

**KENTUCKY-AMERICAN WATER COMPANY
CASE NO. 2008-00427
FORECASTED TEST PERIOD FILING REQUIREMENTS
EXHIBIT NO. 1**

Description of Filing Requirement:

Present Tariffs

Response:

Please see attached for copy of Present Tariffs.

For electronic version, see [KAW_APP_EX1_103108.pdf](#)

P.S.C. KY. No. 6

COVER SHEET AND ORIGINAL SHEETS:

Nos. 1, 2, 5 through 19, 20.1, 21, 24.1, 26, 29 through 49,
49.4, 49.5, 49.6, 51, 54, 58, 59, 61, 62, 63, 64, and 64a;

First Revised Sheet Nos. 4, 24, 25, 28, 49.1, 49.2, 49.3, 50.3, 57,
58.1, 58.2, 58.3, 58.4, 58.5, 60, 65 and Appendix H

Second Revised Sheet Nos. 22, 23, 27, 50.1, 50.2, 52.1, 55, 56;

Third Revised Sheet No. 3.1

Fourth Revised Sheet Nos. 28.1 and 28.2;

Fifth Revised Sheet Nos. 20, 55.1

Tenth Revised Sheet No. 3:

Twenty-First Revised Sheet No. 53;

Twenty-Third Revised Sheet No. 52;

Twenty-Seventh Revised Sheet No. 50

KENTUCKY-AMERICAN WATER COMPANY

2300 RICHMOND ROAD, LEXINGTON, KENTUCKY

FOR SERVICE IN KENTUCKY COUNTIES OF

BOURBON, CLARK, FAYETTE, GALLATIN, GRANT, HARRISON,

JESSAMINE, OWEN, SCOTT AND WOODFORD

FILED WITH THE PUBLIC SERVICE COMMISSION OF KENTUCKY

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY:


Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
Tenth Revised Sheet No. 3
Canceling Ninth Revised Sheet No. 3

Index (Cont'd.)

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(T) Indicates a text change		
(D) Indicates discontinued		

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ISSUED BY:

Michael A. Miller
Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
Third Revised Sheet No. 3.1
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Index (Cont'd.)

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(D) Indicates discontinued tariff

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Michael A. Miller
Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
Twenty-Seventh Revised Sheet No. 50
Canceling Twenty-Sixth Revised Sheet No. 50

CLASSIFICATION OF SERVICE
SERVICE CLASSIFICATION NO. 1

Applicable

(T) Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for Residential, Commercial, Industrial, Sales for Resale, Municipal and All Other Public Authority metered service.

Meter Rates

The following shall be the rates for consumption, in addition to the service charges provided for herein;

	Customer Category	Rate Per 1,000 Gallons All Consumption	Rate Per 100 Cubic Feet All Consumption
(I)	Residential	\$3.11706	\$2.33779
(I)	Commercial	2.85069	2.13802
(I)	Industrial	2.28552	1.71414
	Municipal & Other		
(I)	Public Authority	2.66001	1.99501
(I)	Sales for Resale	2.68381	2.01286

Service Charges

All metered general water service customers shall pay a service charge based on the size of meter installed. The service charge will not entitle the customer to any water.

	Size of Meter	Service Charge Per Month
(I)	5/8"	\$7.95
(I)	3/4"	11.94
(I)	1"	19.89
(I)	1-1/2"	39.77
(I)	2"	63.64
(I)	3"	119.32
(I)	4"	198.86
(I)	6"	397.73
(I)	8"	636.36

(T) Indicates Text Change

(I) Indicates Increase

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY: Michael A. Miller
Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
Second Revised Sheet No. 50.1
Canceling First Revised Sheet No. 50.1

CLASSIFICATION OF SERVICE
SERVICE CLASSIFICATION NO. 1

(D) **Applicable**

Applicable to all customers in the Company's Northern Division formerly served by the Tri-Village Water District.

(D) **Availability of Service**

Available for Residential, Commercial, Industrial, Sales for Resale, Municipal and All Other Public Authority metered Service.

(D) **Monthly Water Rates**

The following shall be the rates for water consumption.

Rate Per 1,000 Gallons

(D)	First	2,000 gallons	\$19.40 minimum bill
(D)	Next	4,000 gallons	6.22 per 1,000 gallons
(D)	Next	4,000 gallons	6.10 per 1,000 gallons
(D)	Next	10,000 gallons	5.98 per 1,000 gallons
(D)	Over	20,000 gallons	5.74 per 1,000 gallons

Rate Per 100 Cubic Feet

(D)	First	2.67 100 cubic feet	\$19.40 minimum bill
(D)	Next	5.33 100 cubic feet	4.665 per 100 cubic feet
(D)	Next	5.33 100 cubic feet	4.575 per 100 cubic feet
(D)	Next	13.33 100 cubic feet	4.485 per 100 cubic feet
(D)	Over	26.66 100 cubic feet	4.305 per 100 cubic feet

(D) Indicates Discontinued

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY: Michael A. Miller
Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
Second Revised Sheet No. 50.2
Canceling First Revised Sheet No. 50.2

CLASSIFICATION OF SERVICE
SERVICE CLASSIFICATION NO. 1

- (D) **Applicable**
Applicable to all customers in the Company's Northern Division formerly served by the Elk Lake Shores Subdivision.
- (D) **Availability of Service**
Available for Residential, Commercial, Industrial, Sales for Resale, Municipal and All Other Public Authority metered Service.

(D) **Monthly Water Rates**

The following shall be the rates for water consumption.

5/8 inch x 3/4 inch metered

- | | | |
|-----|---------------------------|---------------------------|
| (D) | First 2,000 gallons | \$20.95 minimum bill |
| (D) | Next 2,000 gallons | 2.35 per 1,000 gallons |
| (D) | Over 4,000 gallons | 1.70 per 1,000 gallons |
| | | |
| (D) | First 2.67 100 cubic feet | \$20.95 minimum bill |
| (D) | Next 2.67 100 cubic feet | 1.7625 per 100 cubic feet |
| (D) | Over 5.34 100 cubic feet | 1.2750 per 100 cubic feet |

All meters shall be read to the nearest one hundred (100) gallons each month.

- (D) The monthly bill for a customer served by a 5/8" x 3/4" meter shall be \$20.95 for metered usages of zero (0) to two thousand (2,000) gallons or 2.67 100 cubic feet. The additional charge for usage in excess of two thousand gallons shall be computed to the nearest one hundred (100) gallons of usage on the basis of the above unit costs per one thousand (1,000) gallons.

Size of Service Line

The service line of all service shall be 3/4" with 5/8" x 3/4" meter.

(D) Indicates Discontinued

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY: Michael A. Miller
Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. No. 6
First Revised Sheet 50.3
Canceling Original Sheet No. 50.3

CLASSIFICATION OF SERVICE
SERVICE CLASSIFICATION NO. 1

(D) **Applicable**

Applicable to all customers in the City of Owenton.

(D) **Availability of Service**

Available for Residential, Commercial, Industrial, Sales for Resale, Municipal and All Other Public Authority metered Service.

(D) **Monthly Water Rates**

The following shall be the rates for water consumption.

Within the City Limits

	Per 1,000 gallons		Per CCF
(D) First 2,000 gallons	\$16.77 minimum bill	First 2.67 CCF	\$16.77 minimum bill
(D) Next 1,000 gallons	6.26 per 1,000 gallons	Next 1.33 CCF	4.695 per CCF
(D) Next 2,000 gallons	5.18 per 1,000 gallons	Next 2.67 CCF	3.885 per CCF
(D) Next 5,000 gallons	4.20 per 1,000 gallons	Next 6.67 CCF	3.150 per CCF
(D) Next 10,000 gallons	3.42 per 1,000 gallons	Next 13.33 CCF	2.565 per CCF
(D) Next 20,000 gallons	3.10 per 1,000 gallons	Next 26.67 CCF	2.325 per CCF
(D) All over 40,000 gallons	3.06 per 1,000 gallons	All over 53.34 CCF	2.295 per CCF

Outside the City Limits

RATE B

(D) First 6,000 gallons	\$39.35 minimum bill	First 8.0 CCF	\$39.35 minimum bill
(D) Next 2,000 gallons	6.04 per 1,000 gallons	Next 2.67 CCF	4.53 per CCF
(D) All over 8,000 gallons	4.55 per 1,000 gallons	All over 10.67 CCF	3.4125 per CCF

RATE G

(D) First 2,000 gallons	\$22.68 minimum bill	First 2.67 CCF	\$22.68 minimum bill
(D) Next 2,000 gallons	7.56 per 1,000 gallons	Next 2.67 CCF	5.67 per CCF
(D) All over 4,000 gallons	4.55 per 1,000 gallons	All over 5.34 CCF	3.4125 per CCF

(D) Indicates Discontinued

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY: Michael A. Miller
Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
Twenty-Third Revised Sheet No. 52
Canceling Twenty-Second Revised Sheet No. 52

CLASSIFICATION OF SERVICE
SERVICE CLASSIFICATION NO. 3

Applicable

(T) Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for municipal or private fire connections used exclusively for fire protection purposes.

Rates

	<u>Size of Service</u>	<u>Rate Per</u> <u>Month</u>	<u>Rate Per</u> <u>Annum</u>
(I)	2" Diameter	\$ 5.02	\$ 60.24
(I)	4" Diameter	20.10	241.20
(I)	6" Diameter	45.17	542.04
(I)	8" Diameter	80.28	963.36
(I)	10" Diameter	125.45	1,505.40
(I)	12" Diameter	180.68	2,168.16
(I)	14" Diameter	245.95	2,951.40
(I)	16" Diameter	321.16	3,853.92

Special Provisions

No charge shall be made for water used in extinguishing accidental fires or for Underwriters' tests, and water shall not be drawn from a private fire service connection for any other purpose.

- (I) Indicates Increase
- (T) Indicates Text Change

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY: Michael A. Miller 2300 Richmond Road, Lexington, KY 40502
Michael A. Miller, Asst. Treasurer

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
Second Revised Sheet No. 52.1
Canceling First Revised Sheet 52.1

TAPPING FEES

Applicable

- (T) Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for residential, commercial, industrial, other public authority and sales for resale customers.

Tapping (Connection) Fees

Size of Meter Connected

(I)	5/8-Inch	\$ 660.00
(I)	1-Inch	1,254.00
(R)	2-Inch	2,945.00
	Service larger than 2"	Actual Cost

For services greater than 2", a cost-adjustable deposit is required upon application. The tapping fee will be required upon application for all services installed on or after the effective date of this tariff, except in cases where a complete application for service (including plumbing inspection) is on file with the Company prior to the effective date of this tariff.

- (T) Indicates Text Change
(I) Indicates Increase
(R) Indicates Reduction

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY:

Michael A. Miller
Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
Twenty-First Revised Sheet No. 53
Canceling Twentieth Revised Sheet No. 53

CLASSIFICATION OF SERVICE
SERVICE CLASSIFICATION NO. 4

Applicable

- (T) Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for municipal or private fire connections used exclusively for fire protection purposes.

Rates For Public Fire Service

	<u>Rate Per Month</u>	<u>Rate Per Annum</u>
(I) For each public fire hydrant contracted for or ordered by Urban County, County, State or Federal Governmental Agencies or Institutions.	\$27.14	\$325.68

Rates For Private Service

(I) For each private fire hydrant contracted for by Industries or Private Institutions	\$45.17	\$542.04
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(I) Indicates Increase

(T) Indicates Text Change

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY: _____

Michael A. Miller
Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
Second Revised Sheet No. 56
Canceling First Revised Sheet No. 56

RECONNECTION CHARGE

When it is necessary to discontinue water service to any premises because of a violation of the Company's Rules and Regulations on account of nonpayments of any bill for water service, a charge of (I) Twenty-six Dollars (\$26.00) will be made to cover the expense of turning on the water service.

(I) Indicates Increase

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY:

Michael A. Miller
Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
First Revised Sheet No. 58.1
Canceling Original Sheet No. 58.1

CUSTOMER SPECIFIC TARIFF CHARGES

Applicable

Applicable to customers in the Company's Northern Division formerly served by the Tri-Village Water District.

(D)

TAPPING FEES

Size of Meter Connected

(D)	5/8-Inch	\$ 530.00
(D)	1-Inch	945.00
(D)	2-Inch	4,250.00
(D)	Service larger than 2"	Actual Cost

(D) For services greater than 2", a cost-adjustable deposit is required upon application. The tapping fee will be required upon application for all services installed on or after the effective date of this tariff, except in cases where a complete application for service (including plumbing inspection) is on file with the Company prior to the effective date of this tariff.

Service Run \$23 ** (refer below)

Collection of Delinquent Bill \$23

(R) Reconnection Charge \$26

Deposit for New Service \$40

Returned Check Charge \$10

** This applies anytime the service men come to remove the meter for non-payment of the bill and the customer pays while they are there or tells them that they will come to the office to pay the bill.

(D) Indicates Discontinued

(R) Indicates Reduction

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY:

Michael A. Miller
Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
First Revised Sheet No. 58.2
Canceling Original Sheet No. 58.2

CUSTOMER SPECIFIC TARIFF CHARGES

Applicable

Applicable to customers in the Company's Northern Division formerly served by the Elk Lake Shores Subdivision.

SERVICE RUN

The cost of a service run shall be \$40.00. This applies anytime the service men come to remove the meter for non-payment of the bill and the customer pays while they are there or tells them that they will come to the office to pay the bill.

PENALTY CLAUSE

The rates, as listed, are net and all bills will be rendered in the net amount. A penalty of 10% shall be added to the bill if payment is not received by the 15th of the month. A penalty will be assessed only once on any bill for rendered service.

RETURNED CHECK FEE

Customer will be charged \$10.00 on any returned checks.

RECONNECTION CHARGE

- (R) A Reconnection Charge of \$26.00 shall be made by the Company to cover the cost incurred for reconnecting the meter or service when the customer's service has been disconnected at his request, or for any other reason, as set forth in these Regulations.

METER REMOVAL CHARGE

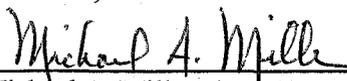
A Meter Removal Charge of \$56.00 shall be made when the customer's service has been disconnected at his request, or for any other reason, as set forth in these Regulations.

(R) Indicates Reduction

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY:


Michael A. Miller, Ass't. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
First Revised Sheet No. 58.3
Canceling Original Sheet No. 58.3

NEW ACCOUNT SET UP

ACTIVATION FEE

Applicable

Applicable to all customers who request a new account or a change in ownership of an existing account.

Availability of Service

Available for all customers.

Rate

- (I) An activation fee of Twenty-six (\$26.00) Dollars shall be charged to set up a new account or change an existing account. The activation fee covers the cost of meter reading and record change.

(I) Indicates Increase

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY:

Michael A. Miller
Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

KENTUCKY-AMERICAN WATER COMPANY

P.S.C. Ky No. 6
First Revised Sheet No. 58.5
Canceling Original Sheet No. 58.5

CHARGES FOR METER INSTALLATIONS

Applicable

(D) Applicable to customers in the Company's Northern Division formerly served by the Elk Lake Shores Subdivision.

(D) The following prices as listed, includes the meter, meter vault, meter yoke where required, any necessary valve required, and the service line of the required size running to the customer's property line.

5/8" x 3/4" Meter Installation \$360.00

Large Meters Actual cost of installation

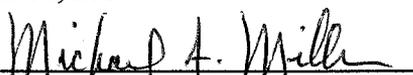
(D) Each customer must fill-out and sign the Meter Application furnished by Kentucky-American Water Company and pay the connection fee in advance. There are no exceptions to this requirement.

(D) Indicates Discontinued

ISSUED: November 30, 2007

EFFECTIVE: December 1, 2007

ISSUED BY:


Michael A. Miller, Asst. Treasurer

2300 Richmond Road, Lexington, KY 40502

**KENTUCKY-AMERICAN WATER COMPANY
CASE NO. 2008-00427
FORECASTED TEST PERIOD FILING REQUIREMENTS
EXHIBIT NO. 2**

Description of Filing Requirement:

Proposed Tariffs

Response:

Please see attached for copy of Proposed Tariffs.

For electronic version, see [KAW_APP_EX2_103108.pdf](#)

P.S.C. KY. No. 6

COVER SHEET AND ORIGINAL SHEETS:

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Third Revised Sheet No. 3.1, 52.1, 55

Fourth Revised Sheet Nos. 28.1 and 28.2;

Fifth Revised Sheet Nos. 20

Seventh Revised Sheet No. 55.1

Tenth Revised Sheet No. 3:

Twenty-Second Revised Sheet No. 53;

Twenty-Fourth Revised Sheet No. 52;

Twenty-Eighth Revised Sheet No. 50

KENTUCKY-AMERICAN WATER COMPANY

2300 RICHMOND ROAD, LEXINGTON, KENTUCKY

FOR SERVICE IN KENTUCKY COUNTIES OF

BOURBON, CLARK, FAYETTE, GALLATIN, GRANT, HARRISON,

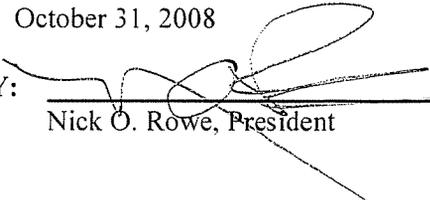
JESSAMINE, OWEN, SCOTT AND WOODFORD

FILED WITH THE PUBLIC SERVICE COMMISSION OF KENTUCKY

ISSUED: October 31, 2008

EFFECTIVE: November 30, 2008

ISSUED BY:



Nick O. Rowe, President

2300 Richmond Road, Lexington, KY 40502

CLASSIFICATION OF SERVICE
SERVICE CLASSIFICATION NO. 1

Applicable

Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for Residential, Commercial, Industrial, Sales for Resale, Municipal and All Other Public Authority metered service.

Meter Rates

The following shall be the rates for consumption, in addition to the service charges provided for herein;

	Customer Category	Rate Per 1,000 Gallons All Consumption	Rate Per 100 Cubic Feet All Consumption
(I)	Residential	\$4.44240	\$3.33180
(I)	Commercial	3.99733	2.99800
(I)	Industrial	3.22667	2.42000
	Municipal & Other		
(I)	Public Authority	3.75467	2.81600
(I)	Sales for Resale	3.75467	2.81600

Service Charges

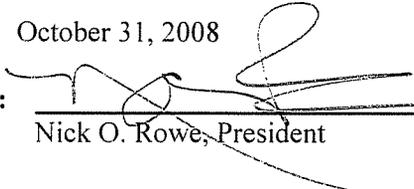
All metered general water service customers shall pay a service charge based on the size of meter installed. The service charge will not entitle the customer to any water.

	Size of Meter	Service Charge Per Month
(I)	5/8"	\$8.60
(I)	3/4"	12.90
(I)	1"	21.50
(I)	1-1/2"	43.00
(I)	2"	68.80
(I)	3"	129.00
(I)	4"	215.00
(I)	6"	430.00
(I)	8"	688.00

(I) Indicates Increase

ISSUED: October 31, 2008

EFFECTIVE: November 30, 2008

ISSUED BY: 
Nick O. Rowe, President

2300 Richmond Road, Lexington, KY 40502

TAPPING FEES

Applicable

Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for residential, commercial, industrial, other public authority and sales for resale customers.

Tapping (Connection) Fees

Size of Meter Connected

(I)	5/8-Inch	\$ 702.00
(I)	1-Inch	1,287.00
(I)	2-Inch	3,129.00
	Service larger than 2"	Actual Cost

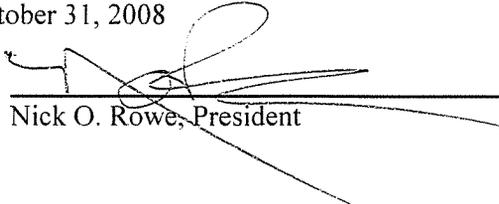
For services greater than 2", a cost-adjustable deposit is required upon application. The tapping fee will be required upon application for all services installed on or after the effective date of this tariff, except in cases where a complete application for service (including plumbing inspection) is on file with the Company prior to the effective date of this tariff.

(I) Indicates Increase

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ISSUED BY:


Nick O. Rowe, President

2300 Richmond Road, Lexington, KY 40502

CLASSIFICATION OF SERVICE
SERVICE CLASSIFICATION NO. 3

Applicable

Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for municipal or private fire connections used exclusively for fire protection purposes.

Rates

	<u>Size of Service</u>	<u>Rate Per</u> <u>Month</u>	<u>Rate Per</u> <u>Annum</u>
(I)	2" Diameter	\$ 6.98	\$ 83.76
(I)	4" Diameter	27.94	335.28
(I)	6" Diameter	62.79	753.48
(I)	8" Diameter	111.59	1,339.08
(I)	10" Diameter	174.38	2,092.56
(I)	12" Diameter	251.15	3,013.80
(I)	14" Diameter	341.87	4,102.44
(I)	16" Diameter	446.41	5,356.92

Special Provisions

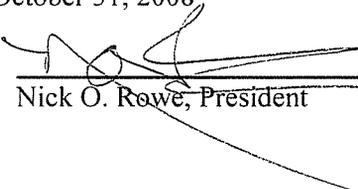
No charge shall be made for water used in extinguishing accidental fires or for Underwriters' tests, and water shall not be drawn from a private fire service connection for any other purpose.

(I) Indicates Increase

ISSUED: October 31, 2008

EFFECTIVE: November 30, 2008

ISSUED BY:



Nick O. Rowe, President

2300 Richmond Road, Lexington, KY 40502

CLASSIFICATION OF SERVICE
SERVICE CLASSIFICATION NO. 4

Applicable

Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for municipal or private fire connections used exclusively for fire protection purposes.

Rates For Public Fire Service

	<u>Rate Per Month</u>	<u>Rate Per Annum</u>
(I) For each public fire hydrant contracted for or ordered by Urban County, County, State or Federal Governmental Agencies or Institutions.	\$34.30	\$411.60

Rates For Private Service

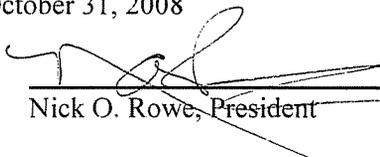
(I) For each private fire hydrant contracted for by Industries or Private Institutions	\$62.79	\$753.48
--	---------	----------

(I) Indicates Increase

ISSUED: October 31, 2008

EFFECTIVE: November 30, 2008

ISSUED BY:



Nick O. Rowe, President

2300 Richmond Road, Lexington, KY 40502

**KENTUCKY-AMERICAN WATER COMPANY
CASE NO. 2008-00427
FORECASTED TEST PERIOD FILING REQUIREMENTS
EXHIBIT NO. 3**

Description of Filing Requirement:

Comparison of Present and Proposed Tariffs in comparative form on the same sheet side by side.

Response:

Please see attached.

For electronic version, see KAW_APP_EX3_103108.pdf

KENTUCKY-AMERICAN WATER COMPANY
CASE NO: 2008-00427
COMPARISON OF PRESENT AND PROPOSED RATES AND SERVICE CHARGES
FOR THE GENERAL RATE INCREASE

EXHIBIT 3
PAGE 1 OF 1

SERVICE CLASSIFICATION NO. 1

METER RATES:

<u>CUSTOMER CATEGORY</u>	<u>PRESENT RATES PER 1000 GALLONS</u>		<u>PROPOSED RATES PER 1000 GALLONS</u>		<u>PRESENT RATES PER 100 CUBIC FT</u>		<u>PROPOSED RATES PER 100 CUBIC FT</u>	
RESIDENTIAL	\$	3.11705	\$	4.44240	\$	2.33779	\$	3.33180
COMMERCIAL	\$	2.85069	\$	3.99733	\$	2.13802	\$	2.99800
INDUSTRIAL	\$	2.28552	\$	3.22667	\$	1.71414	\$	2.42000
OPA	\$	2.66001	\$	3.75467	\$	1.99501	\$	2.81600
SALES FOR RESALE	\$	2.68381	\$	3.75467	\$	2.01286	\$	2.81600

SERVICE CHARGES:

<u>SIZE OF METER</u>	<u>PER MONTH</u>		<u>PER QUARTER</u>	
	<u>PRESENT</u>	<u>PROPOSED</u>	<u>PRESENT</u>	<u>PROPOSED</u>
5/8"	\$ 7.95	\$ 8.60		
3/4"	\$ 11.94	\$ 12.90		
1"	\$ 19.89	\$ 21.50		
1-1/2"	\$ 39.77	\$ 43.00		
2"	\$ 63.64	\$ 68.80		
3"	\$ 119.32	\$ 129.00		
4"	\$ 198.86	\$ 215.00		
6"	\$ 397.73	\$ 430.00		
8"	\$ 636.36	\$ 688.00		

SERVICE CLASSIFICATION NO. 3

RATES FOR PRIVATE FIRE CONNECTIONS:

	<u>PER MONTH</u>		<u>PER ANNUM</u>	
	<u>PRESENT</u>	<u>PROPOSED</u>	<u>PRESENT</u>	<u>PROPOSED</u>
2" DIAMETER	\$ 5.02	\$ 6.98	\$ 60.24	\$ 83.76
4" DIAMETER	\$ 20.10	\$ 27.94	\$ 241.20	\$ 335.28
6" DIAMETER	\$ 45.17	\$ 62.79	\$ 542.04	\$ 753.48
8" DIAMETER	\$ 80.28	\$ 111.59	\$ 963.36	\$ 1,339.08
10" DIAMETER	\$ 125.45	\$ 174.38	\$ 1,505.40	\$ 2,092.56
12" DIAMETER	\$ 180.68	\$ 251.15	\$ 2,168.16	\$ 3,013.80
14" DIAMETER	\$ 245.95	\$ 341.87	\$ 2,951.40	\$ 4,102.44
16" DIAMETER	\$ 321.16	\$ 446.41	\$ 3,853.92	\$ 5,356.92

SERVICE CLASSIFICATION NO. 4:

	<u>PER MONTH</u>		<u>PER ANNUM</u>	
	<u>PRESENT</u>	<u>PROPOSED</u>	<u>PRESENT</u>	<u>PROPOSED</u>
<u>RATES FOR PUBLIC FIRE SERVICE:</u>				
FOR EACH PUBLIC HYDRANT	\$ 27.14	\$ 34.30	\$ 325.68	\$ 411.60
<u>RATES FOR PRIVATE FIRE SERVICE:</u>				
FOR EACH PRIVATE HYDRANT	\$ 45.17	\$ 62.79	\$ 542.04	\$ 753.48

**KENTUCKY-AMERICAN WATER COMPANY
CASE NO. 2008-00427
FORECASTED TEST PERIOD FILING REQUIREMENTS
EXHIBIT NO. 4**

Description of Filing Requirement:

Certified copy of the Company's Articles of Incorporation with all Amendments.

Response:

The Company's Articles of Incorporation have not changed since they were filed as Filing Exhibit 4 in Kentucky American's 1995 Rate Case: In the matter of: Notice of Adjustment of the rates of Kentucky-American Water Company effective on and after February 29, 1996. (Case No. 95-9554).

For electronic version, see [KAW_APP_EX4_103108.pdf](#)

**KENTUCKY-AMERICAN WATER COMPANY
CASE NO. 2008-00427
FORECASTED TEST PERIOD FILING REQUIREMENTS
EXHIBIT NO. 5**

Description of Filing Requirement:

Certificate of Good Standing

Response:

Please see attached for Certificate of Good Standing.

For electronic version, see KAW_APP_EX5_103108.pdf

Commonwealth of Kentucky
Trey Grayson, Secretary of State

9/9/2008

Division of Corporations
Business Filings

P. O. Box 718
Frankfort, KY 40602
(502) 564-2848
<http://www.sos.ky.gov>

Certificate of Existence

Authentication Number: 69661
Jurisdiction: Kentucky-American Water Company
Visit <http://apps.sos.ky.gov/business/obdb/certvalidate.aspx> to authenticate this certificate.

I, Trey Grayson, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

KENTUCKY-AMERICAN WATER COMPANY

is a corporation duly incorporated and existing under KRS Chapter 271B, whose date of incorporation is September 15, 1927 and whose period of duration is perpetual.

I further certify that all fees and penalties owed to the Secretary of State have been paid; that articles of dissolution have not been filed; and that the most recent annual report required by KRS 271B.16-220 has been delivered to the Secretary of State.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my Official Seal at Frankfort, Kentucky, this 9th day of September, 2008.





Trey Grayson
Secretary of State
Commonwealth of Kentucky
69661/0027327

**KENTUCKY-AMERICAN WATER COMPANY
CASE NO. 2008-00427
FORECASTED TEST PERIOD FILING REQUIREMENTS
EXHIBIT NO. 6**

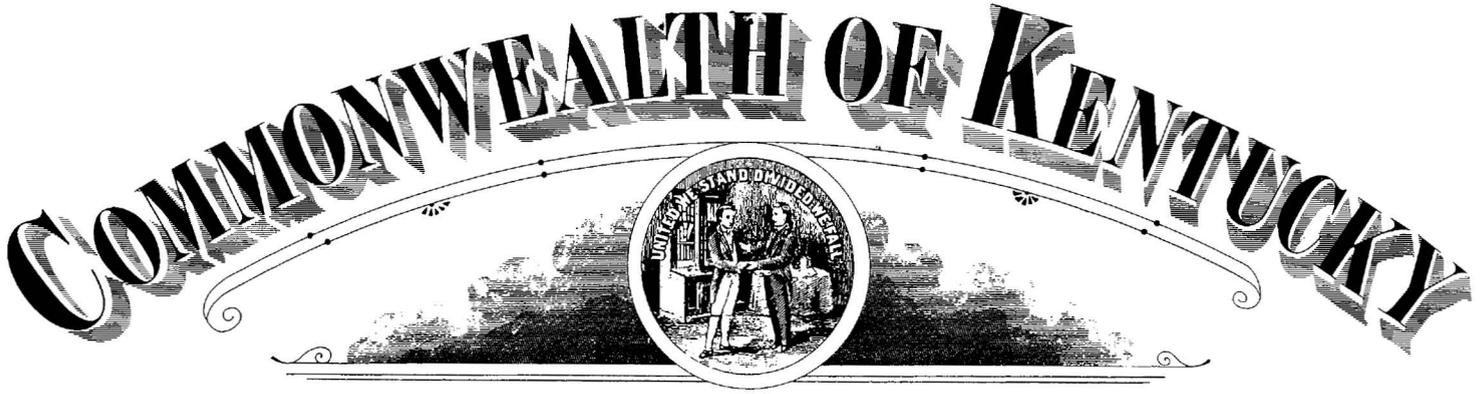
Description of Filing Requirement:

Certified Copy of a Certificate of Assumed Name

Response:

Please see attached.

For electronic version, see [KAW_APP_EX6_103108.pdf](#)



Trey Grayson
Secretary of State

Certificate

I, Trey Grayson, Secretary of State for the Commonwealth of Kentucky, do hereby certify that the foregoing writing has been carefully compared by me with the original thereof, now in my official custody as Secretary of State and remaining on file in my office, and found to be a true and correct copy of

CERTIFICATE OF ASSUMED NAME OF

KENTUCKY-AMERICAN WATER COMPANY BEING ADOPTED BY KENTUCKY-AMERICAN WATER COMPANY FILED SEPTEMBER 12, 2003.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my Official Seal at Frankfort, Kentucky, this 10th day of September, 2008.



Trey Grayson

Trey Grayson
Secretary of State
Commonwealth of Kentucky
bweber/0027327 - Certificate ID: 69689

COMMONWEALTH OF KENTUCKY
JOHN Y. BROWN III
SECRETARY OF STATE



0027327.04

Pcraine
ADD

John Y. Brown III
Secretary of State
Received and Filed
09/12/2003 11:16:08 AM
Fee Receipt: \$20.00

CERTIFICATE OF ASSUMED NAME

This certifies that the assumed name of

KENTUCKY AMERICAN WATER

(Name under which the business will be conducted)

has been adopted by Kentucky-American Water Company

(Real name - KRS 365.015(1))

which is the "real name" of [YOU MUST CHECK ONE]

- | | |
|--|---|
| <input type="checkbox"/> a Domestic General Partnership | <input type="checkbox"/> a Foreign General Partnership |
| <input type="checkbox"/> a Domestic Registered Limited Liability Partnership | <input type="checkbox"/> a Foreign Registered Limited Liability Partnership |
| <input type="checkbox"/> a Domestic Limited Partnership | <input type="checkbox"/> a Foreign Limited Partnership |
| <input type="checkbox"/> a Domestic Business Trust | <input type="checkbox"/> a Foreign Business Trust |
| <input checked="" type="checkbox"/> a Domestic Corporation | <input type="checkbox"/> a Foreign Corporation |
| <input type="checkbox"/> a Domestic Limited Liability Company | <input type="checkbox"/> a Foreign Limited Liability Company |
| <input type="checkbox"/> a Joint Venture | |

organized and existing in the state or country of Kentucky, USA, and whose address is

2300 Richmond Road

Lexington

Kentucky

40502

Street address, if any

City

State

Zip Code

The certificate of assumed name is executed by

Roy W. Mundy, II, President

Print or type name and title

8-29-03

Date

Signature

Print or type name and title

Date

Trey Grayson
Secretary of State
P.O. Box 718
Frankfort, KY 40602-0718

BOOK 344 PAGE 175
BOOK 344 PAGE 175

KAW_APP_EX6_103108
Page 4 of 5
0027327.04 bschell
C227
Trey Grayson
Secretary of State
Received and Filed
05/09/2008 12:01:44 PM
Fee Receipt: \$20.00

KENTUCKY-AMERICAN WATER COMPANY
2300 RICHMOND RD.
LEXINGTON KY, 40502

Your certificate of assumed name for the name KENTUCKY AMERICAN WATER will expire on September 12, 2008, unless renewed by signing and filing the renewal certificate below and one copy in accordance with KRS 365.015(4), along with a \$20.00 filing fee. Make checks payable to "Kentucky State Treasurer". If filed, the renewal certificate is effective for a term of five years. If you have any questions, please call (502)-564-2848, press 2, then press 5.

Commonwealth of Kentucky
Trey Grayson
Secretary of State



Renewal Certificate of Assumed Name

This certifies that the assumed name of

KENTUCKY AMERICAN WATER

is hereby renewed by

KENTUCKY-AMERICAN WATER COMPANY

, a domestic corporation organized and existing in the state of Kentucky.

The certificate of assumed name was filed with the Secretary of State on September 12, 2003.

This renewal certificate is executed by:

Signature

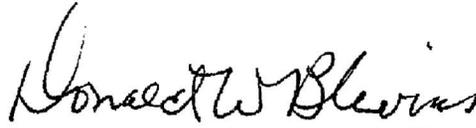
A. W. Turner, Jr., General Counsel

Print or type name and title

Date

CORP. RECORD BOOK 344 PAGE 176

**I, Donald W Blevins, County Court Clerk
of Fayette County, Kentucky, hereby
certify that the foregoing instrument
has been duly recorded in my office.**



By: MARCIA DERR , dc

200805150172

May 15, 2008 12:25:02 PM

Fees \$13.00 Tax \$0.00

Total Paid \$13.00

THIS IS THE LAST PAGE OF THE DOCUMENT

2 Pages

175 - 176

**KENTUCKY-AMERICAN WATER COMPANY
CASE NO. 2008-00427
FORECASTED TEST PERIOD FILING REQUIREMENTS
EXHIBIT NO. 7**

Description of Filing Requirement:

Public Notices

Response:

Please see attached.

For electronic version, see [KAW_APP_EX7_103108.pdf](#)

NOTICE

Notice is hereby given that Kentucky-American Water Company, a Kentucky corporation, seeks approval by the Public Service Commission, Frankfort, Kentucky, for an adjustment of rates to become effective November 30, 2008, for service rendered on and after November 30, 2008, in the total amount of \$18,494,634 on an annual basis. The percentage of increase will be an overall increase of 31.27% to Kentucky-American Water Company. The amount of increase per customer class for Kentucky-American Water Company is: residential, \$9,249,890, 30.24%; commercial, \$5,229,196, 34.73%; industrial, \$647,870, 39.91%; municipal or private fire connections, \$316,634, 39.01%; public fire hydrants, \$650,586, 26.38%; private fire hydrants, \$172,535, 39.01%; municipal and other public authority, \$1,696,872, 37.65%; and sales for resale, \$531,038, 38.91% . The effect upon the average bill for each customer class on which the proposed rate change will apply is an increase: residential, \$7.02, 30.60%; commercial, \$46.32, 35.35%; industrial, \$1,241.87, 39.83%; public fire hydrants, \$85.92, 26.38%; private fire hydrants, \$211.44, 39.01%; municipal or private fire connections, \$23.52, 39.04%; municipal and other public authority, \$200.74, 37.25%; and sales for resale, \$2,117.94, 39.52% .

The present rates charged by Kentucky-American Water Company are as follows:

SERVICE CLASSIFICATION NO. 1

Applicable

Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for Residential, Commercial, Industrial, Sales for Resale, and all Other Public Authority Metered Service.

Meter Rates

The following shall be the rates for consumption, in addition to the service charges provided for herein:

<u>Customer Category</u>	<u>Rate Per 1,000 Gallons All Consumption</u>	<u>Rate Per 100 Cubic Feet All Consumption</u>
Residential	\$ 3.11706	\$ 2.33779
Commercial	2.85069	2.13802
Industrial	2.28552	1.71414
Municipal & Other		
Public Authority	2.66001	1.99501
Sales for Resale	2.68381	2.01286

Service Charges

All metered general water service customers shall pay a service charge based on the size of meter installed. The service charge will not entitle the customer to any water.

<u>Size of Meter</u>	<u>Service Charge Per Month</u>
5/8"	\$ 7.95
3/4"	11.94
1"	19.89
1-1/2"	39.77
2"	63.64
3"	119.32
4"	198.86
6"	397.73
8"	636.36

SERVICE CLASSIFICATION NO. 3

Applicable

Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for Municipal or Private Fire Connections used exclusively for fire protection purposes.

Fire Service Rates

<u>Size of Service</u>	<u>Rate Per Month</u>	<u>Rate Per Annum</u>
2 Inch Diameter	\$ 5.02	\$ 60.24
4 Inch Diameter	20.10	241.20
6 Inch Diameter	45.17	542.04
8 Inch Diameter	80.28	963.36
10 Inch Diameter	125.45	1,505.40
12 Inch Diameter	180.68	2,168.16
14 Inch Diameter	245.95	2,951.40
16 Inch Diameter	321.16	3,853.92

SERVICE CLASSIFICATION NO. 4

Applicable

Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for Municipal or Private Fire Connections used exclusively in fire protection charges.

Rates for Public Fire Service

	<u>Rate Per Month</u>	<u>Rate Per Annum</u>
For each public fire hydrant contracted for or ordered by Urban County, County, State or Federal Government Agencies or Institutions	\$27.14	\$325.68

Rates for Private Fire Service

For each private fire hydrant contracted for by industries or private institutions	\$45.17	\$542.04
--	---------	----------

TAPPING FEES

Applicable

Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for Residential, Commercial, Industrial, Other Public Authority and Sales for Resale customers.

<u>Size of Meter Connected</u>	<u>Tapping Fee</u>
5/8-Inch	\$ 660.00
1-Inch	1,254.00
2-Inch	2,945.00
Service larger than 2"	Actual Cost

The proposed rates to be charged by Kentucky-American Water Company are as follows:

SERVICE CLASSIFICATION NO. 1

Applicable

Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for Residential, Commercial, Industrial, Other Public Authority and Sales for Resale Metered Service.

Meter Rates

The following shall be the rates for consumption, in addition to the service charges provided for herein:

<u>Customer Category</u>	<u>Rate Per 1,000 Gallons All Consumption</u>	<u>Rate Per 100 Cubic Feet All Consumption</u>
Residential	\$ 4.44240	\$ 3.33180
Commercial	3.99733	2.99800
Industrial	3.22667	2.42000
Municipal & Other		
Public Authority	3.75467	2.81600
Sales for Resale	3.75467	2.81600

Service Charges

All metered general water service customers shall pay a service charge based on the size of meter installed. The service charge will not entitle the customer to any water.

<u>Size of Meter</u>	<u>Service Charge Per Month</u>
5/8"	\$ 8.60
3/4"	12.90
1"	21.50
1-1/2"	43.00
2"	68.80
3"	129.00
4"	215.00
6"	430.00
8"	688.00

SERVICE CLASSIFICATION NO. 3

Applicable

Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for Municipal or Private Fire Connections used exclusively for fire protection purposes.

Fire Service Rates

<u>Size of Service</u>	<u>Rate Per Month</u>	<u>Rate Per Annum</u>
2 Inch Diameter	\$ 6.98	\$ 83.76
4 Inch Diameter	27.94	335.28
6 Inch Diameter	62.79	753.48
8 Inch Diameter	111.59	1,339.08
10 Inch Diameter	174.38	2,092.56
12 Inch Diameter	251.15	3,013.80
14 Inch Diameter	341.87	4,102.44
16 Inch Diameter	446.41	5,356.92

SERVICE CLASSIFICATION NO. 4

Applicable

Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for Municipal or Private Fire Connections used exclusively in fire protection charges.

Rates for Public Fire Service

	<u>Rate Per Month</u>	<u>Rate Per Annum</u>
For each public fire hydrant contracted for or ordered by Urban County, County, State or Federal Government Agencies or Institutions	\$34.30	\$411.60

Rates for Private Fire Service

For each private fire hydrant contracted for by industries or private institutions	\$62.79	\$753.48
--	---------	----------

TAPPING FEES

Applicable

Applicable to the entire Service Territory of Kentucky-American Water Company.

Availability of Service

Available for Residential, Commercial, Industrial, Other Public Authority and Sales for Resale customers.

<u>Size of Meter Connected</u>	<u>Tapping Fee</u>
5/8-Inch	\$ 702.00
1-Inch	1,287.00
2-Inch	3,129.00
Service larger than 2"	Actual Cost

The rates contained in this notice are the rates proposed by Kentucky-American Water Company; however, the Public Service Commission may order rates to be charged that differ from the proposed rates contained in this notice.

Notice is further given that any corporation, association, or person with a substantial interest in the matter may, by written request within thirty (30) days after the mailing of this notice, request to intervene in the matter before the Public Service Commission. Intervention may be granted beyond the thirty (30) day period for good cause shown. The request should be submitted to the Public Service Commission at its address shown below. Any person who has been granted intervention by the Commission may obtain copies of the application and any other filings made by Kentucky-American Water Company by contacting Kentucky-American Water Company at the address and name below.

Any person may examine the rate application and any other filings made by Kentucky-American Water Company at its offices or at the Public Service Commission at the addresses below.

Kentucky-American Water Company
Attn.: A.W. Turner, Jr.
2300 Richmond Road
Lexington, Kentucky 40502
Telephone: 859-269-2386

Public Service Commission
211 Sower Boulevard
Frankfort, Kentucky 40601
Telephone: 502-564-3940

**KENTUCKY-AMERICAN WATER COMPANY
CASE NO. 2008-00427
FORECASTED TEST PERIOD FILING REQUIREMENTS
EXHIBIT NO. 8**

Description of Filing Requirement:

PSC Notice

Response:

Please see attached.

For electronic version, see [KAW_APP_EX8_103108.pdf](#)



S T O L L · K E E N O N · O G D E N
P L L C

300 WEST VINE STREET
SUITE 2100
LEXINGTON, KY 40507-1801
MAIN: (859) 231-3000
FAX: (859) 253-1093
www.skofirm.com

LINDSEY W. INGRAM III
DIRECT DIAL: (859) 231-3982
DIRECT FAX: (859) 246-3672
L.Ingram@skofirm.com

September 30, 2008

HAND DELIVERED

Stephanie L. Stumbo
Executive Director
Public Service Commission
211 Sower Boulevard
Frankfort, KY 40601

Re: *Adjustment of Rates of Kentucky American Water*

Dear Ms. Stumbo:

In conformity with 807 KAR 5:001 Section 10(2) and 807 KAR 5:011 Section 8(1), this letter is to provide notice to the Public Service Commission of the Commonwealth of Kentucky of the intention of Kentucky American Water to file an application for an increase in its rates no earlier than four weeks from the date of this letter. The rate application will be supported by a fully forecasted test year.

Please acknowledge receipt of this notice and assign a case number to the application to be filed.

Very truly yours,

Stoll Keenon Ogden PLLC

Lindsey W. Ingram III

cc: Gerald E. Wuetcher
David E. Spenard

**KENTUCKY-AMERICAN WATER COMPANY
CASE NO. 2008-00427
FORECASTED TEST PERIOD FILING REQUIREMENTS
EXHIBIT NO. 9**

Description of Filing Requirement:

Reconciliation of Rate Base and Capital used to determine its Revenue Requirements.

Response:

Capitalization for Forecasted Test Period	305,900,341
Rate Base for Forecasted Test Period	<u>305,544,471</u>
Difference	355,870
Reconciliation:	
Unamortized Bluegrass Water Project Cost	384,700
Preliminary Survey and Investigator Costs	125,657
Other (Net), Miscellaneous and Sundry Items	<u>(154,487)</u>
	355,870

For electronic version, see KAW_APP_EX9_103108.pdf

**KENTUCKY-AMERICAN WATER COMPANY
CASE NO. 2008-00427
FORECASTED TEST PERIOD FILING REQUIREMENTS
EXHIBIT NO. 10**

Description of Filing Requirement:

Prepared testimony of each witness the utility proposes to use to support its application, including testimony from the utility's Chief Officer in charge of Kentucky Operations.

Response:

All Testimony is included under separate cover.

For electronic version, refer to the files below:

<u>FILE NAME</u>	<u>DESCRIPTION</u>
KAW_DT_PLB_103108.pdf	Patrick L. Baryenbruch, Direct Testimony
KAW_DT_LCB_103108.pdf	Linda C. Bridwell, Direct Testimony
KAW_DT_KLC_103108.pdf	Keith L. Cartier, Direct Testimony
KAW_DT_PRH_103108.pdf	Paul R. Herbert, Direct Testimony
KAW_DT_MAM_103108.pdf	Michael A. Miller, Direct Testimony
KAW_DT_SAM_103108.pdf	Sheila A. Miller, Direct Testimony
KAW_DT_NOR_103108.pdf	Nick O. Rowe, Direct Testimony
KAW_DT_ELS_103108.pdf	Edward L. Spitznagel, Jr., Direct Testimony
KAW_DT_JHV_103108.pdf	James H. Vander Weide, Direct Testimony

For electronic version of this document, see KAW_APP_EX10_103108.pdf