

COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

RIDGELEA INVESTMENTS, INC. NOTICE OF	)	CASE NO.
SURRENDER AND ABANDONMENT OF UTILITY	)	2016-00106
PROPERTY NAMELY THREE (3) FRANKLIN	)	
COUNTY WASTEWATER TREATMENT PLANTS	)	

COMMISSION STAFF'S FIRST REQUEST FOR INFORMATION  
TO RIDGELEA INVESTMENTS, INC.

Ridgelea Investments, Inc. ("Ridgelea"), pursuant to 807 KAR 5:001, is to file with the Commission the original and eight copies of the following information, with a copy to all parties of record. The information requested herein is due within 14 days of the date of this request. Responses to requests for information shall be appropriately bound, tabbed and indexed. Each response shall include the name of the witness responsible for responding to the questions related to the information provided.

Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or the person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry.

Ridgelea shall make timely amendment to any prior response if it obtains information which indicates that the response was incorrect when made or, though correct when made, is now incorrect in any material respect. For any request to which

Ridgelea fails or refuses to furnish all or part of the requested information, it shall provide a written explanation of the specific grounds for its failure to completely and precisely respond.

Careful attention shall be given to copied material to ensure that it is legible. When the requested information has been previously provided in this proceeding in the requested format, reference may be made to the specific location of that information in responding to this request. When filing a paper containing personal information, Ridgelea shall, in accordance with 807 KAR 5:001, Section 4(10), encrypt or redact the paper so that personal information cannot be read.

1. State whether the three Franklin County treatment plants Ridgelea proposes to abandon are currently in compliance with all Commission and Kentucky Division of Water regulations.

2. State whether Ridgelea's Grant County treatment plant is currently in compliance with all Commission and Kentucky Division of Water regulations.

3. State and describe in detail any upgrades that the four treatment plants currently need to continue operating and remain in compliance with all regulations, and provide the approximate costs of such upgrades.

4. State the current number of customers served by each of the four treatment plants.

5. State the current number of customers with delinquent accounts at each of the four treatment plants.

6. State the number of customers who have had water service cut off for delinquent sewer bills year to date at each of the four treatment plants.

7. Provide in detail:
  - a. An itemized list of the average monthly operating expenses of the three Franklin County treatment plants; and
  - b. An itemized list of the average monthly operating expenses of the Grant County treatment plant.
8. Does Ridgelea intend to relinquish control and ownership of interests in all Franklin County property necessary for providing utility service?
9. Confirm that Ridgelea has coordinated with Farmdale Sanitation District for the district to act as receiver over the three Franklin County treatment plants.
10. Explain why the request to abandon does not encompass the Grant County treatment plant.
11. State whether a ruling adverse to Ridgelea in Franklin Circuit Court Case No. 14-CI-616 would impact Ridgelea's decision to continue operating the Grant County treatment plant.
12. State the number of authorized and outstanding shares of stock of Ridgelea.
13. Identify each shareholder of Ridgelea by name and address, and state the number of shares owned by each shareholder.



James W. Gardner  
Acting Executive Director  
Public Service Commission  
P.O. Box 615  
Frankfort, KY 40602

DATED APR 04 2016

cc: Parties of Record

\*John B Baughman  
Hazelrigg & Cox, LLP  
415 West Main Street  
P.O. Box 676  
Frankfort, KENTUCKY 40602

\*Ridgelea Investments, Inc.  
2106 W North Bend Road  
Cincinnati, OH 45224