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**Public Service Commission**  
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Vice Chairman

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Commissioner

June 20, 2014

Rocco O D'Ascenzo  
Duke Energy Kentucky, Inc.  
139 East Fourth Street  
P. O. Box 960  
Cincinnati, OH 45201

Mark David Goss  
Goss Samford, PLLC  
2365 Harrodsburg Road, Suite B325  
Lexington, KY 40504

RE: Case No. 2014-00201  
Duke Energy Kentucky, Inc.  
Filing Deficiencies

The Commission Staff has reviewed the application in the above case. This filing is rejected for the reasons set forth below.

Filing deficiencies pursuant to KRS 278.300:

- (1) 807 KAR 5:001: Section 18(1)(b) – Description of applicant's property and the field of its operation and statement of original cost of applicant's property and the cost to the applicant, if different.
- (2) 807 KAR 5:001: Section 18 (2)(a) – Financial exhibit.
- (3) 807 KAR 5:001: Section 18(2)(b) - Copies of all trust deeds or mortgages. If previously filed, state case number.
- (4) 807 KAR 5:001: Section 12(1)(a) – For a utility that had \$5,000,000 or more in gross annual revenue in the immediate past calendar year, information required by Section 12(2) shall cover operations for a twelve (12) month period, the period ending not more than ninety (90) days prior to the date the application is filed.
- (5) 807 KAR 5:001: Section 12(2)(a) – Amount and types of stock authorized.
- (6) 807 KAR 5:001: Section 12(2)(b) – Amount and types of stock issued and outstanding.
- (7) 807 KAR 5:001: Section 12(2)(c) – Detail of preference terms of preferred stock.
- (8) 807 KAR 5:001: Section 12(2)(d) – Brief description of mortgage.

- Date of Execution
- Name of Mortgagor
- Name of Mortgagee or Trustee
- Amount of Indebtedness Secured
- Sinking Fund Provisions

(9) 807 KAR 5:001: Section 12(2)(e) – Bonds.

- Amount Authorized
- Amount Issued
- Name of Utility Who Issued
- Description of Each Class Issued
- Date of Issue
- Date of Maturity
- How Secured
- Interest Paid in Last Fiscal Year

(10)807 KAR 5:001: Section 12(2)(f) – Notes Outstanding.

- Date of Issue
- Amount
- Maturity Date
- Rate of Interest
- In Whose Favor
- Interest Paid in Last Fiscal Year

(11)807 KAR 5:001: Section 12(2)(g) – Other Indebtedness.

- Description of Each Class
- How Secured
- Description of Any Assumption of Indebtedness by Outside Party (i.e., any transfer)
- Interest Paid in Last Fiscal Year

(12)807 KAR 5:001: Section 12(2)(h) – Rate and amount of dividends paid during the five (5) previous fiscal years and the amount of capital stock on which dividends were paid each year.

(13)807 KAR 5:001: Section 12(2)(i) – Detailed income statement and balance sheet.

The statutory time period in which the Commission must process this case will not commence until the above-mentioned required information is filed with the Commission. You are requested to file this information within 10 days of the date of this letter.

If you need further assistance, please contact my staff at 502-564-3940.

Sincerely,



Linda Faulkner

cc: Parties of Record