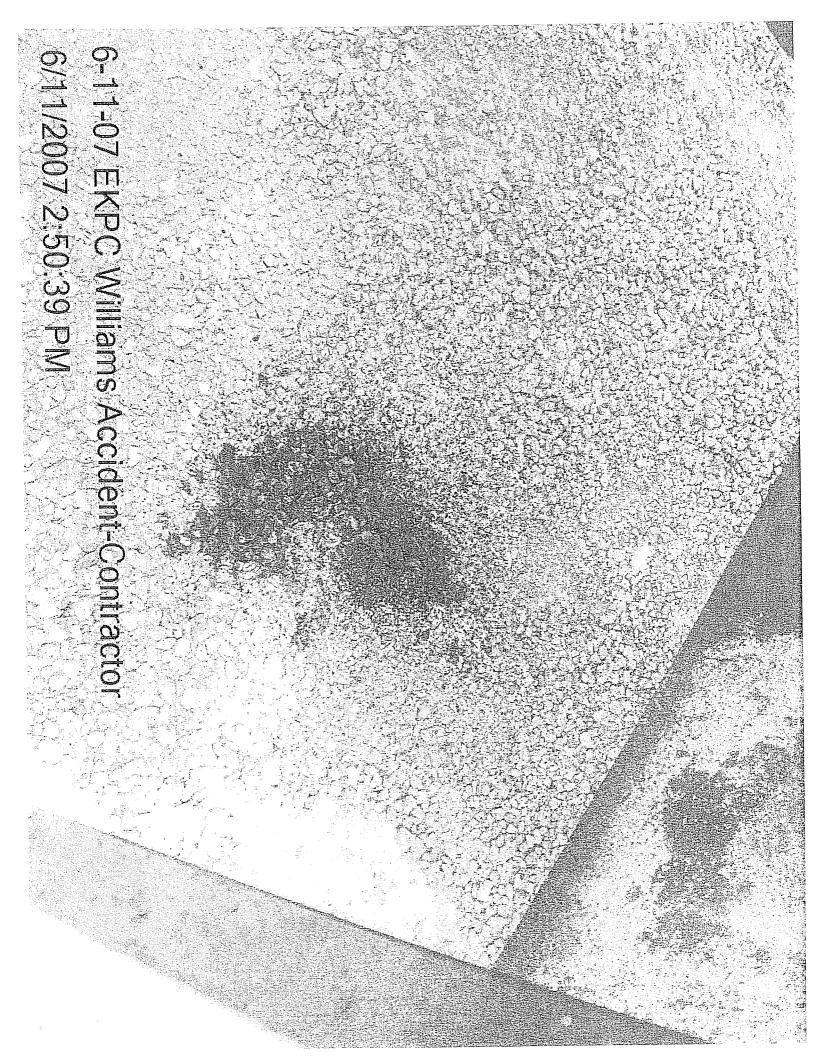


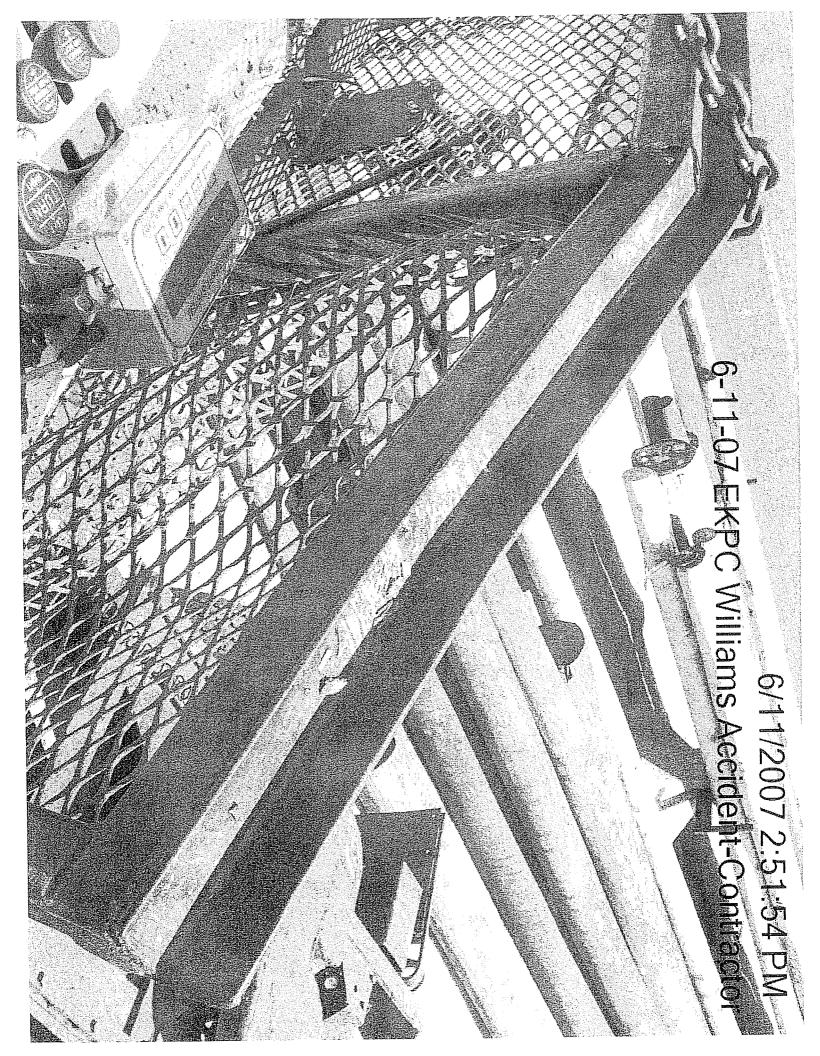


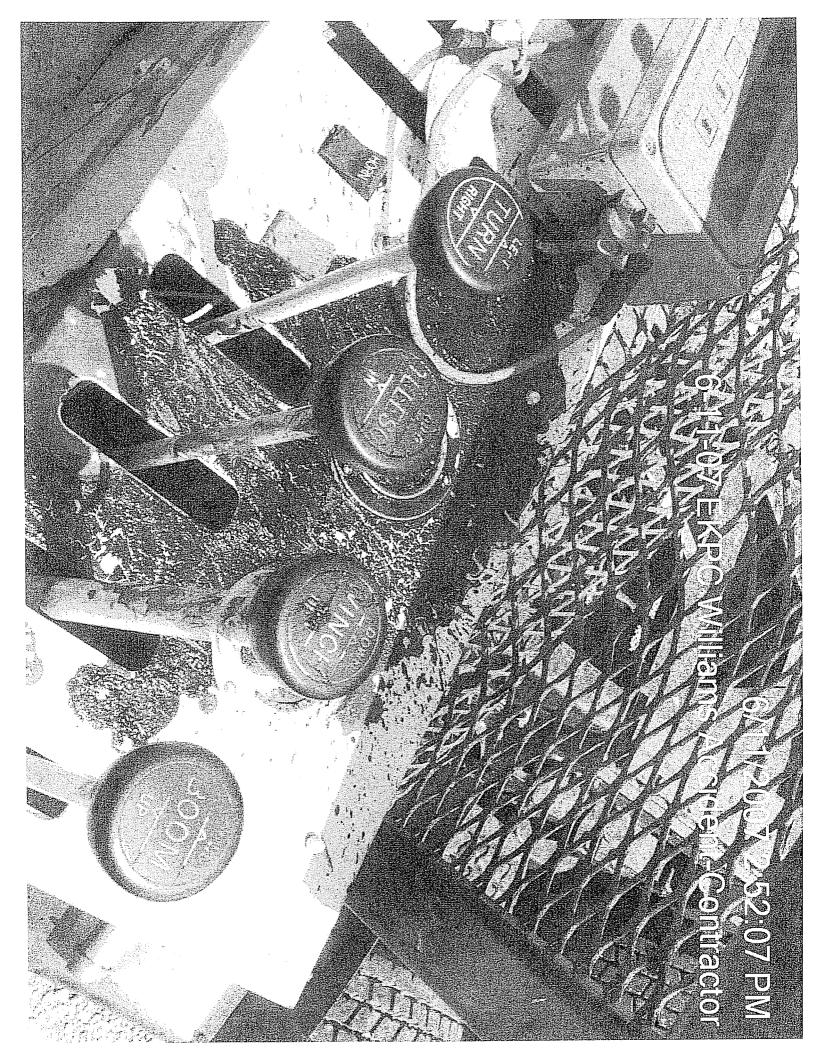


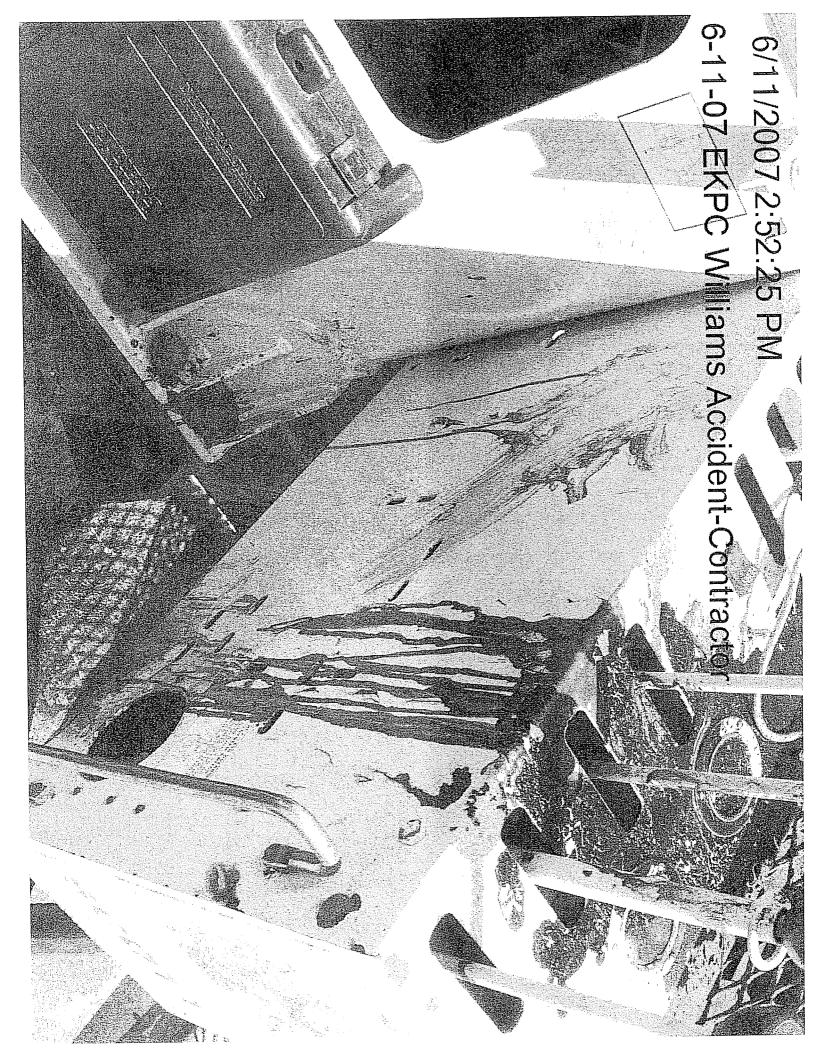


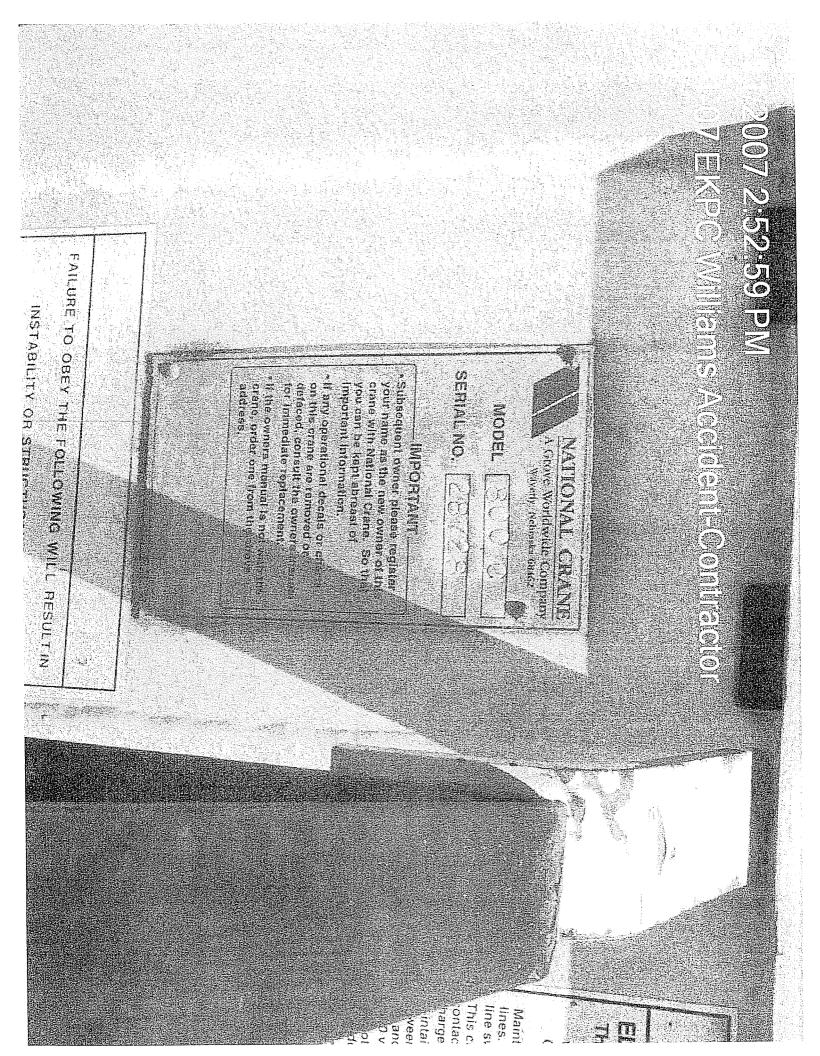


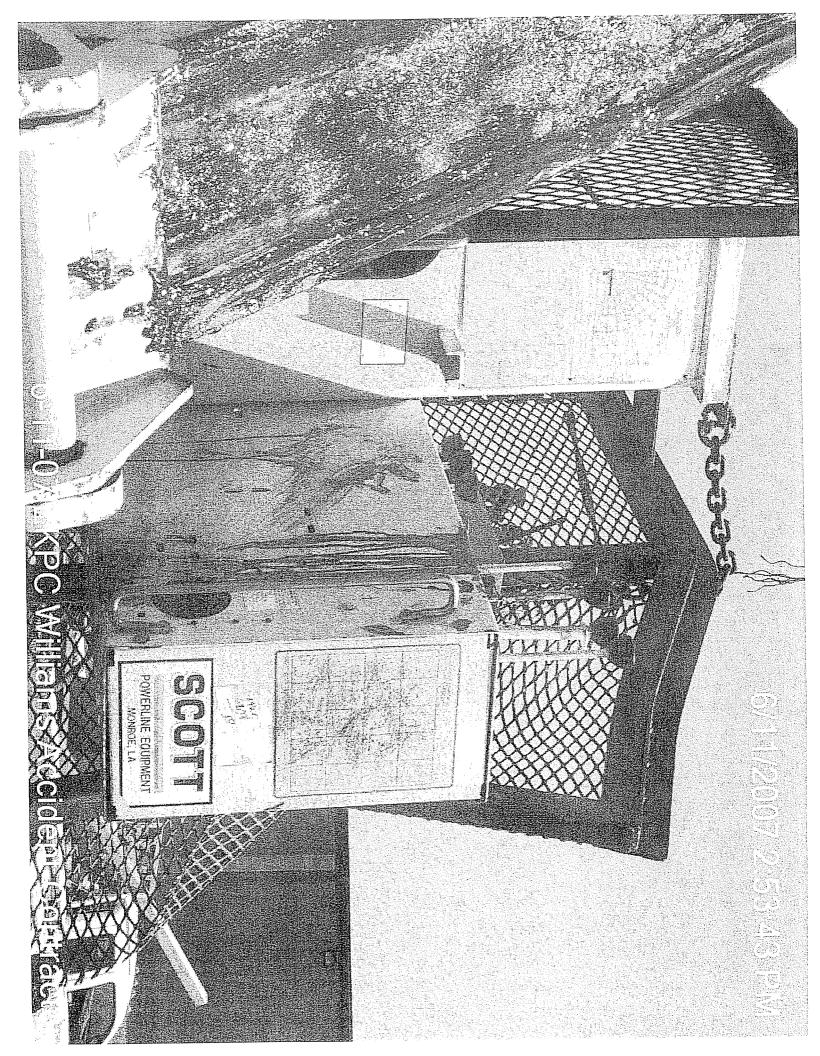


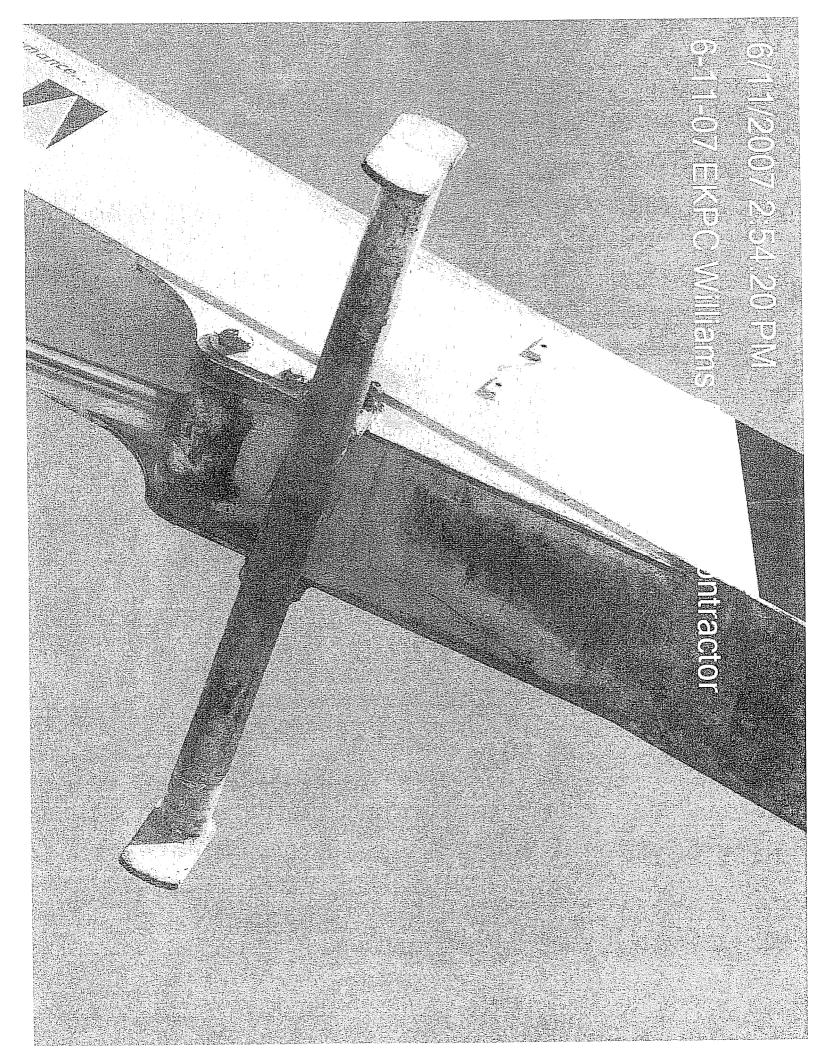


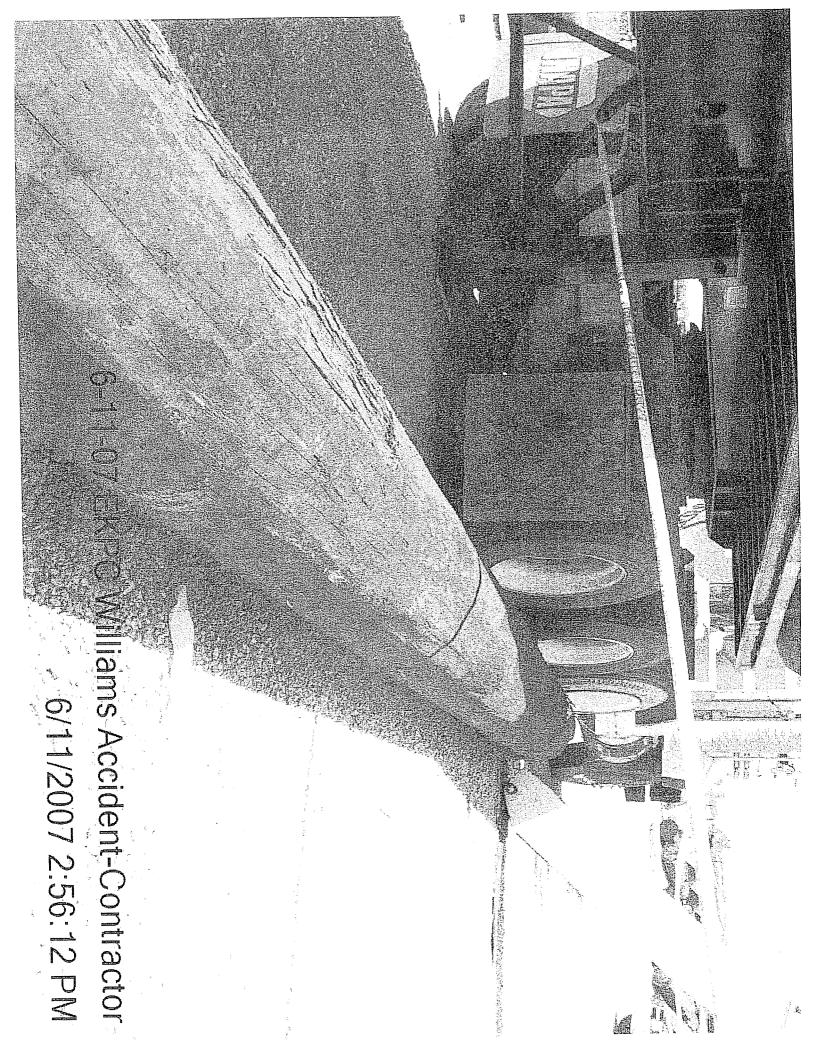


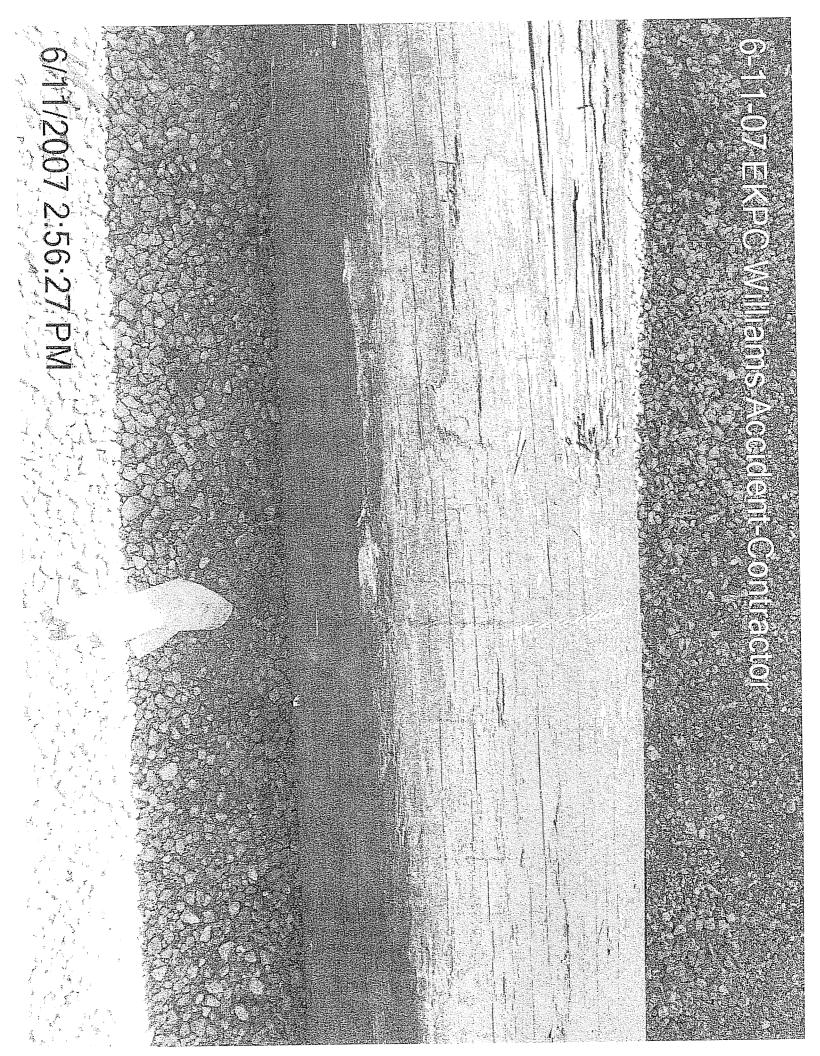


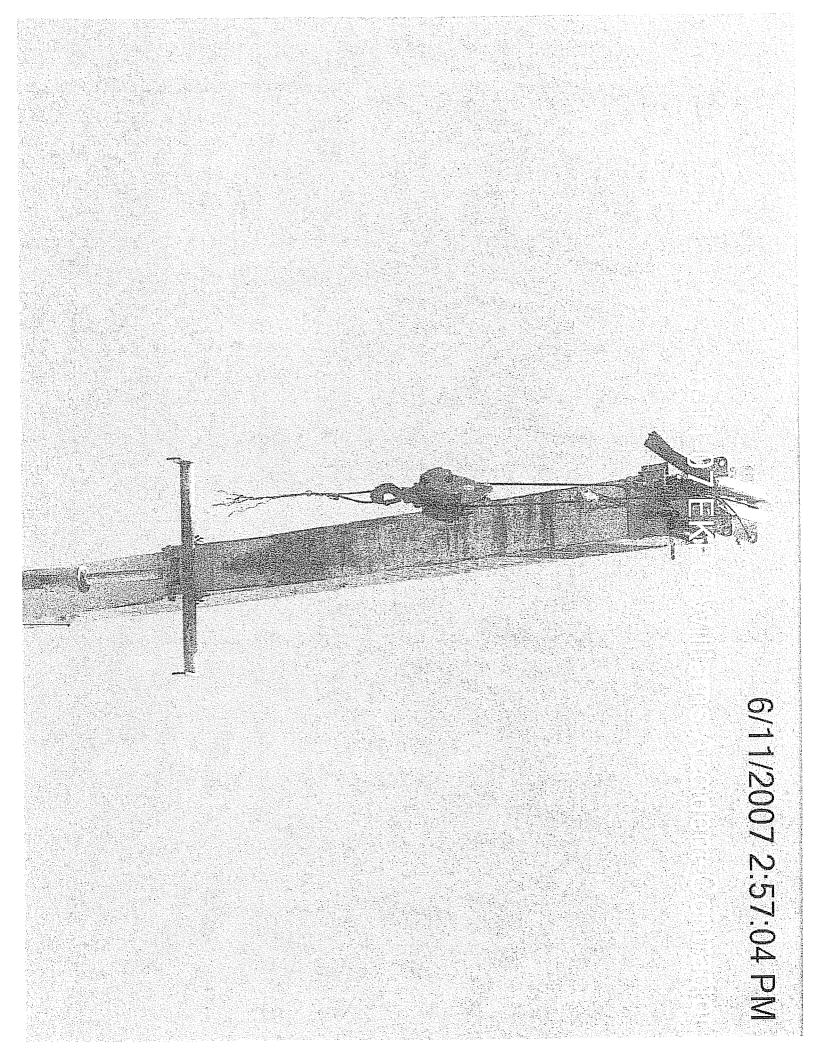


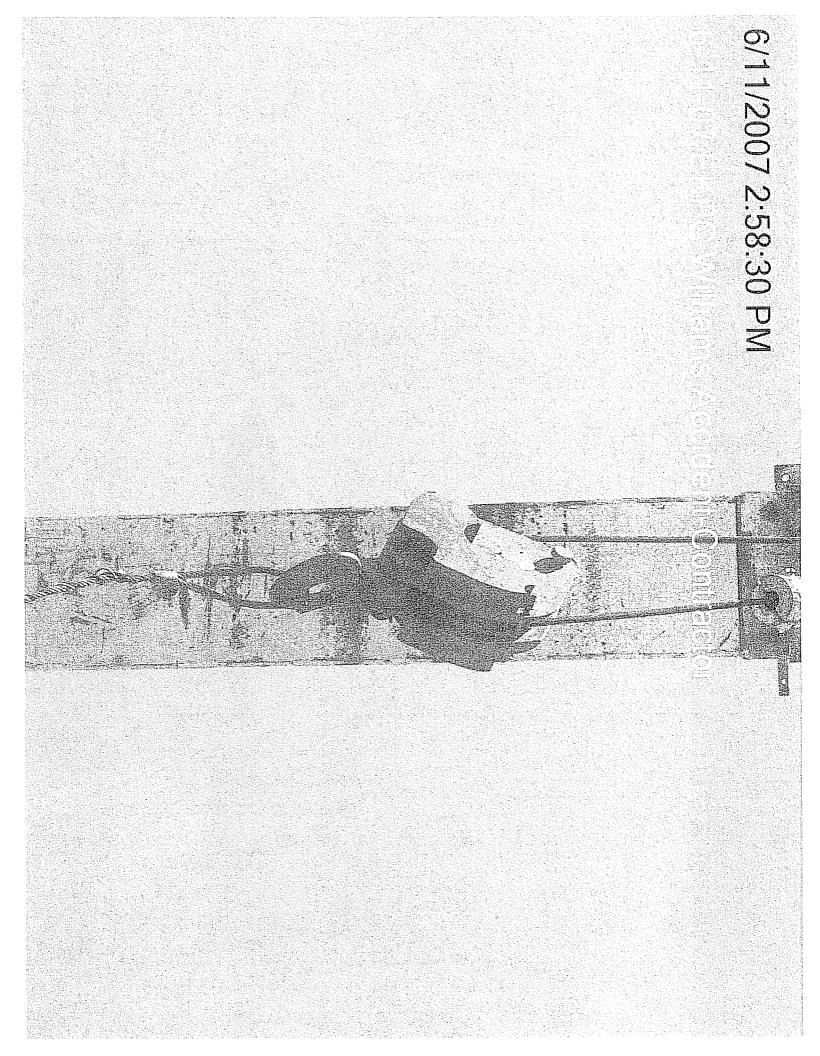


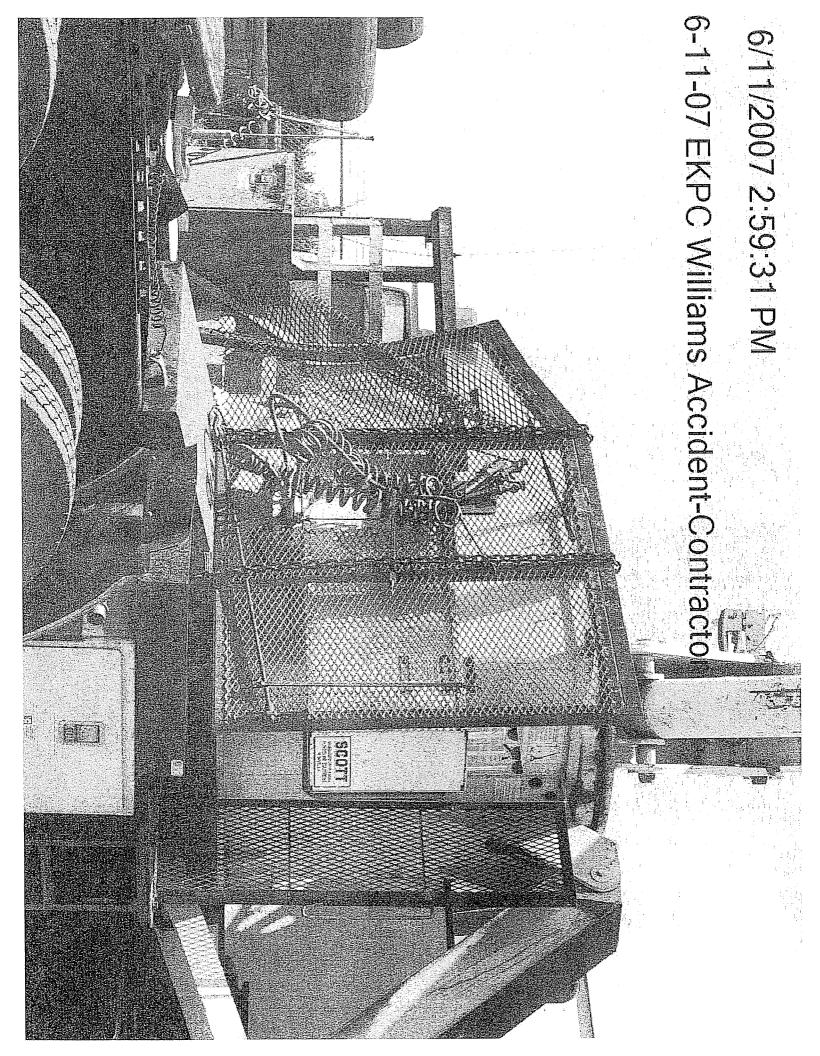


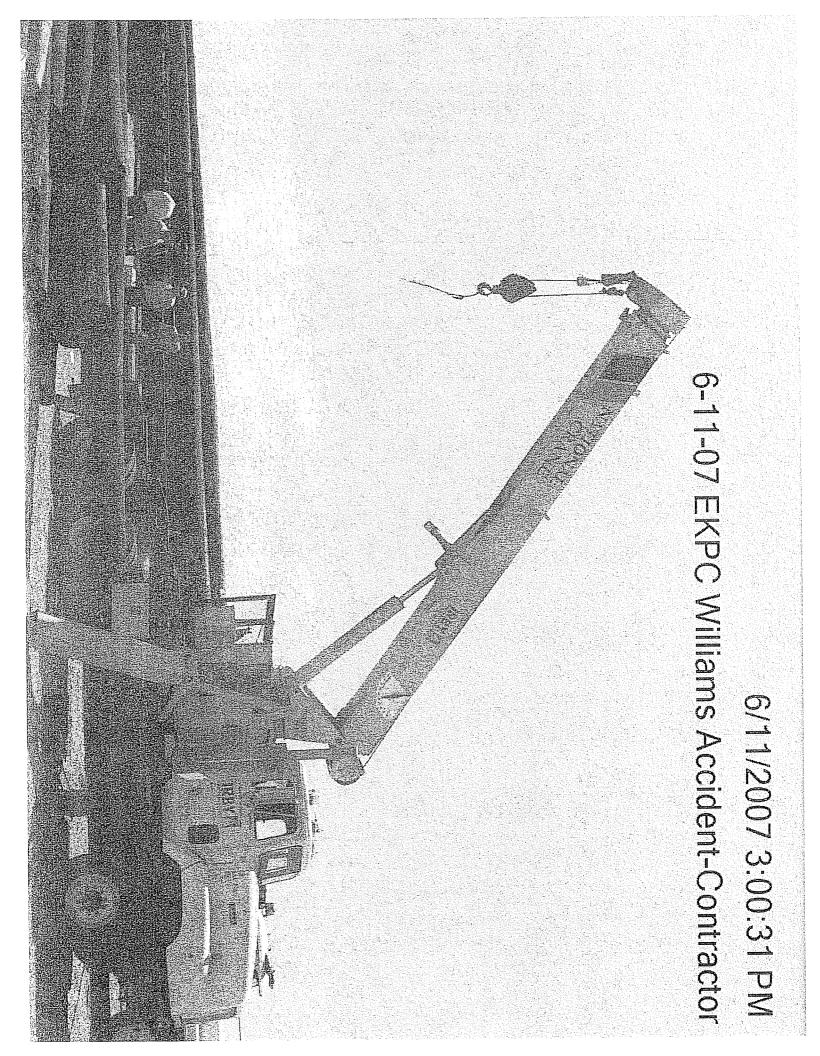


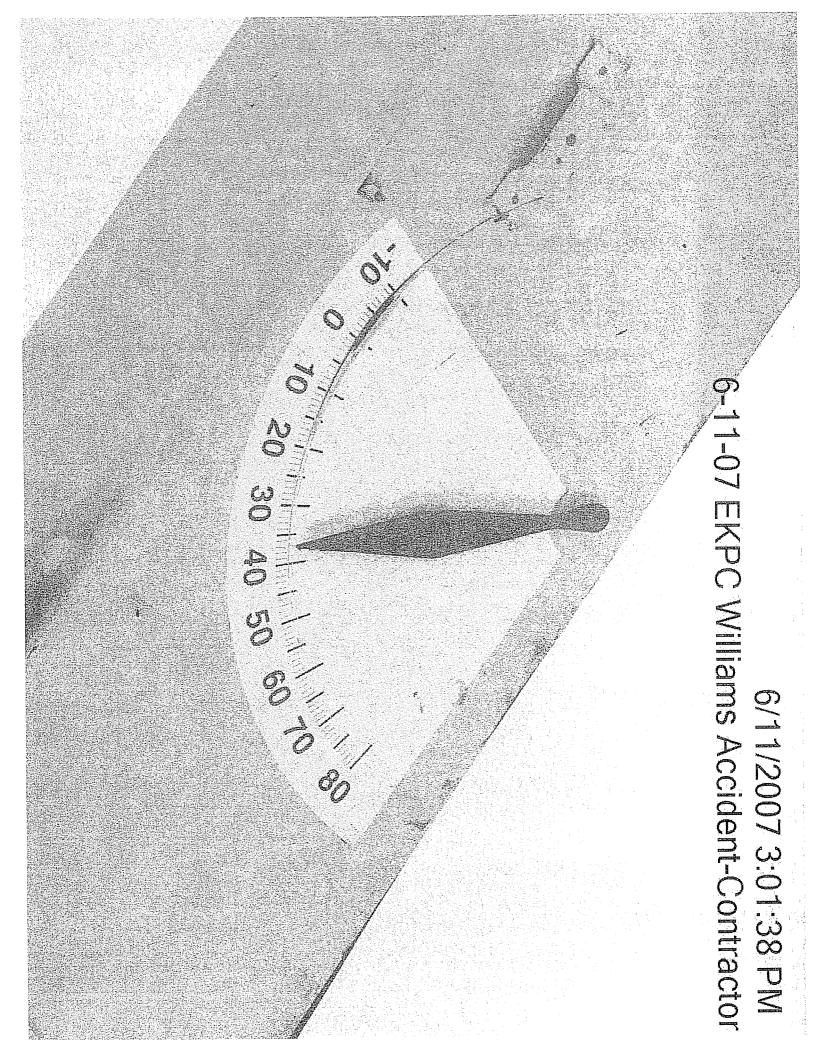




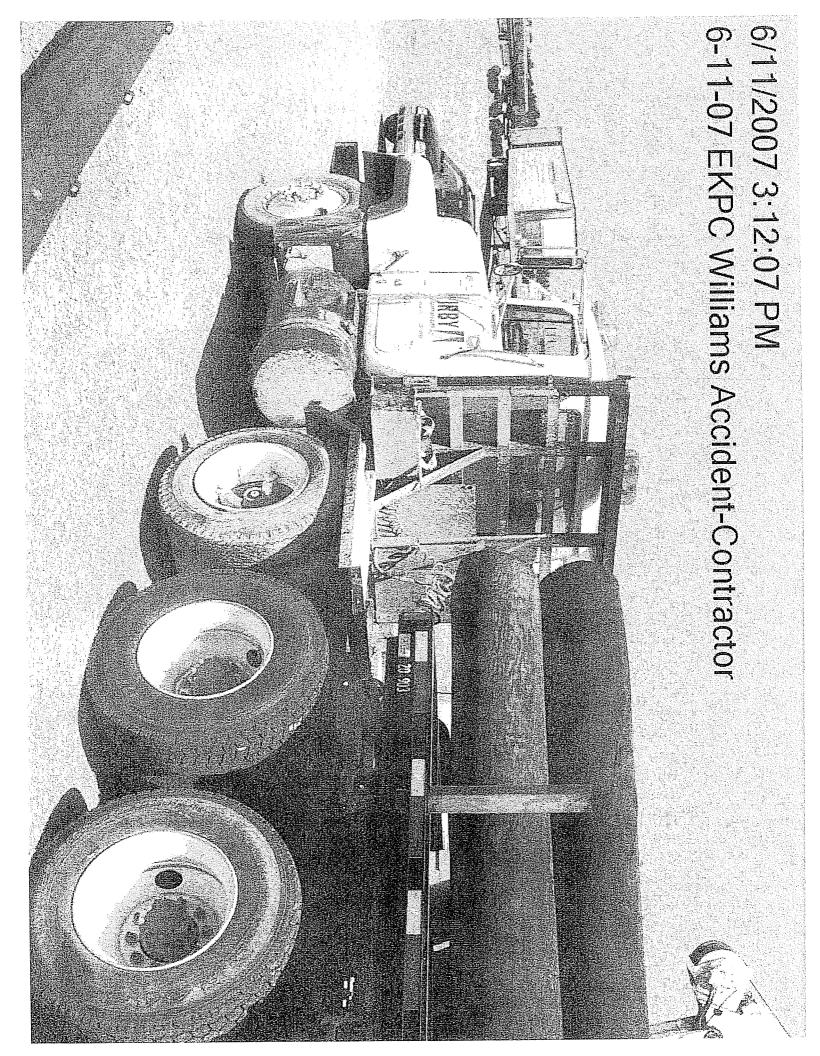


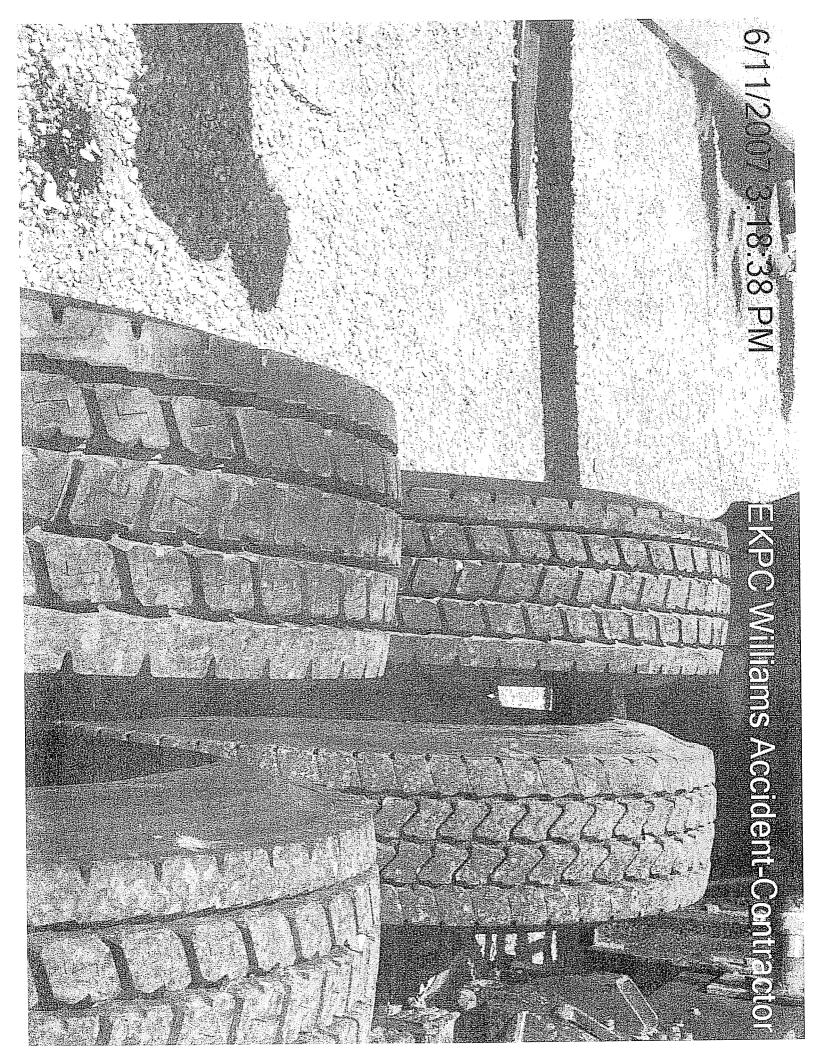


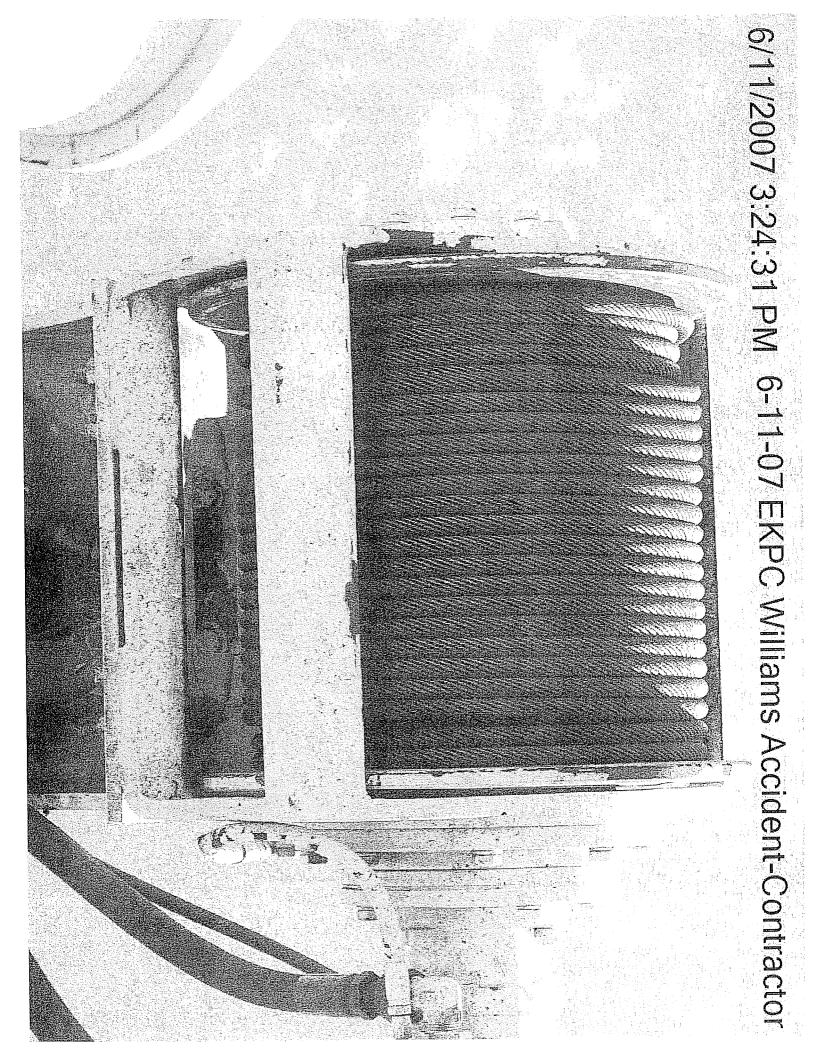


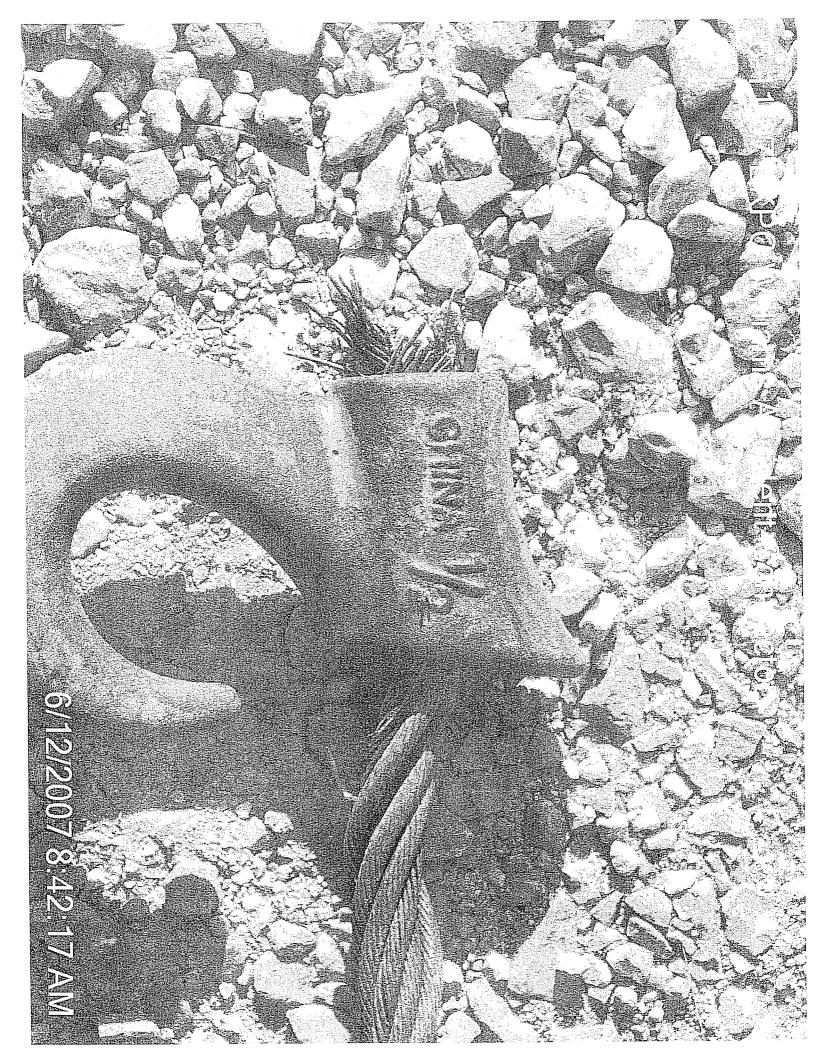


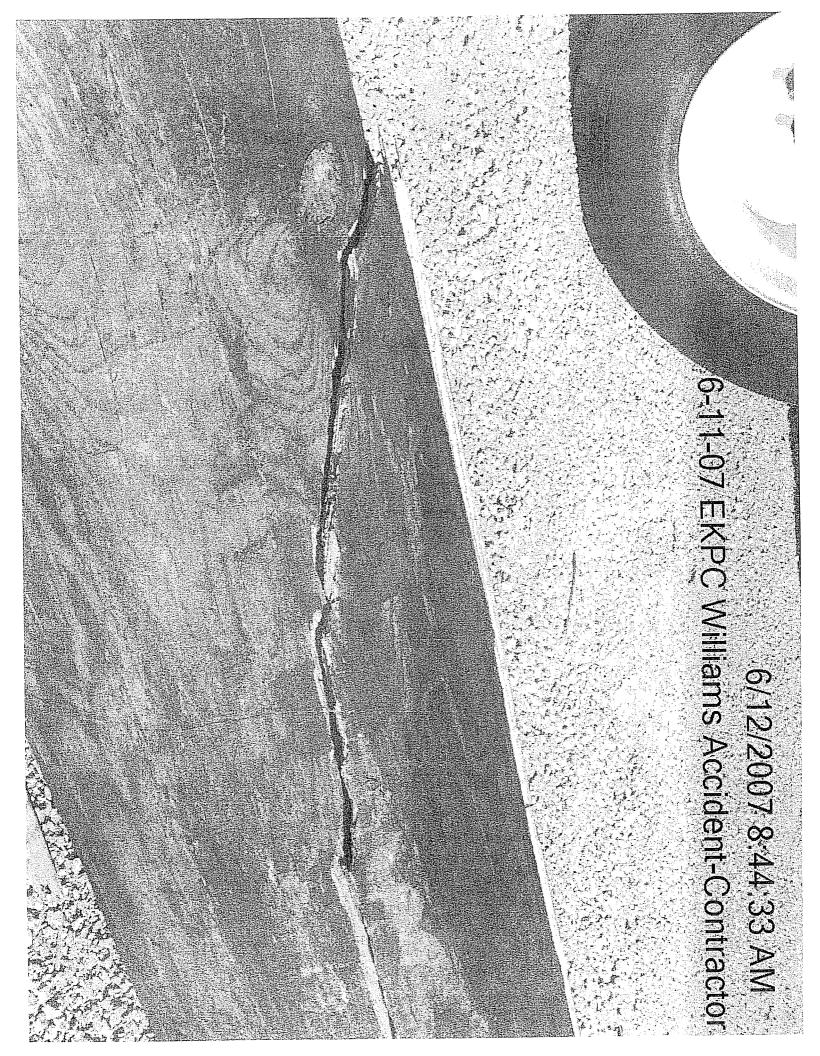


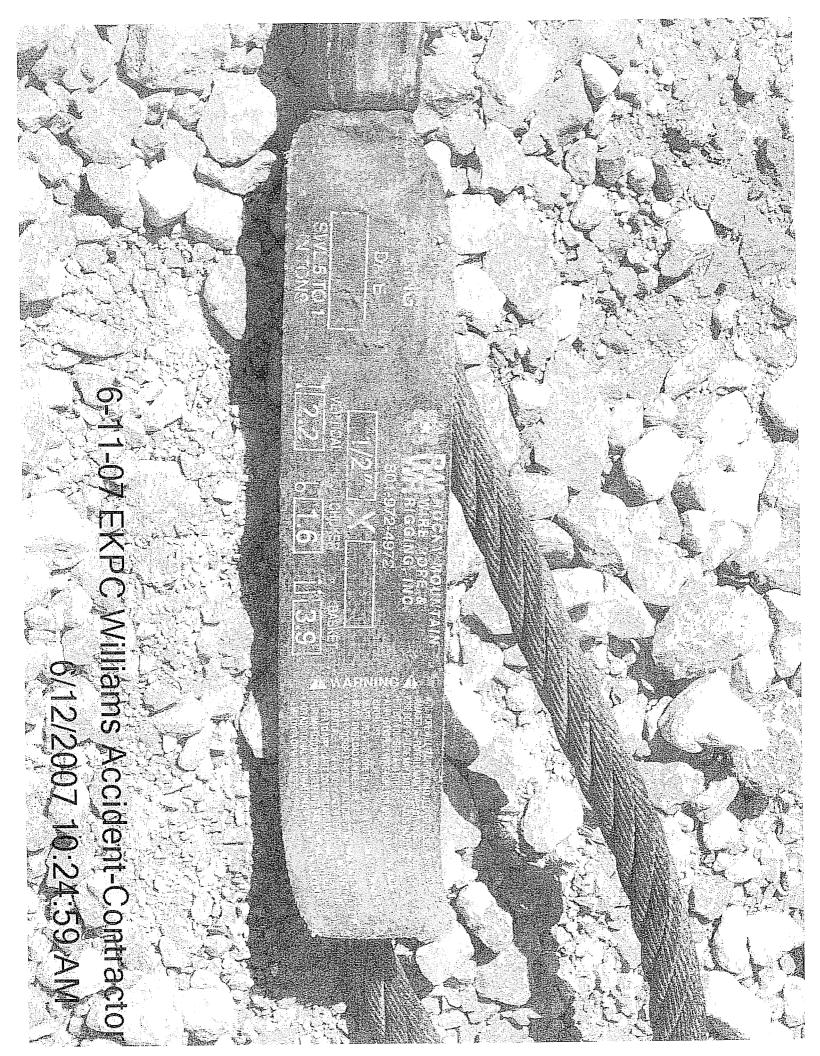


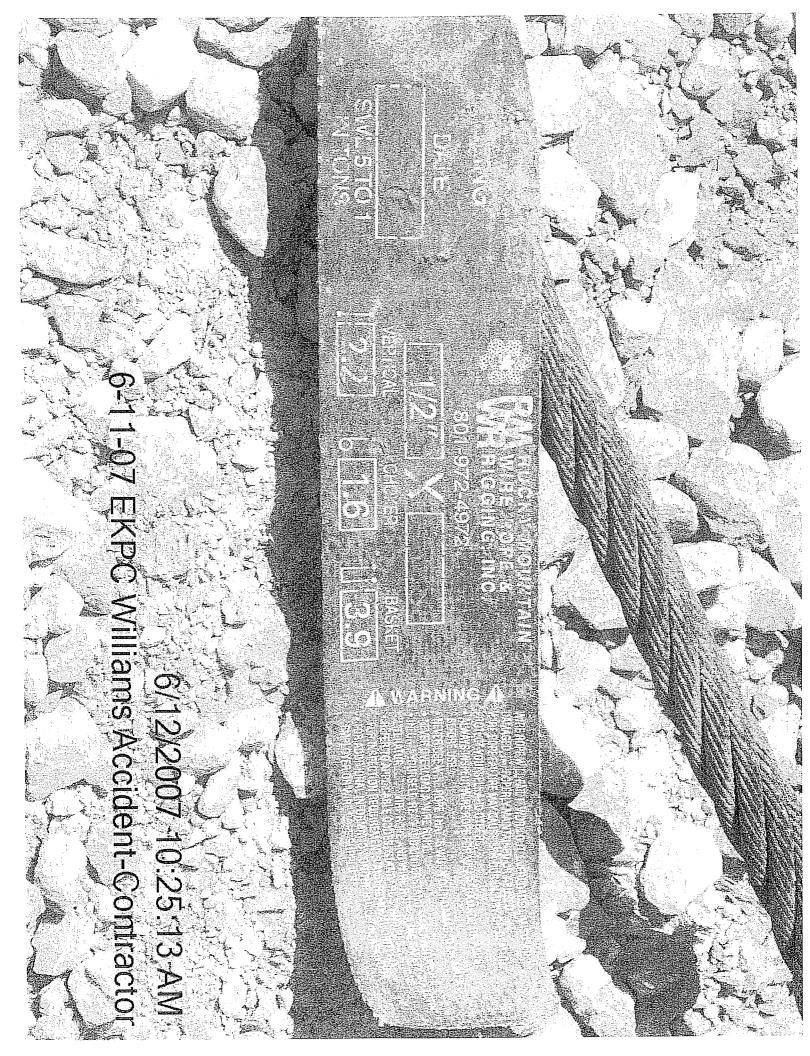


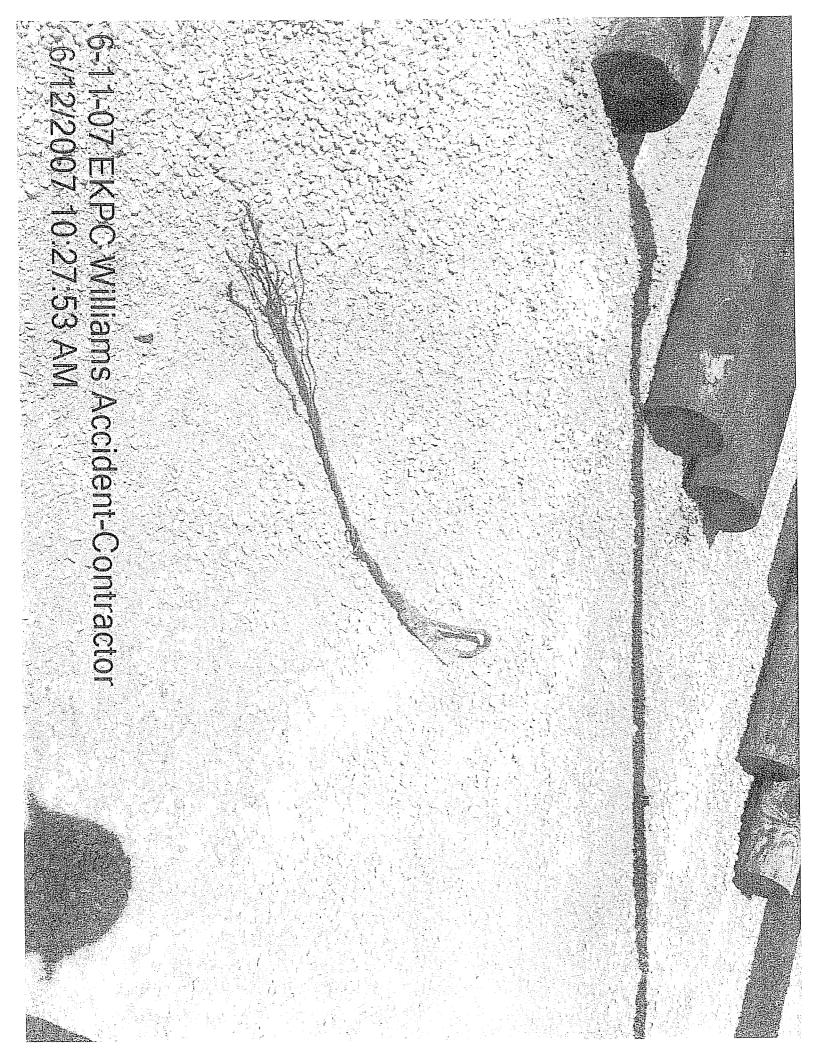


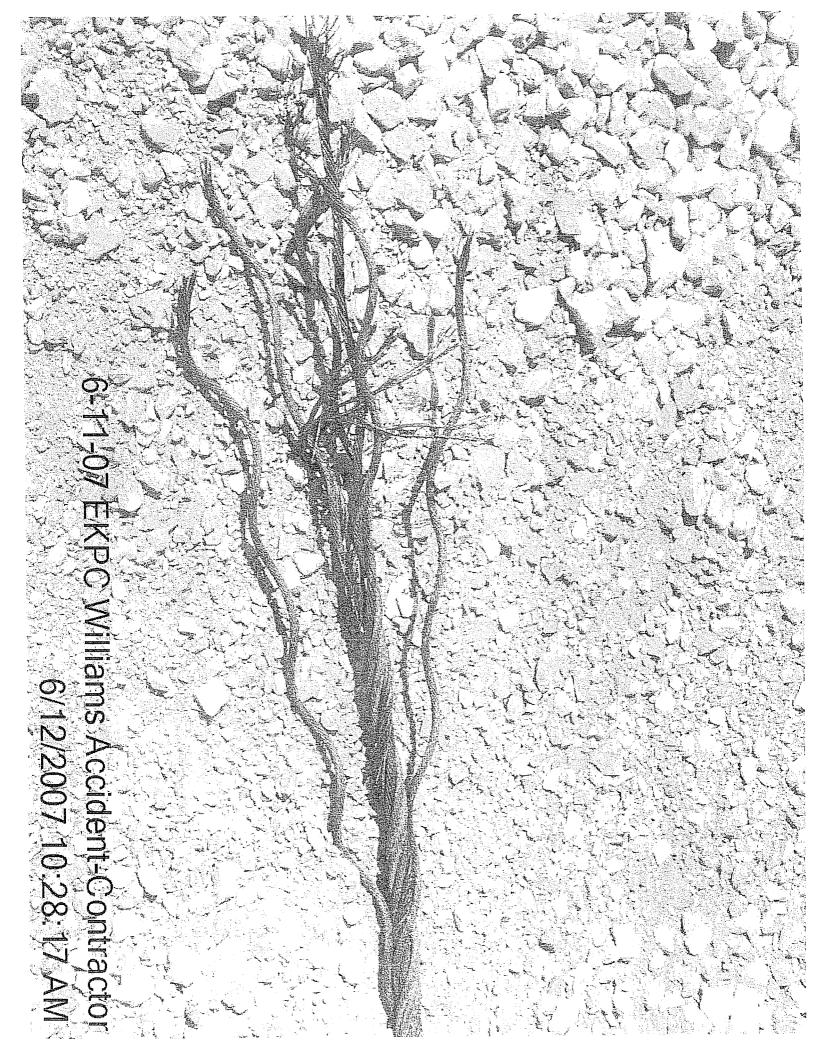








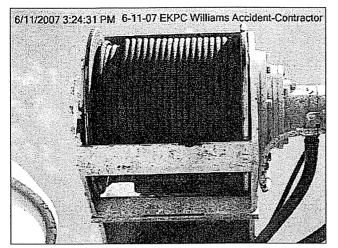




Attachment B

KPSC Photographs of Accident Site

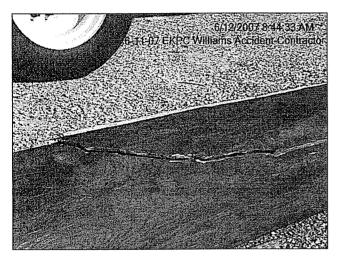
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PSC Photographs



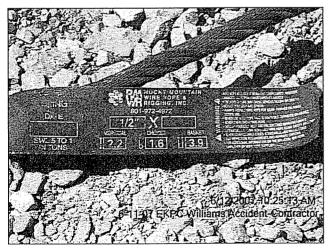
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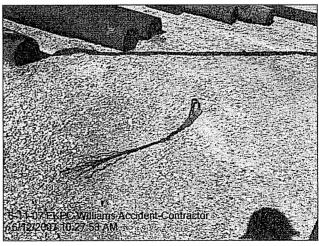


PSC Photographs

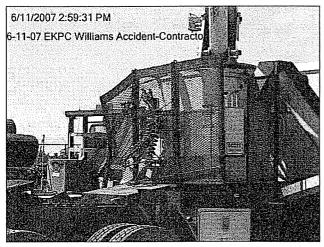


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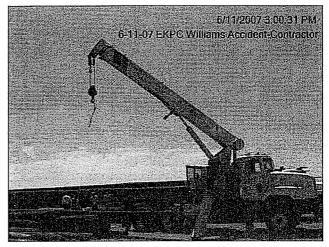




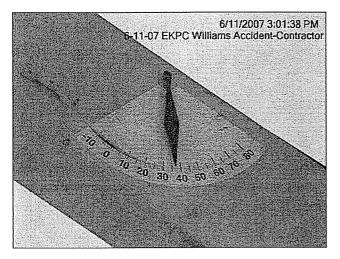
PSC Photographs



PSC Photographs



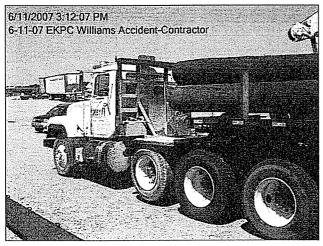
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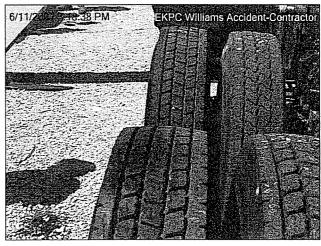


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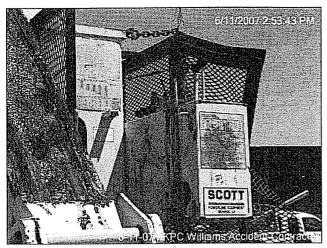


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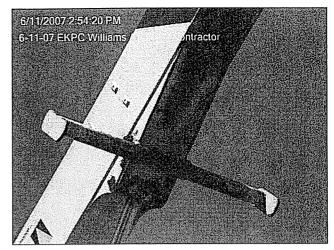




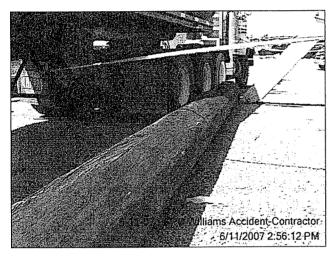
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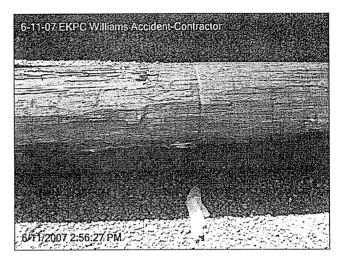
PSC Photographs



PSC Photographs



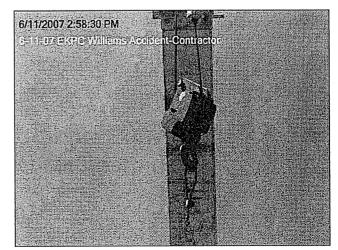
PSC Photographs



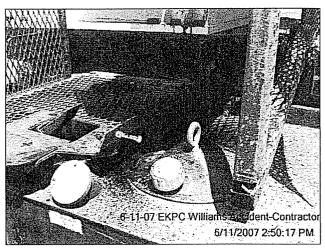
PSC Photographs



PSC Photographs



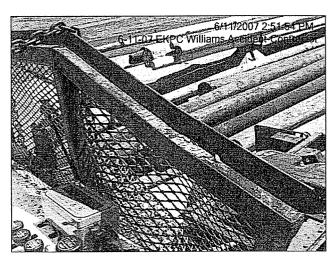
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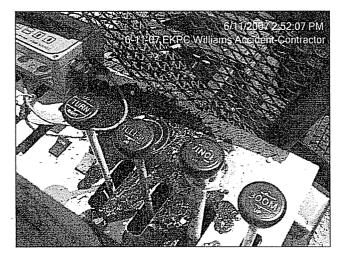
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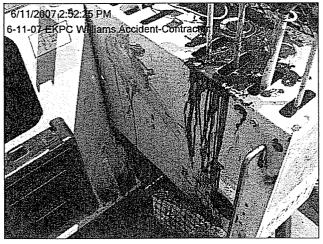
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PSC Photographs



PSC Photographs





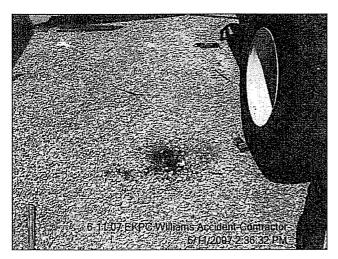
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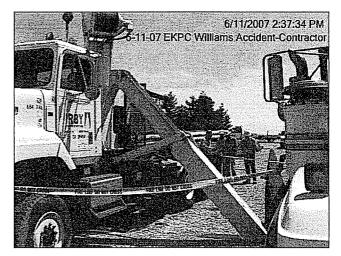
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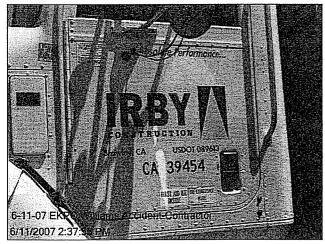
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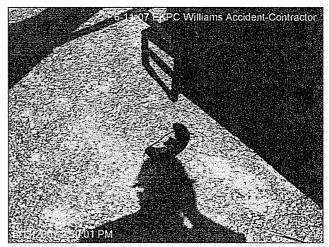


PSC Photographs



PSC Photographs

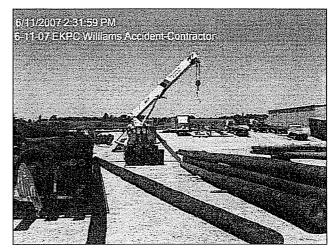




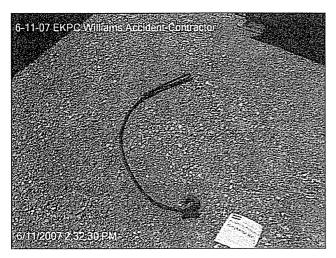
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PSC Photographs



PSC Photographs



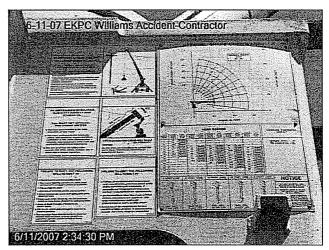
PSC Photographs



PSC Photographs



PSC Photographs



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Attachment C

Text of Cited Violations

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21. General Operating Routines

A. Duties of a First-Level Supervisor or Person in Charge

This individual shall:

- 1. Adopt such precautions as are within the individual's authority to prevent accidents.
- 2. See that the safety rules and operating procedures are observed by the employees under the direction of this individual.
- 3. Make all the necessary records and reports, as required.
- 4. Prevent unauthorized persons from approaching places where work is being done, as far as practical.
- 5. Prohibit the use of tools or devices unsuited to the work at hand or that have not been tested or inspected as required.