1	IN THE KENTUCKY PUBLIC SERVICE COMMISSION
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4	IN RE: INVESTIGATION:
5	Case No. 2003-00433
6	AN ADJUSTMENT OF THE GAS AND ELECTRIC RATES, TERMS, AND CONDITIONS OF LOUISVILLE GAS AND
7	ELECTRIC COMPANY
8	and
9	
10	Case No. 2003-00434
11	AN ADJUSTMENT OF THE ELECTRIC RATES, TERMS, AND CONDITIONS OF KENTUCKY UTILITIES COMPANY
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14	
15	SWORN STATEMENT
16	OF
17	DOROTHY O'BRIEN
18	JULY 29, 2005
19	
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1	<u>APPEARANCES</u>
2	SPECIAL GENERAL COUNSEL TO THE PUBLIC SERVICE
3	COMMISSION:
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1 The sworn statement of DOROTHY 2 O'BRIEN, taken in the offices of Goldberg & Simpson, 3 3000 National City Tower, 101 South Fifth Street, 4 Louisville, Kentucky, on Friday, the 29th day of 5 July, 2005, at approximately 9:00 a.m. 6 7 EXAMINATION 8 9 10 BY MR. GOLDBERG: Would you state your name, please. 11 Q. Dorothy O'Brien, O, apostrophe, 12 Α. 13 B-R-I-E-N. All right. And your business address? 14 Ο. Α. 220 West Main, Louisville, Kentucky. 15 16 And your occupation? Q. Attorney. 17 Α. All right. And who are you an 18 Ο. attorney for? 19 Louisville Gas & Electric. 20 Α. As I was told by Mr. McCall, 21 Ο. 22 Louisville Gas & Electric Energy, LLC? LG&E Energy, LLC, would be appropriate 23 Α. for the record, so thank you. 24 How long have you been there? 25 Q.

Twelve years. 1 Α. Okay. And just so I have a sense of 2 Ο. what you do there, could you describe that for me? 3 Official title is deputy general Α. 4 counsel. Overall responsibility, obviously, 5 reporting to McCall for the legal affairs of the б company. Eleven lawyers, four paralegals, 7 administrative staff, who cover a range of legal 8 issues from regulatory to environmental to litigation 9 So a small size firm with types of legal 10 and so on. issues you would expect a company the size of LG&E to 11 12 have. Okay. Do you manage any other persons 13 Q. other than persons in the law department? 14 Α. I do not. 15Okay. Let me give you, by way of 16 Q. example -- I asked Mr. McCall the same question --17 specifically asked him whether or not Mr. George 18 Siemens reported to him and he said that he did. 19 20 Α. Yes. Does Mr. Siemens report to you? 21 Ο. He does not. 22 Α. So your charge, as I understand it, 23 Q. then, is lawyers and resultant administrative 24 25 personnel.

1 Α. That's correct. Fair enough. Tell me what your duties Q. 2 are, if any, as it relates to regulatory activity 3 before the Public Service Commission. 4 The regulatory function from a legal 5 Ά. perspective of the company would report to me. We 6 have in-house lawyers, one at the time, Beth 7 Cocanougher, with that responsibility. With Beth's 8 direct supervision and collaboration, we engage 9 outside counsel to assist us in that endeavor. And 10 in my role I would have whatever involvement is 11 appropriate on discrete matters, but generally would 12 defer to Beth for the hands-on management of 13 particular cases before the PSC. 1415 Q. Okav. Is it fair to say that you 16 would have oversight responsibility as opposed to 17 hands-on responsibility for matters dealing with the Public Service Commission? 18 Generally that would be true. Α. From 19 time to time, I would have direct responsibility. 20 Let me -- let me rephrase that. I would have more 21 hands-on involvement than at other times, depending 22 on the particular matter. 23 Going back to time period January 1st, 24 Q. 2003, through the hearing process in the two rate 25

1	cases, LG&E/KU, which we've focused in at mid June of			
2	2004, are there any other persons, other than			
3	Ms. Cocanougher, who were legal persons who were			
4	doing work dealing with the Public Service Commission			
5	on staff?			
6	A. Yes.			
7	Q. Okay. Who were they?			
8	A. Linda Portasik, P-O-R-T-A-S-I-K, at			
9	that time was our regulatory in-house counsel. Beth			
10	became involved when she returned from a two-year			
11	secondment to Powergen, our English affiliate. I			
12	can't tell you the specific time frame when one			
13	stepped out and another stepped in. But to the best			
14	of my recollection, Beth's involvement was at the			
15	very tail end or post rate case.			
16	Q. Okay. Is it possible that she did not			
17	participate at all up and through a decision from the			
18	Public Service Commission which I believe was			
19	June 15?			
20	A. Yes.			
21	Q. Okay. Fair enough. Now, when did you			
22	first become aware that LG&E and/or KU was going to			
23	file a rate case?			
24	A. I cannot recall a specific date.			
25	Obviously that's an evaluative process that would be			

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one that would be analyzed right up until the time 1 the decision was made, but it would have been some 2 period of time before the actual filing and enough 3 time, obviously, for us to have the opportunity to 4 prepare the appropriate paperwork. But I'm sorry, 5 Jon -б Let me benchmark it for you. 7 Q. Yeah. Α. 8 Your notice of filing -- do you have 9 Ο. an understanding of the term "notice of filing"? 10 Α. I do. 11 -- was in November of 2003. Do you 12Q. 13 have a recollection that you participated in any way in preparing the work on the two rate cases prior to 14 November of 2003? 15 Let me ask you to repeat your question 16 Α. so that I'm responsive. 17 Did you work on any of the -- did you 18 Ο. work on anything related to the rate cases prior to 19 notice of filing November 2003? 20 21 Α. Yes. Okay. Tell me what you worked on that 22 Ο. 23 you can recall. "Worked on" is a broad term. 24 Α. 25 Q. Sure.

And applying it in its broadest sense, 1 Α. I would have had limited involvement in the 2 discussion about strategy that would be employed as 3 we developed our proof in the rate case. I did not 4 work directly on the preparation of the papers. 5 Okay. Did you work with any of the 6 Q. experts on their testimony? 7 Α. Yes. 8 9 Q. Okay. And did you work with outside 10 counsel? 11 Α. Yes. So fair to say you would have attended 12 Q . meetings and engaged in activities like that? 13 Α. Yes. 14The actual filing is December 15Ο. Okay. of 2003. And let me ask you, do you in your duties 16 have occasion to come in contact with staff members 17 of the Public Service Commission? 18 I have had contact with staff members Α. 19 of the Public Service Commission. It is not a 20 regular or routine part of my job responsibilities. 21 Okay. Focusing in on the two rate 22 Ο. cases, and in that time period prior to filing, okay, 23 did you have any contact with any employees of the 24 Public Service Commission, staff employees, about the 25

two rate cases prior to the filing? 1 2 Α. No. Okay. And again, that was filing late 3 Ο. December 2003. To be sure that we're communicating 4 with each other, let me give you some names of some 5 persons. Let's first see if you know these persons. 6 I've represented to you they're all staff people at 7 the Public Service Commission. Do you know Mr. Isaac 8 9 Scott? I know the name. 10 Α. Have you ever met him? 11 Ο. 12 Probably. Α. Okay. Did you talk to him about the 13 Ο. two rate cases prior to filing in December of 2003? 14 Α. No. 15 Mr. Jeff Shaw, do you know him? 16 Ο. Yes. Α. 17 Did you talk to him about the rate 18 Q. cases prior to filing December 2003? 19 20 Α, No. Okay. Do you know a Mr. Faud Sharifi? 21 Q. I don't recall if I've met him. 22 Α. 23 Okay. Do you know whether or not you Q. had conversation with him about the rate cases prior 24to December of 2003, the date of filing? 25

I did not. Α. 1 Do you know Ms. Andrea Edwards? 2 Q. I do not. Α. 3 Okay. It's fair to say you didn't 4 Q. talk to her about the rate cases prior to December of 5 6 2003? That's correct. 7 Α. Now, do you know any of the Public Ο, 8 9 Service Commissioners? 10 Α. Yes. 11 Ο. Okay. Which ones do you know? I have met Mark David Goss, Marty 12 Α. Huelsman, Ellen Williams. Those are the names that I 13 recall now. 14 Okay. Do you recall how you met -- in 150. what context you met Mr. Huelsman? 16 In the rate case proceeding, and 17 Α. perhaps in a prior proceeding I may have come in 18 contact with him. I don't recall, but it would have 19 been in the context of an appearance before the 20 21 Public Service Commission in the rate case. Except for an appearance of record in 22 ο. 23 the rate case, did you have any other contact with 24 Mr. Huelsman? 25 No. Α.

All right. And as a follow-up, with Q. 1 regard to the two rate cases, LG&E and KU. 2 Did I have --З Α. Any contact with Mr. Huelsman with Q. 4 regard to the rate cases, LG&E/KU, and let me refer 5 to them as 00433 and 00434. 6 And is the question other than 7 Α. entering an appearance? 8 9 Q . Yes. 10 Α. No. Okay. Let's leave Mr. Goss and 11 Ο. Ms. Williams over to the side because my question 12 that we've been working through is prior to filing, 13 and they were not on the scene as of late 14 December 2003. With that -- with that context, let 15 me ask you about a couple of other commissioners that 16 were. Do you know or have you ever met Mr. Gary 17 18 Gillis? Not that I recall. Α. 19 Okay. And do you know and have you 20 Q. 21 ever met Commissioner Bob Spurlin? 22 Α. Same response. Okay. Do you know Tom Dorman? 23 Q. 24 Α. Yes. Okay. Have you ever had opportunity 25 Q.

to discuss with Mr. Dorman the two rate cases prior 1 to filing in December of 2003? 2 No. Ά. 3 Okay. And can you tell me the context Q. 4 of how you know Mr. Dorman? 5 Same way that I've met the other 6 Α. Public Service Commission staff. I would have met 7 him either in the building in connection with a 8 formal appearance or may have been introduced to him 9 10 outside the hearing room. Okay. Let's segue to another subject. 11Q . Are you familiar with a company North American 12 Stainless? 13 I am. Α. 14 Are you familiar with a dispute, for 15 0. lack of a better term, between North American 16 Stainless and KU with regard to the amount that North 17 American Stainless was paying for electricity? 18 Broadly speaking, yes. Α. 19 Okay. Who on staff would have been in 20 Ο. charge of that particular dispute, if you can recall? 21 I do not recall. 22 Α. Okay. Do you know whether you 23 Ο. participated in dealing with those issues other than 24 as oversight meeting at a line responsibility for 25

those things? 1 Was the front end of your question did 2 Α. I have such responsibility? 3 Ο. Yes. 4 The answer is no, in terms of direct Α. 5 6 communication. Okay. Who was responsible for either 7 Ο. direct communication or oversight on the legal --8 9 in-house legal team at LG&E? Let me think back in time. From the 10Α. business side, I have a recollection of Martyn 11 Gallus' involvement. And my recollection is that 12 Walter Sales may have played a role in that, but we 13 did not have an in-house lawyer that was directly 14 15involved in that. Let me frame it for you even a little Q. 16 In September of 2003, North American 17 better. Stainless files a complaint with the Public Service 18 Commission with regard to the rates it was paying, 19 and it's my understanding that sometime after that KU 20 21 filed a tariff request with the Public Service Commission. All of that was of record prior to 22 notice of filing in November of 2003. And the reason 23 why it is of interest is -- I'm sure you know this --24 that matter was consolidated with the two rate 25

1 cases --I do. 2 Α. -- and was part and parcel of the 3 Ο. issues presented to the Public Service Commission in 4 the spring of 2004. So what I'm trying to understand 5 is what, if anything, you know about the North 6 American Stainless matters. 7 Enough that I would say that I'm aware Ά. 8 of the dispute, not enough to comment on the 9 10 specifics. Okay. Well, you've got the counsel, 11 Q. 12right? Α. Okay. 13 Okay. Now, between filing, which 14 Ο. would have been, as we've stated, end of 15 December 2003, and the informal conference, which 16 would have been April 28th, 2004, what participation, 17 if any, did you have in the rate cases? 18 I can't recall specific time frames, 19 Α. but as the case developed, became more demanding in 20 terms of time and a need for resources, I became more 21 involved in that time frame in strategy, witness 22 preparation. So I would say in a transition mode, 23 without a specific date, of Linda Portasik's 24 involvement becoming lesser and mine becoming greater 25

1	in that time frame, my level of involvement
2	increased.
3	Q. Okay. Do you have a recollection by
4	way of example of having participated in document
5	requests?
6	A. I did not.
7	Q. Okay. Did you participate in the
8	procuring of testimony by experts?
9	A. Let me amend the answer I just gave
10	you, Jon.
11	Q. Okay.
12	A. There may have been occasions where
13	there were discussions where legal advice was sought
14	on whether a particular group of documents was
15	responsive to a request. I may have been involved in
16	some of those discussions. So with that amendment,
17	could you repeat your next question?
18	Q. Sure. Did you participate in the
19	procuring of expert testimony for the record during
20	that period?
21	A. I had some involvement in preparation
22	of witnesses and in review of testimony.
23	Q. Okay. Anything else you can think
24	that you did during that time period?
25	A. As it relates to experts?

As relates to anything to deal with Q. 1 the preparation of rate cases. 2 Broadly what you would expect, I Α. 3 think, the role of an in-house lawyer to be. Being 4 sure that things were running well, that the clients 5 were well informed about the preparation, that 6 strategic decisions were being made at the right 7 level by the right people, that there was consensus 8 on issues, and that risks were appropriately defined. 9 A broader strategic role rather than discrete 10 individual tasks. 11 I trust the discrete individual tasks, 12 Ο. as you've defined them, were left up to counsel who 13 had been hired by the company? 14 That would be fair. 15Α. Okay. Now, during this period of 16 Q. time, you ended up getting two new commissioners, 17 Mr. Mark David Goss -- and I believe you told me you 18 had met him sometime along the line? 19 Met him during commission proceedings 20 Α. when I entered an appearance in the case. 21 Other than that, have you ever had any 22 Ο. 23 opportunity to meet him and discuss anything with him? 24 I have not. 25 Α.

1	Q. Fair enough. Ms. Ellen Williams?
2	A. The same.
3	Q. Okay. So fair statement to say that
4	between time of filing and the hearing of the case,
5	first day April 28, 2004, you had no contact with
6	Chairman Mark David Goss or Ms. Williams.
7	A. That's correct.
8	Q. Okay. Let me ask you with regard to
9	the staff between the filing, end of December 2003
10	and the informal conference, April 28, 2004, did you
11	have any contact with the staff as we have defined
12	them?
13	A. When we reviewed the staff
14	Q. About the rate cases, I'm sorry.
15	A. Okay. About the rate cases, I did
16	not. Let me amend that to say there may have been at
17	some point a procedural question. I don't recall it
18	now. Best of my recollection, no communication of
19	any kind with any staff member other than when the
20	rate case proceeding began. But I can't eliminate
21	the possibility that there may have been some
22	procedural question that might have been asked. I
23	don't recall it at this point.
24	Q. Okay. Well, let me add the last staff
25	member to the focus. Are you familiar with

Mr. Richard Raff? 1 I am. 2 Α. Do you have recognition of what his Q. 3 role for the PSC was in the rate cases? 4 T did. Α. 5 Okay. And what was that? Q., 6 I think Richard served the role as Α. 7 legal advisor to the commission on the rate case. 8 In fact, he was the lawyer for Ο. Okay. 9 the Public Service Commission on the staff? 10That's my understanding. Α. 11 Okay. Did you have any conversations 12Ο. with Mr. Raff between -- well, at any time about the 13 two rate cases other than in the context of once the 14 hearing started on April 28, 2004. 15 Any conversations with Richard Raff Α. 16 about the rate case anytime before the rate case 17 proceeding began. 18 Yes, ma'am. 19 Ο. Not to my recollection. 20 Α. Okay. Fair enough. Now, I'm 21 Q. representing to you that April 28, 2004 is the date 22 of the informal conference. And let me first ask, do 23 you have a recollection of having been there for the 24 informal conference? 25

I do. Α. 1 Okay. And while this may be hard, do 2 Q. you recall who, other than yourself, was present for 3 LG&E? 4 I do not have a specific recollection, 5 Α. 6 but I can say most probably that Kendrick Riggs was present. And, to be honest in answering the 7 question, I have no specific recollection of anyone 8 9 that was there. Fair enough. But give me your best 10 Q . recollection of the day's events of April 28, 2004, 11 12 if you have a specific recollection. With embarrassment, I'll have to tell 13 Α. 14 you I do not. 15Q. Okay. Prior to going to the informal conference, were you assigned a specific role with 16 regard to the whole hearing procedures? 17 I was not assigned a specific role. Τ 18 Α. think I had a specific role, so that's how I'd 19 respond to your question. 20 All right. What was the specific 21 0. 22 role? 23 Α. It was generally to be sure that things went as perfectly as they could. The role 24 that I think any lawyer in those proceedings would 25

1 have, to be sure that witnesses were well prepared, 2 that -- broadly, to be sure that the company's case 3 was well and ably presented. Okay. Do you have a recollection of 4 Q. 5 participating in any discussions with any of the 6 intervening parties, interveners, on that first date, April 28, 2004? 7 I do not recall. 8 Α. 9 Q . Do you have a general understanding of who the interveners were? 10 11 Α. Broadly, yes. 12 Q. Okay. Give me your best recollection. 13 KIUC, the low income group, NAS, those Α. 14 are the ones that come to mind immediately. 15 Ο. How about the attorney general? 16 Α. Yes. 17 Okay. And Kentucky Department of Q. 18 Energy? 19 I don't recall. Α. 20 Q., Okay. Fort Knox? 21 I don't recall. Α. 22 Fair enough. Okay. Do I take it, Q. 23 then, you don't have a recollection of having 24 participated in negotiations on April 28, 2004 or 25 discussions with the interveners?

I do not recall. Α. 1 2 Q. Okay. Do you have a recollection of, on April the 29th, participating in any discussions 3 4 with the interveners? I don't recall. 5 Α. б Q. Okay. I know you did not participate 7 in a meeting at the attorney general's office on April the 30th. Is that correct? 8 9 Α. That's correct. Were you aware of such a meeting? 10 0. 11 As I sit here today, I don't recall. Α. 12 Fair enough. Next week, the hearings Q . 13 actually begin, May 4th, 5th and 6th. Can you tell 14 me what your role was with regard to those hearings? 15 The same, to be certain that the Α. 16 company's case was well and ably presented. 17 Were you there through some of the Q . 18 evenings for discussions with the interveners? 19 Ά. Let me ask for a clarification of the 20 question. 21 Ο. Sure. 22 Was this at a time that the parties Α. 23 were broadly engaged in settlement discussions? 24 Q. Yes. 25 Α. Yes.

Okay. As I understood it, on several 0. 1 of these days there was testimony taken, and then 2 discussions would ensue. And that was sort of a 3 Is that a fair statement? reoccurring pattern. 4 Α. Yes. 5 Okay. Do you have a recollection of Ο. 6 the date in particular when the LG&E staff thought 7 the matter had been resolved? When I say "the 8 matter," the issues related to the rate case early in 9 the morning? Do you have a recollection of that? 10 I have a recollection that we reached Α. 11 agreement with all of the parties on the significant 12 issues in the rate case, or believed we had done so. 13 I can't recall if that was an evening or a morning 14 where we reached that conclusion. But broadly 15 speaking, I do have a recollection that that was our 16 17 belief. All right. Do you have a recollection 18 Q. that subsequently you learned that there was not 19 agreement? 20 I do. Α. 21 Okay. Tell me what you recall of that 22 Ο. state of events. 23 My recollection is that -- and 24 Α. obviously times are not specific, but that late on a 25

night -- late in the week -- and I want to say 1 Thursday night, but I can't recall that with 2 specificity -- we reached agreement. We left and 3 communicated that within the client group at LG&E. Δ The following morning I recall -- I think it was 5 Dennis Howard, but I may be incorrect on that --6 preceding the attorney general -- representing that 7 the attorney general, in fact, did not agree with the 8 settlement that had been reached. I can't recall 9 specifically how that was communicated. I can recall 10 being very surprised by that. That's the best of my 11 recollection. 12 Do you have a recollection that 13 Ο. Okav. after the team learned that the attorney general was 14 not in agreement what transpired after that? 15I have a recollection that at some Ά. 16 point -- well, I have a number of recollections --17 Okay. 18 Q. -- to be directly responsive to your Α. 19 There was -- there were statements taken question. 20 on the record by the Public Service Commission. Ι 21 can't recall if it was that morning in particular. 22 Allegations of ex parte communications were broadly 23 being articulated by the attorney general's office. 24 I can't recall anyone specifically at this moment. Ι 25

1 cannot remember when Attorney General Stumbo made his 2 formal announcement in front of the media, but it was shortly after that that the Public Service 3 Commission, with our support and complete 4 5 endorsement -- not that it was a prerequisite to б it -- went on the record and asked each of the parties to the negotiations to affirmatively state on 7 the record whether they were aware of any ex parte 8 9 communications or collusive behavior, to which each 10 responded emphatically there were not. I have that general recollection. 11 12 Okay. Do you recall in terms of time Ο. 13 frame whether that was on the last full day that 14 testimony was taken or not? 15 Α. I recall those proceedings generally, 16 and I cannot recall if testimony was taken after 17 those -- those statements were made on the record. 18 ο. Fair enough. Do you recall coming back to the Public Service Commission the next week 19 20 for further proceedings? 21 Ά. Yes. 22 With regard to the testimony Ο. 23 submitted, did you develop an understanding that 24 ultimately there was agreement by all the parties on 25 all the issues, except for the attorney general on

the particular issue of revenue requirements for 1 electricity? 2 Α. Yes. 3 Okay. And do I take it that the Ο. 4 record that was finally submitted to the Public 5 Service Commission was a stipulated agreement, except 6 for the attorney general? 7 That's correct. Α. 8 Okay. And do you subsequently recall Ο. 9 in June of 2004 the ruling of the Public Service 10 Commission? 11 Α. Broadly, yes. 12 Okay. And did they endorse the 13 Ο" agreement, to the best of your recollection? 14 Α. They did. 15 Okay. Fair enough. Now, with regard 16 Ο. to you, from the time the hearings began until the 17 Public Service Commission issued its ruling -- and 18 I'm representing that's in June -- mid June of 19 2004 -- did you have any contact or communication 20 with the staff, as we've defined it, of the Public 21 Service Commission about the two rate cases? 22 Other than in the context of a formal Α. 23 filing or any proceeding? 24 Yes. 25 Ο.

I did not. Α. 1 Okay. And let me ask you the same 2 Ο. question with regard to the members of the Public 3 Service Commission. Any communication or contact Δ with them other than in the course of the proceeding? 5 I did not. Α. 6 Okay. Finally, in case it was 7 0. unclear, did you have any contact with Mr. Dorman, 8 Tom Dorman, during that same period of time about the 9 10 rate cases? In the same context? Α. 11 Yes. Q. 12 Other than an appearance or as part of 13 Α. 14 the formal procedure, no. Okay. Do you know who Ms. Beth 15 Q. 16O'Donnell is? Α. Yes. 17 Okay. Have you met her? Ο. 18 I believe that I have. 19 Α. Okay. I'm representing to you she is 20 Q. the executive director now and took over the job of 21 Mr. Dorman and would have been there for part of this 22 period of time. Do you recall any conversations with 23 her about the rate cases from April 28, 2004, 24 informal conference, to decision, June 15, 2004? 25

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I had no such communication. 1 Α. Are you familiar with the legal 2 Q. Okay. case of Louisville Gas & Electric versus Cowan? 3 Α. Generally. 4 Okay. Are you familiar with the 5 Ο. 6 admonitions as it relates to ex parte communications 7 and, guote, inappropriate behavior? I am aware of our ethical legal 8 Α. 9 obligation regarding communications with the Public 10 Service Commission regarding matters before them, 11 ves. 12 Okay. And I asked this question of Q . 13 Mr. McCall yesterday, and he gave us his vision of that case and its teachings and how it is 14 15 incorporated at Louisville Gas & Electric. Do you 16 have a recollection of that being the case also? 17 I can tell you broadly that having a Α. 18 conversation with a member of the Public Service Commission staff, Public Service Commission, about an 19 open matter while that case is pending, that goes to 20 21 the merits of the case, would be inconsistent with 22 what LG&E employees, KU employees, all of our 23 employees are -- without getting into the issue of 24 attorney advice, broadly that is a policy that is 25 followed and is expected to be followed by every

employee of the company. 1 Okay. Fair enough. Let me ask you Q . 2 about another issue that may or may not have been 3 pending for discussion purposes before the Public 4 Service Commission at the same time. Are you 5 familiar with an issue relating to transmission lines 6 over the Gene Snyder Freeway? 7 Yes. Α. 8 Tell me what you recall about that Ο. 9 particular issue. 10It's a broad issue. It's an issue Α. 11 that -- to be precise, there's a matter pending in --12 well, actually there's a decision that's on appeal 1.3from the Jefferson Circuit Court where we've sought 14 discretionary review by the Supreme Court. Is that 15 the matter that you're referring to? 16 Yes, ma'am. 17 Ο. It is a case that is currently in 18 Α. litigation on appeal. 19 With regard to where it was Q. 20 procedurally in the year 2004, do you have a 21 recollection that issues related to transmission 22 lines on the Gene Snyder were under discussion with 23 not only developers, but with the Public Service 24 Commission in the summer of 2004? 25

I don't recall whether they were under Α, 1 discussion at that time. 2 Specifically, do you recall attending Q. 3 any meetings in April and May 2004 at the Public 4 Service Commission with Public Service Commission 5 personnel, LG&E personnel and developers? 6 I did not attend those meetings. 7 Α. MR. GOLDBERG: Fair enough. That's 8 9 all I have, ma'am. THE WITNESS: Good. 10 MR. GOLDBERG: Thank you. 11 THE WITNESS: Thank you. 12 13 (STATEMENT CONCLUDED AT 9:40 A.M.) 14 15 1.617 18 19 20 21 22 23 24 25

1 STATE OF KENTUCKY) () (SS: 2 COUNTY OF JEFFERSON) (3 I, ELLEN L. COULTER, Notary Public, 4 State of Kentucky at Large, hereby certify that the 5 foregoing sworn statement was taken at the time and place stated in the caption; that the appearances 6 were as set forth in the caption; that prior to giving testimony the witness was first duly sworn by 7 me; that said testimony was taken down by me in stenographic notes and thereafter reduced under my 8 supervision to the foregoing typewritten pages and that said typewritten transcript is a true, accurate 9 and complete record of my stenographic notes so taken. 10 I further certify that I am not related by blood or marriage to any of the parties 11 hereto and that I have no interest in the outcome of captioned case. My commission as Notary Public expires 12 November 5, 2007. Given under my hand this the 13 14 2005, at Louisville, dav of 15 Kentucky. 16 17 18 ELLEN L. COULTER NOTARY PUBLIC 19 20 21 22 23 24 25

I, the undersigned, DOROTHY O'BRIEN, do hereby certify that I have read the foregoing sworn statement, and that, to the best of my knowledge, said sworn statement is true and accurate, with the exception of the corrections, if any, listed on the errata sheet. DOROTHY O'BRIEN Subscribed and sworn to before me this and day of Chart 2005. NOTARY My commission expires Dec. 24, 2007

COULTER REPORTING, LLC 101 EAST KENTUCKY STREET, SUITE 200 LOUISVILLE, KY 40203

ERRATA SHEET

NAME Dorothy O'Brien DATE OF DEPOSITION July 29, 2005

After having read my deposition, I wish to make the following changes: None

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	ask [8] 7/16 8/16 11/16 17/8 18/23 21/19	10/21 10/23 14/20 16/21 17/4 17/20 18/8
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[40]	asked [5] 4/17 4/18 17/22 24/6 27/12	27/3 27/14 27/16 27/20 27/21 28/18 30/11
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