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**TRANSMITTAL LETTER**

**RECEIVED**  
 NOV 16 2006  
 PUBLIC SERVICE  
 COMMISSION

To: Beth O'Donnell, Exec. Director  
 Public Service Commission  
 211 Sower Blvd.  
 P.O. Box 615  
 Frankfort, KY 40602

Date: November 14, 2006  
 RE: Case No. 2004-00495  
 Southeast Daviess County Water District  
 Philpot Pump Station, Trunk Lines &  
 Creek Crossing Replacement  
 KIA WX21059008

We are sending you:  Attached/Enclosed  
 Hand Delivered  Faxed

The following items:

- Plans
- Specifications
- Technical Drawing
- Survey Plat
- Description
- Requested Information
- Drainage Information
- Statement

<u>Item No.</u>	<u>Number of Copies</u>	<u>Description</u>
1	1	Copy of 6/29/06 Project Completion Documents & Electronic Drawing file as provided to KIA (per project requirements)

Transmittal Information Forwarded:

- For your review/comment
- For your information
- For your use
- For your approval
- Per your request
- For signature
- For your file
- For your action
- Approved as submitted
- Approved as noted
- Returned for revisions
- Additional information requested
- Discuss with me
- 

Comments: The project is now completed and operational.

James R. Riney, PE, PS

Copy: Bill Higdon/SEDCWD

**EXHIBIT 9**

**CERTIFICATE OF COMPLETION**

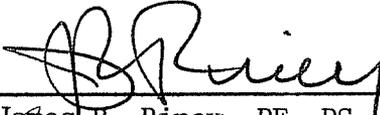
**WX21059008**

**Southeast Daviess County Water District**

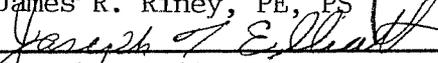
Pursuant to the Agreement between the Kentucky Infrastructure Authority and Southeast Daviess County Water District (the Grantee) for the Project as described in **Exhibit 1** of the Agreement, this certificate, signed by the authorized official and the Project Administrator of the Grantee confirms that the following activities are complete.

1. The Project construction has been completed and payment has been made to all vendors and/or contractors for labor, services, materials, supplies, machinery and equipment included in the above referenced Project.
2. All lands, easements, rights of ways, permits or other facilities necessary in connection with the Project have been acquired, constructed, equipped and installed and all costs and expenses incurred in connection therewith have been paid.
3. The Project is complete and is available for the provision of services which are expected to commence on or about 3 October 2006 (FINAL).

**Project Administrator:**

  
\_\_\_\_\_  
James R. Riney, PE, PS

**Authorized Official:**

  
\_\_\_\_\_  
Joseph T. Elliott, Chair

**Date:** 11-13-2006

**Acceptance of Certificate of Completion by Kentucky Infrastructure Authority:**

**By:** \_\_\_\_\_

**Date:** \_\_\_\_\_



# CERTIFICATE OF SUBSTANTIAL COMPLETION

## Definition of Substantial Completion

The date of Substantial Completion of Work or designated portion thereof is the date when construction is sufficiently complete, in accordance with the Contract Documents, as modified by any Change Orders agreed to by the parties, so that the work or designated portion thereof is available for use by the Owner.

CONTRACTOR: Bobby Luttrell & Sons, LLC      ♦      Project No.: WX21059008      ♦

TO (Owner): Southeast Daviess County Water District      ♦      Date of Issuance: June 29, 2006      ♦

Project or Designated Area Shall Include

\_\_\_\_\_ Project: Philpot Pump Station, Winkler Road      ♦

\_\_\_\_\_ Trunk Line & KY 142 Creek Crossing      ♦

\_\_\_\_\_ Address: 4223 KY 142, Philpot, KY 42366      ♦

\_\_\_\_\_ ~~Architect~~ Engineer: HRG, PLLC Surveying & Engineering      ♦

\_\_\_\_\_ Contract for: \_\_\_\_\_      ♦

The work under this Contract has been reviewed and found to be substantially complete. The Date of Substantial Completion is hereby established as June 29, 2006, which is also the date of commencement of warranties and guarantees required by the Contract Documents. ♦

A list of items to be completed or corrected, prepared by the Contractor or the Architect/Engineer, or both, is appended hereto. Corrections or changes called for in this list will be made within 30 days from the date of this Certificate. Signing of this Certificate of Substantial Completion by the Owner in no way alters the responsibility of the Contractor to complete all of the work in accordance with the Contract Documents, including untested or deferred work. (See Attached Exhibit 'A') ♦

Architect/Engineer: \_\_\_\_\_ Contractor: \_\_\_\_\_

By [Signature] Date: 6/30/06 By [Signature] Date: 7, 5, 06 ♦

The Owner accepts the Work or designated portion thereof as substantially complete and will assume full possession thereof at Upon Completion (time) on \_\_\_\_\_ (date). ♦  
Any responsibility of the Contractor to provide equipment operation, maintenance, heat, utilities and security under the Contract Documents shall terminate at the stated hour on the stated date.

Date 7-3-06      ACCEPTED BY: William G. Higdon, Manager      ♦

OWNER: Southeast Daviess County Water District      ♦

[Signature]  
Authorized Representative      ♦

**SOUTHEAST DAVIESS COUNTY WATER DISTRICT  
KIA / WX21059008**

**EXHIBIT 'A'**

Substantial Completion is approved subject to Contractor's completion of:

- Replacement of pump motor unit (bearing failure at Pump No. 2)
- Final Inspection
- Final Punch List Items
- Final Site Grading
- Fencing and Gate Installation
- Driveway Pavement
- Demolition and Removal of existing Pump Station and Venturi Meter Pit, backfilling, dressing and grass cover