COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

APPOINTMENT OF COMMISSIONER TO FILL AN UNEXPIRED TERM ON THE BOARD OF COMMISSIONERS OF SANDY HOOK WATER DISTRICT

CASE NO. 2013-00149

ORDER

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Having received nominations¹ to fill an unexpired term on the Board of Commissioners of Sandy Hook Water District ("Sandy Hook District") and finding that the Commission should obtain information regarding the qualifications of those persons nominated, the Commission HEREBY ORDERS that:

1. Joe Montgomery and Gary Atkins are made parties to this proceeding.

2. Any party filing documents with the Commission shall serve a copy of those documents upon all other parties.

3. Service of any document or pleading shall be made in accordance with 807 KAR 5:001, Section 4(8).

4. Joe Montgomery and Gary Atkins shall each file with the Commission no later than July 8, 2013, the original and two copies of the information listed in Appendix A. A party's failure to file the requested information in a timely manner shall be considered as notice that the party does not wish to be considered for appointment to Sandy Hook District's Board of Commissioners.

¹ The Commission has received two nominations for the vacant position. Elliott County Fiscal Court has nominated Gary Atkins. Christa J. Hargraves and Rebekah Johnston have nominated Joe Montgomery.

5. Sandy Hook District shall file with the Commission no later than July 8, 2013, the original and two copies of the information listed in Appendix B.

6. a. Responses to requests for information shall be appropriately bound, tabbed and indexed and shall include the name of the witness responsible for responding to the questions related to the information provided, with copies to all parties of record and ten copies to the Commission.

b. Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry.

c. Any party shall make timely amendment to any prior response if it obtains information which indicates that the response was incorrect when made or, though correct when made, is now incorrect in any material respect.

d. For any request to which a party fails or refuses to furnish all or part of the requested information, that party shall provide a written explanation of the specific grounds for its failure to completely and precisely respond.

e. Careful attention should be given to copied material to ensure that it is legible. When the requested information has been previously provided in this proceeding in the requested format, reference may be made to the specific location of that information in responding to this request. When applicable, the requested

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information shall be separately provided for total company operations and jurisdictional operations.

7. Mr. Montgomery's and Mr. Atkins's responses to Appendix A, Item 18 shall be afforded confidential treatment.² The Executive Director shall ensure that this information is redacted from any response to this Order that is made available for public inspection.

By the Commission



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² See Ky. OAG 07-ORD-120 (May 31, 2007); Ky. OAG 04-ORD-010 (Jan. 14, 2004); Ky. OAG 79-275 (Mar. 27, 1979).

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APPENDIX A

APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE COMMISSION IN CASE NO. 2013-00149 DATED JUN 2 1 2013

1. Provide your resume or curriculum vitae.

2. State your date of birth.

3. State your current street address and your current mailing address (if different from your street address).

4. State whether you have ever been convicted of violating any law (other than minor traffic offenses). If yes, list the conviction, the court that adjudged the sentence, and date and place.

5. State whether you reside in Sandy Hook District's territory.

6. State whether you have fought a duel with deadly weapons or sent or accepted a challenge to fight a duel with deadly weapons, acted as second in carrying a challenge, or aided or assisted any person doing so.

7. State whether you are a customer of Sandy Hook District.

8. Describe your educational background.

9. Describe your experience in operating or managing a water utility.

10. Describe your experience in managing or operating organizations similar to a water utility.

11. List all positions that you currently hold with any local, state, or federal governmental entity.

12. a. List all non-governmental organizations and non-profit corporations for which you serve as an officer.

b. For each organization and corporation listed, state whether the organization or corporation transacts business with Sandy Hook District.

13. List all contracts that you have ever entered into with Sandy Hook District to provide goods or services. Provide a copy of each contract.

14. List all business transactions that you have had with Sandy Hook District in a personal or non-official capacity.

15. List all family members that Sandy Hook District currently employs and the position that each family member holds. State the date on which each family member began his or her employment with Sandy Hook District.

16. List all family members that Sandy Hook District has previously employed and the position that each family member held. State the dates on which each family member began and ended his or her employment with Sandy Hook District.

17. List all courses of water district management training that you have attended since January 1, 2001.

18. State your Social Security number.

19. If you have previously served as a member of Sandy Hook District's Board of Commissioners, state:

a. The period in which you served;

b. The number of Sandy Hook District Board of Commissioner meetings during this period that you failed to attend.

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APPENDIX B

APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE COMMISSION IN CASE NO. 2013-00149 DATED JUN 2 1 2013

1. a. Provide a map of Sandy Hook District's territory.

b. Provide the ordinances and resolutions of Elliot County Fiscal Court that establish Sandy Hook District's territorial boundaries.

c. Provide all orders of the County Judge/Executives of Elliott and Morgan Counties that address Sandy Hook District's territorial boundaries.

2. List all contracts that Joe Montgomery and Gary Atkins have entered into with Sandy Hook District to provide goods or services. Provide a copy of each contract and the minutes of the meeting of the Sandy Hook District Board of Commissioners in which the contract was approved.

3. List all business transactions that Joe Montgomery and Gary Atkins have entered into with Sandy Hook District in a personal or non-official capacity.

4. List all family members of Joe Montgomery and Gary Atkins that Sandy Hook District currently employs and the position that each family member holds. State the date on which each family member began his or her employment with Sandy Hook District.

5. List all family members of Joe Montgomery and Gary Atkins that Sandy Hook District has previously employed and the position that each family member held. State the dates on which each family member began and ended his or her employment with Sandy Hook District. 6. State the percentage of the total assets of Sandy Hook District's distribution system that is located in:

- a. Elliott County;
- b. Morgan County.

Kathy Adkins County Magistrate HC 70, Box 817 Sandy Hook, KENTUCKY 41171

Bernal Atkins Sandy Hook Water District P. O. Box 726 Sandy Hook, KY 41171

Gary Atkins 173 N. Ky. 7 Sandy Hook, KENTUCKY 41171

Brian Dillon County Magistrate Rt. 7, Box 395 Olive Hill, KENTUCKY 41164

Carl Fannin Judge Executive Elliott County Judge Executive PO Box 710 Sandy Hook, KENTUCKY 41171

Johnie Lewis, Jr. County Attorney P.O. Box 796 Sandy Hook, KENTUCKY 41171

Joe Montgomery 95 John Street Sandy Hook, KENTUCKY 41171 Angie Porter County Magistrate Rt. #1, Box 1008 Sandy Hook, KENTUCKY 41171

James Salyers County Magistrate Rt. #1, Box 505 Sandy Hook, KENTUCKY 41171

Gary Sparks County Magistrate 9648 KY 486 Sandy Hook, KENTUCKY 41171

Billy Wilson County Magistrate HC 81, Box 820 Sandy Hook, KENTUCKY 41171

Robert Wright County Magistrate HC 75, Box 760 Sandy Hook, KENTUCKY 41171