COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

STEVEN MEREDITH

ALLEGED VIOLATION OF UNDERGROUND FACILITY DAMAGE PREVENTION ACT CASE NO. 2021-00438

OPENING ORDER

Steven Meredith has been named as a party to an investigation before the Kentucky Public Service Commission (Commission) for allegedly violating the Kentucky Underground Facility Damage Prevention Act (Act).¹

Pursuant to KRS 278.495(2), the Commission has jurisdiction to regulate the safety of natural gas facilities in Kentucky and to enforce minimum federal pipeline safety standards. Under the Act, the Commission has authority to investigate and assess civil penalties for any violation of the Act that results in excavation damage to an underground facility used to transport natural gas or hazardous liquid subject to federal pipeline safety laws, 49 U.S.C. § 60101, *et seq.* Any person who violates any provision of the Act is subject to assessment of a civil penalty in the amount of \$250 for the first offense, no more than \$1,000 for the second offense within one year, and no more than \$3,000 for the third and any subsequent offense. Additionally, any person whose violation of the Act results in damage to an underground facility containing any flammable, toxic, corrosive,

¹ KRS 367.4901 through KRS 367.4917.

or hazardous material or results in the release of any flammable, toxic, corrosive, or hazardous material is subject to an additional penalty of up to \$1,000.

Following receipt of a report of excavation damage to its underground gas pipeline from an operator, Commission Staff (Staff) conducted an investigation and prepared an Incident Report, a copy of which is attached to this Order as an Appendix. In its Incident Report, Staff cites Mr. Meredith for violation of the following provision of the Act:

> KRS 367.4911(1)(a) – Each excavator, or person responsible for an excavation, planning excavation or demolition work shall, not less than two (2) full working days nor more than ten (10) full working days prior to commencing work, notify each affected operator of the excavator's intended work and work schedule. Contacting the applicable protection notification centers shall satisfy this requirement.

Based on its review of the Incident Report and being otherwise sufficiently advised,

the Commission finds prima facie evidence that Mr. Meredith violated the Underground

Facility Damage Prevention Act. The Commission further finds that a formal investigation

into the excavation damage incident should be conducted.

IT IS THEREFORE ORDERED that:

1. Mr. Meredith shall submit to the Commission, via email sent to <u>PSCED@ky.gov</u> with the case number of this proceeding in the subject line of the message, a written response to the allegations contained in the Staff Incident Report within 20 days of receipt of this Order.

2. Any partnership, corporation, or business entity (including a limited liability company) must be represented by an attorney licensed to practice law in Kentucky, and such representation includes the filing of papers with and appearances at hearings before the Commission.

-2-

3. An individual may submit a response to the allegations contained in the Staff Incident Report without the representation of an attorney.

4. The Staff Incident Report 43086 in the Appendix to this Order is made a part of the record in this case.

5. Please note that notice of a hearing to be held in this matter will be mailed to Mr. Meredith once proof of service is received by the Commission. Parties who fail to appear after service will be subject to entry of a default judgment against them.

[REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]

By the Commission

Commissioner Marianne Butler did not participate in the deliberations or decision concerning this case.



ATTEST:

Briduell

Executive Director

Case No. 2021-00438

APPENDIX

APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE COMMISSION IN CASE NO. 2021-00438 DATED FEB 22 2021

TWENTY-FOUR PAGES TO FOLLOW

PIPELINE DAMAGE INVESTIGATION REPORT

Investigation Information					
Investigator	Eric Tout	Incident ID	43086	Investigation 7921	
Incident Date	9/18/2020 11:27:00 AM	Report Submitt	ted	10/9/2020	
	Operator Inf	ormation			
	Louisville Gas and Electric				
Operator	Company (LG&E)	Reported By		Mike Jones	
Phone	(502) 364-8281	Email		Mike.Jones@lge-KU.com	
Incident Location	4139 Wheeler Ave Louisville KY 40215	Locate Ticket N	umber	NA	
County	Jefferson				
Excavator Information					
Excavator	Steven Meredith	Organization ID)		
Phone	859-342-0672 / 502-644-32 Not willing to give information-	Email			
Address	mother lives at damage address Not willing to give information- mother lives at d Not willing to giv information- mother lives at d 0	Locate Ticket N	lumber	NA	

Damage Report (DR) Summary

Gas Or HazardousLiquids		Type Of Equipment Used	Cost of	Amount Billed	
Contained	Released		Damaye	Dilleu	
Yes	Yes	Mechanized/ Teeth marks and pulled gas service	\$183.00	\$0.00	
The Operator reports that the Excavator/Homeowner Sharon Green's son, Steven Meredith, damaged a					
	Hazardou Contained Yes	HazardousLiquidsContainedReleasedYesYes	HazardousLiquids Type Of Equipment Used Contained Released Yes Yes Mechanized/ Teeth marks and pulled gas service	HazardousLiquidsType Of Equipment UsedCost of DamageContainedReleasedMechanized/ Teeth marks and pulled gas service\$183.00	

one-inch gas service with mechanized equipment and failed to contact the KY Contact Center (811). The Operator indicates deception occurred when it was conducting its investigation. Mr. Meredith only identified himself after discovering his mother would be held liable for the damage, he refused to provide his address and he claimed that the damage was caused by a shovel. The Operator reports teeth marks in the pit walls and the service being pulled apart indicates mechanized equipment. **The DR is included as Attachment 1**.

INVESTIGATION

On 08/24/21 a search of the Jefferson Co. PVA Office's web site revealed Steven Meredith is the owner of 4139 Wheeler Ave.

A Copy of the PVA web site is included as Attachment 2.

On 08/24/21 an attempt to contact the Excavator at 859-342-0672 resulted in a message stating the number is not a working number.

On 08/24/21, via email, the Operator, LG&E's Mike Jones, stated that the Excavator was not billed for this damage, he provided Ms. Green's number, 502-644-3207, and the following documents:

Facts-Based Investigation: Which has been outlined above (See Attachment 1).

<u>Three Photographs:</u> Depicting the pulled gas service and mechanized equipment teeth marks on the pit walls.

PIPELINE DAMAGE INVESTIGATION REPORT

Mr. Jones's email, LG&E's investigation and photographs are included as attachment 3.

On 08/25/21 an attempt to contact Ms. Green at 502-644-3207 resulted in a message stating your call did not go through, therefore a Letter to Contact was sent to Mr. Meredith c/o Ms. Green to the incident location address.

The Letter to Contact is included as Attachment 4.

On 08/26/21, 811, Carly Dunsmore, searched from 03/01/20 thru 10/31/20 and was unable to find Locate Tickets (LT) submitted by Steven Meredith or Sharon Green for address 4139 Wheeler Ave. **Ms. Dunsmore's email is included as Attachment 5.**

On 09/11/21 the Letter to Contact Certified Hard Copy was signed by Sharon Green indicating delivery (See Attachment 4). As of today's date no response has been received, therefore a Demand Letter imposing the penalty of \$100.00 and requiring the attendance to the Damage Prevention Course will be mailed.

VIOLATIONS

KRS 367.4911 (1)(a) Each excavator, or person responsible for an excavation, planning excavation or demolition work shall, not less than two (2) full working days nor more than ten (10) full working days prior to commencing work, notify each affected operator of the excavator's intended work and work schedule. Contacting the applicable protection notification centers shall satisfy this requirement.

(Including this incident)					
Excavator	Last Offence Incident #	Last Offence Incident Date	Revert to 1 st Offence Date (Last Offence Date +365 Days)		
1 st	N/A	N/A	N/A		

of Offences

Attachment 1

Print (PDFForm.aspx?Rpt=GasInvestigation&IG=43086)

Gas Excavation Damage Report

Louisville Gas and Electric Company

Submitted 10/9/2020 Incident ID: 43086

Set back to Edit Staff Edit

Reported By: Name: Mike Jones Phone: (502) 364-8281 Email: Mike.Jones@lge-KU.com Gas Line Incident Occured: Date: 9/18/2020 Time: 11:27 AM Details: Reported Date:

10/9/2020 9:55 AM

Incident Location Address:

Location:		
Address:	4139 Wheeler Ave	
City:	Louisville	
State:	КҮ	
County:	Jefferson	
Zip:	40215	
Confirm Code:	Υ	
Number of Customers ou	t : 1	
Was gas or hazardous liquids contained in the underground facility?		
Was gas or hazardous liquids released into the atmosphere?		* 3/2020
Locate Request Ticket No	D.: NA	
Excavator:	Home Owner	

Excavator Address:

First Name:	Steven
Last Name:	Meredith
Phone:	859-342-0672 / 502-644-32
Email:	
Address:	Not willing to give information- mother lives at damage address
City:	Not willing to give information- mother lives at d

State	e:	Not willing to give information- mother lives at d
Zip:		0
Con	firm Code:	
Excava	ation Damage:	No notification made to the One Call Center
Description Summary Information	of Incident ?Exc	cavator damaged gas line without locate request.
10/9/2020		
Full details cause	fenc serv off a the p equi nam s such as the know prop they need dam were said dam	neowners adult son, Steven Meredith, was removing an old chain-link the from the front of the property when he damaged the unmarked 1" gas price. He told LG&E trouble tech, Jamarr Swain, that the excavators took after they hit the service with a shovel. Tooth marks in the pit walls and plastic service being pulled apart told us a different story. No sign of any ipment on-site. Mr. Meredith left for a short time. I was able to get his the from his mother and homeowner, Sharon Green. She said she didn't w his cell phone number!?! Upon the return of two men in the rear of the berty, I approached and asked to speak with Steven Meredith. He said / were not there. When he asked why I wanted to know, I replied that I ded the excavator information for my report. He said they left. "They haged the service and took off". He also said he didn't know who they e. I said, "you hired a contractor and don't even know who they were?" I I without their info, the property owner would be responsible for hages. That's when he decided to be honest with me and admitted he Steven and he was the excavator.
Cost of the	e damage \$183 en to prevent _{Gav} nce	e a Safe Digging Brochure and explained the Dig Law.

Attachment 2

4139 WHEELER AVE

Owner Parcel ID Assessed Value Acres Neighborhood MEREDITH STEVEN 066E01100000 36,000 0.0985 502106 / HAZELWOOD

to get more detailed property information.

Property is assessed per KRS 132.20 on January 1st of each year. The current year assessments are updated and posted on the website in mid April. Information deemed reliable but not guaranteed. Data last updated: 08/24/2021.

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Built by VIA Studio

Attachment 3

Tout, Eric V (PSC)

From:Jones, Mike (Auburndale) < Mike.Jones@lge-ku.com>Sent:Tuesday, August 24, 2021 12:19 PMTo:Tout, Eric V (PSC)Subject:RE: 43086, 9/18/2020, 4139 Wheeler Ave., Louisville, Steven Meredith

Eric,

Either because this was a homeowner or because the information wasn't clear, we did not bill the excavator.

From: Jones, Mike (Auburndale)
Sent: Tuesday, August 24, 2021 11:03 AM
To: Tout, Eric V (PSC) <ericv.tout@ky.gov>
Subject: RE: 43086, 9/18/2020, 4139 Wheeler Ave., Louisville, Steven Meredith

Eric,

The other number we have is 502-644-3207. I will send the payment information along when I have it.

From: Tout, Eric V (PSC) <<u>ericv.tout@ky.gov</u>>
Sent: Tuesday, August 24, 2021 7:06 AM
To: Jones, Mike (Auburndale) <<u>Mike.Jones@lge-ku.com</u>>
Subject: 43086, 9/18/2020, 4139 Wheeler Ave., Louisville, Steven Meredith

EXTERNAL email. STOP and THINK before responding, clicking on links, or opening attachments.

Hello Mile,

Will need billing and payment info, report, and photos of the teeth marks and pulled pipe.

Thanks, Eric V. Tout Kentucky Public Services Commission Division of Inspections / Damage Prevention 211 Sower Blvd., Frankfort, KY 40601 Office: 502-782-2622 Fax: 502-564-1582

------ The information contained in this transmission is intended only for the person or entity to which it is directly addressed or copied. It may contain material of confidential and/or private nature. Any review, retransmission, dissemination or other use of, or taking of any action in reliance upon, this information by persons or entities other than the intended recipient is not allowed. If you received this message and the information contained therein by error, please contact the sender and delete the material from your/any storage medium.



Facts-Based Investigation

Internal Claim ID:	INC-20200918-002		Customer Claim ID:	
Site Investigator:	Tracy Reesor		Notified At:	09/18/20 12:35:00
Notified By:	Gas Operations	s Dispatch 50258	91444	
Damage Location:	4139 Wheeler	Ave Louisville KY	40215	
Incident Time:	09/18/20 11:30	:00		
Original Locate Rec	uest: None fo	ound	Assigned Locator:	
Locate Request Due	e:		Locate Request Compl	eted:
Photos Taken Befor	re Damage? <u>N</u>			
Photos Taken of Da	mage Site? Y			
Type and Size of Da	amaged Utility:	Natural Gas Ser	vice/Drop 0.5	
Depth:		<u>18" to < 36" (0.5</u>	i to < 1m)	
Description and Extent of Damage: Homeowners at link fence from t gas service. He excavators took in the pit walls a different story. N short time. I was Sharon Green. the return of two asked to speak When he asked excavator inforr the service and said, "you hired said without the damages. That" he was Steven thought LG&E s making money of selling gas. I trie before digging. and he refused adamant that LO		told LG&E trouble tech, Ja off after they hit the service nd the plastic service being to sign of any equipment of sable to get his name from She said she didn't know h o men in the rear of the pro- with Steven Meredith. He so why I wanted to know, I re- hation for my report. He sa took off". He also said he of a contractor and don't ever is when he decided to be he (I knew it was) and he was hould be paying "us" to bu off "us" ed to explain the Dig Law a l asked for his address and and responded, " I don't wa G&E should be paying them	e with a shovel. Tooth marks g pulled apart told us a on-site. Mr. Meredith left for a n his mother and homeowner, is cell phone number!?! Upon perty, I approached and said they were not there. eplied that I needed the id they left. "They damaged didn't know who they were?" I would be responsible for onest with me and admitted the excavator. He said he ry lines in the yard and nd advised him to call 811	
Equipment Used: Excavation Compar		khoe/Trackhoe		
Company Address:			ouisville, KY 40215	
Phone:	555555555		Contact Name:	Steven Meredith
Working For:				
Repair Company:	LG&E			
Personal Injury: N		/ Property Dama	ge: N Evacuatio	ns: N Other: N
Impact Comments:				<u> </u>



Facts-Based Investigation

Was Locate requested?:	N	Facilities located?:	<u>N</u>
Locate Accurate (Within Tolerance Zone):	N/A	If No, Distance Markings Off:	<u>N/A</u>
Marks Visible at Time of Damage:	Ν	Damage Within Scope of Ticket:	<u>N</u>



Facts-Based Investigation

Repair Contact Name: Jamarr Swain		Phone:			
Repair Comments: George Kok arrived to make repairs.					
Witness:		Phone:			
Comments:					
Witness:		Phone:			
Comments:					
Root Cause Explanation:					
Responsible Party:	Steven Meredith				
Responsible Party Comments: Steven Meredith, excavator 859-342-0672 refused to give contact info. possible address' per Google Search; 835 Denmark St #4 40215 Steve A Meredith 1602 Bluegrass Ave Sharron Green. mother of excavator and occupant. 502-644-3207					
Date of Investigation:	09/18/20	Investigation Time (Hours):			
Site Investigator's Name:	Tracy Reesor	Phone:	5022694079		
Site Investigator's Signature:	Tracy Reesor	Date:	09/20/2020		
Damage Status:	One Call Notification	Issue			
Cause Code:	No notification made to One-Call Center / 811				
Damage Claims Manager:					



020-09-18 12:41:15



2020-09-18 12:41:



Attachment 4

Andy Beshear Governor

Rebecca W. Goodman Secretary Energy and Environment Cabinet



Commonwealth of Kentucky **Public Service Commission** 211 Sower Blvd. P.O. Box 615 Frankfort, Kentucky 40602-0615 Telephone: (502) 564-3940 Fax: (502) 564-3460 psc.ky.gov

August 25, 2021

Steven Meredith c/o Sharon Green 4139 Wheeler Ave. Louisville, KY 40215

Re: Violation of Kentucky Underground Facility Damage Prevention Act Incident Number: **43086**

Dear Mr. Meredith:

The Kentucky Public Service Commission (Commission) received a report of damage occurring on September 18, 2020 to a natural gas or hazardous liquid facility located at 4139 Wheeler Ave., Louisville, KY 40215 owned and/or operated by Louisville Gas and Electric Company. Under the Kentucky Underground Facility Damage Prevention Act (Act), KRS 367.4901 through KRS 367.4917, the Commission has jurisdiction to investigate any violation of the Act that results in excavation damage to underground facilities used to transport gas or hazardous liquid subject to federal pipeline safety laws, 49 U.S.C. secs. 60101 et seq. The Commission regulates the safety of jurisdictional natural gas pipelines in the Commonwealth pursuant to KRS 278.495 and 49 CFR Parts 190, 191, 192 and 195.

Following receipt of the damage notification, Commission Staff (Staff) attempted to contact you at 859-342-0672 and 859-342-0672 which has been disconnected, is out of service, not accepting calls and/or the mailbox is full. To obtain all information regarding this matter it is imperative that contact is made. If there is no contact a penalty of \$1,250.00 could be imposed, therefore please contact me at 502-782-2622.

Respectfully,

rec

Eric V. Tout Consumer Complaint Investigator Division of Inspections / Damage Prevention

Michael J. Schmitt Chairman

Kent A. Chandler Vice Chairman

Talina R. Mathews Commissioner

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- For an additional fee, and with a proper endorsement on the mailpiece, you may request the following services:
 - Return receipt service, which provides a record of delivery (including the recipient's signature).
 You can request a hardcopy return receipt or an electronic version. For a hardcopy return receipt, complete PS Form 3811, Domestic Return Receipt; attach PS Form 3811 to your mailpiece;

for an electronic return receipt, see a retail associate for assistance. To receive a duplicate return receipt for no additional fee, present this USPS®-postmarked Certified Mail receipt to the retail associate.

- Restricted delivery service, which provides delivery to the addressee specified by name, or to the addressee's authorized agent.
- Adult signature service, which requires the signee to be at least 21 years of age (not available at retail).
- Adult signature restricted delivery service, which requires the signee to be at least 21 years of age and provides delivery to the addressee specified by name, or to the addressee's authorized agent (not available at retail).

To ensure that your Certified Mail receipt is accepted as legal proof of mailing, it should bear a USPS postmark. If you would like a postmark on this Certified Mail receipt, please present your Certified Mail item at a Post Office" for postmarking. If you don't need a postmark on this Certified Mail receipt, detach the barcoded portion of this label, affix it to the mailpiece, apply appropriate postage, and deposit the mailpiece.

IMPORTANT: Save this receipt for your records.



Attachment 5

Tout, Eric V (PSC)

From:	Carly Dunsmore <cdunsmore@usa811.org></cdunsmore@usa811.org>
Sent:	Thursday, August 26, 2021 1:22 PM
То:	Tout, Eric V (PSC)
Cc:	PSCrequest@kentucky811.org
Subject:	Re: 43086

Good afternoon Eric,

We searched between 3/1/2020 - 10/31/2020, but were unable to find any tickets submitted by Steven Meredith or Sharon Green for address 4139 Wheeler Ave., in Jefferson County. If you have any more information, we would be happy to further assist you.

Thank you,

Carly Dunsmore Member Services P: 833-538-0923 F: 317-386-7820



On Thu, Aug 26, 2021 at 12:36 PM Tout, Eric V (PSC) <<u>ericv.tout@ky.gov</u>> wrote:

Please provide locate ticket for:

Incident Date: 9/18/2020

Operator: Louisville Gas and Electric Company

Incident Location: 4139 Wheeler Ave., Louisville

County: Jefferson

Excavator: Steven Meredith or homeowner Sharon Green

Thanks,

Eríc V. Tout

Kentucky Public Services Commission

Division of Inspections / Damage Prevention

211 Sower Blvd., Frankfort, KY 40601

Office: 502-782-2622

Fax: 502-564-1582

Steven Meredith 4139 Wheeler Ave Louisville, KENTUCKY 40215