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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 10, 2026***2014-00385**

RECEIVED: 11/03/14

FILED: 11/20/14

FINAL: 05/15/15

REOPENED:

SUSPENSION DATE: 05/19/15

CASE CODE

Alternative Rate Filing Adjustment

UTILITIES:

Eastern Rockcastle Water Association, Inc.

HEARINGS:

03/19/15 Informal Conference

INDEX OF EVENTS:

05/15/15 Final Order Entered: 1. The water service rates requested by ERWA are denied. 2. The water service rates and nonrecurring charges requested by Mr. Mason are denied. 3. The water service rates and nonrecurring charges set forth in Appendix A to this Order are approved for services rendered by ERWA on and after the date of this Order. 4. Within 20 days of the date of this Order, ERWA shall file revised tariff sheets with the Commission, using the Commission's electronic Tariff Filing System, containing the rates set forth in the Appendix A to this Order. 5. In all future reporting periods, ERWA shall use the "PSC Approved Service Life" set forth in Appendix B to this Order for the listed asset groups when calculating and reporting depreciation for all reporting periods after the date of this Order. No retroactive adjustment to accumulated depreciation or retained earnings to account for this change in accounting estimate shall be made. 6. Within 30 days from entry of this Order, ERWA shall amend its tariff to indicate the terms and conditions for the Meter Read Fee, including which customers are required to read their own water meter and the criteria used to determine this requirement.

05/01/15 Order Entered: Mr. Mason's motion for a hearing is denied. This case is now submitted for a decision based upon the existing record.

04/03/15 Gary Mason Brief

03/30/15 Eastern Rockcastle Water Assoc. Response to Gary Mason Information Request

03/26/15 Gary Mason Information Request to Eastern Rockcastle Water Assoc.

03/25/15 Order Entered: 1. Gary Mason shall file a statement no later than April 3, 2015, stating whether he requests that a hearing be held or whether he waives all rights to a hearing in this matter. 2. Any request for a hearing must set forth the reasons why a hearing is necessary and shall include a list of factual issues that are in dispute and identify specific objections to the recommendations set forth in the Staff Report. 3. If Mr. Mason fails to file his statement either requesting a hearing or waiving his rights to a hearing on or before April 3, 2015, this case will stand submitted and a decision will be issued based on the record.

03/23/15 Memorandum dated 3/23/2015 for Informal Conference of 3/19/2015; Comments, if any, due within five days of receipt

03/13/15 COMMISSION STAFF'S NOTICE OF INFORMAL CONFERENCE

03/11/15 Eastern Rockcastle County Water District Response and Waiver of IC

03/10/15 Gary Mason Response to Staff Report

03/09/15 Eastern Rockcastle Water Assoc. Response to Gary Mason

03/03/15 Gary Mason Request to Eastern Rockcastle Water Association

03/02/15 Gary Mason Request to Eastern Rockcastle Water Association

02/26/15 Eastern Rockcastle Water Association Response to Gary Mason

02/25/15 Notice of Filing Commission Staff Report

02/23/15 Eastern Rockcastle Water Association Response to Gary Mason Open Records Request

02/12/15 Gary Mason Information Request for Eastern Rockcastle Water Association

02/12/15 Gary Mason Response

02/10/15 Eastern Rockcastle Water Assoc. Open Records Response to Gary Mason

02/04/15 Gary Mason Requests to Eastern Rockcastle Water Association

01/26/15 Order Entered: 1. Mr. Mason's motion to hold this matter in abeyance is denied. 2. Should a party of record serve documents of any kind upon another party of record, the party of record shall also file a copy of said documents with the Commission.

01/26/15 Eastern Rockcastle Water Association Response to Gary Mason's Open Records Request

01/20/15 Eastern Rockcastle Water Association Response to Gary Mason

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01/15/15 Eastern Rockcastle Water Assoc. Explanation of Fee Justification
01/08/15 Intervener, Gary Mason, Motion to Hold Case
01/07/15 PSC letter to Gary Mason
12/15/14 Eastern Rockcastle affidavit of publication revised notice
12/09/14 Order Entered: (1) No later than February 26, 2015, Commission Staff shall file with the Commission and serve upon all parties of record a written report containing its findings and recommendations regarding ERWA's requested rate adjustment. (2) No later than March 12, 2015, or 14 days after the date of the filing of the Commission Staff Report, whichever occurs earlier, each party of record shall file with the Commission: a. Its written comments on and any objections to the findings and recommendations contained in the Commission Staff Report; b. Any additional evidence for the Commission to consider; and c. Written notice as to whether this matter may be submitted for decision based upon the existing record without hearing. (3) If Commission Staff finds that ERWA's financial condition supports a higher rate than ERWA proposes or the assessment of an additional rate or charge not proposed in ERWA's application, ERWA, in its response to the Commission Staff Report, shall state in writing its position on whether the Commission should authorize the assessment of the higher rate or the recommended additional rate or charge. (4) Commission Staff recommends changes in the manner in which ERWA accounts for the depreciation of ERWA'S assets, ERWA, in its response to the Commission Staff Report, shall state its position in writing on whether the Commission should require ERWA to implement the proposed change for accounting purposes.

12/08/14 Letter of concern from Michael and Mary Mason
12/05/14 Order Entered: (1)The motion of Mr. Mason to intervene is granted. (2) Mr. Mason shall be entitled to the full rights of a party and shall be served with the Commission's Orders and with filed testimony, exhibits, pleadings, correspondence, and all other documents submitted by parties after the date of this Order. (3) Should Mr. Mason file documents of any kind with the Commission in the course of these proceedings, Mr. Mason shall also serve a copy of said documents on all other parties of record. (4) Within 15 days of entry of this Order, Mr. Mason shall provide his electronic mail address so documents issued by the Commission may be served upon him in accordance with 807 KAR 5:001, Section 4(8)(b).

11/26/14 Letter of concern from Patricia Lewis
11/25/14 Deficiency cured letter
11/25/14 Order Entered: (1) Eastern Rockcastle Association's application to revise the meter read fee is suspended for five months, up to and including May 20, 2015, and shall be investigated and considered as part of Eastern Rockcastle Association's Alternative Rate Filing application to increase its water service rates. (2) A copy of all documents filed by Eastern Rockcastle Association to revise its meter read fee shall be filed in the record with Eastern Rockcastle Association's Alternative Rate Filing application to increase its water service rates in Case No. 2014- 00385. (3) Pursuant to 807 KAR 5:076, Section 7, Eastern Rockcastle Association shall not place its proposed water service rates into effect until the Commission issues an order approving those rates or until six months from the date of filing its application, whichever occurs first.

11/25/14 Letter of concern of Mary Johnson
11/25/14 Eastern Rockcastle Water Association tariff filing revising certain nonrecurring charges received October 1, 2014

11/25/14 Letter of concern from Joshua and Stephanie Gilbert
11/20/14 Eastern Rockcastle Water Assoc response to filing def.
11/17/14 Motion to intervene of Gary Mason
11/14/14 Order Entered: The federal tax identification numbers and financial account numbers shall be afforded confidential treatment.
2. The Executive Director shall redact from the public records the federal tax identification numbers and financial account numbers and shall substitute a copy in which the federal tax identification number and financial account number have been redacted.

11/13/14 Deficiency letter, information due 11/23
11/03/14 Acknowledge Receipt of Filing
11/03/14 ALTERNATIVE RATE FILING OF EASTERN ROCKCASTLE WATER ASSOCIATION, INC.

Total Number of Cases: 1