

DOC11R

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 01, 2026***2020-00228**

RECEIVED: 07/20/20

FILED: 07/20/20

FINAL: 09/28/20

REOPENED:

SUSPENSION DATE:

CASE CODE

Investigation - Rates, Service

UTILITIES:

Southern Water & Sewer District

Prestonsburg City Utilities Commission

HEARINGS:

09/01/20

Formal Hearing

INDEX OF EVENTS:

10/26/20 POST CASE: STEVEN BAILEY southern water and sewer district Response to final order

10/05/20 POST CASE: Kipley J. McNally Prestonsburg City Utilities Commission Notice of Compliance. Letter

09/28/20 Final Order Entered: 1. The proposed amended transfer of ownership and control of Southern District Water Service assets as set forth in the First Amended Asset Purchase Agreement and Second Amended Asset Purchase Agreement is approved. 2. The proposed Financial Management Services Agreement and Amended Financial Management Services Agreement is approved. 3. Within ten days of the transfer of the Stanville/Betsy Layne/Harold Area assets, Southern District and PCUC shall notify the Commission in writing of the transfer's occurrence. 4. Any material revision to the proposed transaction must be approved by the Commission in order for the amendment to be effective. 5. Any document filed pursuant to ordering paragraphs 3 and 4 shall reference this case number and shall be retained in the post-case correspondence file. 6. This case is closed and removed from the Commission's docket.

09/16/20 Kipley J. McNally Prestonsburg City Utilities Commission Reply to Southern's Response

09/16/20 steven bailey southern water and sewer district Response to 9/2/20 Order

09/14/20 Notice of Filing Hearing Documents

09/11/20 Kipley J. McNally Prestonsburg City Utilities Commission Response Amended Agreements for filing

09/02/20 Commission Staff's Post-Hearing Request for Information to Southern Water and Sewer District and Prestonsburg City Utilities Commission

08/26/20 steven bailey southern water and sewer district Corrected Notice of September 1, 2020 PSC Hearing

08/26/20 steven bailey southern water and sewer district Notice of filing of RD Letter and Spreadsheet

08/25/20 Steven Bailey Southern Water Response to Order in 2020-228 dated August 17, 2020.

08/18/20 Order Entered: Grondall Potter, on behalf of Utility Management Group, shall appear in person on Tuesday, September 1, 2020, at 9 a.m. Eastern Daylight Time, in the Richard Raff Hearing Room (Hearing Room 1) at the offices of the Public Service Commission at 211 Sower Boulevard, Frankfort, Kentucky, to provide evidence regarding the transfer of assets from Southern Water and Sewer District to Prestonsburg City Utilities Commission.

08/17/20 Order Entered: 1. A hearing in this matter shall be held on Tuesday, September 1, 2020, at 9 a.m. Eastern Daylight Time, in the Richard Raff Hearing Room (Hearing Room 1) at the offices of the Public Service Commission at 211 Sower Boulevard, Frankfort, Kentucky, to take evidence regarding the transfer of assets from Southern Water and Sewer District (Southern District) to Prestonsburg City Utilities Commission (PCUC). 2. Southern District Board Chairman Jeff Prater shall appear in person at the September 1, 2020 hearing to provide testimony on behalf of Southern District. 3. PCUC Superintendent Brian Music shall appear in person at the September 1, 2020 hearing to provide testimony on behalf of PCUC. 4. Additional witnesses may be identified in a future Order to provide testimony regarding the transfer of assets. 5. Commission regulation 807 KAR 5:001, Section 22, provides that, upon showing of good cause, the Commission may permit deviations from its administrative regulations. The expedited nature of this hearing to take evidence on the issues of the asset transfer is good cause to deviate from the publication frequency requirements of 807 KAR 5:001, Section 9(2)(b). The Commission finds that Southern District should make a good faith effort to give notice of the hearing to the public, including publication by means of posting on a website or social media account.

08/13/20 Kipley J. McNally Prestonsburg City Utilities Commission First Supplemental Response

DOC11R

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 01, 2026*

08/10/20 steven bailey southern water and sewer district Response to PSCs Order dated July 20, 2020.
08/03/20 steven bailey southern water and sewer district Response to ordering paragraph 8 in july 20,2020
order in 2020-228

07/30/20 Kipley J. McNally Prestonsburg Citys Utilities Commisision Response
07/22/20 Kipley J. McNally Prestonsburg Citys Utilities Commission Electronic Certification
07/20/20 Order Entered: 1. This proceeding is established to investigate the status of the transfer of certain
wastewater and water system assets from Southern District to PCUC. 2. The records of Case Nos.
2017-00044, 2018-00230, and 2019-00131 are incorporated by reference into this proceeding. 3.
Within 20 days of the date of entry of this Order, Southern District and PCUC shall jointly file with the
Commission a copy of the most recent appraisal. 4. Within 20 days of the date of entry of this Order,
Southern District shall file a response to the Order, setting forth the status of the transfer, the status of
RD approval of the transaction, the status of sewer revenue due to PCUC by Southern District, and
any changes of fact that may impact the transfer. 5. Within 20 days of the date of entry of this Order,
PCUC shall file a response to the
Order, setting forth the status of the transfer, the status of RD approval of the transaction, the
status of sewer revenue due to PCUC by Southern District, and any changes of fact that may
impact the transfer. 6. Southern District and PCUC shall be represented by their own legal
counsel, and shall not be represented by the same legal counsel.

Total Number of Cases: 1