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Contract Documents and Technical Specifications



Engineers • Architects • Planners

**SPECIFICATIONS & CONTRACT
DOCUMENTS**

FOR

**EDMONSON COUNTY
WATER DISTRICT**

**Wax Water Treatment Plant
Raw Water Intake Modifications**

Prepared By:



**GRW Engineers, Inc.
404 BNA Drive, Suite 201
Nashville, TN 37217**

October 2013

GRW Project No. 3621-05

SPECIFICATIONS
AND
CONTRACT DOCUMENTS

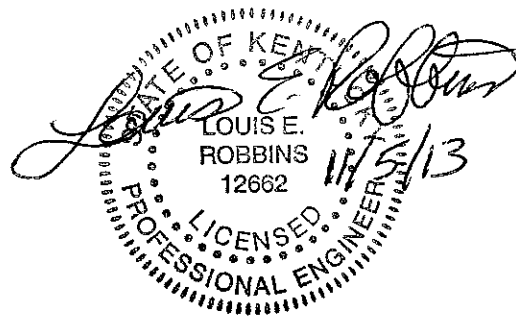
WAX WATER TREATMENT PLANT
RAW WATER INTAKE MODIFICATIONS

FOR THE

EDMONSON COUNTY WATER DISTRICT

October 2013

GRW PROJECT NO. 3621-05



Prepared By:



GRW Engineers, Inc.
404 BNA Drive, Suite 201
Nashville TN 37217
(615-366-1600)

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COE Permit

ADVERTISEMENT FOR BIDS

Edmonson County Water District

1128 Hwy 259 North

P.O. Box 308

Brownsville, KY 42210

Separate sealed Bids for the construction of Water System Improvements: Wax Water Treatment Plant Raw Water Intake Modifications Project consisting of the repositioning of an existing intake screen in Nolin Lake, a package air compressor system, a flow meter and pit, and appurtenances together with all labor, materials, equipment and services, and all related work as specified and shown on the Drawings will be received by the Edmonson County Water District, at their office at 1128 Highway 259 North, Brownsville, KY until 10:30 a.m. (CSDST), Tuesday, April 8, 2014, and then at said office publicly opened and read aloud.

The Contract Documents may be examined at the following locations:

GRW Engineers, Inc.
404 BNA Drive, Suite 201
Nashville, TN 37217

McGraw-Hill Dodge
Online Plan Room

Edmonson County Water District
1128 Highway 259 North
P.O. Box 208
Brownsville, KY 42210

Copies of the CONTRACT DOCUMENTS may be obtained at the Issuing Office of GRW Engineers, Inc., located at 404 BNA Drive, Suite 201, Nashville, TN 37217, upon payment of \$50.00 for each set. Payment is not refundable.

Bids shall be accompanied by a bid bond or a certified check in an amount equal to five percent (5%) of the bid to insure the execution of the contract for which the bid is made. No bidder may withdraw his bid for a period of ninety (90) days after closing time scheduled for the receipt of bids. Upon award of the contract, or contracts, performance and payment bonds will be required.

The Edmonson County Water District reserves the right to waive informalities and to reject any and all bids.

Edmonson County Water District

INSTRUCTIONS TO BIDDERS

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ARTICLE 1 - DEFINED TERMS

1.01 Terms used in these Instructions to Bidders have the meanings indicated in the General Conditions and the Supplemental General Conditions. Additional terms used in these Instructions to Bidders have the meanings indicated below:

A. Issuing Office

The office from which the Bidding Documents are to be issued and where the bidding procedures are to be administered.

ARTICLE 2 - COPIES OF BIDDING DOCUMENTS

2.01 Complete sets of the Bidding Documents in the number and for the deposit sum, if any, stated in the Advertisement for Bids may be obtained from the Issuing Office.

2.02 Complete sets of Bidding Documents must be used in preparing Bids; neither Owner nor Engineer assume any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

- 2.03 Owner and Engineer in making copies of Contract Documents available on the above terms do so only for the purpose of obtaining Bids on the Work and do not confer a license or grant for any other use.

ARTICLE 3 - QUALIFICATIONS OF BIDDERS

- 3.01 To demonstrate Bidder's qualifications to perform the Work, each Bidder must be prepared to submit written evidence, such as financial data, previous experience, present commitments and other such data as may be called for herein or in the General Conditions. Each Bid must contain evidence of Bidder's qualification to do business in the state where the Project is located or covenant to obtain such qualification prior to award of the contract. The Owner may make such investigations as she/he deems necessary to determine the ability of the bidder to perform the Work, and the Bidder shall furnish to the Owner all such information and data for this purpose as the Owner may request. The Owner reserves the right to reject any Bid if the evidence submitted by, or investigation of, such Bidder fails to satisfy the Owner that such Bidder is properly qualified to carry out the obligations of the contract and to complete the Work contemplated therein. Conditional Bids will not be accepted.

ARTICLE 4 - EXAMINATION OF BIDDING DOCUMENTS, OTHER RELATED DATA AND SITE

4.01 Subsurface and Physical Conditions

- A. The Supplementary Conditions identify:
1. Those reports of explorations and tests of subsurface conditions at or contiguous to the Site that Engineer has used in preparing the Bidding Documents.
 2. Those drawings of physical conditions in or relating to existing surface and subsurface structures at or contiguous to the Site (except Underground Facilities) that Engineer has used in preparing the Bidding Documents.
- B. Copies of reports and drawings referenced in paragraph 4.01.A will be made available by Owner to any Bidder on request. Those reports and drawings are not part of the Contract Documents, but the "technical data" contained therein upon which Bidder is entitled to rely as provided in paragraph 4.02 of the General Conditions has been identified and established in paragraph 4.02 of the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any "technical data" or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.

4.02 Underground Facilities

- A. Information and data shown or indicated in the Bidding Documents with respect to existing Underground Facilities at or contiguous to the Site is based upon information and data furnished to Owner and Engineer by owners of such Underground Facilities, including Owner, or others.

4.03 Hazardous Environmental Condition

- A. The Supplementary Conditions identify those reports and drawings relating to a Hazardous Environmental Condition identified at the Site, if any, that ENGINEER has

used in preparing the Bidding Documents.

- B. Copies of reports and drawings referenced in paragraph 4.03.A will be made available by Owner to any Bidder on request. Those reports and drawings are not part of the Contract Documents, but the “technical data” contained therein upon which Bidder is entitled to rely as provided in paragraph 4.06 of the General Conditions has been identified and established in paragraph 4.06 of the Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any “technical data” or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.
- 4.04 Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to subsurface conditions, other physical conditions and Underground Facilities, and possible changes in the Bidding Documents due to differing or unanticipated conditions appear in paragraphs 4.02, 4.03, and 4.04 of the General Conditions. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders with respect to a Hazardous Environmental Condition at the Site, if any, and possible changes in the Contract Documents due to any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work appear in paragraph 4.06 of the General Conditions.
- 4.05 On request, Owner will provide Bidder access to the Site to conduct such examinations, investigations, explorations, tests, and studies as Bidder deems necessary for submission of a Bid. Bidder shall fill all holes and clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies. Bidder shall comply with all applicable Laws and Regulations relative to excavation and utility locates.
- 4.06 Reference is made to Article 7 of the Supplementary Conditions for the identification of the general nature of other work that is to be performed at the Site by Owner or others (such as utilities and other prime contractors) that relates to the Work contemplated by these Bidding Documents. On request, Owner will provide to each Bidder for examination access to or copies of Contract Documents (other than portions thereof related to price) for such other work.
- 4.07 It is responsibility of each Bidder before submitting a Bid to:
- A. Examine and carefully study the Bidding Documents, the other related data identified in the Bidding Documents, and any Addenda;
 - B. Visit the Site and become familiar with and satisfy Bidder as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work;
 - C. Become familiar with and satisfy Bidder as to all Federal, State, and local Laws and Regulations that may affect cost, progress, or performance of the Work;
 - D. Carefully study all: (1) reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site (except Underground Facilities) which have been identified in the Supplementary Conditions as provided in paragraph 4.02 of the General Conditions, and (2) reports and drawings of Hazardous Environmental Conditions at the Site which have been identified in the Supplementary Conditions as provided in paragraph 4.06 of the General Conditions;
 - E. Obtain and carefully study (or accept consequences for not doing so) all additional or

supplementary examinations, investigations, explorations, tests, studies, and data concerning conditions (surface, subsurface, and Underground Facilities) at or contiguous to the Site which may affect cost, progress, or performance of the Work or which relate to any aspect of the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, including applying any specific means, methods, techniques, sequences, and procedures of construction expressly required by the Bidding Documents, and safety precautions and programs incident thereto;

- F. Agree at the time of submitting its Bid that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its Bid for performance of the Work at the price(s) bid and within the times and in accordance with the other terms and conditions of the Bidding Documents;
 - G. Become aware of the general nature of the work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents;
 - H. Correlate the information known to Bidder, information and observations obtained from visits to the Site, reports and drawings identified in the Bidding Documents, and all additional examinations, investigations, explorations, tests, studies, and data with the Bidding Documents;
 - I. Promptly give Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder discovers in the Bidding Documents and confirm that the written resolution thereof by Engineer is acceptable to Bidder; and
 - J. Determine that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance of the Work.
- 4.08 The submission of a Bid will constitute an incontrovertible representation by Bidder that Bidder has complied with every requirement of this Article 4, that without exception the Bid is premised upon performing and furnishing the Work required by the Bidding Documents and applying any specific means, methods, techniques, sequences, and procedures of construction that may be shown or indicated or expressly required by the Bidding Documents, that Bidder has given Engineer written notice of all conflicts, errors, ambiguities, and discrepancies that Bidder has discovered in Bidding Documents and the written resolutions thereof by Engineer are acceptable to Bidder, and that the Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performing and furnishing the Work.

ARTICLE 5 - PRE-BID CONFERENCE

- 5.01 A pre-Bid conference will be held at _____ (a.m.)(p.m) on _____ at _____. Representatives of Owner and Engineer will be present to discuss the Project. Bidders are encouraged to attend and participate in the conference. Engineer will transmit to all prospective Bidders of record such Addenda as Engineer considers necessary in response to questions arising at the conference. Oral statements may not be relied upon and will not be binding or legally effective. *No pre-Bid conference is scheduled at this time.*

ARTICLE 6 - SITE AND OTHER AREAS

- 6.01 The Site is identified in the Bidding Documents. Easement for permanent structures or permanent changes in existing facilities are to be obtained and paid for by Owner unless otherwise provided in the Bidding Documents. All additional lands and access thereto required for temporary construction facilities, construction equipment, or storage of materials and

equipment to be incorporated in the Work are to be obtained and paid for by Contractor.

ARTICLE 7 - INTERPRETATIONS AND ADDENDA

- 7.01 All questions about the meaning or intent of the Bidding Documents are to be submitted to Engineer in writing. Interpretations or clarifications considered necessary by Engineer in response to such questions will be issued by Addenda mailed or delivered to all parties recorded by Engineer as having received the Bidding Documents. Questions received less than five days prior to the date for Opening of Bids may not be answered. Only questions answered by formal written Addenda will be binding. Oral and other interpretations or clarifications will be without legal effect.
- 7.02 Addenda may also be issued to clarify, correct, or change the Bidding Documents as deemed advisable by Owner or Engineer.

ARTICLE 8 - BID SECURITY

- 8.01 A Bid must be accompanied by Bid Security made payable to Owner in an amount of 5% of the Bidder's maximum Bid price and in the form of a Certified Check or a Bid Bond (EJCDC No. C-430, 2003 Edition) issued by a surety meeting the requirements of Paragraphs 5.01 and 5.02 of the General Conditions.
- 8.02 The Bid Security of the Successful Bidder will be retained until such Bidder has executed the Contract Documents and furnished the required contract surety and met the other conditions of the Notice of Award, whereupon the Bid Security, will be returned. If the Successful Bidder fails to execute and deliver the Contract Documents and furnish the required contract security within fifteen (15) days after the Notice of Award, Owner may annul the Notice of Award and the Bid Security of that Bidder will be forfeited. The Bid Security of other Bidders whom Owner believes to have a reasonable chance of receiving the award may be retained by Owner until the earlier of seven days after the Effective Date of the Agreement or 91 days after Bid opening, whereupon Bid Security furnished by such Bidders, will be returned.
- 8.03 Bid Security of other Bidders whom Owner believes do not have a reasonable chance of receiving the award will be returned within seven (7) days after the Bid opening.

ARTICLE 9 - CONTRACT TIME

- 9.01 The number of days which, or the date by which, the Work is to be substantially completed are set forth in the Bid Form and the Agreement. Upon substantial completion, if necessary, a date for final completion and payment should be determined between the Owner, Contractor and Engineer based on remaining work, market and weather conditions.

ARTICLE 10 - LIQUIDATED DAMAGES

- 10.01 Provisions for liquidated damages, if any, are set forth in the *GRW Supplementary General Conditions and are referred to in the Agreement.*

ARTICLE 11 - SUBSTITUTE OR "OR-EQUAL" ITEMS

- 11.01 The Contract, if awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents, or "or-equal" materials and equipment as defined in paragraph 6.05 of the General Conditions, or those substitute materials and equipment approved by the Engineer and identified by Addendum. The materials and equipment described in the Bidding Documents

establish a standard of required type, function and quality to be met by any proposed substitute or “or-equal” item. No item of material or equipment will be considered by Engineer as a substitute or equal until after the bids have been opened and the contract has been awarded. The burden of proof of the merit of the proposed item is upon the Bidder. Engineer’s decision of approval or disapproval of a proposed item will be final. Bidders shall not rely upon approvals made in any other manner. Any reduction made in contract price due to approval of a substitute item or equal, will be subtracted from the bidder’s contract and placed into contingency funds for the project.

ARTICLE 12 - SUBCONTRACTORS, SUPPLIERS AND OTHERS

- 12.01 If the Bid Form or Contract Documents require the identity of certain Subcontractors, Suppliers and other persons and organizations (including those who are to furnish the principal items of material and equipment) to be submitted to Owner in advance of the specified date prior to the Effective Date of the Agreement, the Bidder shall submit to Owner a list of all such Subcontractors, Suppliers and other individuals, or entities proposed for those portions of the Work for which such identification is required. Such list shall be accompanied by an experience statement with pertinent information regarding similar projects and other evidence of qualification for each such Subcontractor, Supplier, person or organization if requested by Owner. If Owner or Engineer, after due investigation, has reasonable objection to any proposed Subcontractor, Supplier, individual or entity, either may before the Notice of Award is given request the apparent Successful Bidder to submit an acceptable substitute without an increase in Bid price.
- 12.02 If apparent Successful Bidder declines to make any such substitution, Owner may award the contract to the next lowest Bidder that proposes to use acceptable Subcontractors, Suppliers, individual or entities. Declining to make requested substitutions will not constitute grounds for forfeiture of the Bid security of any Bidder. Any Subcontractor, Supplier, other person or organization listed and to whom Owner or Engineer does not make written objection prior to the giving of the Notice of Award will be deemed acceptable to Owner and Engineer subject to revocation of such acceptance after the Effective Date of the Agreement as provided in Paragraph 6.06 of the General Conditions.
- 12.03 No Contractor shall be required to employ any Subcontractor, Supplier, other person or organization against whom Contractor has reasonable objection.
- 12.04 Contractor shall not award work to Subcontractor(s) in excess of the limits in SC 6.06.

ARTICLE 13 - PREPARATION OF BID

- 13.01 The Bid Form is included with the Bidding Documents. Additional copies may be obtained from Engineer.
- 13.02 All blanks on the Bid Form shall be completed by printing in ink or by typewriter and the Bid signed in ink. Erasures or alterations shall be initialed in ink by the person signing the Bid Form. A Bid price shall be indicated for each section, Bid item, alternative, adjustment unit price item, and unit price item listed therein, or the words “No Bid”, “No Change,” or “Not Applicable” entered.
- 13.03 A Bid by a corporation shall be executed in the corporate name by the president or a vice-president or other corporate officer accompanied by evidence of authority to sign. If required by State where work is to be performed, the corporate seal must be affixed and attested by the secretary or an assistant secretary. The corporate address and state of incorporation shall be provided on the Bid Form.

- 13.04 A Bid by a partnership shall be executed in the partnership name and signed by a partner, (whose title must appear under the signature) accompanied by evidence of authority to sign. The business address of the partnership shall be provided on the Bid Form.
- 13.05 A Bid by a limited liability company shall be executed in the name of the firm by a member and accompanied by evidence of authority to sign. The state of formation of the firm and the business address of the firm must be provided on the Bid Form.
- 13.06 A Bid by an individual shall show the Bidder's name and business address.
- 13.07 A Bid by a joint venture shall be executed by each joint venturer in the manner indicated on the Bid form. The business address of the joint venture must be provided on the Bid Form.
- 13.08 All names shall be typed or printed in ink below the signatures.
- 13.09 The Bid shall contain an acknowledgment of receipt of all Addenda, the numbers and dates of which shall be filled in on the Bid form.
- 13.10 The address and telephone number for communication regarding the Bid shall be shown.
- 13.11 The Bid shall contain evidence of Bidder's authority and qualification to do business in the state where the Project is located or covenant to obtain such qualification prior to award of the Contract. Bidder's state contractor license number for the state of the Project, if any, shall also be shown on the Bid Form.
- 13.12 Each Bid must be submitted on the prescribed form and accompanied by the submittals listed in the Bid Form.

ARTICLE 14 - BASIS OF BID; COMPARISON OF BIDS

14.01 Unit Price

- A. Bidders shall submit a Bid on a unit price basis as set forth in the Bid Form.

ARTICLE 15 -SUBMITTAL OF BID

- 15.01 Bid Form is to be completed and submitted with all the attachments required.
- 15.02 A Bid shall be submitted no later than the date and time prescribed and at the place indicated in the Advertisement for Bids and shall be enclosed in an opaque sealed envelope, marked with the Project title (and, if applicable, the designated portion of the Project for which the Bid is submitted) and name and address of the Bidder and accompanied by the Bid Security and other required documents. If the Bid is sent through the mail or other delivery system the sealed envelope shall be enclosed in a separate envelope with the notation "BID ENCLOSED" on the face of it. When using the mail or other delivery system, the Bidder is totally responsible for the mail or other delivery system delivering the Bid at the place and prior to the time indicated in the Advertisement for Bids. A mailed Bid shall be addressed to Owner at the address in Article 1.01 of Bid Form.

ARTICLE 16 - MODIFICATION AND WITHDRAWAL OF BIDS

- 16.01 A Bid may be modified or withdrawn by an appropriate document duly executed in the manner that a Bid must be executed and delivered to the place where Bids are to be submitted at any time prior to the opening of Bids.
- 16.02 If, within twenty-four (24) hours after Bids are opened, any Bidder files a duly signed, written notice with Owner and promptly thereafter demonstrates to the reasonable satisfaction of Owner that there was a material and substantial mistake in the preparation of its Bid, that Bidder may withdraw its Bid and the Bid security will be returned. Thereafter, if the Work is rebid or negotiated, that Bidder will be disqualified from further bidding on the Work. This provision to withdraw a Bid without forfeiting the Bid security does not apply to Bidder's errors in judgment in preparing the Bid.

ARTICLE 17 - OPENING OF BIDS

- 17.01 Bids will be opened at the time and place indicated in the Advertisement for Bids and, unless obviously non-responsive, read aloud publicly. An abstract of the amounts of the Base Bids and major alternatives, if any, will be made available to Bidders after the opening of Bids.

ARTICLE 18 - BIDS TO REMAIN SUBJECT TO ACCEPTANCE

- 18.01 All Bids will remain subject to acceptance for ninety (90) days.

ARTICLE 19 - EVALUATION OF BIDS AND AWARD OF CONTRACT

- 19.01 Owner reserves the right to reject any or all Bids, including without limitation, nonconforming, nonresponsive, unbalanced, or conditional Bids. Owner further reserves the right to reject the Bid of any Bidder whom it finds, after reasonable inquiry and evaluation, to be non-responsible. Owner also reserves the right to waive all informalities not involving price, time, or changes in the Work and to negotiate contract terms with the Successful Bidder.
- 19.02 More than one Bid for the same Work from an individual or entity under the same or different names will not be considered. Reasonable grounds for believing that any Bidder has an interest in more than one Bid for the Work may be cause for disqualification of that Bidder and the rejection of all Bids in which that Bidder has an interest.
- 19.03 In evaluating Bids, Owner will consider whether or not the Bids comply with the prescribed requirements, and such alternates, unit prices and other data, as may be requested in the Bid Form or prior to the Notice of Award.
- 19.04 In evaluating Bidders, Owner will consider the qualifications of Bidders and may consider the qualifications and experience of Subcontractors, Suppliers, and other individuals or entities proposed for those portions of the Work for which the identity of Subcontractors, Suppliers, and other individuals or entities must be submitted as provided in the Supplementary Conditions.
- 19.05 Owner may conduct such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of Bidders, proposed Subcontractors, Suppliers, individuals, or entities to perform the Work in accordance with the contract Documents.
- 19.06 If the Contract is to be awarded, Owner will award the Contract to the responsible Bidder whose Bid, conforming with all the material terms and conditions of the Instructions to Bidders, is lowest in price and in the best interest of the Owner by considering other factors such as work history, recommendations, etc.

ARTICLE 20 - CONTRACT SECURITY AND INSURANCE

20.01 Article 5 of the General Conditions as may be modified by the Supplemental General Conditions set forth Owner's requirements as to Performance and Payment Bonds and insurance. When the Successful Bidder delivers the executed Agreement to Owner, it must be accompanied by the required Performance and Payment Bonds.

ARTICLE 21 - SIGNING OF AGREEMENT

21.01 When Owner gives a Notice of Award to the Successful Bidder, it will be accompanied by the required number of unsigned counterparts of the Agreement with all other written Contract Documents attached. Within ten (10) days thereafter, Successful Bidder shall sign and deliver the required number of counterparts of the Agreement and attached documents to Owner with the required Bonds. Within fifteen (15) days thereafter, Owner shall deliver one fully signed counterpart to Contractor. Each counterpart is to be accompanied by a complete set of the Drawings with appropriate identification.

21.02 This Contract is expected to be funded in part with funds provided by the United States Department of Agriculture, Rural Development (RD). Refer to Article 18 of the General Conditions for information on the Federal Requirements.

21.03 Concurrence by RD in the award of the Contract is required before the Contract is effective.

ARTICLE 22 - POWER OF ATTORNEY

22.01 Attorneys-in-fact who sign Bid Bonds or Contract Bonds must file with each bond a certified and effective dated copy of their power of attorney.

ARTICLE 23 - LAWS AND REGULATIONS

23.01 The Bidder's attention is directed to the fact that all applicable State Laws, municipal ordinance, and the rules and regulations of all authorities having jurisdiction over construction of the Project shall apply to the Contract throughout, and they will be deemed to be included in the Contract the same as though herein written out in full.

ARTICLE 24 - SAFETY STANDARDS AND ACCIDENT PREVENTION

24.01 With respect to all Work performed under this contract, the Contractor shall:

- a. Comply with the safety standards provisions of applicable laws, building and construction codes and the "Manual of Accident Prevention in Construction" published by the Associated General Contractors of America, the requirements of the Occupational Safety and Health Act of 1970 (Public Law 91-596), and the requirements of Title 29 of the Code of Federal Regulations, Section 1518 as published in the "Federal Register", Volume 36, No. 75, Saturday, April 17, 1971.
- b. Exercise every precaution at all times for the prevention of accidents and the protection of persons (including employees) and property.
- c. Maintain at his/her office or other well known place at the job site, all articles necessary for giving first aid to the injured, and shall make standing arrangements for the immediate removal to a hospital or doctor's care of persons (including employees), who

may be injured on the job site before the employer has made a standing arrangement for removal of injured persons to a hospital or a doctor's care.

END OF SECTION

BID

PROJECT IDENTIFICATION:

Wax Water Treatment Plant

Raw Water Intake Modifications

GRW PROJECT NO.:

3621-05

ARTICLE 1 - BID RECIPIENT

1.01 This Bid Is Submitted To:

EDMONSON COUNTY WATER DISTRICT

1128 Hwy. 259 North, P.O. Box 208

Brownsville, KY 42210

1.02 The undersigned BIDDER proposes and agrees, if this Bid is accepted, to enter into an agreement with OWNER in the form included in the Contract Documents to perform and furnish all work as specified or indicated in the Contract Documents for the Contract Price and within the Contract Time indicated in this Bid and in accordance with the other terms and conditions of the Contract Documents.

ARTICLE 2 - BIDDER'S ACKNOWLEDGEMENTS

2.01 BIDDER accepts all of the terms and conditions of the Advertisement and Instructions to Bidders, including without limitation, those dealing with the dispositions of Bid security. The Bid will remain subject to acceptance for ninety (90) days after the day of Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.

ARTICLE 3 - BIDDER'S REPRESENTATIONS

3.01 In submitting this Bid, BIDDER represents that:

A. BIDDER has examined and carefully studied all the Bidding Documents, the other related data identified in Bidding Documents, and the following Addenda, receipt of all which is hereby acknowledged.

ADDENDUM NUMBER	ADDENDUM DATE
_____	_____
_____	_____
_____	_____

B. BIDDER has visited the Site and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress and performance of the Work.

C. Bidder is familiar with and is satisfied as to all Federal, State, and local Laws and Regulations that may affect cost, progress, and performance of the Work.

- D. Bidder has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site (except Underground Facilities) which have been identified in SC-4.02, and (2) reports and drawings of a Hazard Environmental Condition, if any, which has been identified in SC-4.06.
- E. Bidder has obtained and carefully studied (or accepts the consequences for not doing so) all additional or supplementary examinations, investigations, explorations, tests, studies, and data concerning conditions (surface, subsurface and Underground Facilities) at or contiguous to the Site which may affect cost, progress, or performance of the Work or which relate to any aspect of the means, methods, techniques, sequences, and procedures of construction to be employed by the Bidder, including applying the specific means, methods, techniques, sequences, and procedures of construction expressly required by the Bidding Documents to be employed by Bidder, and safety precautions and programs incident thereto.
- F. Bidder does not consider that any further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of this Bid for performance of the Work at the price(s) bid and within the times and in accordance with the other terms and conditions of the Bidding Documents.
- G. Bidder is aware of the general nature of the Work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
- H. Bidder has correlated the information known to Bidder, information and observations obtained from visits to the Site, reports and drawings identified in the Bidding Documents, and all additional examinations, investigations, explorations, tests, studies, and data with the Bidding Documents.
- I. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and the written resolution thereof by Engineer is acceptable to Bidder.
- J. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance of the Work for which this Bid is submitted.
- K. Bidder will submit written evidence of its authority to do business in the State where the Project is located not later than the date of its execution of the Agreement.

ARTICLE 4 - FURTHER REPRESENTATIONS

4.01 BIDDER further represents that:

- A. This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation.
- B. BIDDER has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid.
- C. BIDDER has not solicited or induced any individual or entity to refrain from bidding.

D. BIDDER has not sought by collusion to obtain for itself any advantage over any other Bidder or over OWNER.

ARTICLE 5 - BASIS OF BID

5.01 BIDDER agrees to perform all the work in accordance with the CONTRACT DOCUMENTS for the following unit prices:

Notes: 1. Bids shall include sales tax, where required, and all other applicable taxes and fees.

Item No.	Approx. Quantity		Bid Item Description	Unit Bid Price	Total
1.	1	EA.	Repositioning of existing intake screen, air piping and appurtenant work, complete and in place	\$ _____	\$ _____
2.	1	EA.	Package air compressor system and appurtenant work, complete and in place	\$ _____	\$ _____
3.	1	EA.	Flow meter system, concrete pit and appurtenant work, complete and in place	\$ _____	\$ _____
Total Amount of Bid:				\$ _____	

ARTICLE 6 - TIME OF COMPLETION

6.01 BIDDER agrees that the Work will be substantially complete within 90 calendar days in accordance with paragraph 14.04 of the General Conditions on or before the substantial completion date, or within the number of calendar days indicated in the Agreement.

6.02 BIDDER accepts the provisions of the Agreement as to liquidated damages in the event of failure to complete the work within the Contract Time.

ARTICLE 7 - ATTACHMENTS TO THIS BID

7.01 The following documents are attached to and made a condition of this bid:

- A. Required Bid Security in the form of Bid Bond (EJCDC No. C-430) or Certified Check (circle type of security provided).
- B. A tabulation of Subcontractors, Suppliers and other persons and organizations required to be identified in the Bid (Section 00431).
- C. Required BIDDER'S Qualification Statement with supporting data (Section 00450).
- D. RUS Certification for Contracts, Grants, and Loans (Section 00460). Refer to paragraph 18.11 of the General Conditions.
- E. RUS Compliance Statement (Section 00461). Refer to specific equal opportunity

requirements set forth in paragraph 18.10 of the General Conditions.

- F. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions (AD-1048) (Section 00476).

ARTICLE 8 - DEFINED TERMS

8.01 The terms used in this Bid with the initial capital letters have the meanings indicated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

ARTICLE 9 - BID SUBMITTAL

9.01 This Bid submitted by:

If Bidder is:

An Individual

Name (typed or printed): _____

By: _____

(Individual's signature)

SEAL, if required by State

Doing business as: _____

A Partnership

Partnership Name: _____

By: _____

(Signature of general partner -- attach evidence of authority to sign)

SEAL, if required by State

Name (typed or printed): _____

A Corporation

Corporation Name: _____

State of Incorporation: _____

Type (General Business, Profession, Service, Limited Liability): _____

By: _____
(Signature -- attach evidence of authority to sign)

CORPORATE
SEAL,
if required by
State

Name (typed or printed): _____

Title: _____

Attest _____
(Signature of Corporate Secretary)

Date of Qualification to do business in _____ [State where Project is located] is
____/____/____

A Joint Venture

Name of Joint Venture: _____

First Joint Venture Name: _____
By: _____
(Signature of joint venture partner -- attach evidence of authority to sign)

SEAL,
if required
by State

Name (typed or printed):

Title: _____

Second Joint Venture Name: _____
By: _____
(Signature of joint venture partner -- attach evidence of authority to sign)

SEAL,
if required
by State

Name (typed or printed):

Title: _____

(Each joint venturer must sign. The manner of signing for each individual, partnership, and corporation that is party to the venture should be in the manner indicated above.)

Bidder's Business address:

Business Phone No. (____)_____ Business FAX No. (____)_____

Business E-Mail Address _____

State Contractor License No. _____ (If applicable)

Employer's Tax ID No. _____

Phone and FAX Numbers, and Address for receipt of official communications, if different from Business contact information:

9.02 Bid submitted on _____, 20__.

BID BOND

Any singular reference to Bidder, Surety, Owner, or other party shall be considered plural where applicable.

BIDDER (Name and Address):

SURETY (Name and Address of Principal Place of Business):

OWNER (Name and Address):

BID

Bid Due Date:

Project (Brief Description Including Location):

BOND

Bond Number:

Date (Not later than Bid due date):

Penal sum

_____)
(Words)

_____)
(Figures)

Surety and Bidder, intending to be legally bound hereby, subject to the terms printed on the reverse side hereof, do each cause this Bid Bond to be duly executed on its behalf by its authorized officer, agent, or representative.

BIDDER

SURETY

(Seal

(Seal)

Bidder's Name and Corporate Seal

Surety's Name and Corporate Seal

By: _____
Signature and Title

By: _____
Signature and Title
(Attach Power of Attorney)

Attest: _____
Signature and Title

Attest: _____
Signature and Title

Note: Above addresses are to be used for giving required notice.

1. Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to pay to Owner upon default of Bidder the penal sum set forth on the face of this Bond. Payment of the penal sum is the extent of Surety's liability.
2. Default of Bidder shall occur upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents.
3. This obligation shall be null and void if:
 - 3.1. Owner accepts Bidder's Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents, or
 - 3.2. All Bids are rejected by Owner, or
 - 3.3. Owner fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by Paragraph 5 hereof).
4. Payment under this Bond will be due and payable upon default by Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from Owner, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due.
5. Surety waives notice of any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by Owner and Bidder, provided that the total time for issuing Notice of Award including extensions shall not in the aggregate exceed 120 days from Bid due date without Surety's written consent.
6. No suit or action shall be commenced under this Bond prior to 30 calendar days after the notice of default required in Paragraph 4 above is received by Bidder and Surety and in no case later than one year after Bid due date.
7. Any suit or action under this Bond shall be commenced only in a court of competent jurisdiction located in the state in which the Project is located.
8. Notices required hereunder shall be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier, or by United States Registered or Certified Mail, return receipt requested, postage pre-paid, and shall be deemed to be effective upon receipt by the party concerned.
9. Surety shall cause to be attached to this Bond a current and effective Power of Attorney evidencing the authority of the officer, agent, or representative who executed this Bond on behalf of Surety to execute, seal, and deliver such Bond and bind the Surety thereby.
10. This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond shall be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute shall govern and the remainder of this Bond that is not in conflict therewith shall continue in full force and effect.
11. The term "Bid" as used herein includes a Bid, offer, or proposal as applicable.

PROPOSED SUBCONTRACTORS

Each bidder shall enter, in the spaces provided, the names of major subcontractors he proposes to employ and the classification or type of work that they will perform. Upon award of contract, the named subcontractors shall be employed to perform the work, unless the Engineer specifically authorizes changes.

A major subcontractor is defined as a subcontractor whose subcontract constitutes approximately three (3) percent or more of the total contract amount.

Failure to furnish all information requested in this Questionnaire may be cause for rejection of the Bid.

LIST OF SUBCONTRACTORS

SUBCONTRACTOR'S /ADDRESS

WORK DESCRIPTION/TOTAL VALUE

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

QUESTIONNAIRE

The undersigned guarantees the accuracy of all statements and answers herein contained. (Please print in ink).

1. How many years has your firm been in business as a General Contractor? _____

2. List three (3) projects of this nature that you have completed and give the name, address, and telephone number of a reference from each. Also give the completed cost of each project listed.

3. List projects presently under construction by your firm, dollar volume of the contract, and the percent of completion.

4. Have you ever failed to complete work awarded to you? If so, state where and why.

5. Have you or your authorized representative personally inspected the location of the proposed work and do you have a clear understanding of the requirements of the Plans, Specifications, and other Contract Documents?

6. Do you plan to sublet any part of this work? If so, give details.

7. What equipment do you own that is available for this work?

8. What equipment do you plan to rent or purchase for this work?

9. Have you ever performed similar work under the direction of a Consulting Engineer or Registered Architect? If so, list three (3) such firms giving the name of the firm, its address, telephone number and the name of the project. (List most recent project.)

10. Give the name, address, and telephone number of an individual who represents each of the following who the Owner may contact to investigate your financial responsibility: A surety, a bank and a major material supplier.

11. Give a summary of your financial statement. (List assets and liabilities; use an insert sheet, if desired).

Respectfully submitted,

Signature

Title

CERTIFICATION FOR CONTRACTS, GRANTS, AND LOANS - RUS

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant or Federal loan, and the extension, continuation, renewal, amendment, modification of any Federal contract, grant or loan.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant or loan, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including contracts, subcontracts, and subgrants under grants and loans) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

(Name)

(Date)

(Title)

COMPLIANCE STATEMENT - RUS

This statement relates to a proposed contract with Edmonson County Water District,
(Name of borrower or grantee)

who expects to finance the contract with assistance from either the Rural Housing Service (RHS), Rural Business-Cooperative Service (RBS), or the Rural Utilities Service (RUS) or their successor agencies, United States Department of Agriculture (whether by a loan, grant, loan insurance, guarantee, or other form of financial assistance). I am the undersigned bidder or prospective contractor, I represent that:

1. I ~ have, ~ have not, participated in a previous contract of subcontract subject to Executive 11246 (regarding equal employment opportunity) or a preceding similar Executive Order.

2. If I have participated in such a contract or subcontract, I ~ have, ~ have not, filed all compliance reports that have been required to file in connection with the contract or subcontract. If the proposed contract is for \$50,000 or more and I have 50 or more employees, I also represent that:

3. I ~ have, ~ have not previously had contracts subject to the written affirmative action programs requirements of the Secretary of Labor.

4. If I have participated in such a contract or subcontract, I ~ have, ~ have not developed and placed on file at each establishment affirmative action programs as required by the rules and regulations of the Secretary of Labor.

I understand that if I have failed to file any compliance to file any compliance reports that have been required of me, I am not eligible and will not be eligible to have my bid considered or to enter into the proposed contract unless and until I make an arrangement regarding such reports that is satisfactory to either the RHS, RBS or RUS, or to the office where the reports are required to be filed.

I also certify that I do not maintain or provide for my employees any segregated facilities at any of my establishments, and that I do not permit my employees to perform their services at any location, under my control, where segregated facilities are maintained. I certify further that I will not maintain or provide for my employees any segregated facilities at any of my establishments, and that I will not permit my employees to perform their services at any location, under my control, where segregated facilities are maintained. I agree that a breach of this certification is a violation of the Equal Opportunity clause in my contract. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and wash rooms, restaurants and other eating areas time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, creed, color, or national origin, because of habit, local custom, or otherwise. I further agree that (except where I have obtained identical certifications for proposed subcontractors for specific time periods) I will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity clause; that I will retain such certifications in my files; and that I will forward the following notice to such proposed subcontractors (except where the proposed subcontractors have submitted identical certifications for specific time periods):
(See Reverse).

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NON-SEGREGATED FACILITIES

A certification of Nonsegregated Facilities, as required by the May 9, 1967, order (32F.R. 7439, May 19, 1967) on Elimination of Segregated Facilities, by the Secretary of Labor, must be submitted prior to the award of a subcontract exceeding \$10,000 which is not exempt from the provisions of the Equal Opportunity clause. The certification may be submitted either for each subcontract or for all subcontracts during a period (i.e. quarterly, semiannually, or annually).

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

DATE _____
_____ *(Signature of Bidder or Prospective Contractor)*

Address (including Zip Code)

U.S. DEPARTMENT OF AGRICULTURE

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION - LOWER TIER COVERED TRANSACTIONS

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 7 CFR Part 3017, Section 3017.510, Participants' responsibilities. The regulations were published as Part IV of the January 30, 1989, Federal Register (pages 4722-4733). Copies of the regulations may be obtained by contacting the Department of Agriculture agency with which this transaction originated.

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Organization Name

PR/Award Number or Project Name

Name(s) and Title(s) of Authorized Representative(s)

Signature(s)

Date

Instructions for Certification

1. By signing and submitting this form, the prospective lower tier participant is providing the certification set out on the reverse side in accordance with these instructions.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transactions," "debarred," "suspended," "ineligible," "lower tier covered transactions," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this form that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this form that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

EJCDC
STANDARD FORM OF AGREEMENT
BETWEEN OWNER AND CONTRACTOR
ON THE BASIS OF A STIPULATED PRICE

THIS AGREEMENT is dated as of the _____, day of _____, in the year _____,
by and between Edmonson County Water District (hereinafter
called Owner) and _____ (hereinafter called Contractor).

Owner and Contractor, in consideration of the mutual covenants hereinafter set forth, agree as follows:

ARTICLE 1 - WORK

1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows:

ARTICLE 2 - THE PROJECT

2.01 The Project for which the Work under the Contract Documents may be the whole or only a part is generally described as follows:

ARTICLE 3 - ENGINEER

The Project has been designed by

GRW Engineers, Inc.

who is hereinafter called Engineer and who is to act as Owner's representative, assume all duties and responsibilities and have the rights and authority assigned to Engineer in the Contract Documents in connection with completion of the Work in accordance with the Contract Documents.

ARTICLE 4 - CONTRACT TIMES

4.01 Time of Essence

All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.

4.02 Days to Achieve Substantial Completion and Final Payment

The Work will be substantially complete within 90 days after the date when the Contract Time commences to run as provided in Paragraph 2.03 of the General Conditions.

4.03 Liquidated Damages

Owner and Contractor recognize that time is of the essence on this Project and that Owner will suffer a financial loss if the Work is not substantially completed within the time specified in Paragraph 4.02 above, plus any extensions thereof allowed in accordance with Article 12 of the General Conditions. They also recognize the delays, expense and difficulties involved in a legal or arbitration proceeding in proving the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty) Contractor shall pay Owner as stipulated in Section 17.06 of the GRW Supplementary General Conditions for each day that expires after the time specified in Paragraph 4.02 for Substantial Completion until the Work is substantially complete. After substantial completion, retainage may be reduced to an amount agreed upon by Owner, Contractor, and Engineer. Retainage at this time shall be no less than 150% of the amount required for final completion of the work.

ARTICLE 5 - CONTRACT PRICE

5.01 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents in current funds in the amount stated in the Contractor's Bid, copy of which is attached.

As provided in Paragraph 11.03 of the General Conditions, estimated quantities are not guaranteed, and determinations of actual quantities and classifications are to be made by Engineer as provided in Paragraph 9.07 of the General Conditions.

ARTICLE 6 - PAYMENT PROCEDURES

6.01 Submittal and Processing of Payments

A. Contractor shall submit Applications for Payment in accordance with Article 14 of the General Conditions. Applications for Payment will be processed by Engineer as provided in the General Conditions.

6.02 Progress Payments; Retainage

A. Owner shall make payments on account of the Contract Price on the basis of Contractor's Applications for Payment as recommended by Engineer, on or about the 20th day of each month during construction as provided below. All progress payments will be on the basis of the progress of the Work measured by the schedule of values established in Paragraph 2.07A of the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no schedule of values, as provided in the General Requirements.

1. Prior to Substantial Completion, progress payments will be made in an amount equal to the percentage indicated below but, in each case, less the aggregate of payments previously made and less such amounts as Engineer may determine or Owner may withhold, including but not limited to liquidated damages, in accordance with Paragraph 14.02 of the General Conditions:

- a. 95 percent of Work completed (with the balance being retainage); and
- b. 95 percent of cost of materials and equipment not incorporated in the

Work (with the balance being retainage).

2. Upon Substantial Completion, Owner shall pay an amount sufficient to increase total payments to Contractor to 95 percent of the Work completed, plus any reduction in retainage that has been agreed upon by Owner, Contractor, and Engineer.

6.03 Final Payment

- A. Upon receipt of the final Application for Payment accompanied by Engineer's recommendation of payment in accordance with Paragraph 14.07 of the General Conditions, Owner shall pay Contractor as provided in Paragraph 14.07 of the General Conditions the remainder of the Contract Price as recommended by Engineer as provided in said Paragraph 14.07, less any sum Owner is entitled to set off against Engineer's recommendation, including but not limited to liquidated damages.

ARTICLE 7 – INTEREST – *This Article Not applicable to this Contract*

All monies not paid when due as provided in Article 14 of the General Conditions shall bear interest at the maximum rate allowed by law at the place of the Project.

ARTICLE 8 - CONTRACTOR'S REPRESENTATIONS

8.01 In order to induce Owner to enter into this Agreement Contractor makes the following representations:

- A. Contractor has examined and carefully studied the Contract Documents and the other related data identified in the Bidding Documents.
- B. Contractor has visited the Site and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
- C. Contractor is familiar with and is satisfied as to all federal, state, and local Laws and Regulations that may affect cost, progress, and performance of the Work.
- D. Contractor has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site (except Underground Facilities) which have been identified in the Supplementary Conditions as provided in Paragraph 4.02 of the General Conditions and (2) reports and drawings of a Hazardous Environmental Condition, if any, at the Site which has been identified in the Supplementary Conditions as provided in Paragraph 4.06 of the General Conditions.
- E. Contractor has obtained and carefully studied (or assumes responsibility for doing so) all additional or supplementary examinations, investigations, explorations, tests, studies, and data concerning conditions (surface, subsurface, and Underground Facilities) at or contiguous to the Site which may affect cost, progress, or performance of the Work or which relate to any aspect of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, including any specific means, methods, techniques, sequences, and procedures of construction expressly required by the Bidding Documents, and safety precautions and programs incident thereto.

- F. Contractor does not consider that any further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract Documents.
- G. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.
- H. Contractor has correlated the information known to Contractor, information and observations obtained from visits to the Site, reports and drawings identified in the Contract Documents, and all additional examinations, investigations, explorations, tests, studies, and data with the Contract Documents.
- I. Contractor has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
- J. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.

ARTICLE 9 - CONTRACT DOCUMENTS

9.01 Contents

- A. The Contract Documents consist of the following:
 - 9.01 This Agreement (Pages 1 to 6, inclusive).
 - 9.02 Performance, Payment, and other Bonds.
 - 9.03 Notice of Award and Notice to Proceed.
 - 9.04 General Conditions (Pages 1 to 57, inclusive).
 - 9.05 Supplementary General Conditions (Sections 00800 and 00810, inclusive).
 - 9.06 Specifications as listed in the Table of Contents.
 - 9.07 Drawings, bearing the following general title: Wax WTP Raw Water Intake Modifications Project.
 - 9.08 Addenda numbers _____ to _____, inclusive.
 - 9.09 Contractor's Bid (Pages _____ to _____, inclusive) together with Supplementary Information Submitted with the Bid.
 - 9.10 Documentation submitted by Contractor prior to Notice of Award.
 - 9.11 The following which may be delivered or issued after the Effective Date of the Agreement and are not attached hereto: All Written Amendments and other documents amending, modifying, or supplementing the Contract Documents pursuant to Paragraph 3.04 of the General Conditions.

- 9.12 There are not Contract Documents other than those listed above in this Article 9. The Contract Documents may only be amended, modified or supplemented as provided in Paragraph 3.04 of the General Conditions.

ARTICLE 10 - MISCELLANEOUS

10.01 Terms

- A. Terms used in this Agreement will have the meanings stated in the General Conditions and the Supplementary Conditions.

10.02 Assignment of Contract

- A. No assignment by a party hereto of any rights under or interests in the Contract will be binding on another party hereto without the written consent of the party sought to be bound; and specifically but without limitation monies that may become due and monies that are due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

10.03 Successors and Assigns

- A. Owner and Contractor each binds itself, its partners, successors, assigns and legal representatives to the other party hereto, its partners, successors, assigns and legal representatives in respect of all covenants, agreements, and obligations contained in the Contract Documents.

10.04 Severability

- A. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Contractor, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.

IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement in the required number of originals. One counterpart each has been delivered to Owner, Contractor, Engineer and Agency. All portions of the Contract Documents have been signed, initialed or identified by Owner and Contractor or identified by Engineer on their behalf.

This Agreement will be effective _____, _____ (which is the Effective Date of the Agreement). This Agreement shall not be effective unless and until Agency's designated representative concurs.

CONTRACTOR:

OWNER:

_____	_____
By: _____	By: _____
Title: _____	Title: _____
[CORPORATE SEAL]	[CORPORATE SEAL]
Attest: _____	Attest: _____
Title: _____	Title: _____
Designated Representatives:	Designated Representatives:
Name: _____	Name: _____
Title: _____	Title: _____
Address for giving notices:	Address for giving notices:
_____	_____
_____	_____
_____	_____

Phone: _____ FAX: _____

Phone: _____ FAX: _____

(If Owner is a corporation, attach evidence of authority to sign. If Owner is a public body, attach evidence of authority to sign and resolution or other documents authorizing execution of Owner-Contractor Agreement.)

License No.: _____
(Where applicable)

Agent for service or process: _____

(If Contractor is a corporation or a partnership, attach evidence of authority to sign.)

Agency Concurrence:

As lender or insurer of funds to defray the costs of this Contract, and without liability for any payments thereunder, the Agency hereby concurs in the form, content, and execution of this Agreement.

Agency: _____

By: _____

Date: _____

Title: _____

NOTICE OF AWARD

TO: _____
(BIDDER)

ADDRESS: _____

Contract: _____
(Insert name of Contract as it appears in the Bidding Documents)

Description: _____

The OWNER has considered the BID submitted by you for the above described WORK in response to its Advertisement for Bids dated _____ and Information for Bidders.

You are hereby notified that your BID has been accepted for items in the amount of \$ _____.

You are required by the Information for Bidders to execute the Agreement and furnish the required CONTRACTOR'S Performance BOND, Payment BOND and certificates of insurance within fifteen (15) calendar days from the date of this Notice to you.

If you fail to execute said Agreement and to furnish said BONDS within fifteen (15) days from the date of this Notice, said OWNER will be entitled to consider all your rights arising out of the OWNER's acceptance of your BID as abandoned and as a forfeiture of your BID BOND. The OWNER will be entitled to such other rights as may be granted by law.

You are required to return an acknowledged copy of this NOTICE of AWARD to the OWNER.

Dated this _____ day of _____, 20__.

(OWNER)

By: _____
(AUTHORIZED SIGNATURE)

(TITLE)

ACCEPTANCE OF NOTICE

Receipt of the above NOTICE OF AWARD is hereby acknowledged by _____
this the _____ day of _____, 20__.

By

Title

NOTICE TO PROCEED

Dated _____

TO: _____
(CONTRACTOR)

ADDRESS: _____

Contract: _____
(Insert name of Contract as it appears in the Contract Documents)

You are hereby notified to commence WORK in accordance with the Agreement dated _____ on or before _____ and you are to complete the WORK within _____ consecutive calendar days thereafter . The date of completion of all WORK is therefore _____.

(OWNER)

By: _____
(AUTHORIZED SIGNATURE)

(TITLE)

ACCEPTANCE OF NOTICE

Receipt of the above NOTICE TO PROCEED is hereby acknowledged by _____

this the _____ day of _____ 20__.

Contractor **Title**

Employer Identification Number _____

PERFORMANCE BOND

Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

CONTRACTOR (Name and Address):

SURETY (Name and Address of Principal Place of Business):

OWNER (Name and Address):

CONTRACT

Date:

Amount:

Description (Name and Location):

BOND

Bond Number:

Date (Not earlier than Contract Date):

Amount:

Modifications to this Bond Form:

Surety and Contractor, intending to be legally bound hereby, subject to the terms printed on the reverse side hereof, do each cause this Performance Bond to be duly executed on its behalf by its authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL

Company:

Signature: _____ (Seal)

Name and Title:

SURETY

(Seal)

Surety's Name and Corporate Seal

By: _____

Signature and Title

(Attach Power of Attorney)

(Space is provided below for signatures of additional parties, if required.)

Attest: _____

Signature and Title

CONTRACTOR AS PRINCIPAL

Company:

Signature: _____ (Seal)

Name and Title:

SURETY

(Seal)

Surety's Name and Corporate Seal

By: _____

Signature and Title

(Attach Power of Attorney)

Attest: _____

Signature and Title:

EJCDC No. C-610 (2002 Edition)

Originally prepared through the joint efforts of the Surety Association of America, Engineers Joint Contract Documents Committee, the Associated General Contractors of America, and the American Institute of Architects.

1. Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to Owner for the performance of the Contract, which is incorporated herein by reference.

2. If Contractor performs the Contract, Surety and Contractor have no obligation under this Bond, except to participate in conferences as provided in Paragraph 3.1.

3. If there is no Owner Default, Surety's obligation under this Bond shall arise after:

3.1. Owner has notified Contractor and Surety, at the addresses described in Paragraph 10 below, that Owner is considering declaring a Contractor Default and has requested and attempted to arrange a conference with Contractor and Surety to be held not later than 15 days after receipt of such notice to discuss methods of performing the Contract. If Owner, Contractor and Surety agree, Contractor shall be allowed a reasonable time to perform the Contract, but such an agreement shall not waive Owner's right, if any, subsequently to declare a Contractor Default; and

3.2. Owner has declared a Contractor Default and formally terminated Contractor's right to complete the Contract. Such Contractor Default shall not be declared earlier than 20 days after Contractor and Surety have received notice as provided in Paragraph 3.1; and

3.3. Owner has agreed to pay the Balance of the Contract Price to:

1. Surety in accordance with the terms of the Contract;
2. Another contractor selected pursuant to Paragraph 4.3 to perform the Contract.

4. When Owner has satisfied the conditions of Paragraph 3, Surety shall promptly and at Surety's expense take one of the following actions:

4.1. Arrange for Contractor, with consent of Owner, to perform and complete the Contract; or

4.2. Undertake to perform and complete the Contract itself, through its agents or through independent contractors; or

4.3. Obtain bids or negotiated proposals from qualified contractors acceptable to Owner for a contract for performance and completion of the Contract, arrange for a contract to be prepared for execution by Owner and Contractor selected with Owner's concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Contract, and pay to Owner the amount of damages as described in Paragraph 6 in excess of the Balance of the Contract Price incurred by Owner resulting from Contractor Default; or

4.4. Waive its right to perform and complete, arrange for completion, or obtain a new contractor and with reasonable promptness under the circumstances:

1. After investigation, determine the amount for which it may be liable to Owner and, as soon as practicable after the amount is determined, tender payment therefor to Owner; or
2. Deny liability in whole or in part and notify Owner citing reasons therefor.

5. If Surety does not proceed as provided in Paragraph 4 with reasonable promptness, Surety shall be deemed to be in default on this Bond 15 days after receipt of an additional written notice from Owner to Surety demanding that Surety perform its obligations under this Bond, and Owner shall be entitled to enforce any remedy available to Owner. If Surety proceeds as provided in Paragraph 4.4, and Owner refuses the payment tendered or Surety has denied liability, in whole or in part, without further notice Owner shall be entitled to enforce any remedy available to Owner.

6. After Owner has terminated Contractor's right to complete the Contract, and if Surety elects to act under Paragraph 4.1, 4.2, or 4.3 above, then the responsibilities of Surety to Owner shall not be greater than those of Contractor under the Contract, and the responsibilities of Owner to Surety shall not be greater than those of Owner under the Contract. To a limit of the amount of this Bond, but subject to commitment by Owner of the Balance of the Contract Price to mitigation of costs and damages on the Contract, Surety is obligated without duplication for:

6.1. The responsibilities of Contractor for correction of defective Work and completion of the Contract;

6.2. Additional legal, design professional, and delay costs resulting from Contractor's Default, and resulting from the actions or failure to act of Surety under Paragraph 4; and

6.3. Liquidated damages, or if no liquidated damages are specified in the Contract, actual damages caused by delayed performance or non-performance of Contractor.

7. Surety shall not be liable to Owner or others for obligations of Contractor that are unrelated to the Contract, and the Balance of the Contract Price shall not be reduced or set off on account of any such unrelated obligations. No right of action shall accrue on this Bond to any person or entity other than Owner or its heirs, executors, administrators, or successors.

8. Surety hereby waives notice of any change, including changes of time, to Contract or to related subcontracts, purchase orders, and other obligations.

9. Any proceeding, legal or equitable, under this Bond may be instituted in any court of competent jurisdiction in the location in which the Work or part of the Work is located and shall be instituted within two years after Contractor Default or within two years after Contractor ceased working or within two years after Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

10. Notice to Surety, Owner, or Contractor shall be mailed or delivered to the address shown on the signature page.

11. When this Bond has been furnished to comply with a statutory requirement in the location where the Contract was to be performed, any provision in this Bond conflicting with said statutory requirement shall be deemed deleted herefrom and provisions conforming to such statutory requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

12. Definitions.

12.1 Balance of the Contract Price: The total amount payable by Owner to Contractor under the Contract after all proper adjustments have been made, including allowance to Contractor of any amounts received or to be received by Owner in settlement of insurance or other Claims for damages to which Contractor is entitled, reduced by all valid and proper payments made to or on behalf of Contractor under the Contract.

12.2 Contract: The agreement between Owner and Contractor identified on the signature page, including all Contract Documents and changes thereto.

12.3 Contractor Default: Failure of Contractor, which has neither been remedied nor waived, to perform or otherwise to comply with the terms of the Contract.

12.4 Owner Default: Failure of Owner, which has neither been remedied nor waived, to pay Contractor as required by the Contract or to perform and complete or comply with the other terms thereof.

FOR INFORMATION ONLY – Name, Address and Telephone
Surety Agency or Broker
Owner's Representative (engineer or other party)

PAYMENT BOND

Any singular reference to Contractor, Surety, Owner, or other party shall be considered plural where applicable.

CONTRACTOR (Name and Address):

SURETY (Name and Address of Principal Place of Business):

OWNER (Name and Address):

Edmonson County Water District
1128 Hwy. 259 North, P.O. Box 208
Brownsville, KY 42210

CONTRACT

Date:

Amount: \$

Description (Name and Location):

BOND

Bond Number:

Date (Not earlier than Contract Date):

Amount: \$

Modifications to this Bond Form:

Surety and Contractor, intending to be legally bound hereby, subject to the terms printed on the reverse side hereof, do each cause this Payment Bond to be duly executed on its behalf by its authorized officer, agent, or representative.

CONTRACTOR AS PRINCIPAL

Company: Salmon Construction, Inc.

Signature: _____ (Seal)

Name and Title:

SURETY

_____ (Seal)

Surety's Name and Corporate Seal

By: _____

Signature and Title

(Attach Power of Attorney)

(Space is provided below for signatures of additional parties, if required.)

Attest: _____

Signature and Title

CONTRACTOR AS PRINCIPAL

Company:

Signature: _____ (Seal)

Name and Title:

SURETY

_____ (Seal)

Surety's Name and Corporate Seal

By: _____

Signature and Title

(Attach Power of Attorney)

Attest: _____

Signature and Title:

EJCDC No. C-615 (2002 Edition)

Originally prepared through the joint efforts of the Surety Association of America, Engineers Joint Contract Documents Committee, the Associated General Contractors of America, the American Institute of Architects, the American Subcontractors Association, and the Associated Specialty Contractors.

1. Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to Owner to pay for labor, materials, and equipment furnished by Claimants for use in the performance of the Contract, which is incorporated herein by reference.

2. With respect to Owner, this obligation shall be null and void if Contractor:

2.1. Promptly makes payment, directly or indirectly, for all sums due Claimants, and

2.2. Defends, indemnifies, and holds harmless Owner from all claims, demands, liens, or suits alleging non-payment by Contractor by any person or entity who furnished labor, materials, or equipment for use in the performance of the Contract, provided Owner has promptly notified Contractor and Surety (at the addresses described in Paragraph 12) of any claims, demands, liens, or suits and tendered defense of such claims, demands, liens, or suits to Contractor and Surety, and provided there is no Owner Default.

3. With respect to Claimants, this obligation shall be null and void if Contractor promptly makes payment, directly or indirectly, for all sums due.

4. Surety shall have no obligation to Claimants under this Bond until:

4.1. Claimants who are employed by or have a direct contract with Contractor have given notice to Surety (at the addresses described in Paragraph 12) and sent a copy, or notice thereof, to Owner, stating that a claim is being made under this Bond and, with substantial accuracy, the amount of the claim.

4.2. Claimants who do not have a direct contract with Contractor:

1. Have furnished written notice to Contractor and sent a copy, or notice thereof, to Owner, within 90 days after having last performed labor or last furnished materials or equipment included in the claim stating, with substantial accuracy, the amount of the claim and the name of the party to whom the materials or equipment were furnished or supplied, or for whom the labor was done or performed; and

2. Have either received a rejection in whole or in part from Contractor, or not received within 30 days of furnishing the above notice any communication from Contractor by which Contractor had indicated the claim will be paid directly or indirectly; and

3. Not having been paid within the above 30 days, have sent a written notice to Surety and sent a copy, or notice thereof, to Owner, stating that a claim is being made under this Bond and enclosing a copy of the previous written notice furnished to Contractor.

5. If a notice by a Claimant required by Paragraph 4 is provided by Owner to Contractor or to Surety, that is sufficient compliance.

6. When a Claimant has satisfied the conditions of Paragraph 4, the Surety shall promptly and at Surety's expense take the following actions:

6.1. Send an answer to that Claimant, with a copy to Owner, within 45 days after receipt of the claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed.

6.2. Pay or arrange for payment of any undisputed amounts.

7. Surety's total obligation shall not exceed the amount of this Bond, and the amount of this Bond shall be credited for any payments made in good faith by Surety.

8. Amounts owed by Owner to Contractor under the Contract shall be used for the performance of the Contract and to satisfy claims, if any, under any performance bond. By Contractor furnishing and Owner accepting this Bond, they agree that all funds earned by Contractor in the performance of the Contract are dedicated to satisfy obligations of Contractor and Surety under this Bond, subject to Owner's priority to use the funds for the completion of the Work.

9. Surety shall not be liable to Owner, Claimants, or others for obligations of Contractor that are unrelated to the Contract. Owner shall not be liable for payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligations to make payments to, give notices on behalf of, or otherwise have obligations to Claimants under this Bond.

10. Surety hereby waives notice of any change, including changes of time, to the Contract or to related Subcontracts, purchase orders and other obligations.

11. No suit or action shall be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the location in which the Work or part of the Work is located or after the expiration of one year from the date (1) on which the Claimant gave the notice required by Paragraph 4.1 or Paragraph 4.2.3, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit shall be applicable.

12. Notice to Surety, Owner, or Contractor shall be mailed or delivered to the addresses shown on the signature page. Actual receipt of notice by Surety, Owner, or Contractor, however accomplished, shall be sufficient compliance as of the date received at the address shown on the signature page.

13. When this Bond has been furnished to comply with a statutory requirement in the location where the Contract was to be performed, any provision in this Bond conflicting with said statutory requirement shall be deemed deleted herefrom and provisions conforming to such statutory requirement shall be deemed incorporated herein. The intent is that this Bond shall be construed as a statutory Bond and not as a common law bond.

14. Upon request of any person or entity appearing to be a potential beneficiary of this Bond, Contractor shall promptly furnish a copy of this Bond or shall permit a copy to be made.

15. DEFINITIONS

15.1. Claimant: An individual or entity having a direct contract with Contractor, or with a first-tier subcontractor of Contractor, to furnish labor, materials, or equipment for use in the performance of the Contract. The intent of this Bond shall be to include without limitation in the terms "labor, materials or equipment" that part of water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Contract, architectural and engineering services required for performance of the Work of Contractor and Contractor's Subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.

15.2. Contract: The agreement between Owner and Contractor identified on the signature page, including all Contract Documents and changes thereto.

15.3. Owner Default: Failure of Owner, which has neither been remedied nor waived, to pay Contractor as required by the Contract or to perform and complete or comply with the other terms thereof.

FOR INFORMATION ONLY - Name, Address and Telephone

Surety Agency or Broker: Owner's Representative :GRW Engineers, Inc., 404 BNA Dr., Ste. 201, Nashville, TN 37217 – 615-366-1600

DATE (MM/DD/YY)

CERTIFICATE OF INSURANCE

SAMPLE

PRODUCER	THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.		
	COMPANY A		
INSURED	COMPANY B		
	COMPANY C		
	COMPANY D		

COVERAGES
 THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN. THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

CO LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION (MM/DD/YY)	LIMITS	
A	GENERAL LIABILITY				GENERAL AGGREGATE	\$ 2,000,000
	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY				PRODUCTS-COMP/OP AGG	\$ 1,000,000
	<input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR				PERSONAL & ADV INJURY	\$ 1,000,000
	<input checked="" type="checkbox"/> CONTRACTOR'S PROTECTION				EACH OCCURRENCE	\$ 1,000,000
					FIRE DAMAGE (Any One)	\$ 100,000
					MED EXP (Any One Person)	\$ 10,000
A	AUTOMOBILE LIABILITY				COMBINED SINGLE LIMIT	\$ 1,000,000
	<input checked="" type="checkbox"/> ANY AUTO				BODILY INJURY	\$ 1,000,000
	<input checked="" type="checkbox"/> ALLOWED AUTOS				(Per Person)	
	<input checked="" type="checkbox"/> SCHEDULED AUTOS				BODILY INJURY	\$ 1,000,000
	<input checked="" type="checkbox"/> HIRED AUTOS				(Per Accident)	
	<input checked="" type="checkbox"/> NON-OWNED AUTOS				PROPERTY DAMAGE	\$ 1,000,000
A	GARAGE LIABILITY				AUTO ONLY-EA ACCIDENT	\$
	<input type="checkbox"/> ANY AUTO				OTHER THAN AUTO ONLY	\$
					EACH ACCIDENT	\$
					AGGREGATE	\$
A	EXCESS LIABILITY				EACH OCCURRENCE	\$5,000,000
	<input type="checkbox"/> UMBRELLA FORM				AGGREGATE	\$5,000,000
	<input type="checkbox"/> OTHER THAN UMBRELLA FORM					\$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY				STATUTORY LIMITS	
	THE PROPRIETOR/ PARTNERS/EXECUTIVE <input type="checkbox"/> INC				EACH ACCIDENT	\$ 1,000,000
	OFFICERS ARE: <input type="checkbox"/> EXC				DISEASE-POLICY LIMIT	\$ 1,000,000
					DISEASE-EACH EMPLOYEE	\$ 1,000,000
A	OTHER: BUILDER'S RISK AND/OR INSTALLATION FLOATER					

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/SPECIAL ITEMS:

CERTIFICATE HOLDER	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING COMPANY WILL MAIL 15 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT.
---------------------------	---

SUPPLEMENTAL ATTACHMENT FOR CERTIFICATE OF INSURANCE

PROJECT _____

INSURED _____

A. General Liability	Yes	No	N/A
1. Does the General Aggregate apply to this Project only?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Does this policy include coverage for:			
a. Premises—Operations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b. Explosion, Collapse and Underground Hazards?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c. Personal Injury Coverage?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d. Products Coverage?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e. Completed Operations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f. Contractual Coverage for the Insured's Obligations in Paragraph 5.04.B.4 of the General Conditions.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

B. Worker's Compensation	Yes	No	N/A
1. If the Insured is exempt from Worker's Compensation statutes, does the Insured carry the equivalent Voluntary Compensation coverage?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

C. Final Payment Information	Yes	No	N/A
1. Is the certificate being furnished in connection with the Contractor's request for final payment in accordance with the requirements of Paragraph 14.07.A.2 of the General Conditions?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. If so, and if the policy period extends beyond Project Completion Date, is Completed Operations coverage for this Project continued for the balance of this policy period?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

D. Termination Provisions	Yes	No	N/A
1. Has each policy shown on the certificate and this Supplement been endorsed to provide the holder with 30 days notice of cancellation and/or expiration? List below any policies, which do not contain this notice.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

E. Other Provisions

Authorized Representative

Date of Issue

Form RD 1924-18
(Rev. 6-97)

UNITED STATES DEPARTMENT OF AGRICULTURE
RURAL DEVELOPMENT
FARM SERVICE AGENCY

PARTIAL PAYMENT ESTIMATE

CONTRACT NO. _____

PARTIAL PAYMENT ESTIMATE NO. _____

PAGE _____

OWNER: _____

CONTRACTOR: _____

PERIOD OF ESTIMATE

FROM _____ TO _____

CONTRACT CHANGE ORDER SUMMARY

ESTIMATE

No.	Agency Approval Date	Amount		
		Additions	Deductions	
				1. Original Contract _____
				2. Change Orders _____
				3. Revised Contract (1 + 2) _____
				4. Work Completed* _____
				5. Stored Materials* _____
				6. Subtotal (4 + 5) _____
				7. Retainage* _____
				8. Previous Payments _____
				9. Amount Due (6-7-8) _____
TOTALS				* Detailed breakdown attached
NET CHANGE				

CONTRACT TIME

Original (days) _____
Revised _____
Remaining _____

On Schedule

Yes

No

Starting Date _____

Projected Completion _____

CONTRACTOR'S CERTIFICATION:

The undersigned Contractor certifies that to the best of their knowledge, information and belief the work covered by this payment estimate has been completed in accordance with the contract documents, that all amounts have been paid by the contractor for work for which previous payment estimates was issued and payments received from the owner, and that current payment shown herein is now due.

Contractor _____

By _____

Date _____

APPROVED BY OWNER:

Owner _____

By _____

Date _____

ARCHITECT OR ENGINEER'S CERTIFICATION:

The undersigned certifies that the work has been carefully inspected and to the best of their knowledge and belief, the quantities shown in this estimate are correct and the work has been performed in accordance with the contract documents.

Architect or Engineer _____

By _____

Date _____

ACCEPTED BY AGENCY:

The review and acceptance of this estimate does not attest to the correctness of the quantities shown or that the work has been performed in accordance with the contract documents.

By _____

Title _____

Date _____

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0575-0042. The time required to complete this information collection is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

TYPICAL UNIT PRICE BREAKDOWN *

ITEM	DESCRIPTION	CONTRACT (revised)			THIS PERIOD		TOTAL TO DATE		% COM- PLETE
		QUANTITY	UNIT PRICE	AMOUNT	QUANTITY	AMOUNT	QUANTITY	AMOUNT	
			\$		\$		\$		
	TOTALS								

TYPICAL LUMP SUM PRICE BREAKDOWN *

ITEM	DESCRIPTION	SCHEDULED VALUE	WORK COMPLETED		% COM- PLETE	TYPICAL STORED MATERIALS AND RETAINAGE BREAKDOWN *		
			THIS PERIOD	TO DATE		DESCRIPTION	QUANTITY	UNIT VALUE
		\$	\$	\$			\$	
	TOTALS							

RETAINAGE			
THIS ESTIMATE	PERCENT	RETAINED	
\$	%	\$	
WORK COMPLETED:			
STORED MATERIALS:			
OTHER (explain)			
TOTAL			

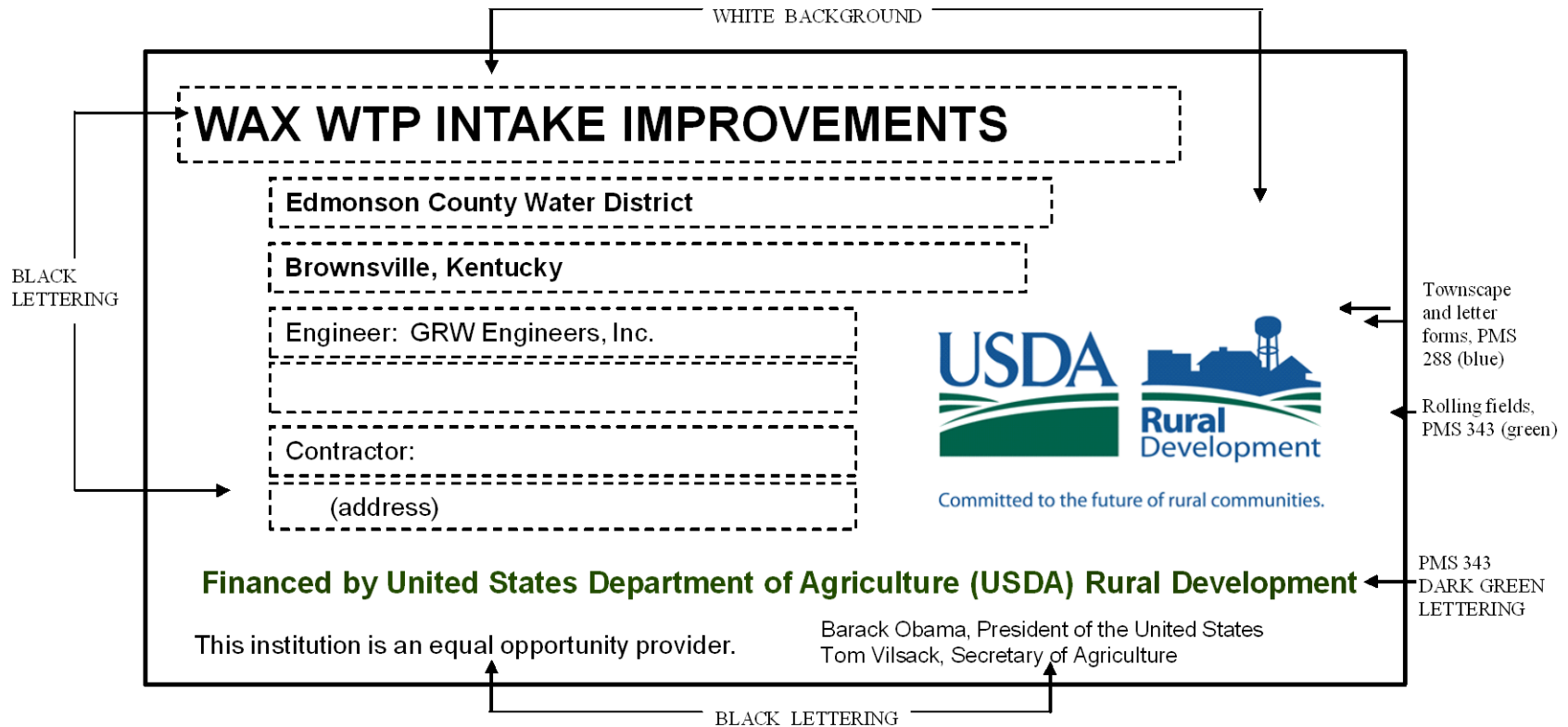
* As a minimum, detailed breakdowns should contain this information.

Used by Contractor to request partial payment on construction work completed. Submitted to loan approval official for disbursement.

(see reverse)

- PROCEDURE FOR PREPARATION : RD Instruction 1924-A.
- PREPARED BY : Contractor.
- NUMBER OF COPIES : Original and three, plus additional copies as appropriate.
- SIGNATURES REQUIRED : Original and all copies will be signed by: Contractor; Architect/Engineer; Borrower representative; Agency representative; other funding agency representative as appropriate.
- DISTRIBUTION OF COPIES : Original to Borrower; copy to District and County Office file; copy to Architect/Engineer; copy to Contractor; copies to State Office and other funding agencies as appropriate.

temporary construction sign for RURAL DEVELOPMENT projects



SIGN DIMENSIONS: 1200mm x 2400mm x 19mm (approx. 4' x 8' x 3/4")

12/01/2006

PLYWOOD PANEL (APA RATED A-B GRADE - EXTERIOR)

STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT FUNDING AGENCY EDITION

Prepared by

ENGINEERS JOINT CONTRACT DOCUMENTS COMMITTEE

and

Issued and Published Jointly By



PROFESSIONAL ENGINEERS IN PRIVATE PRACTICE
a practice division of the
NATIONAL SOCIETY OF PROFESSIONAL ENGINEERS

AMERICAN COUNCIL OF ENGINEERING COMPANIES

AMERICAN SOCIETY OF CIVIL ENGINEERS

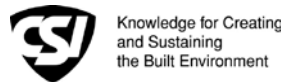
This document has been approved and endorsed by

The Associated General Contractors of America



and the

Construction Specification Institute



These General Conditions have been prepared for use with the Suggested Forms of Agreement Between Owner and Contractor Funding Agency Edition No. C-521 (2002 Edition). Their provisions are interrelated and a change in one may necessitate a change in the other. Comments concerning their usage are contained in the EJCDC Construction Documents, General and Instructions (No. C-001, 2002 Edition). For guidance in the preparation of Supplementary Conditions, see Guide to the Preparation of Supplementary Conditions (No. C-800, 2002 Edition).

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GENERAL CONDITIONS

ARTICLE 1 – DEFINITIONS AND TERMINOLOGY

1.01 *Defined Terms*

- A. Wherever used in the Bidding Requirements or Contract Documents and printed with initial capital letters, the terms listed below will have the meanings indicated which are applicable to both the singular and plural thereof. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
1. *Addenda* – Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
 2. *Agency* – The Federal or state agency named as such in the Agreement.
 3. *Agreement* – The written instrument which is evidence of the agreement between Owner and Contractor covering the Work.
 4. *Application for Payment* – The form acceptable to Engineer which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
 5. *Asbestos* – Any material that contains more than one percent asbestos and is friable or is releasing asbestos fibers into the air above current action levels established by the United States Occupational Safety and Health Administration.
 6. *Bid* – The offer or proposal of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
 7. *Bidder* – The individual or entity who submits a Bid directly to Owner.
 8. *Bidding Documents* – The Bidding Requirements and the proposed Contract Documents (including all Addenda).
 9. *Bidding Requirements* – The Advertisement or Invitation to Bid, Instructions to Bidders, bid security of acceptable form, if any, and the Bid Form with any supplements.
 10. *Change Order* – A document recommended by Engineer which is signed by Contractor and Owner and Agency and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, issued on or after the Effective Date of the Agreement.
 11. *Claim* – A demand or assertion by Owner or Contractor seeking an adjustment of Contract Price or Contract Times, or both, or other relief with respect to the terms of the Contract. A demand for money or services by a third party is not a Claim.
 12. *Contract* – The entire and integrated written agreement between the Owner and Contractor concerning the Work. The Contract supersedes prior negotiations, representations, or agreements, whether written or oral.
 13. *Contract Documents* – Those items so designated in the Agreement. Only printed or hard copies of the items listed in the Agreement are Contract Documents. Approved Shop Drawings, other Contractor's submittals, and the reports and drawings of subsurface and physical conditions are not Contract Documents.

14. *Contract Price* – The moneys payable by Owner to Contractor for completion of the Work in accordance with the Contract Documents as stated in the Agreement (subject to the provisions of Paragraph 11.03 in the case of Unit Price Work).
15. *Contract Times* – The number of days or the dates stated in the Agreement to: (i) achieve Milestones, if any, (ii) achieve Substantial Completion; and (iii) complete the Work so that it is ready for final payment as evidenced by Engineer’s written recommendation of final payment.
16. *Contractor* – The individual or entity with whom Owner has entered into the Agreement.
17. *Cost of the Work* – See Paragraph 11.01.A for definition.
18. *Drawings* – That part of the Contract Documents prepared or approved by Engineer which graphically shows the scope, extent, and character of the Work to be performed by Contractor. Shop Drawings and other Contractor submittals are not Drawings as so defined.
19. *Effective Date of the Agreement* – The date indicated in the Agreement on which it becomes effective, but if no such date is indicated, it means the date on which the Agreement is signed and delivered by the last of the two parties to sign and deliver.
20. *Engineer* – The individual or entity named as such in the Agreement.
21. *Field Order* – A written order issued by Engineer which requires minor changes in the Work but which does not involve a change in the Contract Price or the Contract Times.
22. *General Requirements* – Sections of Division 1 of the Specifications. The General Requirements pertain to all sections of the Specifications.
23. *Hazardous Environmental Condition* – The presence at the Site of Asbestos, PCBs, Petroleum, Hazardous Waste, or Radioactive Material in such quantities or circumstances that may present a substantial danger to persons or property exposed thereto in connection with the Work.
24. *Hazardous Waste* – The term Hazardous Waste shall have the meaning provided in Section 1004 of the Solid Waste Disposal Act (42 USC Section 6903) as amended from time to time.
25. *Laws and Regulations; Laws or Regulations* – Any and all applicable laws, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
26. *Liens* – Charges, security interests, or encumbrances upon Project funds, real property, or personal property.
27. *Milestone* – A principal event specified in the Contract Documents relating to an intermediate completion date or time prior to Substantial Completion of all the Work.
28. *Notice of Award* – The written notice by Owner to the Successful Bidder stating that upon timely compliance by the Successful Bidder with the conditions precedent listed therein, Owner will sign and deliver the Agreement.
29. *Notice to Proceed* – A written notice given by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work under the Contract Documents.
30. *Owner* – The individual or entity with whom Contractor has entered into the Agreement and for whom the Work is to be performed.
31. *PCBs* – Polychlorinated biphenyls.

32. *Petroleum* – Petroleum, including crude oil or any fraction thereof which is liquid at standard conditions of temperature and pressure (60 degrees Fahrenheit and 14.7 pounds per square inch absolute), such as oil, petroleum, fuel oil, oil sludge, oil refuse, gasoline, kerosene, and oil mixed with other non-Hazardous Waste and crude oils.
33. *Progress Schedule* – A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor’s plan to accomplish the Work within the Contract Times.
34. *Project* – The total construction of which the Work to be performed under the Contract Documents may be the whole, or a part.
35. *Project Manual* – The bound documentary information prepared for bidding and constructing the Work. A listing of the contents of the Project Manual, which may be bound in one or more volumes, is contained in the table(s) of contents.
36. *Radioactive Material* – Source, special nuclear, or byproduct material as defined by the Atomic Energy Act of 1954 (42 USC Section 2011 et seq.) as amended from time to time.
37. *Related Entity* – An officer, director, partner, employee, agent, consultant, or subcontractor.
38. *Resident Project Representative* – The authorized representative of Engineer who may be assigned to the Site or any part thereof.
39. *Samples* – Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and which establish the standards by which such portion of the Work will be judged.
40. *Schedule of Submittals* – A schedule, prepared and maintained by Contractor, of required submittals and the time requirements to support scheduled performance of related construction activities.
41. *Schedule of Values* – A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor’s Applications for Payment.
42. *Shop Drawings* – All drawings, diagrams, illustrations, schedules, and other data or information which are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work.
43. *Site* – Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements for access thereto, and such other lands furnished by Owner which are designated for the use of Contractor.
44. *Specifications* – That part of the Contract Documents consisting of written requirements for materials, equipment, systems, standards and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable thereto.
45. *Subcontractor* – An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work at the Site.
46. *Substantial Completion* – The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms “substantially complete” and “substantially completed” as applied to all or part of the Work refer to Substantial Completion thereof.
47. *Successful Bidder* – The Bidder submitting a responsive Bid to whom Owner makes an award.

48. *Supplementary Conditions* – That part of the Contract Documents which amends or supplements these General Conditions.
49. *Supplier* – A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or any Subcontractor.
50. *Underground Facilities* – All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
51. *Unit Price Work* – Work to be paid for on the basis of unit prices.
52. *Work* – The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction, and furnishing, installing, and incorporating all materials and equipment into such construction, all as required by the Contract Documents.
53. *Work Change Directive* – A written statement to Contractor issued on or after the Effective Date of the Agreement and signed by Owner and Agency upon recommendation of the Engineer ordering an addition, deletion, or revision in the Work, or responding to differing or unforeseen subsurface or physical conditions under which the Work is to be performed or to emergencies. A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the change ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order following negotiations by the parties as to its effect, if any, on the Contract Price or Contract Times.

1.02 *Terminology*

- A. The following words or terms are not defined but, when used in the Bidding Requirements or Contract Documents, have the following meaning.
- B. *Intent of Certain Terms or Adjectives*
 1. The Contract Documents include the terms “as allowed,” “as approved,” “as ordered,” “as directed” or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives “reasonable,” “suitable,” “acceptable,” “proper,” “satisfactory,” or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action or determination will be solely to evaluate, in general, the Work for compliance with the requirements of and information in the Contract Documents and conformance with the design concept of the completed Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of Paragraph 9.09 or any other provision of the Contract Documents.
- C. *Day*
 1. The word “day” means a calendar day of 24 hours measured from midnight to the next midnight.
- D. *Defective*
 1. The word “defective,” when modifying the word “Work,” refers to Work that is unsatisfactory, faulty, or deficient in that it:
 - a. does not conform to the Contract Documents, or

- b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents, or
 - c. has been damaged prior to Engineer's recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 14.04 or 14.05).
- E. *Furnish, Install, Perform, Provide*
- 1. The word "furnish," when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
 - 2. The word "install," when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment complete and ready for intended use.
 - 3. The words "perform" or "provide," when used in connection with services, materials, or equipment, shall mean to furnish and install said services, materials, or equipment complete and ready for intended use.
 - 4. When "furnish," "install," "perform," or "provide" is not used in connection with services, materials, or equipment in a context clearly requiring an obligation of Contractor, "provide" is implied.
- F. Unless stated otherwise in the Contract Documents, words or phrases which have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

ARTICLE 2 – PRELIMINARY MATTERS

2.01 *Delivery of Bonds and Evidence of Insurance*

- A. When Contractor delivers the executed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner such bonds as Contractor may be required to furnish.
- B. *Evidence of Insurance:* Before any Work at the Site is started, Contractor and Owner shall each deliver to the other, with copies to each additional insured identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance which either of them or any additional insured may reasonably request) which Contractor and Owner respectively are required to purchase and maintain in accordance with Article 5.

2.02 *Copies of Documents*

- A. Owner shall furnish to Contractor up to ten printed or hard copies of the Drawings and Project Manual. Additional copies will be furnished upon request at the cost of reproduction.

2.03 *Commencement of Contract Times; Notice to Proceed*

- A. The Contract Times will commence to run on the thirtieth day after the Effective Date of the Agreement or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Agreement.

2.04 *Starting the Work*

- A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work shall be done at the Site prior to the date on which the Contract Times commence to run.

2.05 *Before Starting Construction*

- A. *Preliminary Schedules:* Within 10 days after the Effective Date of the Agreement (unless otherwise specified in the General Requirements), Contractor shall submit to Engineer for timely review:
1. a preliminary Progress Schedule;
 2. a preliminary Schedule of Submittals; and
 3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

2.06 *Preconstruction Conference*

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, Agency, and others as appropriate will be held to establish a working understanding among the parties as to the Work and to discuss the schedules referred to in Paragraph 2.05.A, procedures for handling Shop Drawings and other submittals, processing Applications for Payment, and maintaining required records.

2.07 *Initial Acceptance of Schedules*

- A. At least 10 days before submission of the first Application for Payment a conference attended by Contractor, Engineer, and others as appropriate will be held to review for acceptability to Engineer as provided below the schedules submitted in accordance with Paragraph 2.05.A. Contractor shall have an additional 10 days to make corrections and adjustments and to complete and resubmit the schedules. No progress payment shall be made to Contractor until acceptable schedules are submitted to Engineer.
1. The Progress Schedule will be acceptable to Engineer if it provides an orderly progression of the Work to completion within the Contract Times. Such acceptance will not impose on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or progress of the Work nor interfere with or relieve Contractor from Contractor's full responsibility therefor.
 2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
 3. Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to component parts of the Work.

ARTICLE 3 – CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE

3.01 *Intent*

- A. The Contract Documents are complementary; what is required by one is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete Project (or part thereof) to be constructed in accordance with the Contract Documents. Any labor, documentation, services, materials, or equipment that may reasonably be inferred from the Contract Documents or from prevailing custom or trade usage as being required to produce the intended result will be provided whether or not specifically called for at no additional cost to Owner.
- C. Clarifications and interpretations of the Contract Documents shall be issued by Engineer as provided in Article 9.

3.02 *Reference Standards*

A. *Standards, Specifications, Codes, Laws, and Regulations*

1. Reference to standards, specifications, manuals, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, shall mean the standard, specification, manual, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Agreement if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
2. No provision of any such standard, specification, manual or code, or any instruction of a Supplier shall be effective to change the duties or responsibilities of Owner, Contractor, or Engineer, or any of their subcontractors, consultants, agents, or employees from those set forth in the Contract Documents. No such provision or instruction shall be effective to assign to Owner, or Engineer, or any of their Related Entities, any duty or authority to supervise or direct the performance of the Work or any duty or authority to undertake responsibility inconsistent with the provisions of the Contract Documents.

3.03 *Reporting and Resolving Discrepancies*

A. Reporting Discrepancies

1. *Contractor's Review of Contract Documents Before Starting Work:* Before undertaking each part of the Work, Contractor shall carefully study and compare the Contract Documents and check and verify pertinent figures therein and all applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy which Contractor may discover and shall obtain a written interpretation or clarification from Engineer before proceeding with any Work affected thereby.
2. *Contractor's Review of Contract Documents During Performance of Work:* If, during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents or between the Contract Documents and any provision of any Law or Regulation applicable to the performance of the Work or of any standard, specification, manual or code, or of any instruction of any Supplier, Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 6.16.A) until an amendment or supplement to the Contract Documents has been issued by one of the methods indicated in Paragraph 3.04.
3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor knew or reasonably should have known thereof.

B. Resolving Discrepancies

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the Contract Documents shall take precedence in resolving any conflict, error, ambiguity, or discrepancy between the provisions of the Contract Documents and:
 - a. the provisions of any standard, specification, manual, code, or instruction (whether or not specifically incorporated by reference in the Contract Documents); or
 - b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

3.04 *Amending and Supplementing Contract Documents*

- A. The Contract Documents may be amended to provide for additions, deletions, and revisions in the Work or to modify the terms and conditions thereof by either a Change Order or a Work Change Directive.

- B. The requirements of the Contract Documents may be supplemented, and minor variations and deviations in the Work may be authorized, by one or more of the following ways:
 - 1. A Field Order;
 - 2. Engineer's approval of a Shop Drawing or Sample; (Subject to the provisions of Paragraph 6.17.D.3) or
 - 3. Engineer's written interpretation or clarification.

3.05 *Reuse of Documents*

- A. Contractor and any Subcontractor or Supplier shall not:
 - 1. have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or Engineer's consultants, including electronic media editions; or
 - 2. reuse any of such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaption by Engineer.
- B. The prohibition of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein shall preclude Contractor from retaining copies of the Contract Documents for record purposes.

3.06 *Electronic Data*

- A. Copies of data furnished by Owner or Engineer to Contractor or Contractor to Owner or Engineer that may be relied upon are limited to the printed copies (also known as hard copies). Files in electronic media format of text, data, graphics, or other types are furnished only for the convenience of the receiving party. Any conclusion or information obtained or derived from such electronic files will be at the user's sole risk. If there is a discrepancy between the electronic files and the hard copies, the hard copies govern.
- B. Because data stored in electronic media format can deteriorate or be modified inadvertently or otherwise without authorization of the data's creator, the party receiving electronic files agrees that it will perform acceptance tests or procedures within 60 days, after which the receiving party shall be deemed to have accepted the data thus transferred. Any errors detected within the 60-day acceptance period will be corrected by the transferring party.
- C. When transferring documents in electronic media format, the transferring party makes no representations as to long term compatibility, usability, or readability of documents resulting from the use of software application packages, operating systems, or computer hardware differing from those used by the data's creator.

ARTICLE 4 – AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS; REFERENCE POINTS

4.01 *Availability of Lands*

- A. Owner shall furnish the Site. Owner shall notify Contractor of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work. Owner will obtain in a timely manner and pay for easements for permanent structures or permanent changes in existing facilities. If Contractor and Owner are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times, or both, as a result of any delay in Owner's furnishing the Site or a part thereof, Contractor may make a Claim therefor as provided in Paragraph 10.05.
- B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which the Work is to be performed and Owner's interest therein as necessary

for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.

- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

4.02 *Subsurface and Physical Conditions*

- A. *Reports and Drawings:* The Supplementary Conditions identify:

- 1. those reports of explorations and tests of subsurface conditions at or contiguous to the Site that Engineer has used in preparing the Contract Documents; and
- 2. those drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site (except Underground Facilities) that Engineer has used in preparing the Contract Documents.

- B. *Limited Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the general accuracy of the "technical data" contained in such reports and drawings, but such reports and drawings are not Contract Documents. Such "technical data" is identified in the Supplementary Conditions. Except for such reliance on such "technical data," Contractor may not rely upon or make any claim against Owner or Engineer, or any of their Related Entities with respect to:

- 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or
- 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
- 3. any Contractor interpretation of or conclusion drawn from any "technical data" or any such other data, interpretations, opinions, or information.

4.03 *Differing Subsurface or Physical Conditions*

- A. *Notice:* If Contractor believes that any subsurface or physical condition at or contiguous to the Site that is uncovered or revealed either:

- 1. is of such a nature as to establish that any "technical data" on which Contractor is entitled to rely as provided in Paragraph 4.02 is materially inaccurate; or
- 2. is of such a nature as to require a change in the Contract Documents; or
- 3. differs materially from that shown or indicated in the Contract Documents; or
- 4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 6.16.A), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except as aforesaid) until receipt of written order to do so.

B. *Engineer's Review:* After receipt of written notice as required by Paragraph 4.03.A, Engineer will promptly review the pertinent condition, determine the necessity of Owner's obtaining additional exploration or tests with respect thereto, and advise Owner in writing (with a copy to Contractor) of Engineer's findings and conclusions.

C. *Possible Price and Times Adjustments*

1. The Contract Price or the Contract Times, or both, will be equitably adjusted to the extent that the existence of such differing subsurface or physical condition causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
 - a. such condition must meet any one or more of the categories described in Paragraph 4.03.A; and
 - b. with respect to Work that is paid for on a Unit Price Basis, any adjustment in Contract Price will be subject to the provisions of Paragraphs 9.07 and 11.03.
2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times if:
 - a. Contractor knew of the existence of such conditions at the time Contractor made a final commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract; or
 - b. the existence of such condition could reasonably have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such final commitment; or
 - c. Contractor failed to give the written notice as required by Paragraph 4.03.A.
3. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times, or both, a Claim may be made therefor as provided in Paragraph 10.05. However, Owner and Engineer, and any of their Related Entities shall not be liable to Contractor for any claims, costs, losses, or damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by Contractor on or in connection with any other project or anticipated project.

4.04 *Underground Facilities*

- A. *Shown or Indicated:* The information and data shown or indicated in the Contract Documents with respect to existing Underground Facilities at or contiguous to the Site is based on information and data furnished to Owner or Engineer by the owners of such Underground Facilities, including Owner, or by others. Unless it is otherwise expressly provided in the Supplementary Conditions:
1. Owner and Engineer shall not be responsible for the accuracy or completeness of any such information or data; and
 2. the cost of all of the following will be included in the Contract Price, and Contractor shall have full responsibility for:
 - a. reviewing and checking all such information and data,
 - b. locating all Underground Facilities shown or indicated in the Contract Documents,
 - c. coordination of the Work with the owners of such Underground Facilities, including Owner, during construction, and

- d. the safety and protection of all such Underground Facilities and repairing any damage thereto resulting from the Work.

B. *Not Shown or Indicated*

1. If an Underground Facility is uncovered or revealed at or contiguous to the Site which was not shown or indicated, or not shown or indicated with reasonable accuracy in the Contract Documents, Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 6.16.A), identify the owner of such Underground Facility and give written notice to that owner and to Owner and Engineer. Engineer will promptly review the Underground Facility and determine the extent, if any, to which a change is required in the Contract Documents to reflect and document the consequences of the existence or location of the Underground Facility. During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.
2. If Engineer concludes that a change in the Contract Documents is required, a Work Change Directive or a Change Order will be issued to reflect and document such consequences. An equitable adjustment shall be made in the Contract Price or Contract Times, or both, to the extent that they are attributable to the existence or location of any Underground Facility that was not shown or indicated or not shown or indicated with reasonable accuracy in the Contract Documents and that Contractor did not know of and could not reasonably have been expected to be aware of or to have anticipated. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment in Contract Price or Contract Times, Owner or Contractor may make a Claim therefor as provided in Paragraph 10.05.

4.05 *Reference Points*

- A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

4.06 *Hazardous Environmental Condition at Site*

- A. *Reports and Drawings:* Reference is made to the Supplementary Conditions for the identification of those reports and drawings relating to a Hazardous Environmental Condition identified at the Site, if any, that have been utilized by the Engineer in the preparation of the Contract Documents.
- B. *Limited Reliance by Contractor on Technical Data Authorized:* Contractor may rely upon the general accuracy of the "technical data" contained in such reports and drawings, but such reports and drawings are not Contract Documents. Such "technical data" is identified in the Supplementary Conditions. Except for such reliance on such "technical data," Contractor may not rely upon or make any claim against Owner or Engineer, or any of their Related Entities with respect to:
 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor and safety precautions and programs incident thereto; or
 2. other data, interpretations, opinions and information contained in such reports or shown or indicated in such drawings; or
 3. any Contractor interpretation of or conclusion drawn from any "technical data" or any such other data, interpretations, opinions or information.

- C. Contractor shall not be responsible for any Hazardous Environmental Condition uncovered or revealed at the Site which was not shown or indicated in Drawings or Specifications or identified in the Contract Documents to be within the scope of the Work. Contractor shall be responsible for a Hazardous Environmental Condition created with any materials brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible.
- D. If Contractor encounters a Hazardous Environmental Condition or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, Contractor shall immediately: (i) secure or otherwise isolate such condition; (ii) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 6.16.A); and (iii) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any.
- E. Contractor shall not be required to resume Work in connection with such condition or in any affected area until after Owner has obtained any required permits related thereto and delivered to Contractor written notice: (i) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work; or (ii) specifying any special conditions under which such Work may be resumed safely. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, or both, as a result of such Work stoppage or such special conditions under which Work is agreed to be resumed by Contractor, either party may make a Claim therefor as provided in Paragraph 10.05.
- F. If after receipt of such written notice Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of an adjustment in Contract Price or Contract Times as a result of deleting such portion of the Work, then either party may make a Claim therefor as provided in Paragraph 10.05. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 7.
- G. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition: (i) was not shown or indicated in the Drawings or Specifications or identified in the Contract Documents to be included within the scope of the Work, and (ii) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 4.06.G shall obligate Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- H. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, partners, employees, agents, consultants, and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 4.06. H shall obligate Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- I. The provisions of Paragraphs 4.02, 4.03, and 4.04 do not apply to a Hazardous Environmental Condition uncovered or revealed at the Site.

ARTICLE 5 – BONDS AND INSURANCE

5.01 *Performance, Payment, and Other Bonds*

- A. Contractor shall furnish performance and payment bonds, each in an amount at least equal to the Contract Price as security for the faithful performance and payment of all of Contractor's obligations under the Contract Documents. These bonds shall remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 13.07, whichever is later, except as provided otherwise by Laws or Regulations or by the Contract Documents. Contractor shall also furnish such other bonds as are required by the Contract Documents.
- B. All bonds shall be in the form prescribed by the Contract Documents except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in the current list of "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (amended) by the Financial Management Service, Surety Bond Branch, U.S. Department of the Treasury. All bonds signed by an agent must be accompanied by a certified copy of the agent's authority to act.
- C. If the surety on any bond furnished by Contractor is declared bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the Project is located or it ceases to meet the requirements of Paragraph 5.01.B, Contractor shall promptly notify Owner and Engineer and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which shall comply with the requirements of Paragraphs 5.01.B and 5.02.

5.02 *Licensed Sureties and Insurers*

- A. All bonds and insurance required by the Contract Documents to be purchased and maintained by Owner or Contractor shall be obtained from surety or insurance companies that are duly licensed or authorized in the jurisdiction in which the Project is located to issue bonds or insurance policies for the limits and coverages so required. Such surety and insurance companies shall also meet such additional requirements and qualifications as may be provided in the Supplementary Conditions.

5.03 *Certificates of Insurance*

- A. Contractor shall deliver to Owner, with copies to each additional insured identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance requested by Owner or any other additional insured) which Contractor is required to purchase and maintain.
- B. Owner shall deliver to Contractor, with copies to each additional insured identified in the Supplementary Conditions, certificates of insurance (and other evidence of insurance requested by Contractor or any other additional insured) which Owner is required to purchase and maintain.

5.04 *Contractor's Liability Insurance*

- A. Contractor shall purchase and maintain such liability and other insurance as is appropriate for the Work being performed and as will provide protection from claims set forth below which may arise out of or result from Contractor's performance of the Work and Contractor's other obligations under the Contract Documents, whether it is to be performed by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable:
 - 1. claims under workers' compensation, disability benefits, and other similar employee benefit acts;
 - 2. claims for damages because of bodily injury, occupational sickness or disease, or death of Contractor's employees;

3. claims for damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees;
 4. claims for damages insured by reasonably available personal injury liability coverage which are sustained:
 - a. by any person as a result of an offense directly or indirectly related to the employment of such person by Contractor, or
 - b. by any other person for any other reason;
 5. claims for damages, other than to the Work itself, because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom; and
 6. claims for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance or use of any motor vehicle.
- B. The policies of insurance required by this Paragraph 5.04 shall:
1. with respect to insurance required by Paragraphs 5.04.A.3 through 5.04.A.6 inclusive, include as additional insureds (subject to any customary exclusion regarding professional liability) Owner and Engineer, and any other individuals or entities identified in the Supplementary Conditions, all of whom shall be listed as additional insureds, and include coverage for the respective officers, directors, partners, employees, agents, consultants and subcontractors of each and any of all such additional insureds, and the insurance afforded to these additional insureds shall provide primary coverage for all claims covered thereby;
 2. include at least the specific coverages and be written for not less than the limits of liability provided in the Supplementary Conditions or required by Laws or Regulations, whichever is greater;
 3. include completed operations insurance;
 4. include contractual liability insurance covering Contractor's indemnity obligations under Paragraphs 6.11 and 6.20;
 5. contain a provision or endorsement that the coverage afforded will not be canceled, materially changed or renewal refused until at least 30 days prior written notice has been given to Owner and Contractor and to each other additional insured identified in the Supplementary Conditions to whom a certificate of insurance has been issued (and the certificates of insurance furnished by the Contractor pursuant to Paragraph 5.03 will so provide);
 6. remain in effect at least until final payment and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work in accordance with Paragraph 13.07; and
 7. with respect to completed operations insurance, and any insurance coverage written on a claims-made basis, remain in effect for at least two years after final payment.
 - a. Contractor shall furnish Owner and each other additional insured identified in the Supplementary Conditions, to whom a certificate of insurance has been issued, evidence satisfactory to Owner and any such additional insured of continuation of such insurance at final payment and one year thereafter.

5.05 *Owner's Liability Insurance*

- A. In addition to the insurance required to be provided by Contractor under Paragraph 5.04, Owner, at Owner's option, may purchase and maintain at Owner's expense Owner's own liability insurance as will protect Owner against claims which may arise from operations under the Contract Documents.

5.06 *Property Insurance*

- A. Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain property insurance upon the Work at the Site in the amount of the full replacement cost thereof (Contractor shall be responsible for any deductible or self-insured retention.). This insurance shall:
1. include the interests of Owner, Contractor, Subcontractors, and Engineer, and any other individuals or entities identified in the Supplementary Conditions, and the officers, directors, partners, employees, agents, consultants and subcontractors of any of them, each of whom is deemed to have an insurable interest and shall be listed as an insured or additional insured;
 2. be written on a Builder's Risk "all-risk" or open peril or special causes of loss policy form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, falsework, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire, lightning, extended coverage, theft, vandalism and malicious mischief, earthquake, collapse, debris removal, demolition occasioned by enforcement of Laws and Regulations, water damage (other than caused by flood), and such other perils or causes of loss as may be specifically required by the Supplementary Conditions;
 3. include expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of engineers and architects);
 4. cover materials and equipment stored at the Site or at another location that was agreed to in writing by Owner prior to being incorporated in the Work, provided that such materials and equipment have been included in an Application for Payment recommended by Engineer;
 5. allow for partial utilization of the Work by Owner;
 6. include testing and startup; and
 7. be maintained in effect until final payment is made unless otherwise agreed to in writing by Owner, Contractor, and Engineer with 30 days written notice to each other additional insured to whom a certificate of insurance has been issued.
- B. Contractor shall purchase and maintain such boiler and machinery insurance or additional property insurance as may be required by the Supplementary Conditions or Laws and Regulations which will include the interests of Owner, Contractor, Subcontractors, and Engineer, and any other individuals or entities identified in the Supplementary Conditions, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them, each of whom is deemed to have an insurable interest and shall be listed as an insured or additional insured.
- C. All the policies of insurance (and the certificates or other evidence thereof) required to be purchased and maintained in accordance with Paragraph 5.06 will contain a provision or endorsement that the coverage afforded will not be canceled or materially changed or renewal refused until at least 30 days prior written notice has been given to Owner and Contractor and to each other additional insured to whom a certificate of insurance has been issued and will contain waiver provisions in accordance with Paragraph 5.07.
- D. Owner shall not be responsible for purchasing and maintaining any property insurance specified in this Paragraph 5.06 to protect the interests of Contractor, Subcontractors, or others in the Work to the extent of any deductible amounts that are identified in the Supplementary Conditions. The risk of loss within such identified deductible amount will be borne by Contractor, Subcontractors, or others suffering any such loss, and if any of them wishes property insurance coverage within the limits of such amounts, each may purchase and maintain it at the purchaser's own expense.

5.07 *Waiver of Rights*

- A. Owner and Contractor intend that all policies purchased in accordance with Paragraph 5.06 will protect Owner, Contractor, Subcontractors, and Engineer, and all other individuals or entities identified in the Supplementary Conditions to be listed as insureds or additional insureds (and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them) in such policies and will provide primary coverage for all losses and damages caused by the perils or causes of loss covered thereby. All such policies shall contain provisions to the effect that in the event of payment of any loss or damage the insurers will have no rights of recovery against any of the insureds or additional insureds thereunder. Owner and Contractor waive all rights against each other and their respective officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them for all losses and damages caused by, arising out of or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Subcontractors, and Engineer, and all other individuals or entities identified in the Supplementary Conditions to be listed as insured or additional insured (and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them) under such policies for losses and damages so caused. None of the above waivers shall extend to the rights that any party making such waiver may have to the proceeds of insurance held by Contractor as trustee or otherwise payable under any policy so issued.
- B. Owner waives all rights against Contractor, Subcontractors, and Engineer, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them for:
 - 1. loss due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other perils whether or not insured by Owner; and
 - 2. loss or damage to the completed Project or part thereof caused by, arising out of, or resulting from fire or other insured peril or cause of loss covered by any property insurance maintained on the completed Project or part thereof by Owner during partial utilization pursuant to Paragraph 14.05, after Substantial Completion pursuant to Paragraph 14.04, or after final payment pursuant to Paragraph 14.07.
- C. Any insurance policy maintained by Owner covering any loss, damage or consequential loss referred to in Paragraph 5.07.B shall contain provisions to the effect that in the event of payment of any such loss, damage, or consequential loss, the insurers will have no rights of recovery against Contractor, Subcontractors, or Engineer, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them.

5.08 *Receipt and Application of Insurance Proceeds*

- A. Any insured loss under the policies of insurance required by Paragraph 5.06 will be adjusted with Contractor and made payable to Contractor as fiduciary for the insureds, as their interests may appear, subject to the requirements of any applicable mortgage clause and of Paragraph 5.08.B. Contractor shall deposit in a separate account any money so received and shall distribute it in accordance with such agreement as the parties in interest may reach. If no other special agreement is reached, the damaged Work shall be repaired or replaced, the moneys so received applied on account thereof.
- B. Contractor as fiduciary shall have power to adjust and settle any loss with the insurers unless one of the parties in interest shall object in writing within 15 days after the occurrence of loss to Contractor's exercise of this power. If such objection be made, Contractor as fiduciary shall make settlement with the insurers in accordance with such agreement as the parties in interest may reach. If no such agreement among the parties in interest is reached, Contractor as fiduciary shall adjust and settle the loss with the insurers and, if required in writing by any party in interest, Contractor as fiduciary shall give bond for the proper performance of such duties.

5.09 *Acceptance of Bonds and Insurance; Option to Replace*

- A. If either Owner or Contractor has any objection to the coverage afforded by or other provisions of the bonds or insurance required to be purchased and maintained by the other party in accordance with Article 5 on the basis of

non-conformance with the Contract Documents, the objecting party shall so notify the other party in writing within 10 days after receipt of the certificates (or other evidence requested) required by Paragraph 2.01.B. Owner and Contractor shall each provide to the other such additional information in respect of insurance provided as the other may reasonably request. If either party does not purchase or maintain all of the bonds and insurance required of such party by the Contract Documents, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage. Without prejudice to any other right or remedy, the other party may elect to obtain equivalent bonds or insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and a Change Order shall be issued to adjust the Contract Price accordingly.

5.10 *Partial Utilization, Acknowledgment of Property Insurer*

- A. If Owner finds it necessary to occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work as provided in Paragraph 14.05, no such use or occupancy shall commence before the insurers providing the property insurance pursuant to Paragraph 5.06 have acknowledged notice thereof and in writing effected any changes in coverage necessitated thereby. The insurers providing the property insurance shall consent by endorsement on the policy or policies, but the property insurance shall not be canceled or permitted to lapse on account of any such partial use or occupancy.

ARTICLE 6 – CONTRACTOR'S RESPONSIBILITIES

6.01 *Supervision and Superintendence*

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction. Contractor shall not be responsible for the negligence of Owner or Engineer in the design or specification of a specific means, method, technique, sequence, or procedure of construction which is shown or indicated in and expressly required by the Contract Documents.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who shall not be replaced without written notice to Owner and Engineer except under extraordinary circumstances. The superintendent will be Contractor's representative at the Site and shall have authority to act on behalf of Contractor. All communications given to or received from the superintendent shall be binding on Contractor.

6.02 *Labor; Working Hours*

- A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall at all times maintain good discipline and order at the Site.
- B. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site shall be performed during regular working hours. Contractor will not permit the performance of Work on a Saturday, Sunday, or any legal holiday without Owner's written consent (which will not be unreasonably withheld) given after prior written notice to Engineer.

6.03 *Services, Materials, and Equipment*

- A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start-up, and completion of the Work.
- B. All materials and equipment incorporated into the Work shall be as specified or, if not specified, shall be of good quality and new, except as otherwise provided in the Contract Documents. All special warranties and guarantees

required by the Specifications shall expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.

- C. All materials and equipment shall be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

6.04 *Progress Schedule*

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.07 as it may be adjusted from time to time as provided below.
 - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.07) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times. Such adjustments will comply with any provisions of the General Requirements applicable thereto.
 - 2. Proposed adjustments in the Progress Schedule that will change the Contract Times shall be submitted in accordance with the requirements of Article 12. Adjustments in Contract Times may only be made by a Change Order.

6.05 *Substitutes and "Or-Equals"*

- A. Whenever an item of material or equipment is specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier, the specification or description is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or-equal" item or no substitution is permitted, other items of material or equipment or material or equipment of other Suppliers may be submitted to Engineer for review under the circumstances described below.
 - 1. "*Or-Equal*" Items: If in Engineer's sole discretion an item of material or equipment proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, it may be considered by Engineer as an "or-equal" item, in which case review and approval of the proposed item may, in Engineer's sole discretion, be accomplished without compliance with some or all of the requirements for approval of proposed substitute items. For the purposes of this Paragraph 6.05.A.1, a proposed item of material or equipment will be considered functionally equal to an item so named if:
 - a. in the exercise of reasonable judgment Engineer determines that:
 - 1) it is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;
 - 2) it will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
 - 3) it has a proven record of performance and availability of responsive service; and
 - b. Contractor certifies that, if approved and incorporated into the Work:
 - 1) there will be no increase in cost to the Owner or increase in Contract Times, and
 - 2) it will conform substantially to the detailed requirements of the item named in the Contract Documents.

2. Substitute Items

- a. If in Engineer's sole discretion an item of material or equipment proposed by Contractor does not qualify as an "or-equal" item under Paragraph 6.05.A.1, it will be considered a proposed substitute item.
 - b. Contractor shall submit sufficient information as provided below to allow Engineer to determine that the item of material or equipment proposed is essentially equivalent to that named and an acceptable substitute therefor. Requests for review of proposed substitute items of material or equipment will not be accepted by Engineer from anyone other than Contractor.
 - c. The procedure requirements for review by Engineer will be as set forth in Paragraph 6.05.A.2.d, as supplemented in the General Requirements and as Engineer may decide is appropriate under the circumstances.
 - d. Contractor shall make written application to Engineer for review of a proposed substitute item of material or equipment that Contractor seeks to furnish or use. The application:
 - 1) shall certify that the proposed substitute item will:
 - a) will perform adequately the functions and achieve the results called for by the general design,
 - b) be similar in substance to that specified, and
 - c) be suited to the same use as that specified;
 - 2) will state:
 - a) the extent, if any, to which the use of the proposed substitute item will prejudice Contractor's achievement of Substantial Completion on time;
 - b) whether or not use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item; and
 - c) whether or not incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty;
 - 3) will identify:
 - a) all variations of the proposed substitute item from that specified , and
 - b) available engineering, sales, maintenance, repair, and replacement services;
 - 4) and shall contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including costs of redesign and claims of other contractors affected by any resulting change.
- B. *Substitute Construction Methods or Procedures:* If a specific means, method, technique, sequence, or procedure of construction is expressly required by the Contract Documents, Contractor may furnish or utilize a substitute means, method, technique, sequence, or procedure of construction approved by Engineer. Contractor shall submit sufficient information to allow Engineer, in Engineer's sole discretion, to determine that the substitute proposed is equivalent to that expressly called for by the Contract Documents. The requirements for review by Engineer will be similar to those provided in Paragraph 6.05.A.2.

- C. *Engineer's Evaluation:* Engineer will be allowed a reasonable time within which to evaluate each proposal or submittal made pursuant to Paragraphs 6.05.A and 6.05.B. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No "or equal" or substitute will be ordered, installed or utilized until Engineer's review is complete, which will be evidenced by either a Change Order for a substitute or an approved Shop Drawing for an "or equal." Engineer will advise Contractor in writing of any negative determination.
- D. *Special Guarantee:* Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- E. *Engineer's Cost Reimbursement:* Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor pursuant to Paragraphs 6.05.A.2 and 6.05.B. Whether or not Engineer approves a substitute item so proposed or submitted by Contractor, Contractor shall reimburse Owner for the charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.
- F. *Contractor's Expense:* Contractor shall provide all data in support of any proposed substitute or "or-equal" at Contractor's expense.

6.06 *Concerning Subcontractors, Suppliers, and Others*

- A. Contractor shall not employ any Subcontractor, Supplier, or other individual or entity (including those acceptable to Owner as indicated in Paragraph 6.06.B), whether initially or as a replacement, against whom Owner may have reasonable objection. Contractor shall not be required to employ any Subcontractor, Supplier, or other individual or entity to furnish or perform any of the Work against whom Contractor has reasonable objection.
- B. If the Supplementary Conditions require the identity of certain Subcontractors, Suppliers, or other individuals or entities to be submitted to Owner in advance for acceptance by Owner by a specified date prior to the Effective Date of the Agreement, and if Contractor has submitted a list thereof in accordance with the Supplementary Conditions, Owner's acceptance (either in writing or by failing to make written objection thereto by the date indicated for acceptance or objection in the Bidding Documents or the Contract Documents) of any such Subcontractor, Supplier, or other individual or entity so identified may be revoked on the basis of reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor, Supplier, or other individual or entity, and the Contract Price will be adjusted by the difference in the cost occasioned by such replacement, and an appropriate Change Order will be issued. No acceptance by Owner of any such Subcontractor, Supplier, or other individual or entity, whether initially or as a replacement, shall constitute a waiver of any right of Owner or Engineer to reject defective Work.
- C. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of the Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work just as Contractor is responsible for Contractor's own acts and omissions. Nothing in the Contract Documents:
 - 1. shall create for the benefit of any such Subcontractor, Supplier, or other individual or entity any contractual relationship between Owner or Engineer and any such Subcontractor, Supplier or other individual or entity, nor
 - 2. shall anything in the Contract Documents create any obligation on the part of Owner or Engineer to pay or to see to the payment of any moneys due any such Subcontractor, Supplier, or other individual or entity except as may otherwise be required by Laws and Regulations.
- D. Contractor shall be solely responsible for scheduling and coordinating the Work of Subcontractors, Suppliers, and other individuals or entities performing or furnishing any of the Work under a direct or indirect contract with Contractor.

- E. Contractor shall require all Subcontractors, Suppliers, and such other individuals or entities performing or furnishing any of the Work to communicate with Engineer through Contractor.
- F. The divisions and sections of the Specifications and the identifications of any Drawings shall not control Contractor in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.
- G. All Work performed for Contractor by a Subcontractor or Supplier will be pursuant to an appropriate agreement between Contractor and the Subcontractor or Supplier which specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Owner and Engineer. Whenever any such agreement is with a Subcontractor or Supplier who is listed as an additional insured on the property insurance provided in Paragraph 5.06, the agreement between the Contractor and the Subcontractor or Supplier will contain provisions whereby the Subcontractor or Supplier waives all rights against Owner, Contractor, and Engineer, and all other individuals or entities identified in the Supplementary Conditions to be listed as insureds or additional insureds (and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them) for all losses and damages caused by, arising out of, relating to, or resulting from any of the perils or causes of loss covered by such policies and any other property insurance applicable to the Work. If the insurers on any such policies require separate waiver forms to be signed by any Subcontractor or Supplier, Contractor will obtain the same.

6.07 *Patent Fees and Royalties*

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if to the actual knowledge of Owner or Engineer its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by Owner in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

6.08 *Permits*

- A. Unless otherwise provided in the Supplementary Conditions, Contractor shall obtain and pay for all construction permits and licenses. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of opening of Bids, or, if there are no Bids, on the Effective Date of the Agreement. Owner shall pay all charges of utility owners for connections for providing permanent service to the Work.

6.09 *Laws and Regulations*

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Except where otherwise expressly required by applicable Laws and Regulations, neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work. However, it shall not be Contractor's primary responsibility to make

certain that the Specifications and Drawings are in accordance with Laws and Regulations, but this shall not relieve Contractor of Contractor's obligations under Paragraph 3.03.

- C. Changes in Laws or Regulations not known at the time of opening of Bids (or, on the Effective Date of the Agreement if there were no Bids) having an effect on the cost or time of performance of the Work shall be the subject of an adjustment in Contract Price or Contract Times. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment, a Claim may be made therefor as provided in Paragraph 10.05.

6.10 *Taxes*

- A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

6.11 *Use of Site and Other Areas*

A. *Limitation on Use of Site and Other Areas*

1. Contractor shall confine construction equipment, the storage of materials and equipment, and the operations of workers to the Site and other areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and other areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for any damage to any such land or area, or to the owner or occupant thereof, or of any adjacent land or areas resulting from the performance of the Work.
2. Should any claim be made by any such owner or occupant because of the performance of the Work, Contractor shall promptly settle with such other party by negotiation or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law.
3. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused by or based upon Contractor's performance of the Work.

- B. *Removal of Debris During Performance of the Work:* During the progress of the Work Contractor shall keep the Site and other areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris shall conform to applicable Laws and Regulations.

- C. *Cleaning:* Prior to Substantial Completion of the Work, Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.

- D. *Loading Structures:* Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent property to stresses or pressures that will endanger it.

6.12 *Record Documents*

- A. Contractor shall maintain in a safe place at the Site one record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, and written interpretations and clarifications in good order and annotated to show changes made during construction. These record documents together with all approved Samples and a counterpart of all approved Shop Drawings will be available to Engineer for reference. Upon

completion of the Work, these record documents, Samples, and Shop Drawings will be delivered to Engineer for Owner.

6.13 *Safety and Protection*

- A. Contractor shall be solely responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to:
 - 1. all persons on the Site or who may be affected by the Work;
 - 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
 - 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- B. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection. Contractor shall notify owners of adjacent property and of Underground Facilities and other utility owners when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property.
- C. All damage, injury, or loss to any property referred to in Paragraph 6.13.A.2 or 6.13.A.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or , or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
- D. Contractor's duties and responsibilities for safety and for protection of the Work shall continue until such time as all the Work is completed and Engineer has issued a notice to Owner and Contractor in accordance with Paragraph 14.07.B that the Work is acceptable (except as otherwise expressly provided in connection with Substantial Completion).

6.14 *Safety Representative*

- A. Contractor shall designate a qualified and experienced safety representative at the Site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and programs.

6.15 *Hazard Communication Programs*

- A. Contractor shall be responsible for coordinating any exchange of material safety data sheets or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

6.16 *Emergencies*

- A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent threatened damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby or are required as a result thereof. If Engineer determines that a change in

the Contract Documents is required because of the action taken by Contractor in response to such an emergency, a Work Change Directive or Change Order will be issued.

6.17 *Shop Drawings and Samples*

- A. Contractor shall submit Shop Drawings and Samples to Engineer for review and approval in accordance with the acceptable Schedule of Submittals (as required by Paragraph 2.07). Each submittal will be identified as Engineer may require.
 - 1. *Shop Drawings*
 - a. Submit number of copies specified in the General Requirements.
 - b. Data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide and to enable Engineer to review the information for the limited purposes required by Paragraph 6.17.D.
 - 2. *Samples*
 - a. Submit number of Samples specified in the Specifications.
 - b. Clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the submittal for the limited purposes required by Paragraph 6.17.D.
- B. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.
- C. *Submittal Procedures*
 - 1. Before submitting each Shop Drawing or Sample, Contractor shall have determined and verified:
 - a. all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto;
 - b. the suitability of all materials with respect to intended use, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work;
 - c. all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto; and
 - d. shall also have reviewed and coordinated each Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents.
 - 2. Each submittal shall bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review and approval of that submittal.
 - 3. With each submittal, Contractor shall give Engineer specific written notice of any variations, that the Shop Drawing or Sample may have from the requirements of the Contract Documents. This notice shall be both a written communication separate from the Shop Drawings or Sample submittal; and, in addition, by a specific notation made on each Shop Drawing or Sample submitted to Engineer for review and approval of each such variation.

D. *Engineer's Review*

1. Engineer will provide timely review of Shop Drawings and Samples in accordance with the Schedule of Submittals acceptable to Engineer. Engineer's review and approval will be only to determine if the items covered by the submittals will, after installation or incorporation in the Work, conform to the information given in the Contract Documents and be compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction (except where a particular means, method, technique, sequence, or procedure of construction is specifically and expressly called for by the Contract Documents) or to safety precautions or programs incident thereto. The review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
3. Engineer's review and approval shall not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 6.17.C.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer's review and approval shall not relieve Contractor from responsibility for complying with the requirements of Paragraph 6.17.C.1.

E. *Resubmittal Procedures*

1. Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous submittals.

6.18 *Continuing the Work*

- A. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements, except as permitted by Paragraph 15.04 or as Owner and Contractor may otherwise agree in writing.

6.19 *Contractor's General Warranty and Guarantee*

- A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer and its Related Entities shall be entitled to rely on representation of Contractor's warranty and guarantee.
- B. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
 1. abuse, modification, or improper maintenance or operation by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
 2. normal wear and tear under normal usage.
- C. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents shall be absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents or a release of Contractor's obligation to perform the Work in accordance with the Contract Documents:
 1. observations by Engineer;
 2. recommendation by Engineer or payment by Owner of any progress or final payment;

3. the issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
4. use or occupancy of the Work or any part thereof by Owner;
5. any review and approval of a Shop Drawing or Sample submittal or the issuance of a notice of acceptability by Engineer;
6. any inspection, test, or approval by others; or
7. any correction of defective Work by Owner.

6.20 *Indemnification*

- A. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, partners, employees, agents, consultants and subcontractors of each and any of them from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the performance of the Work, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work or anyone for whose acts any of them may be liable.
- B. In any and all claims against Owner or Engineer or any of their respective consultants, agents, officers, directors, partners, or employees by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 6.20.A shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- C. The indemnification obligations of Contractor under Paragraph 6.20.A shall not extend to the liability of Engineer and Engineer's officers, directors, partners, employees, agents, consultants and subcontractors arising out of:
 1. the preparation or approval of, or the failure to prepare or approve, maps, Drawings, opinions, reports, surveys, Change Orders, designs, or Specifications; or
 2. giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage.

6.21 *Delegation of Professional Design Services*

- A. Contractor will not be required to provide professional design services unless such services are specifically required by the Contract Documents for a portion of the Work or unless such services are required to carry out Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. Contractor shall not be required to provide professional services in violation of applicable law.
- B. If professional design services or certifications by a design professional related to systems, materials or equipment are specifically required of Contractor by the Contract Documents, Owner and Engineer will specify all performance and design criteria that such services must satisfy. Contractor shall cause such services or certifications to be provided by a properly licensed professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings and other submittals prepared by such professional. Shop Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to Engineer.

- C. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy and completeness of the services, certifications or approvals performed by such design professionals, provided Owner and Engineer have specified to Contractor all performance and design criteria that such services must satisfy.
- D. Pursuant to this Paragraph 6.21, Engineer's review and approval of design calculations and design drawings will be only for the limited purpose of checking for conformance with performance and design criteria given and the design concept expressed in the Contract Documents. Engineer's review and approval of Shop Drawings and other submittals (except design calculations and design drawings) will be only for the purpose stated in Paragraph 6.17.D.1.
- E. Contractor shall not be responsible for the adequacy of the performance or design criteria required by the Contract Documents.

ARTICLE 7 – OTHER WORK AT THE SITE

7.01 Related Work at Site

- A. Owner may perform other work related to the Project at the Site with Owner's employees, or via other direct contracts therefor, or have other work performed by utility owners. If such other work is not noted in the Contract Documents, then:
 - 1. written notice thereof will be given to Contractor prior to starting any such other work; and
 - 2. if Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in the Contract Price or Contract Times that should be allowed as a result of such other work, a Claim may be made therefor as provided in Paragraph 10.05.
- B. Contractor shall afford each other contractor who is a party to such a direct contract, each utility owner and Owner, if Owner is performing other work with Owner's employees, proper and safe access to the Site, a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work, and shall properly coordinate the Work with theirs. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering their work and will only cut or alter their work with the written consent of Engineer and the others whose work will be affected. The duties and responsibilities of Contractor under this Paragraph are for the benefit of such utility owners and other contractors to the extent that there are comparable provisions for the benefit of Contractor in said direct contracts between Owner and such utility owners and other contractors.
- C. If the proper execution or results of any part of Contractor's Work depends upon work performed by others under this Article 7, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.

7.02 Coordination

- A. If Owner intends to contract with others for the performance of other work on the Project at the Site, the following will be set forth in Supplementary Conditions:
 - 1. the individual or entity who will have authority and responsibility for coordination of the activities among the various contractors will be identified;
 - 2. the specific matters to be covered by such authority and responsibility will be itemized; and
 - 3. the extent of such authority and responsibilities will be provided.

- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

7.03 *Legal Relationships*

- A. Paragraphs 7.01.A and 7.02 are not applicable for utilities not under the control of Owner.
- B. Each other direct contract of Owner under Paragraph 7.01.A shall provide that the other contractor is liable to Owner and Contractor for the reasonable direct delay and disruption costs incurred by Contractor as a result of the other contractor's actions or inactions.
- C. Contractor shall be liable to Owner and any other contractor for the reasonable direct delay and disruption costs incurred by such other contractor as a result of Contractor's action or inactions.

ARTICLE 8 – OWNER'S RESPONSIBILITIES

8.01 *Communications to Contractor*

- A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.

8.02 *Replacement of Engineer*

- A. In case of termination of the employment of Engineer, Owner shall appoint an engineer to whom Contractor makes no reasonable objection, whose status under the Contract Documents shall be that of the former Engineer.

8.03 *Furnish Data*

- A. Owner shall promptly furnish the data required of Owner under the Contract Documents.

8.04 *Pay When Due*

- A. Owner shall make payments to Contractor when they are due as provided in Paragraphs 14.02.C and 14.07.C.

8.05 *Lands and Easements; Reports and Tests*

- A. Owner's duties in respect of providing lands and easements and providing engineering surveys to establish reference points are set forth in Paragraphs 4.01 and 4.05. Paragraph 4.02 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of subsurface conditions and drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site that have been utilized by Engineer in preparing the Contract Documents.

8.06 *Insurance*

- A. Owner's responsibilities, if any, in respect to purchasing and maintaining liability and property insurance are set forth in Article 5.

8.07 *Change Orders*

- A. Owner is obligated to execute Change Orders as indicated in Paragraph 10.03.

8.08 *Inspections, Tests, and Approvals*

- A. Owner's responsibility in respect to certain inspections, tests, and approvals is set forth in Paragraph 13.03.B.

8.09 *Limitations on Owner's Responsibilities*

- A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

8.10 *Undisclosed Hazardous Environmental Condition*

- A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 4.06.

8.11 *Evidence of Financial Arrangements*

- A. If and to the extent Owner has agreed to furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract Documents, Owner's responsibility in respect thereof will be as set forth in the Supplementary Conditions.

ARTICLE 9 – ENGINEER'S STATUS DURING CONSTRUCTION

9.01 *Owner's Representative*

- A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract Documents and will not be changed without written consent of Owner and Engineer.

9.02 *Visits to Site*

- A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe as an experienced and qualified design professional the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 9.09. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

9.03 *Project Representative*

- A. If Owner and Engineer agree, Engineer will furnish a Resident Project Representative to assist Engineer in providing more extensive observation of the Work. The authority and responsibilities of any such Resident Project Representative and assistants will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in Paragraph 9.09. If Owner designates another representative or agent to represent Owner at the Site who is not Engineer's consultant, agent or employee, the responsibilities and authority and limitations thereon of such other individual or entity will be as provided in the Supplementary Conditions.

9.04 *Authorized Variations in Work*

- A. Engineer may authorize minor variations in the Work from the requirements of the Contract Documents which do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. These may be accomplished by a Field Order and will be binding on Owner and also on Contractor, who shall perform the Work involved promptly. If Owner or Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, or both, and the parties are unable to agree on entitlement to or on the amount or extent, if any, of any such adjustment, a Claim may be made therefor as provided in Paragraph 10.05.

9.05 *Rejecting Defective Work*

- A. Engineer will have authority to reject Work which Engineer believes to be defective, or that Engineer believes will not produce a completed Project that conforms to the Contract Documents or that will prejudice the integrity of the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Engineer will also have authority to require special inspection or testing of the Work as provided in Paragraph 13.04, whether or not the Work is fabricated, installed, or completed.

9.06 *Shop Drawings, Change Orders and Payments*

- A. In connection with Engineer's authority, and limitations thereof, as to Shop Drawings and Samples, see Paragraph 6.17.
- B. In connection with Engineer's authority, and limitations thereof, as to design calculations and design drawings submitted in response to a delegation of professional design services, if any, see Paragraph 6.21.
- C. In connection with Engineer's authority as to Change Orders, see Articles 10, 11, and 12.
- D. In connection with Engineer's authority as to Applications for Payment, see Article 14.

9.07 *Determinations for Unit Price Work*

- A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, subject to the provisions of Paragraph 10.05.

9.08 *Decisions on Requirements of Contract Documents and Acceptability of Work*

- A. Engineer will be the initial interpreter of the requirements of the Contract Documents and judge of the acceptability of the Work thereunder. All matters in question and other matters between Owner and Contractor arising prior to the date final payment is due relating to the acceptability of the Work, and the interpretation of the requirements of the Contract Documents pertaining to the performance of the Work, will be referred initially to Engineer in writing within 30 days of the event giving rise to the question.
- B. Engineer will, with reasonable promptness, render a written decision on the issue referred. If Owner or Contractor believe that any such decision entitles them to an adjustment in the Contract Price or Contract Times or both, a Claim may be made under Paragraph 10.05. The date of Engineer's decision shall be the date of the event giving rise to the issues referenced for the purposes of Paragraph 10.05.B.
- C. Engineer's written decision on the issue referred will be final and binding on Owner and Contractor, subject to the provisions of Paragraph 10.05.

- D. When functioning as interpreter and judge under this Paragraph 9.08, Engineer will not show partiality to Owner or Contractor and will not be liable in connection with any interpretation or decision rendered in good faith in such capacity.

9.09 *Limitations on Engineer's Authority and Responsibilities*

- A. Neither Engineer's authority or responsibility under this Article 9 or under any other provision of the Contract Documents nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer shall create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.
- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Paragraph 14.07.A will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals that the results certified indicate compliance with the Contract Documents.
- E. The limitations upon authority and responsibility set forth in this Paragraph 9.09 shall also apply to the Resident Project Representative, if any, and assistants, if any.

ARTICLE 10 – CHANGES IN THE WORK; CLAIMS

10.01 *Authorized Changes in the Work*

- A. Without invalidating the Contract and without notice to any surety, Owner may, subject to written approval by Agency at any time or from time to time, order additions, deletions, or revisions in the Work by a Change Order, or a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved which will be performed under the applicable conditions of the Contract Documents (except as otherwise specifically provided).
- B. If Owner and Contractor are unable to agree on entitlement to, or on the amount or extent, if any, of an adjustment in the Contract Price or Contract Times, or both, that should be allowed as a result of a Work Change Directive, a Claim may be made therefor as provided in Paragraph 10.05.

10.02 *Unauthorized Changes in the Work*

- A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents as amended, modified, or supplemented as provided in Paragraph 3.04, except in the case of an emergency as provided in Paragraph 6.16 or in the case of uncovering Work as provided in Paragraph 13.04.B.

10.03 *Execution of Change Orders*

- A. Owner and Contractor shall execute appropriate Change Orders recommended by Engineer covering:
1. changes in the Work which are: (i) ordered by Owner pursuant to Paragraph 10.01.A, (ii) required because of acceptance of defective Work under Paragraph 13.08.A or Owner's correction of defective Work under Paragraph 13.09, or (iii) agreed to by the parties;
 2. changes in the Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive; and
 3. changes in the Contract Price or Contract Times which embody the substance of any written decision rendered by Engineer pursuant to Paragraph 10.05; provided that, in lieu of executing any such Change Order, an appeal may be taken from any such decision in accordance with the provisions of the Contract Documents and applicable Laws and Regulations, but during any such appeal, Contractor shall carry on the Work and adhere to the Progress Schedule as provided in Paragraph 6.18.A.

10.04 *Notification to Surety*

- A. If notice of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times) is required by the provisions of any bond to be given to a surety, the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

10.05 *Claims*

- A. *Engineer's Decision Required:* All Claims, except those waived pursuant to Paragraph 14.09, shall be referred to the Engineer for decision. A decision by Engineer shall be required as a condition precedent to any exercise by Owner or Contractor of any rights or remedies either may otherwise have under the Contract Documents or by Laws and Regulations in respect of such Claims.
- B. *Notice:* Written notice stating the general nature of each Claim shall be delivered by the claimant to Engineer and the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto. The responsibility to substantiate a Claim shall rest with the party making the Claim. Notice of the amount or extent of the Claim, with supporting data shall be delivered to the Engineer and the other party to the Contract within 60 days after the start of such event (unless Engineer allows additional time for claimant to submit additional or more accurate data in support of such Claim). A Claim for an adjustment in Contract Price shall be prepared in accordance with the provisions of Paragraph 12.01.B. A Claim for an adjustment in Contract Time shall be prepared in accordance with the provisions of Paragraph 12.02.B. Each Claim shall be accompanied by claimant's written statement that the adjustment claimed is the entire adjustment to which the claimant believes it is entitled as a result of said event. The opposing party shall submit any response to Engineer and the claimant within 30 days after receipt of the claimant's last submittal (unless Engineer allows additional time).
- C. *Engineer's Action:* Engineer will review each Claim and, within 30 days after receipt of the last submittal of the claimant or the last submittal of the opposing party, if any, take one of the following actions in writing:
1. deny the Claim in whole or in part,
 2. approve the Claim, or
 3. notify the parties that the Engineer is unable to resolve the Claim if, in the Engineer's sole discretion, it would be inappropriate for the Engineer to do so. For purposes of further resolution of the Claim, such notice shall be deemed a denial.
- D. In the event that Engineer does not take action on a Claim within said 30 days, the Claim shall be deemed denied.

- E. Engineer's written action under Paragraph 10.05.C or denial pursuant to Paragraphs 10.05.C.3 or 10.05.D will be final and binding upon Owner and Contractor, unless Owner or Contractor invoke the dispute resolution procedure set forth in Article 16 within 30 days of such action or denial.
- F. No Claim for an adjustment in Contract Price or Contract Times will be valid if not submitted in accordance with this Paragraph 10.05.

ARTICLE 11 – COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

11.01 *Cost of the Work*

- A. *Costs Included:* The term Cost of the Work means the sum of all costs, except those excluded in Paragraph 11.01.B, necessarily incurred and paid by Contractor in the proper performance of the Work. When the value of any Work covered by a Change Order or when a Claim for an adjustment in Contract Price is determined on the basis of Cost of the Work, the costs to be reimbursed to Contractor will be only those additional or incremental costs required because of the change in the Work or because of the event giving rise to the Claim. Except as otherwise may be agreed to in writing by Owner, such costs shall be in amounts no higher than those prevailing in the locality of the Project, shall include only the following items, and shall not include any of the costs itemized in Paragraph 11.01.B.
 - 1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor. Such employees shall include, without limitation, superintendents, foremen, and other personnel employed full time at the Site. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, bonuses, sick leave, vacation and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, shall be included in the above to the extent authorized by Owner.
 - 2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts shall accrue to Owner. All trade discounts, rebates and refunds and returns from sale of surplus materials and equipment shall accrue to Owner, and Contractor shall make provisions so that they may be obtained.
 - 3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, who will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee shall be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 11.01.
 - 4. Costs of special consultants (including but not limited to Engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed for services specifically related to the Work.
 - 5. Supplemental costs including the following:
 - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
 - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.

- c. Rentals of all construction equipment and machinery, and the parts thereof whether rented from Contractor or others in accordance with rental agreements approved by Owner with the advice of Engineer, and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs shall be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts shall cease when the use thereof is no longer necessary for the Work.
 - d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, imposed by Laws and Regulations.
 - e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
 - f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of property insurance established in accordance with Paragraph 5.06.D), provided such losses and damages have resulted from causes other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining Contractor's fee.
 - g. The cost of utilities, fuel, and sanitary facilities at the Site.
 - h. Minor expenses such as telegrams, long distance telephone calls, telephone service at the Site, expressages, and similar petty cash items in connection with the Work.
 - i. The costs of premiums for all bonds and insurance Contractor is required by the Contract Documents to purchase and maintain.
- B. *Costs Excluded:* The term Cost of the Work shall not include any of the following items:
- 1. Payroll costs and other compensation of Contractor's officers, executives, principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 11.01.A.1 or specifically covered by Paragraph 11.01.A.4, all of which are to be considered administrative costs covered by the Contractor's fee.
 - 2. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
 - 3. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
 - 4. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
 - 5. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraphs 11.01.A and 11.01.B.
- C. *Contractor's Fee:* When all the Work is performed on the basis of cost-plus, Contractor's fee shall be determined as set forth in the Agreement. When the value of any Work covered by a Change Order or when a Claim for an

adjustment in Contract Price is determined on the basis of Cost of the Work, Contractor's fee shall be determined as set forth in Paragraph 12.01.C.

- D. *Documentation:* Whenever the Cost of the Work for any purpose is to be determined pursuant to Paragraphs 11.01.A and 11.01.B, Contractor will establish and maintain records thereof in accordance with generally accepted accounting practices and submit in a form acceptable to Engineer an itemized cost breakdown together with supporting data.

11.02 Allowances

- A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.
- B. Cash Allowances
1. Contractor agrees that:
 - a. the cash allowances include the cost to Contractor (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the Site, and all applicable taxes; and
 - b. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment on account of any of the foregoing will be valid.
- C. *Contingency Allowance*
1. Contractor agrees that a contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.
- D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

11.03 Unit Price Work

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Determinations of the actual quantities and classifications of Unit Price Work performed by Contractor will be made by Engineer subject to the provisions of Paragraph 9.07.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Owner or Contractor may make a Claim for an adjustment in the Contract Price in accordance with Paragraph 10.05 if:
1. the Bid price of a particular item of Unit Price Work amounts to more than 5 percent of the Contract Price and the variation in the quantity of that particular item of Unit Price Work performed by Contractor differs by more than 25 percent from the estimated quantity of such item indicated in the Agreement; and

2. there is no corresponding adjustment with respect to any other item of Work; and
3. Contractor believes that Contractor is entitled to an increase in Contract Price as a result of having incurred additional expense or Owner believes that Owner is entitled to a decrease in Contract Price and the parties are unable to agree as to the amount of any such increase or decrease.

ARTICLE 12 – CHANGE OF CONTRACT PRICE; CHANGE OF CONTRACT TIMES

12.01 *Change of Contract Price*

- A. The Contract Price may only be changed by a Change Order. Any Claim for an adjustment in the Contract Price shall be based on written notice submitted by the party making the Claim to the Engineer and the other party to the Contract in accordance with the provisions of Paragraph 10.05.
- B. The value of any Work covered by a Change Order or of any Claim for an adjustment in the Contract Price will be determined as follows:
 1. where the Work involved is covered by unit prices contained in the Contract Documents, by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 11.03); or
 2. where the Work involved is not covered by unit prices contained in the Contract Documents, by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 12.01.C.2); or
 3. where the Work involved is not covered by unit prices contained in the Contract Documents and agreement to a lump sum is not reached under Paragraph 12.01.B.2, on the basis of the Cost of the Work (determined as provided in Paragraph 11.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 12.01.C).
- C. Contractor's Fee: The Contractor's fee for overhead and profit shall be determined as follows:
 1. a mutually acceptable fixed fee; or
 2. if a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
 - a. for costs incurred under Paragraphs 11.01.A.1 and 11.01.A.2, the Contractor's fee shall be 15 percent;
 - b. for costs incurred under Paragraph 11.01.A.3, the Contractor's fee shall be five percent;
 - c. where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraph 12.01.C.2.a is that the Subcontractor who actually performs the Work, at whatever tier, will be paid a fee of 15 percent of the costs incurred by such Subcontractor under Paragraphs 11.01.A.1 and 11.01.A.2 and that any higher tier Subcontractor and Contractor will each be paid a fee of five percent of the amount paid to the next lower tier Subcontractor;
 - d. no fee shall be payable on the basis of costs itemized under Paragraphs 11.01.A.4, 11.01.A.5, and 11.01.B;
 - e. the amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in cost will be the amount of the actual net decrease in cost plus a deduction in Contractor's fee by an amount equal to five percent of such net decrease; and

- f. when both additions and credits are involved in any one change, the adjustment in Contractor's fee shall be computed on the basis of the net change in accordance with Paragraphs 12.01.C.2.a through 12.01.C.2.e, inclusive.

12.02 *Change of Contract Times*

- A. The Contract Times may only be changed by a Change Order. Any Claim for an adjustment in the Contract Times shall be based on written notice submitted by the party making the Claim to the Engineer and the other party to the Contract in accordance with the provisions of Paragraph 10.05.
- B. Any adjustment of the Contract Times covered by a Change Order or any Claim for an adjustment in the Contract Times will be determined in accordance with the provisions of this Article 12.

12.03 *Delays*

- A. Where Contractor is prevented from completing any part of the Work within the Contract Times due to delay beyond the control of Contractor, the Contract Times will be extended in an amount equal to the time lost due to such delay if a Claim is made therefor as provided in Paragraph 12.02.A. Delays beyond the control of Contractor shall include, but not be limited to, acts or neglect by Owner, acts or neglect of utility owners or other contractors performing other work as contemplated by Article 7, fires, floods, epidemics, abnormal weather conditions, or acts of God.
- B. If Owner, Engineer, or other contractors or utility owners performing other work for Owner as contemplated by Article 7, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times, or both. Contractor's entitlement to an adjustment of the Contract Times is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Times.
- C. If Contractor is delayed in the performance or progress of the Work by fire, flood, epidemic, abnormal weather conditions, acts of God, acts or failures to act of utility owners not under the control of Owner, or other causes not the fault of and beyond control of Owner and Contractor, then Contractor shall be entitled to an equitable adjustment in Contract Times, if such adjustment is essential to Contractor's ability to complete the Work within the Contract Times. Such an adjustment shall be Contractor's sole and exclusive remedy for the delays described in this Paragraph 12.03.B.
 - 1. delays caused by or within the control of Contractor; or
- D. Owner, Engineer and the Related Entities of each of them shall not be liable to Contractor for any claims, costs, losses, or damages (including but not limited to all fees and charges of Engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by Contractor on or in connection with any other project or anticipated project.
- E. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delays within the control of Contractor. Delays attributable to and within the control of a Subcontractor or Supplier shall be deemed to be delays within the control of Contractor.

ARTICLE 13 – TESTS AND INSPECTIONS; CORRECTION, REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

13.01 *Notice of Defects*

- A. Prompt notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor. All defective Work may be rejected, corrected, or accepted as provided in this Article 13.

13.02 *Access to Work*

- A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and governmental agencies with jurisdictional interests will have access to the Site and the Work at reasonable times for their observation, inspecting, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's Site safety procedures and programs so that they may comply therewith as applicable.

13.03 *Tests and Inspections*

- A. Contractor shall give Engineer timely notice of readiness of the Work for all required inspections, tests, or approvals and shall cooperate with inspection and testing personnel to facilitate required inspections or tests.
- B. Owner shall employ and pay for the services of an independent testing laboratory to perform all inspections, tests, or approvals required by the Contract Documents except:
 - 1. for inspections, tests, or approvals covered by Paragraphs 13.03.C and 13.03.D below;
 - 2. that costs incurred in connection with tests or inspections conducted pursuant to Paragraph 13.04.B shall be paid as provided in said Paragraph 13.04.C; and
 - 3. as otherwise specifically provided in the Contract Documents.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.
- D. Contractor shall be responsible for arranging and obtaining and shall pay all costs in connection with any inspections, tests, or approvals required for Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work; or acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work. Such inspections, tests, or approvals shall be performed by organizations acceptable to Owner and Engineer.
- E. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, it must, if requested by Engineer, be uncovered for observation.
- F. Uncovering Work as provided in Paragraph 13.03.E shall be at Contractor's expense unless Contractor has given Engineer timely notice of Contractor's intention to cover the same and Engineer has not acted with reasonable promptness in response to such notice.

13.04 *Uncovering Work*

- A. If any Work is covered contrary to the written request of Engineer, it must, if requested by Engineer, be uncovered for Engineer's observation and replaced at Contractor's expense.
- B. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, furnishing all necessary labor, material, and equipment.
- C. If it is found that the uncovered Work is defective, Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and Owner shall be entitled to an appropriate decrease in the

Contract Price. If the parties are unable to agree as to the amount thereof, Owner may make a Claim therefor as provided in Paragraph 10.05.

- D. If, the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, Contractor may make a Claim therefor as provided in Paragraph 10.05.

13.05 *Owner May Stop the Work*

- A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work shall not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

13.06 *Correction or Removal of Defective Work*

- A. Promptly after receipt of notice, Contractor shall correct all defective Work, whether or not fabricated, installed, or completed, or, if the Work has been rejected by Engineer, remove it from the Project and replace it with Work that is not defective. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or removal (including but not limited to all costs of repair or replacement of work of others).
- B. When correcting defective Work under the terms of this Paragraph 13.06 or Paragraph 13.07, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.

13.07 *Correction Period*

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the terms of any applicable special guarantee required by the Contract Documents) or by any specific provision of the Contract Documents, any Work is found to be defective, or if the repair of any damages to the land or areas made available for Contractor's use by Owner or permitted by Laws and Regulations as contemplated in Paragraph 6.11.A is found to be defective, Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
 - 1. repair such defective land or areas; or
 - 2. correct such defective Work; or
 - 3. if the defective Work has been rejected by Owner, remove it from the Project and replace it with Work that is not defective, and
 - 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others or other land or areas resulting therefrom.
- B. If Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. All claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others) will be paid by Contractor.

- C. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- D. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this Paragraph 13.07, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.
- E. Contractor's obligations under this Paragraph 13.07 are in addition to any other obligation or warranty. The provisions of this Paragraph 13.07 shall not be construed as a substitute for or a waiver of the provisions of any applicable statute of limitation or repose.

13.08 *Acceptance of Defective Work*

- A. If, instead of requiring correction or removal and replacement of defective Work, Owner (and, prior to Engineer's recommendation of final payment, Engineer) prefers to accept it, Owner may do so. Contractor shall pay all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness) and the diminished value of the Work to the extent not otherwise paid by Contractor pursuant to this sentence. If any such acceptance occurs prior to Engineer's recommendation of final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work, and Owner shall be entitled to an appropriate decrease in the Contract Price, reflecting the diminished value of Work so accepted. If the parties are unable to agree as to the amount thereof, Owner may make a Claim therefor as provided in Paragraph 10.05. If the acceptance occurs after such recommendation, an appropriate amount will be paid by Contractor to Owner.

13.09 *Owner May Correct Defective Work*

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work or to remove and replace rejected Work as required by Engineer in accordance with Paragraph 13.06.A, or if Contractor fails to perform the Work in accordance with the Contract Documents, or if Contractor fails to comply with any other provision of the Contract Documents, Owner may, after seven days written notice to Contractor, correct or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 13.09, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, take possession of Contractor's tools, appliances, construction equipment and machinery at the Site, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this Paragraph.
- C. All claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 13.09 will be charged against Contractor, and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and Owner shall be entitled to an appropriate decrease in the Contract Price. If the parties are unable to agree as to the amount of the adjustment, Owner may make a Claim therefor as provided in Paragraph 10.05. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.
- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 13.09.

ARTICLE 14 – PAYMENTS TO CONTRACTOR AND COMPLETION

14.01 *Schedule of Values*

- A. The Schedule of Values established as provided in Paragraph 2.07.A will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments on account of Unit Price Work will be based on the number of units completed.

14.02 *Progress Payments*

A. *Applications for Payments*

1. At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, invoice, or other documentation warranting that Owner has received the materials and equipment free and clear of all Liens and evidence that the materials and equipment are covered by appropriate property insurance or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.
2. Beginning with the second Application for Payment, each Application shall include an affidavit of Contractor stating that all previous progress payments received on account of the Work have been applied on account to discharge Contractor's legitimate obligations associated with prior Applications for Payment.
3. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.

B. *Review of Applications*

1. Engineer will, within 10 days after receipt of each Application for Payment, either indicate in writing a recommendation of payment and present the Application to Owner or return the Application to Contractor indicating in writing Engineer's reasons for refusing to recommend payment. In the latter case, Contractor may make the necessary corrections and resubmit the Application.
2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations on the Site of the executed Work as an experienced and qualified design professional and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:
 - a. the Work has progressed to the point indicated;
 - b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, to the results of any subsequent tests called for in the Contract Documents, to a final determination of quantities and classifications for Unit Price Work under Paragraph 9.07, and to any other qualifications stated in the recommendation); and
 - c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
 - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract Documents; or

- b. that there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.
4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
- a. to supervise, direct, or control the Work, or
 - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or
 - c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work, or
 - d. to make any examination to ascertain how or for what purposes Contractor has used the moneys paid on account of the Contract Price, or
 - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 14.02.B.2. Engineer may also refuse to recommend any such payment or, because of subsequently discovered evidence or the results of subsequent inspections or tests, revise or revoke any such payment recommendation previously made, to such extent as may be necessary in Engineer's opinion to protect Owner from loss because:
- a. the Work is defective, or completed Work has been damaged, requiring correction or replacement;
 - b. the Contract Price has been reduced by Change Orders;
 - c. Owner has been required to correct defective Work or complete Work in accordance with Paragraph 13.09; or
 - d. Engineer has actual knowledge of the occurrence of any of the events enumerated in Paragraph 15.02.A.

C. Payment Becomes Due

1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended will (subject to the provisions of Paragraph 14.02.D) become due, and when due will be paid by Owner to Contractor.

D. Reduction in Payment

1. Owner may refuse to make payment of the full amount recommended by Engineer because:
- a. claims have been made against Owner on account of Contractor's performance or furnishing of the Work;
 - b. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens;
 - c. the Contractor's performance or furnishing of the Work is inconsistent with funding Agency requirements;
 - d. there are other items entitling Owner to a set-off against the amount recommended; or

- e. Owner has actual knowledge of the occurrence of any of the events enumerated in Paragraphs 14.02.B.5.a through 14.02.B.5.c or Paragraph 15.02.A.
2. If Owner refuses to make payment of the full amount recommended by Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, when Contractor corrects to Owner's satisfaction the reasons for such action.
3. If it is subsequently determined that Owner's refusal of payment was not justified, the amount wrongfully withheld shall be treated as an amount due as determined by Paragraph 14.02.C.1.

14.03 *Contractor's Warranty of Title*

- A. Contractor warrants and guarantees that title to all Work, materials, and equipment covered by any Application for Payment, whether incorporated in the Project or not, will pass to Owner no later than the time of payment free and clear of all Liens.

14.04 *Substantial Completion*

- A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete (except for items specifically listed by Contractor as incomplete) and request that Engineer issue a certificate of Substantial Completion.
- B. Promptly after Contractor's notification, Owner, Agency, Contractor, and Engineer shall make a prefinal inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a tentative certificate of Substantial Completion which shall fix the date of Substantial Completion. There shall be attached to the certificate a tentative list of items to be completed or corrected before final payment. Owner shall have seven days after receipt of the tentative certificate during which to make written objection to Engineer as to any provisions of the certificate or attached list. If, after considering such objections, Engineer concludes that the Work is not substantially complete, Engineer will within 14 days after submission of the tentative certificate to Owner notify Contractor in writing, stating the reasons therefor. If, after consideration of Owner's objections, Engineer considers the Work substantially complete, Engineer will within said 14 days execute and deliver to Owner and Contractor a definitive certificate of Substantial Completion (with a revised tentative list of items to be completed or corrected) reflecting such changes from the tentative certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of delivery of the tentative certificate of Substantial Completion, Engineer will deliver to Owner and Contractor a written recommendation as to division of responsibilities pending final payment between Owner and Contractor with respect to security, operation, safety, and protection of the Work, maintenance, heat, utilities, insurance, and warranties and guarantees. Unless Owner and Contractor agree otherwise in writing and so inform Engineer in writing prior to Engineer's issuing the definitive certificate of Substantial Completion, Engineer's aforesaid recommendation will be binding on Owner and Contractor until final payment.
- E. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to complete or correct items on the tentative list.

14.05 *Partial Utilization*

- A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its

intended purpose without significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions.

1. Owner at any time may request Contractor in writing to permit Owner to use or occupy any such part of the Work which Owner believes to be ready for its intended use and substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor will certify to Owner and Engineer that such part of the Work is substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
2. Contractor at any time may notify Owner and Engineer in writing that Contractor considers any such part of the Work ready for its intended use and substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 14.04 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 5.10 regarding property insurance.

14.06 *Final Inspection*

- A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner, Agency, and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

14.07 *Final Payment*

A. *Application for Payment*

1. After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance certificates of inspection, marked-up record documents (as provided in Paragraph 6.12), and other documents, Contractor may make application for final payment following the procedure for progress payments.
2. The final Application for Payment shall be accompanied (except as previously delivered) by:
 - a. all documentation called for in the Contract Documents, including but not limited to the evidence of insurance required by Paragraph 5.04.B.7;
 - b. consent of the surety, if any, to final payment;
 - c. a list of all Claims against Owner that Contractor believes are unsettled; and
 - d. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of or Liens filed in connection with the Work.
3. In lieu of the releases or waivers of Liens specified in Paragraph 14.07.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (i) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (ii) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner or

Owner's property might in any way be responsible have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien.

B. Engineer's Review of Application and Acceptance

1. If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract Documents have been fulfilled, Engineer will, within ten days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of payment and present the Application for Payment to Owner for payment. At the same time Engineer will also give written notice to Owner and Contractor that the Work is acceptable subject to the provisions of Paragraph 14.09. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.

C. Payment Becomes Due

1. Thirty days after the presentation to Owner of the Application for Payment and accompanying documentation, the amount recommended by Engineer, less any sum Owner is entitled to set off against Engineer's recommendation, including but not limited to liquidated damages, will become due and will be paid by Owner to Contractor.

14.08 *Final Completion Delayed*

- A. If, through no fault of Contractor, final completion of the Work is significantly delayed, and if Engineer so confirms, Owner shall, upon receipt of Contractor's final Application for Payment (for Work fully completed and accepted) and recommendation of Engineer, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance to be held by Owner for Work not fully completed or corrected is less than the retainage stipulated in the Agreement, and if bonds have been furnished as required in Paragraph 5.01, the written consent of the surety to the payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by Contractor to Engineer with the Application for such payment. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of Claims. The remaining balance of any sum included in the final Application for Payment but held by OWNER for Work not fully completed and accepted will become due when the Work is fully completed and accepted.

14.09 *Waiver of Claims*

- A. The making and acceptance of final payment will constitute:
 1. a waiver of all Claims by Owner against Contractor, except Claims arising from unsettled Liens, from defective Work appearing after final inspection pursuant to Paragraph 14.06, from failure to comply with the Contract Documents or the terms of any special guarantees specified therein, or from Contractor's continuing obligations under the Contract Documents; and
 2. a waiver of all Claims by Contractor against Owner other than those previously made in accordance with the requirements herein and expressly acknowledged by Owner in writing as still unsettled.

ARTICLE 15 – SUSPENSION OF WORK AND TERMINATION

15.01 *Owner May Suspend Work*

- A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by notice in writing to Contractor and Engineer which will fix the date on which Work will be

resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be granted an adjustment in the Contract Price or an extension of the Contract Times, or both, directly attributable to any such suspension if Contractor makes a Claim therefor as provided in Paragraph 10.05.

15.02 *Owner May Terminate for Cause*

- A. The occurrence of any one or more of the following events will justify termination for cause:
1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment or failure to adhere to the Progress Schedule established under Paragraph 2.07 as adjusted from time to time pursuant to Paragraph 6.04);
 2. Contractor's disregard of Laws or Regulations of any public body having jurisdiction;
 3. Contractor's disregard of the authority of Engineer; or
 4. Contractor's violation in any substantial way of any provisions of the Contract Documents.
- B. If one or more of the events identified in Paragraph 15.02.A occur, Owner may, after giving Contractor (and surety) seven days written notice of its intent to terminate the services of Contractor:
1. exclude Contractor from the Site, and take possession of the Work and of all Contractor's tools, appliances, construction equipment, and machinery at the Site, and use the same to the full extent they could be used by Contractor (without liability to Contractor for trespass or conversion),
 2. incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and
 3. complete the Work as Owner may deem expedient.
- C. If Owner proceeds as provided in Paragraph 15.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) sustained by Owner arising out of or relating to completing the Work, such excess will be paid to Contractor. If such claims, costs, losses, and damages exceed such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this Paragraph Owner shall not be required to obtain the lowest price for the Work performed.
- D. Notwithstanding Paragraphs 15.02.B and 15.02.C, Contractor's services will not be terminated if Contractor begins within seven days of receipt of notice of intent to terminate to correct its failure to perform and proceeds diligently to cure such failure within no more than 30 days of receipt of said notice.
- E. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue. Any retention or payment of moneys due Contractor by Owner will not release Contractor from liability.
- F. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 5.01.A, the termination procedures of that bond shall supersede the provisions of Paragraphs 15.02.B, and 15.02.C.

15.03 *Owner May Terminate For Convenience*

- A. Upon seven days written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
 - 1. completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
 - 2. expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses;
 - 3. all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) incurred in settlement of terminated contracts with Subcontractors, Suppliers, and others; and
 - 4. reasonable expenses directly attributable to termination.
- B. Contractor shall not be paid on account of loss of anticipated profits or revenue or other economic loss arising out of or resulting from such termination.

15.04 *Contractor May Stop Work or Terminate*

- A. If, through no act or fault of Contractor, (i) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (ii) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (iii) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon seven days written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the Contract and recover from Owner payment on the same terms as provided in Paragraph 15.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, seven days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this Paragraph 15.04 are not intended to preclude Contractor from making a Claim under Paragraph 10.05 for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this Paragraph.

ARTICLE 16 – DISPUTE RESOLUTION

16.01 *Methods and Procedures*

- A. Owner and Contractor may mutually request mediation of any Claim submitted to Engineer for a decision under Paragraph 10.05 before such decision becomes final and binding. The mediation will be governed by the Construction Industry Mediation Rules of the American Arbitration Association in effect as of the Effective Date of the Agreement. The request for mediation shall be submitted in writing to the American Arbitration Association. Timely submission of the request shall stay the effect of Paragraph 10.05.E.
- B. Owner and Contractor shall participate in the mediation process in good faith. The process shall be concluded within 60 days of filing of the request. The date of termination of the mediation shall be determined by application of the mediation rules referenced above.
- C. If the claim is not resolved by mediation, Engineer's action under Paragraph 10.05.C or a denial pursuant to Paragraphs 10.05.C.3 or 10.05.D shall become final and binding 30 days after termination of the mediation unless, within that time period, Owner or Contractor:

1. elects in writing to invoke any dispute resolution process provided for in the Supplementary Conditions, or
2. agrees with the other party to submit the Claim to another dispute resolution process, or
3. gives written notice to the other party of their intent to submit the Claim to a court of competent jurisdiction.

ARTICLE 17 – MISCELLANEOUS

17.01 *Giving Notice*

- A. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if:
 1. delivered in person to the individual or to a member of the firm or to an officer of the corporation for whom it is intended, or
 2. delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the giver of the notice.

17.02 *Computation of Times*

- A. When any period of time is referred to in the Contract Documents by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

17.03 *Cumulative Remedies*

- A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract Documents. The provisions of this Paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

17.04 *Survival of Obligations*

- A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract Documents, as well as all continuing obligations indicated in the Contract Documents, will survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

17.05 *Controlling Law*

- A. This Contract is to be governed by the law of the state in which the Project is located.

17.06 *Headings*

- A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

ARTICLE 18 – FEDERAL REQUIREMENTS

18.01 *Agency Not a Party*

- A. This Contract is expected to be funded in part with funds provided by Agency. Neither Agency, nor any of its departments, entities, or employees is a party to this Contract.

18.02 *Contract Approval*

- A. Owner and Contractor will furnish Owner's attorney such evidence as required so that Owner's attorney can complete and execute the following "Certificate of Owner's Attorney" (Exhibit GC-A) before Owner submits the executed Contract Documents to Agency for approval.
- B. Concurrence by Agency in the award of the Contract is required before the Contract is effective.

18.03 *Conflict of Interest*

- A. Contractor may not knowingly contract with a supplier or manufacturer if the individual or entity who prepared the plans and specifications has a corporate or financial affiliation with the supplier or manufacturer.
- B. Owner's officers, employees, or agents shall not engage in the award or administration of this Contract if a conflict of interest, real or apparent, would be involved. Such a conflict would arise when: (i) the employee, officer or agent; (ii) any member of their immediate family; (iii) their partner or (iv) an organization that employs, or is about to employ, any of the above, has a financial interest in Contractor. Owner's officers, employees, or agents shall neither solicit nor accept gratuities, favors or anything of monetary value from Contractor or subcontractors.

18.04 *Gratuities*

- A. If Owner finds after a notice and hearing that Contractor, or any of Contractor's agents or representatives, offered or gave gratuities (in the form of entertainment, gifts, or otherwise) to any official, employee, or agent of Owner or Agency in an attempt to secure this Contract or favorable treatment in awarding, amending, or making any determinations related to the performance of this Contract, Owner may, by written notice to Contractor, terminate this Contract. Owner may also pursue other rights and remedies that the law or this Contract provides. However, the existence of the facts on which Owner bases such findings shall be an issue and may be reviewed in proceedings under the dispute resolution provisions of this Contract.
- B. In the event this Contract is terminated as provided in paragraph 18.04.A, Owner may pursue the same remedies against Contractor as it could pursue in the event of a breach of this Contract by Contractor. As a penalty, in addition to any other damages to which it may be entitled by law, Owner may pursue exemplary damages in an amount (as determined by Owner) which shall not be less than three nor more than ten times the costs Contractor incurs in providing any such gratuities to any such officer or employee.

18.05 *Audit and Access to Records*

- A. For all negotiated contracts and negotiated modifications (except those of \$10,000 or less), Owner, Agency, the Comptroller General, or any of their duly authorized representatives, shall have access to any books, documents, papers, and records of the Contractor, which are pertinent to the Contract, for the purpose of making audits, examinations, excerpts and transcriptions. Contractor shall maintain all required records for three years after final payment is made and all other pending matters are closed.

18.06 *Small, Minority and Women's Businesses*

- A. If Contractor intends to let any subcontracts for a portion of the work, Contractor shall take affirmative steps to assure that small, minority and women's businesses are used when possible as sources of supplies, equipment, construction, and services. Affirmative steps shall consist of: (1) including qualified small, minority and women's businesses on solicitation lists; (2) assuring that small, minority and women's businesses are solicited whenever

they are potential sources; (3) dividing total requirements when economically feasible, into small tasks or quantities to permit maximum participation of small, minority, and women's businesses; (4) establishing delivery schedules, where the requirements of the work permit, which will encourage participation by small, minority and women's businesses; (5) using the services and assistance of the Small Business Administration and the Minority Business Development Agency of the U.S. Department of Commerce; (6) requiring each party to a subcontract to take the affirmative steps of this section; and (7) Contractor is encouraged to procure goods and services from labor surplus area firms.

18.07 *Anti-Kickback*

- A. Contractor shall comply with the Copeland Anti-Kickback Act (18 USC 874 and 40 USC 276c) as supplemented by Department of Labor regulations (29 CFR Part 3, "Contractors and Subcontractors on Public Buildings or Public Works Financed in Whole or in Part by Loans or Grants of the United States"). The Act provides that Contractor or subcontractor shall be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public facilities, to give up any part of the compensation to which they are otherwise entitled. Owner shall report all suspected or reported violations to Agency.

18.08 *Clean Air and Pollution Control Acts*

- A. If this Contract exceeds \$100,000, Contractor shall comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 USC 7401 *et seq.*) and the Federal Water Pollution Control Act as amended (33 USC 1251 *et seq.*). Contractor will report violations to the Agency and the Regional Office of the EPA.

18.09 *State Energy Policy*

- A. Contractor shall comply with the Energy Policy and Conservation Act (P.L. 94-163). Mandatory standards and policies relating to energy efficiency, contained in any applicable State Energy Conservation Plan, shall be utilized.

18.10 *Equal Opportunity Requirements*

- A. If this Contract exceeds \$10,000, Contractor shall comply with Executive Order 11246, "Equal Employment Opportunity," as amended by Executive Order 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and as supplemented by regulations at 41 CFR part 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."
- B. Contractor's compliance with Executive Order 11246 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative active obligations required by the Standard Federal Equal Employment Opportunity Construction Contract Specifications, as set forth in 41 CFR Part 60-4 and its efforts to meet the goals established for the geographical area where the Contract is to be performed. The hours of minority and female employment and training must be substantially uniform throughout the length of the Contract, and in each trade, and Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting Contractor's goals shall be a violation of the Contract, the Executive Order, and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.
- C. Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the Contract resulting from this solicitation. The notification shall list the name, address, and telephone number of the subcontractor; employer identification number; estimated dollar amount of subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the Contract is to be performed.

18.11 *Restrictions on Lobbying*

- A. Contractor and each subcontractor shall comply with Restrictions on Lobbying (Public Law 101-121, Section 319) as supplemented by applicable Agency regulations. This Law applies to the recipients of contracts and subcontracts that exceed \$100,000 at any tier under a Federal loan that exceeds \$150,000 or a Federal grant that exceeds \$100,000. If applicable, Contractor must complete a certification form on lobbying activities related to a specific Federal loan or grant that is a funding source for this Contract. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant, or any other award covered by 31 USC 1352. Each tier shall disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Certifications and disclosures are forwarded from tier to tier up to the Owner. Necessary certification and disclosure forms shall be provided by Owner.

18.12 *Environmental Requirements*

- A. When constructing a project involving trenching and/or other related earth excavations, Contractor shall comply with the following environmental constraints:
1. Wetlands – When disposing of excess, spoil, or other construction materials on public or private property, Contractor shall not fill in or otherwise convert wetlands.
 2. Floodplains – When disposing of excess, spoil, or other construction materials on public or private property, Contractor shall not fill in or otherwise convert 100 year floodplain areas delineated on the latest Federal Emergency Management Agency Floodplain Maps, or other appropriate maps, i.e., alluvial soils on NRCS Soil Survey Maps.
 3. Historic Preservation – Any excavation by Contractor that uncovers an historical or archaeological artifact shall be immediately reported to Owner and a representative of Agency. Construction shall be temporarily halted pending the notification process and further directions issued by Agency after consultation with the State Historic Preservation Officer (SHPO).
 4. Endangered Species – Contractor shall comply with the Endangered Species Act, which provides for the protection of endangered and/or threatened species and critical habitat. Should any evidence of the presence of endangered and/or threatened species or their critical habitat be brought to the attention of Contractor, Contractor will immediately report this evidence to Owner and a representative of Agency. Construction shall be temporarily halted pending the notification process and further directions issued by Agency after consultation with the U.S. Fish and Wildlife Service.

EXHIBIT GC-A

Certificate of Owner's Attorney

I, the undersigned, _____, the duly authorized and acting legal representative of _____, do hereby certify as follows:

I have examined the attached Contract(s) and performance and payment bond(s) and the manner of execution thereof, and I am of the opinion that each of the aforesaid agreements is adequate and has been duly executed by the proper parties thereto acting through their duly authorized representatives; that said representatives have full power and authority to execute said agreements on behalf of the respective parties named thereon; and that the foregoing agreements constitute valid and legally binding obligations upon the parties executing the same in accordance with the terms, conditions, and provisions thereof.

Date: _____

**GRW SUPPLEMENTARY GENERAL
CONDITIONS TO EJCDC GENERAL
CONDITIONS - FUNDING AGENCY**

GRW SUPPLEMENTARY GENERAL CONDITIONS TO EJCDC GENERAL CONDITIONS - FUNDING AGENCY

These Supplemental General Conditions amend or supplement the General Conditions of the Construction Contract and other provisions of the Contract Documents as indicated below. All provisions which are not so amended or supplemented remain in full force and effect.

The terms used in these Supplemental General Conditions which are defined in the Standard General Conditions of the Construction Contract have the meanings assigned to them in the General Conditions.

SGC-3.01

Add the following new paragraph immediately after Paragraph 3.01C:

If there is any conflict between the provisions of the Contract Documents and any referenced provisions within the Contract Specifications, the language of the Contract Documents will take precedence over that of any standard specification, manual, or code.

SGC-4.02

Add the following new paragraph after Paragraph 4.02B:

If any geotechnical exploration for the project was performed and reported, said report will be included as an Appendix. The geotechnical report shall be used as a reference and all recommendations included therein shall be followed in full.

SGC-4.04

Add the following new paragraphs immediately after Paragraph 4.04 B.2:

Special precautions shall be taken by the Contractor to avoid damage to existing overhead and underground utilities owned and operated by the Owner or by public or private utility companies.

The available information concerning the location of existing underground utilities is shown on the Drawings. While it is believed that the locations shown are reasonably correct, neither the Engineer nor the Owner can guarantee the accuracy or adequacy of this information.

Before proceeding with the work, the Contractor shall confer with all public or private companies, agencies or departments that own and operate utilities in the vicinity of the construction work. The purpose of the conference, or conferences, shall be to notify said companies, agencies or departments of the proposed construction schedule, verify the location of, and possible interference with, the existing utilities that are shown on the Drawings, arrange for necessary suspension of service, and make arrangements to locate and avoid interference with all utilities (including house connections) that are not shown on the Drawings. The Engineer and Owner have no objection to the Contractor arranging for the said utility companies, agencies, or departments to locate and uncover their own utilities; however, the Contractor shall bear the entire responsibility and cost of locating and avoiding, or repairing damage to said existing utilities.

The Contractor shall locate all unknown metallic hazards, namely buried pipe, metals, etc., by using a pipe locator. The pipe locator shall immediately precede the trench ditching and all hazards located shall be marked in such manner as to notify the machine operator of such hazard.

Where existing utilities or appurtenant structures either underground or above ground, are encountered, they shall not be displaced or molested unless necessary, and in such case shall be replaced in as good or better condition than found as quickly as possible. Relocation and/or replacement of all utilities and appurtenant structures to accommodate the construction work shall be at the Contractor's expense, unless such relocation and/or replacement is by statute agreement the responsibility of the owner of the utility.

SGC-5.01

Add the following new paragraph immediately after Paragraph 5.01C:

The Performance Bond shall remain in full force and effect throughout the Guaranty period referred to in SGC 6.03. All warranties and guarantees remaining in effect at and beyond the Guaranty expiration date shall be relinquished and transferred to the Owner. Copies of such warranty/guaranty shall be submitted to the Engineer prior to date of the start of the Guaranty period.

SGC-5.06

Delete Paragraph 5.06A in its entirety and insert the following in its place:

Unless otherwise provided in the Supplemental General Conditions, Contractor shall purchase and maintain property insurance upon the work at the site in the amount of the full replacement cost thereof (subject to such deductible amounts as may be provided in the Supplemental General Conditions or required by Laws and Regulations). This insurance shall:

Add the following paragraphs after Paragraph 5.06D:

E. The insurance required by this Paragraph shall include specific coverage and be written for not less than the limits of liability and coverages tabulated in the prototype Certificate of Insurance included as Section 00620, or as required by law, whichever is greater.

F. The Contractor shall provide INSTALLATION FLOATER INSURANCE when Builder's Risk Insurance is inappropriate, or when Builder's Risk Insurance will not respond, to cover damage or destruction to renovations, repairs, materials, or equipment being installed or otherwise being handled or stored by the Contractor, including off-site storage, transit and installation. The amount of coverage shall provide full replacement value (FRV) of the property, repairs, additions, materials, or equipment being installed, otherwise being handled or stored on or off premises. All risks coverage shall be provided.

SGC-5.08 Delete Paragraph 5.08 in its entirety.

SGC-6.02

Add the following new paragraphs immediately after Paragraph 6.02A:

The Contractor shall employ workmen skilled in their various duties and shall remove from the project, at the request of the Engineer, any person employed in, about, or upon the work, who misconducts himself or is incompetent or negligent in the performance of the duties assigned to him.

No person under the age of eighteen (18) years and no convict labor shall be employed to perform any work under this Contract. No person whose age or physical condition is such as to make his employment dangerous to his health or safety or to the health or safety of others shall be employed to perform any work under this Contract, provided that this shall not operate against the employment of physically handicapped persons, otherwise employable, where such persons may be safely assigned to work which they can ably perform. There shall be no discrimination because of race, creed, color or political affiliation in the employment of persons for work under this Contract.

With respect to additional skilled, semi-skilled and unskilled workers employed to perform work on the project, preference in employment shall be given first to persons who reside in the city in which the work is to be performed, and second to persons residing in the county in which the work is to be performed.

SGC-6.03

Add the following new paragraph immediately after Paragraph 6.03C:

The Contractor agrees that he will obtain from the manufacturers of equipment and materials furnished under this Contract guarantees against defective materials and workmanship, and if those guarantees furnished by the manufacturer do not extend for the term of one (1) year from and after the date upon which the final estimate of the Engineer is formally approved by the Owner or other established date as set forth hereinbefore, he shall make the necessary arrangements and assume all cost for extending this guarantee for the required period.

SGC-6.08

Insert the following to the end of Paragraph 6.08A:

Contractor is responsible for all utility permits and fees for usage during the construction period. Contractor is responsible for any electrical, plumbing and/or building inspections and fees which may be required.

SGC-9.03.A.

The Duties, Responsibilities, and Limitations of Authority of the Resident Project Representative will be as stated in the attached document.

SGC-10.03

Add the following new paragraph immediately after Paragraph 10.03:

B. A sample Change Order form is included as Section 00940.

SGC-12.03

Add the following new paragraph immediately after Paragraph 12.03E:

The Contractor shall make no claim for extra compensation due to delays of the project beyond his control. Such delays may include those caused by any act or neglect on the part of the Owner or Engineer, or by any employee of either, or by any separate contractor employed by the Owner, or by changes ordered in the work, or by labor disputes, fire, unusual delays in transportation, adverse weather conditions not reasonably anticipatable, unavoidable casualties, or by delay authorized by the Owner pending arbitration, or by any other cause which the Engineer determines may justify the delay.

Additional costs incurred in accelerating the work to compensate for such delays (as defined above) shall also not form the basis for such compensation claims.

SGC-13.06

Add a new paragraph immediately after Paragraph 13.06B of the General Conditions which is to read as follows:

When the repairs or replacements involve one or more items of installed equipment, Contractor shall provide the services of qualified factory-trained servicemen in the employ of the equipment manufacturers to perform or supervise the repairs or replacements.

SGC-13.09

Add the following new paragraph immediately before Paragraph 13.09A:

When the Engineer or the Owner deems it necessary, and so orders, such replacements or repairs under this section shall be undertaken by the Contractor within twenty-four (24) hours after service of notice. If the Contractor unnecessarily delays or fails to make the ordered replacements or repairs within the time specified, or if any replacements or repairs within the time specified, or if any replacements or repairs are of such nature as not to admit of the delay incident to the service of a notice, then the Owner shall have the right to make such replacements or repairs and the expense thereof shall be paid by the Contractor or deducted from any moneys due to Contractor.

SGC-14.01

Add the following to Paragraph 14.01:

The Application for Payment form shall be exactly as shown in Section 00630.

SGC-17.07

Add the following new paragraph immediately after Paragraph 17.06:

If the Contractor shall fail or refuse to complete the work within the Contract Time, or extension of time granted by the Owner, then the Contractor agrees as a partial consideration for the awarding of this Contract that the Owner may retain from the compensation otherwise to be paid to the Contractor the amount specified below, not as a penalty but as liquidated damages, for each and every calendar day that the Contractor shall be in default after the time stipulated in the Contract Documents.

SCHEDULE OF LIQUIDATED DAMAGES	
Original Amount of Contract	Liquidated Damages Per Day
Up to \$100,000	\$350
\$100,000 to \$500,000	\$400
\$500,000 to \$1,000,000	\$450
\$1,000,000 to \$2,000,000	\$500

Over \$2,000,000	\$550
------------------	-------

The said amount is fixed and agreed upon by and between the Contractor and Owner because of the impracticability and extreme difficulty of fixing and ascertaining the actual damages the Owner would in such event sustain.

SGC 17.08

Add the following new paragraph immediately after Paragraph 17.07:

The Contractor shall take all necessary precautions to minimize the disruption in water and/or wastewater system operations. When a disruption in the operations is required, the Contractor shall coordinate in advance (5 days minimum) the interruption with the Engineer and the Owner; the interruptions shall be held to a minimum by wise and prudent coordination of Contractor work efforts. The Contractor shall be held responsible for all damages brought about by disruptions of the operations if such disruptions are a direct cause of Contractor negligence and or a failure of the Contractor to coordinate his work effort with the Engineer and Owner.

SGC 17.09

Article 7 – INTEREST, of the EJCDC AGREEMENT, shall be deleted in its entirety and shall not apply to this contract.

DUTIES, RESPONSIBILITIES AND LIMITATIONS OF AUTHORITY OF THE RESIDENT PROJECT REPRESENTATIVE

1.01 PROJECT REPRESENTATIVE

Engineer shall furnish a Resident Project Representative (RPR), assistants and other field staff to assist Engineer in observing performance of the Work of the Contractor.

Through more extensive on-site observations of the Work in progress and field checks of materials and equipment by the RPR and assistants, Engineer shall endeavor to provide further protection for Owner against defects and deficiencies in the Work; but, the furnishing of such services will not make Engineer responsible for or give Engineer control over construction means, methods, techniques, sequences or procedures or for safety precautions or programs, or responsibility for Contractor's failure to perform the work in accordance with the Contract Documents.

The duties and responsibilities of the RPR are limited to those of Engineer in Engineer's agreement with the Owner and in the construction Contract Documents, and are further limited and described as follows:

1.02 GENERAL

RPR is Engineer's agent at the site will act as directed by and under the supervision of Engineer, and will confer with Engineer regarding RPR's actions. RPR's dealings in matters pertaining to the on-site work shall in general be with Engineer and Contractor keeping Owner advised as necessary. RPR's dealings with subcontractors shall only be through or with the full knowledge and approval of Contractor. RPR shall generally communicate with Owner with the knowledge of and under the direction of Engineer.

1.03 DUTIES AND RESPONSIBILITIES OF RPR

- A. Conference and Meetings: Attend meetings with Contractor such as preconstruction conferences, progress meetings, job conferences and other project related meetings, and prepare and circulate copies of minutes thereof.
- B. Liaison:
 - 1. Serve as Engineer's liaison with Contractor working principally through Contractor's superintendent and assist in understanding the intent of the Contract Documents; and assist Engineer in serving as Owner's liaison with Contractor when Contractor's operations affect Owner's on-site operations.

2. Assist in obtaining from Owner additional details or information when required for proper execution of the Work.
- C. Shop Drawings and Samples:
1. Maintain file of Shop Drawings.
 2. Advise Engineer and Contractor of the commencement of any Work requiring a Shop Drawing or sample if the submittal has not been approved by Engineer.
- D. Review of Work, Rejection of Defective Work, Inspections and Tests:
1. Conduct on-site observations of the Work in progress to assist Engineer in determining if the Work is in general proceeding in accordance with the Contract Documents.
 2. Report to Engineer whenever RPR believes that any Work is unsatisfactory, faulty or defective or does not conform to the Contract Documents, or has been damaged, or does not meet the requirements of any inspection, test or approval required to be made; and advise Engineer of Work that RPR believes should be corrected or rejected or should be uncovered for observation, or requires special testing inspection or approval.
 3. Verify that tests equipment and systems start-ups and operating and maintenance training are conducted in the presence of appropriate personnel, and that Contractor maintains adequate records thereof; and observe, record and report to Engineer appropriate details relative to the test procedures and start-ups.
 4. Accompany visiting inspectors representing public or other agencies having jurisdiction over the Project, record the results of these inspections and report to Engineer.
- E. Interpretation of Contract Documents: Report to Engineer when clarifications and interpretations of the Contract Documents are needed and transmit to Contractor clarifications and interpretations as issued by Engineer.
- F. Modifications: Consider and evaluate Contractor's suggestions for modifications in Drawings or Specifications and report with RPR's recommendations to Engineer. Transmit to Contractor decisions as issued by Engineer.
- G. Records:
1. Maintain at the job site orderly files for correspondence, reports of job conferences, Shop Drawings and samples, reproductions of original Contract Documents including all Work Directive Changes, Addenda, Change Orders, Field Orders, additional Drawings issued subsequent to the execution of the Contract, Engineer's clarifications and interpretations of the Contract Documents, progress reports, and other Project related documents.
 2. Keep a diary or log book, recording Contractor hours on the job site, weather conditions, data relative to questions of Work Directive Changes, Change Orders, or change conditions, list of job site visitors, daily activities, decisions,

observations in general, and specific observations in more detail as in the case of observing test procedures; and send copies to Engineer.

3. Record names, addresses and telephone numbers of all Contractor's, subcontractors and major suppliers of materials and equipment.

H. Reports:

1. Furnish Engineer periodic reports as required of progress of the Work and of Contractor's compliance with the progress schedule.
2. Consult with Engineer in advance of scheduled major tests, inspections or start of important phases of the Work.
3. Report immediately to Engineer and Owner upon the occurrence of any accident.
4. Maintain file of Daily Reports of the job progress and conditions.

- I. Payment Request: Review applications for payment with Contractor for compliance with the established procedure for their submission and forward with recommendations to Engineer, noting particularly the relationship of the payment requested to the schedule of values, work completed, and materials and equipment delivered at the site but not incorporated in the Work.

- J. Certificates, Maintenance and Operation Manuals: During the course of the Work, verify that certificates, maintenance and operation manuals and other data required to be assembled and furnished by Contractor are applicable to the items actually installed and in accordance with the Contract Documents, and have this material delivered to Engineer for review and forwarding to Owner prior to final payment for the Work.

K. Completion:

1. Before Engineer issues a Certificate of Substantial Completion, submit to Contractor a list of observed items requiring completion or correction.
2. Conduct final inspection in the company of Engineer, Owner and Contractor and prepare a final list of items to be completed or corrected.
3. Observe that all items on final list have been completed or corrected and make recommendations to Engineer concerning acceptance.

1.04 LIMITATIONS OF AUTHORITY

Resident Project Representative:

- A. Shall not authorize any deviation from the Contract Documents or substitution of materials or equipment unless authorized by Engineer.

- B. Shall not exceed limitations of Engineer's authority as set forth in the Contract Documents.
- C. Shall not undertake any of the responsibilities of Contractor, subcontractors or Contractor's superintendent.
- D. Shall not advise on, issue directions relative to or assume control over any aspect of the means, methods, techniques, sequences or procedures of construction unless such advice or directions are specifically required by the Contract Documents.
- E. Shall not advise on, or issue directions regarding, or assume control over safety precautions and programs in connection with the Work.
- F. Shall not authorize Owner to occupy the Project in whole or in part.
- G. Shall not participate in specialized field or laboratory tests or inspections conducted by others except as specifically authorized by Engineer.

**RUS SUPPLEMENTARY
CONDITIONS TO EJCDC
GENERAL CONDITIONS**

RUS SUPPLEMENTARY GENERAL CONDITIONS TO EJCDC GENERAL CONDITIONS

Supplementary Conditions

These Supplementary Conditions amend or supplement the Standard General Conditions of the Construction Contract Funding Agency Edition (No. C-710, 2002 Edition) and other provisions of the Contract Documents as indicated below. All provisions that are not so amended or supplemented remain in full force and effect.

The terms used in these Supplementary Conditions will have the meanings indicated in the General Conditions. Additional terms used in these Supplementary Conditions have the meanings stated below, which are applicable to both the singular and plural thereof.

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SC-1.01.A.2. Add the following language to the end of Paragraph 1.01.A.2:

The Project is financed in whole or in part by USDA Rural Utilities Service pursuant to the Consolidated Farm and Rural Development Act (7 USC Section 1921 et seq.).

SC-1.01.A.4. Add the following language to the end of Paragraph 1.01.A.4:

The Application for Payment form to be used on this Project is Form RD 1924-18, "Partial Payment Estimate". The Agency must approve all Applications for Payment before payment is made.

SC-1.01.A.10. Add the following language to the end of Paragraph 1.01.A.10:

The Change Order form to be used on this Project is Form RD 1924-7, "Contract Change Order". Agency approval is required before Change Orders are effective.

SC-1.01.A.15. Delete paragraph A.1.01.15 in its entirety and replace it with the following:

Contract time: The number of days or the dates stated in the Agreement to achieve Substantial Completion. Final Completion date will be determined by Contractor, Owner, and Engineer, after substantial completion, based on remaining work, weather and market conditions.

SC-2.03.A. Delete Paragraph 2.03.A in its entirety and insert the following in its place:

- A. The Contract Times will commence to run on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 10 days after the Effective Date of the Agreement.

SC-5.03. Add the following new paragraph immediately after Paragraph 5.03.B:

- C. Failure of the Owner to demand such certificates or other evidence of full compliance with these insurance requirements or failure of the Owner to identify a deficiency from evidence provided shall not be construed as a waiver of Contractor’s obligation to maintain such insurance.

SC-5.04. Add the following new paragraph immediately after Paragraph 5.04.B:

- C. The limits of liability for insurance required by Paragraph 5.04 of the General Conditions shall provide coverage for not less than the following amounts or greater where required by Laws and Regulations:

- 1. Workers’ Compensation, and related coverages under Paragraphs 5.04.A.1 and A.2 of the General Conditions:

- a. State: Statutory
- b. Applicable Federal (e.g., Longshoremen’s) Statutory
- c. Employer’s Liability {\$ 500,000}

- 2. Contractor’s General Liability under Paragraphs 5.04.A.3 through A.6 of the General Conditions which shall include completed operations and product liability coverages and eliminate the exclusion with respect to property under the care, custody, and control of the Contractor:

- a. General Aggregate {\$ 2,000,000}
- b. Products - Completed Operations Aggregate {\$ 1,000,000}
- c. Personal and Advertising Injury {\$ 1,000,000}
- d. Each Occurrence (Bodily Injury and Property Damage) {\$ 1,000,000}
- e. Property Damage liability insurance will provide Explosion, Collapse, and Underground coverages where applicable.
- f. Excess or Umbrella Liability
 - 1) General Aggregate {\$ 5,000,000}
 - 2) Each Occurrence {\$ 5,000,000}

- 3. Automobile Liability under Paragraph 5.04.A.6 of the General Conditions:

- a. Bodily Injury:
 - Each Person { \$ 1,000,000 }
 - Each Accident { \$ 1,000,000 }
 - b. Property Damage:
 - Each Accident { \$ 1,000,000 }
 - c. Combined Single Limit of { \$ 1,000,000 }
4. The Contractual Liability coverage required by paragraph 5.04.B.4 of the General Conditions shall provide coverage for not less than the following amounts:
- a. Bodily Injury:
 - Each Person { \$ 2,000,000 }
 - Each Accident { \$ 2,000,000 }
 - b. Property Damage:
 - Each Accident { \$ 2,000,000 }
 - Annual Aggregate { \$ 2,000,000 }

SC-6.06. Add a new paragraph immediately after Paragraph 6.06.G:

- H. The Contractor shall not award work valued at more than fifty (50%) percent of the Contract Price to Subcontractor(s), without prior written approval of the Owner.

SC-9.03.A. Add the following language at the end of paragraph 9.03.A:

The Engineer will provide Resident Project Representative services for this project. The Duties, Responsibilities, and Limitations of Authority of the Resident Project Representative will be included in the GRW Supplemental General Conditions to EJCDC General Conditions - Funding Agency (00800).

SC-14.02.A.3 Add the following language at the end of paragraph 14.02.A.3:

No payments will be made that would deplete the retainage prior to substantial completion, nor place in escrow any funds that are required for retainage, or invest the retainage for benefit.

SC-14.02.C.1. Delete Paragraph 14.02.C.1 in its entirety and insert the following in its place:

- 1. The Application for Payment with Engineer’s recommendations will be presented to the Owner and Agency for consideration. If both the Owner and Agency find the Application for Payment acceptable, the recommended amount less any reduction under the provisions of Paragraph 14.02.D will become due ten days after the Application for Payment is presented to the Owner, and the Owner will make payment to the Contractor.

SC-18.08 Delete paragraph 18.08.A in its entirety and insert the following in its place:

- A. If this Contract exceeds \$100,000, the Contractor shall comply with all applicable standards, orders, or requirements issued under Section 306 of the Clean Air Act (42 USC §1857(h)), Section 508 of the Clean Water Act (33 USC §1368), Executive Order 11738, and Environmental Protection Agency regulations (40 CFR Part 15).

SECTION 00880

PREVAILING WAGE RATE REQUIREMENTS

PART 1 - GENERAL

- A. Contractor shall comply in every respect with all labor provisions of the Prevailing Wage Law.
- B. Current Prevailing Wage Rates are attached as part of this section. Any revised Wage Rates will be issued by addendum. KY State wage rates apply to this project.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION

STATE WAGE RATE



Steven L. Beshear
Governor

KENTUCKY LABOR CABINET
DEPARTMENT OF WORKPLACE STANDARDS
DIVISION OF EMPLOYMENT STANDARDS,
APPRENTICESHIP & MEDIATION
1047 US Hwy 127 S - Suite 4
Frankfort, Kentucky 40601
Phone: (502) 564-3534
Fax (502) 696-1897
www.labor.ky.gov

Larry Roberts
Secretary

Anthony Russell
Commissioner

March 7, 2014

Louis Robbins
GRW Engineers Inc.
404 BNA Drive Ste. 201
Nashville TN 37217

Re: Edmonson County Water District, Wax WTP Raw Water Intake Modifications

Advertising Date as Shown on Notification: March 12, 2014

Dear Louis Robbins:

This office is in receipt of your written notification on the above project as required by KRS 337.510 (1).

I am enclosing a copy of the current prevailing wage determination number CR 8-005, dated February 27, 2013 for GRAYSON County. This schedule of wages shall be attached to and made a part of the specifications for the work, printed on the bidding blanks, and made a part of the contract for the construction of the public works between the public authority and the successful bidder or bidders.

The determination number assigned to this project is based upon the advertising date contained in your notification. There may be modifications to this wage determination prior to the advertising date indicated. In addition, if the contract is not awarded within 90 days of this advertising date or if the advertising date is modified, a different set of prevailing rates of wages may be applicable. It will be the responsibility of the public authority to contact this office and verify the correct schedule of the prevailing rates of wages for use on the project. Your project number is as follows: 043-H-00084-13-8, Heavy/Highway

Sincerely,

Anthony Russell
Commissioner



KENTUCKY LABOR CABINET
PREVAILING WAGE DETERMINATION
CURRENT REVISION
LOCALITY NO. 005

Determination No. CR 8-005

Date of Determination: February 27, 2013

Project No. 043-H-00084-13-8

_____ Bldg HH

This schedule of the prevailing rate of wages for Locality No. 005, which includes Breckinridge, Grayson, Hancock, Hart, Larue, and Meade Counties, has been determined in accordance with the provisions of KRS 337.505 to 337.550. This determination shall be referred to as Prevailing Wage Determination No. CR 8-005.

Apprentices shall be permitted to work as such subject to Administrative Regulations adopted by the Executive Director of the Office of Workplace Standards. Copies of these regulations will be furnished upon request to any interested person.

Overtime is to be computed at not less than one and one-half (1 1/2) times the indicated BASE RATE for all hours worked in excess of eight (8) per day, or in excess of forty (40) per week. However, KRS 337.540 permits an employee and employer to agree, in writing, that the employee will be compensated at a straight time base rate for hours worked in excess of eight (8) hours in any one workday, but not more than ten (10) hours worked in any one workday, if such written agreement is prior to the over eight (8) hours in a workday actually being worked, or where provided for in a collective bargaining agreement. The fringe benefit rate is to be paid for each hour worked at a straight time rate for all hours worked.

No laborer, workman or mechanic shall be paid at a rate less than that of the General Laborer except those classified as bona fide apprentices registered with the Kentucky State Apprenticeship Supervisor unless otherwise specified in this schedule of wage rates.

Fringe benefit amounts are applicable for all hours worked except when otherwise noted.

WELDERS - Receive rate for craft in which welding is incidental.

NOTE: The type of construction shall be determined by applying the following definitions.

BUILDING CONSTRUCTION

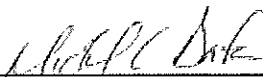
Building construction is the construction of sheltered enclosures with walk-in access for the purpose of housing persons, machinery, equipment, or supplies. It includes all construction of such structures, the installation of utilities and the installation of equipment, both above and below grade level, as well as incidental grading, utilities and paving.

HIGHWAY CONSTRUCTION

Highway construction includes the construction, alteration or repair of roads, streets, highways, runways, taxiways, alleys, trails, paths, parking areas, and other similar projects not incidental to building or heavy construction. It includes all incidental construction in conjunction with the highway construction project.

HEAVY CONSTRUCTION

Heavy projects are those projects that are not properly classified as either "building" or "highway". For example, dredging projects, water and sewer line projects, dams, flood control projects, sewage treatment plants and facilities, and water treatment plants and facilities are considered heavy.



Michael Donta, Deputy Commissioner
DEPARTMENT OF WORKPLACE STANDARDS
KENTUCKY LABOR CABINET

ASBESTOS / INSULATION WORKERS:		BASE RATE	\$24.67
		FRINGE BENEFITS	11.51

BOILERMAKERS:		BASE RATE	\$34.56
		FRINGE BENEFITS	23.99

BRICKLAYERS:		BASE RATE	\$24.11
Bricklayers		FRINGE BENEFITS	10.30

Refractory		BASE RATE	\$24.61
		FRINGE BENEFITS	10.30

CARPENTERS:		BASE RATE	\$22.13
Carpenters	BUILDING	FRINGE BENEFITS	13.95

Piledrivermen	BUILDING	BASE RATE	\$23.13
		FRINGE BENEFITS	13.95

*When working in excess of 30 ft. to 100 ft. above ground or solid floor on scaffold, skip hoist, tower, or slipform, on suspended or swinging scaffold, – add \$0.25 to base rate. When working with creosote, lead or lead paint or other injurious materials– add \$0.25 to base rate. When working in excess of 100 ft. above ground or solid floor on scaffold, skip hoist, tower, or slipform – add \$0.50 to base rate.

Carpenters	HEAVY & HIGHWAY	BASE RATE	\$26.40
		FRINGE BENEFITS	13.95

Piledrivermen	HEAVY & HIGHWAY	BASE RATE	\$26.65
		FRINGE BENEFITS	13.95

Divers	HEAVY & HIGHWAY	BASE RATE	\$39.98
		FRINGE BENEFITS	13.95

CEMENT MASONS:		BASE RATE	\$23.80
		FRINGE BENEFITS	12.85

ELECTRICIANS:		BASE RATE	\$29.32
		FRINGE BENEFITS	13.98

LINEMEN:	HEAVY & HIGHWAY	BASE RATE	\$31.86
		FRINGE BENEFITS	11.63

EQUIPMENT OPERATOR:	HEAVY & HIGHWAY	BASE RATE	\$28.48
		FRINGE BENEFITS	10.94

GROUND MEN:	HEAVY & HIGHWAY	BASE RATE	\$18.87
		FRINGE BENEFITS	9.03

ELEVATOR CONSTRUCTORS:	BASE RATE	\$20.725
	FRINGE BENEFITS	6.12

GLAZIERS:	*BASE RATE	\$18.41
	FRINGE BENEFITS	3.88

*Add \$.35 for glaziers working on a scaffold 30 ft. or more above ground or any permanent part of a structure

IRONWORKERS:	BASE RATE	\$26.34
	FRINGE BENEFITS	18.84

LABORERS / BUILDING:

GROUP 1: General laborers, watchman, water boy, wrecking labor on building and structures, clearing right-of-way and building site, carpenter tender, deck hand, flagging traffic, truck spotters and dumper, axe and cross cut saw filer, concrete puddlers and form strippers, asbestos abatement laborers, toxic waste removal laborer, lead abatement laborers, and industrial deep cleaning:

BUILDING	BASE RATE	\$20.85
	FRINGE BENEFITS	10.23

GROUP 2: All power driven tools, hod carriers, mason tenders, finishing tenders, mortar mixers, jack hammer, vibrators, soil compactors, wagon drill, core drill, test drill, well drill, concrete pump machine, tunnel boring machine, men in tunnel and crib ditch work, signal man, riprap rock setters and handlers, asphalt rakers, tampers and smoothers, pipe layers, grout pump man, chain saw, pipe clearing, doping and wrapping, swampers and straight cable hooking, cement guns, grade checkers machine excavating, tool room checkers, batch plant scale man, sand hog free air, sand hog compressed air, cutting torch man on salvage work, road form setters, brick slingers, hand spikers, power buggy, handling of creosote material, sandblasters, curing of concrete and apply hardner, air and gas tampers, concrete saw, power post hole diggers and green cut men on concrete work, request that two men be used on pavement breakers, multi-craft tender:

BUILDING	BASE RATE	\$21.05
	FRINGE BENEFITS	10.23

Group 3: Powderman or Blasters:

BUILDING	BASE RATE	\$22.05
	FRINGE BENEFITS	10.23

LABORERS / HEAVY & HIGHWAY:

GROUP 1: Aging and curing of concrete (any mode or method), asbestos abatement worker, asphalt plant laborers, asphalt laborers, batch truck dumpers, carpenter tenders, cement mason tenders, cleaning of machines, concrete laborers, demolition laborers, dredging laborers, drill helper, environmental laborer - nuclear, radiation, toxic and hazardous waste - Level D, flagmen, grade checkers, all hand digging and hand back filling, highway marker placers, landscaping laborers, mesh handlers and placers, puddler, railroad laborers, rip-rap and grouters, right of way laborers, sign, guard rail and fence installers (all types), signal men, sound barrier installer, storm and sanitary sewer laborers, swampers, truck spotters and dumpers, and wrecking of concrete forms and general cleanup:

HEAVY & HIGHWAY	BASE RATE	\$21.51
	FRINGE BENEFITS	10.15

LABORERS / HEAVY & HIGHWAY: CONTINUED

GROUP 2: Batter board men (sanitary and storm sewer), brickmason tenders, mortar mixer operator, scaffold builders, burner and welder, bushhammers, chain saw operator, concrete saw operators, deckhand scow man, dry cement handlers, environmental laborers - nuclear, radiation, toxic and hazardous waste - Level C, forklift operators for masonry, form setters, green concrete cutting, hand operated grouter and grinder machine operator, jack hammers, lead paint abatement, pavement breakers, paving joint machine, pipe layers-laser operators (non-metallic), plastic pipe fusion, power driven Georgia buggy or wheelbarrow, power post hole diggers, precast manhole setters, walk-behind tampers, walk-behind trenchers, sand blasters, concrete chippers, surface grinders, vibrator operators, wagon drillers:

HEAVY & HIGHWAY	BASE RATE	\$21.76
	FRINGE BENEFITS	10.15

GROUP 3: Asphalt luteman and rakers, gunnite nozzleleman, gunnite operators and mixers, grout pump operator, side rail setters, rail paved ditches, screw operators, tunnel laborers (free air), and water blasters:

HEAVY & HIGHWAY	BASE RATE	\$21.81
	FRINGE BENEFITS	10.15

GROUP 4: Caisson workers (free air), cement finishers, environmental laborer - nuclear, radiation, toxic and hazardous waste - Levels A and B, miners and drillers (free air), tunnel blasters, and tunnel muckers (free air), directional and horizontal boring, air track driller (all types), powderman and blaster, troxier and concrete tester if laborer is utilized:

HEAVY & HIGHWAY	BASE RATE	\$22.41
	FRINGE BENEFITS	10.15

MARBLE, TILE & TERRAZZO SETTERS:

BASE RATE	\$22.64
FRINGE BENEFITS	6.10

MARBLE, TILE & TERRAZZO FINISHERS:

BASE RATE	\$15.42
FRINGE BENEFITS	5.42

MILLWRIGHTS:

BASE RATE	\$24.28
FRINGE BENEFITS	17.26

OPERATING ENGINEERS / BUILDING:

CLASS A-1: Operating Engineers possessing 3rd party certification NCCCO (National Commission for the Certification of Crane Operators) (or Operating Engineers Certification Program) shall be paid the minimum rate per hour on the following equipment: Crane, dragline, hoist (1 drum when used for stack or chimney construction or repair), hoisting engineer (2 or more drums), orangepeel bucket, overhead crane, piledriver, truck crane, tower crane, hydraulic crane:

BUILDING	BASE RATE	\$27.28
	FRINGE BENEFITS	13.40

OPERATING ENGINEERS / BUILDING: CONTINUED

CLASS A: Articulating Dump, Auto Patrol, Batcher Plant, Bituminous Paver, Cableway, Central Compressor Plant, Clamshell, Concrete Mixer (21 cu. ft. or over), Concrete Pump, Crane, Crusher Plant, Derrick, Derrick Boat, Directional boring machine, Ditching and Trenching Machine, Dragline, Dredge Operator, Dredge Engineer, Elevating Grader and all types of Loaders, Forklift (regardless of lift height), GPS systems (on equipment within the classification), Hoe-Type Machine, Hoist (1 drum when used for stack or chimney construction or repair), Hoisting Engine (2 or more drums), laser or remote controlled equipment (within the classification), Locomotive, Motor Scraper, Carry-all Scoop, Bulldozer, Heavy Duty Welder, Mechanic, Orangepeel Bucket, Piledriver, Power Blade, Motor Grader, Roller (bituminous), Scarifier, Shovel, Tractor Shovel, Truck Crane, Winch Truck, Push Dozer, Highlift, All types of Boom Cats, Core Drill, Hopto, Tow or Push Boat, A-Frame Winch Truck, Concrete Paver, Gradeall, Hoist, Hyster, Pumpcrete, Ross Carrier, Boom, Tail Boom, Rotary Drill, Hydro Hammer, Mucking Machine, Rock Spreader attached to equipment, Scoopmobile, KeCal Loader, Tower Cranes (French, German and other types), Hydrocrane, Backfiller, Gurries, sub-Grader, Tunnel Mining Machines including Moles, Shields, or Similar types of Tunnel Mining Equipment.

BUILDING	*BASE RATE	\$26.25
	FRINGE BENEFITS	13.40

*Operators on cranes with boom one-hundred fifty feet (150') and over including jib, shall receive seventy-five cents (\$.75) above base rate. All cranes with piling leads will receive \$.50 above base rate regardless of boom length

CLASS B: All Air Compressors (over 900 cfm), Bituminous Mixer, Joint Sealing Machine, Concrete Mixer (under 21 cu. ft), Form Grader, Roller (rock), tractor (50 HP and over), Bull Float, Finish Machine, Outboard Motor Boat, Flexplane, Fireman, Boom Type Tamping Machine, Greaser on Grease Facilities servicing Heavy Equipment, Switchman or brakeman, Mechanic Helper, Whirley Oiler, Self-Propelled Compactor, Tractair and Road Widening Trencher and Farm Tractor with Attachments (except backhoe, highlift and endloader), Elevator (regardless of ownership when used for hoisting any building materials), Hoisting Engineer (1 drum or buck hoist), Firebrick Masonry Excluded, Well Points, Grout Pump, Throttle-Valve Man, Tugger, Electric Vibrator Compactor and Caisson Drill Helper

BUILDING	BASE RATE	\$22.67
	FRINGE BENEFITS1	13.40

CLASS C: Bituminous Distributor, Cement Gun, Conveyor, Mud Jack, Paving Joint Machine, Roller (earth), Tamping Machine, Tractors (under 50 HP), Vibrator, Oiler, Concrete Saw, Burlap and Curing Machine, Truck Crane Oiler, Hydro-Seeder, Power Form handling Equipment, Deckhand Steersman, Hydraulic Post Driver and Drill Helper

BUILDING	BASE RATE	\$21.11
	FRINGE BENEFITS	13.40

OPERATING ENGINEERS / HEAVY HIGHWAY:

CLASS A-1: Operating Engineers possessing 3rd party certification NCCCO (National Commission for the Certification of Crane Operators) (or Operating Engineers Certification Program) shall be paid the minimum rate per hour on the following equipment: Cableway, carry deck crane, cherry picker, clamshell, crane, derrick, derrick boat, dragline, hoist engine (2 or more drums) hydraulic boom truck, hydrocrane, orangepeel bucket, overhead crane, piledriver, rough terrain crane, tower cranes (French, German & other types), truck crane:

HEAVY HIGHWAY	BASE RATE	\$28.40
	FRINGE BENEFITS	13.40

OPERATING ENGINEERS / HEAVY HIGHWAY: CONTINUED

CLASS A: A-Frame Winch Truck, Auto Patrol, Backfiller, Batch Plant, Bituminous Paver, Bituminous Transfer Machine, All types of Boom Cats, Bulldozer, Cableway, Carry-All Scoop, Carry Deck Crane, Central Compressor Plant Operator, Clamshell, Concrete Mixer (21 cu. ft. or over), Concrete Paver, Truck-Mounted Concrete Pump, Core Drills, Crane, Crusher Plant, Derrick, Derrick Boat, Ditching and Trenching Machine, Dragline, Dredge Operator, Dredge Engineer, Earth Movers, Elevating Grader and all types of Loaders, Grade-All, Gurries, Heavy Equipment Robotics Operator/Mechanic, Highlift, Hoe-Type Machine, Hoist (two or more drums), Hoisting Engine (two or more drums), Horizontal Directional Drill Operator, Hydraulic Boom Truck, Hydrocrane, Hyster, KeCal Loader, Letourneau, Locomotive, Mechanic, Mechanically Operated Laser Screed, Mechanic Welder, Mucking Machine, Motor Scraper, Orangepeel Bucket, Piledriver, Power Blade, Pumpcrete, Push Dozer, Rock Spreader attached to Equipment, All Rotary Drills, Roller (bituminous), Scarifier, Scoopmobile, Shovel, Side Boom, Subgrader, Tailboom, Telescoping Type Forklift, Tow or Push Boat, Tower Cranes (French, German and other types), Tractor Shovel, Truck Crane, Tunnel Mining Machines including Moles, Shields, or Similar types of Tunnel Mining Equipment.

HEAVY & HIGHWAY	**BASE RATE	\$27.35
	FRINGE BENEFITS	13.40

**Operators on cranes with booms one hundred fifty feet (150') and over including jib shall receive \$1.00 above base rate

CLASS B: All Air Compressors (over 900 cu. ft. per min.), Bituminous Mixer, Boom Type Tamping Machine, Bull Float, Concrete Mixer (under 21 cu. ft.), Electric Vibrator Compactor/Self-Propelled Compactor, Elevator (one drum or buck hoist), Elevator (regardless of ownership when used to hoist building material), Finish Machine, Firemen, Flex-Plane, Forklift (regardless of lift height), Form Grader, Hoist (one drum), Joint Sealing Machine, Mechanic Helper, Outboard Motor Boat, Power Sweeper (riding type), Roller (rock), Ross Carrier, Skid Mounted or Trailer Mounted Concrete Pumps, Switchman or Brakeman, Throttle Valve Man, Tractair and Road Widening Trencher, Tractor (50 HP and over), Truck Crane Oiler, Tugger, Welding Machine, Well Points, and Whirley Oiler.

HEAVY & HIGHWAY	BASE RATE	\$24.87
	FRINGE BENEFITS	13.40

CLASS B-2: Greaser on Grease Facilities servicing Heavy Equipment.

HEAVY & HIGHWAY	BASE RATE	\$25.26
	FRINGE BENEFITS	13.40

CLASS C: Bituminous Distributor, Burlap and Curing Machine, Caisson Drill and Core Drill Helper (track or skid mounted), Cement Gun, Concrete Saw, Conveyor, Deckhand Oiler, Grout Pump, Hydraulic Post Driver, Hydro Seeder, Mud Jack, Oiler, Paving Joint Machine, Power Form Handling Equipment, Pump, Roller (earth), Steermen, Tamping Machine, Tractors (under 50 H.P.) and Vibrator.

HEAVY & HIGHWAY	BASE RATE	\$24.60
	FRINGE BENEFITS	13.40

Employees assigned to work below ground level are to be paid ten percent (10%) above base wage rate. This does not apply to open cut work.

PAINTERS / BUILDING:

Brush, Roller & Paperhangers	BUILDING	*BASE RATE	\$24.45
		FRINGE BENEFITS	10.58

Drywall Finishers & Plasterers	BUILDING	*BASE RATE	\$24.70
		FRINGE BENEFITS	10.58

PAINTERS / BUILDING: CONTINUED

Spray, Sandblast, Power Tools, Waterblast, Steam Cleaning, Brush & Roller of Mastics, Creosotes, Kwinch Koate and Coal Tar Epoxy	BUILDING	*BASE RATE	\$25.45
		FRINGE BENEFITS	10.58
Spray of Mastics, Creosotes, Kwinch Koate and Coal Tar Epoxy	BUILDING	*BASE RATE	\$26.45
		FRINGE BENEFITS	10.58

*Add \$.75 per hour to base rate for employee working forty (40) feet or more above ground or floor -- \$1.50 per hour to base rate for employee working seventy-five (75) feet or more above ground or floor -- \$2.50 per hour to base rate for employee working one hundred (100) feet or more above ground or floor

PAINTERS / HEAVY & HIGHWAY:

Brush, Roller & Paperhangers	HEAVY & HIGHWAY	BASE RATE	\$19.15
		FRINGE BENEFITS	4.88
Drywall Finishers & Plasterers	HEAVY & HIGHWAY	BASE RATE	\$19.40
		FRINGE BENEFITS	4.88
Spray, Sandblast, Power Tools, Waterblast, Steam Cleaning, Brush & Roller of Mastics, Creosotes, Kwinch Koate and Coal Tar Epoxy	HEAVY & HIGHWAY	BASE RATE	\$20.15
		FRINGE BENEFITS	4.88
Spray of Mastics, Creosotes, Kwinch Koate and Coal Tar Epoxy	HEAVY & HIGHWAY	BASE RATE	\$21.15
		FRINGE BENEFITS	4.88

PLUMBERS & PIPEFITTERS:

BASE RATE	\$32.00
FRINGE BENEFITS	16.48

ROOFERS: (Excluding Metal Roofs)

BASE RATE	\$16.90
FRINGE BENEFITS	4.95

SHEETMETAL WORKERS: (Including Metal Roofs)

BASE RATE	\$28.40
FRINGE BENEFITS	11.52

SPRINKLER FITTERS:

BASE RATE	\$29.55
FRINGE BENEFITS	17.22

TRUCK DRIVERS / BUILDING:

Truck Helper and Warehouseman	BUILDING	BASE RATE	\$10.06
		*FRINGE BENEFITS	1.33

TRUCK DRIVERS / BUILDING: CONTINUED

Driver - 3 tons and under, Greaser, Tire Changer and Mechanic Helper BUILDING	BASE RATE	\$10.18
	*FRINGE BENEFITS	1.33
Driver - over 3 tons, Drivers, Semi-Trailer or Pole Trailer; Dump Trucks, Tandem Axle; Farm Tractor when used to pull building material or equipment BUILDING	BASE RATE	\$10.29
	*FRINGE BENEFITS	1.33
Drivers, Concrete Mixer Trucks (all types, hauling on job sites only); Truck Mechanics BUILDING	BASE RATE	\$10.36
	*FRINGE BENEFITS	1.33
Drivers, Euclid and other Heavy Earth Moving Equipment and Low Boy, Winch Truck and A-Frame Truck and Monorail Truck when used to transport building materials, Forklift Truck when used inside warehouse or storage area. BUILDING	BASE RATE	\$10.46
	*FRINGE BENEFITS	1.33

*Fringe benefits - Apply to each employee (whose name appears on the payroll that week) who has been employed a minimum of twenty (20) work days within any ninety (90) consecutive day period for that employer.

TRUCK DRIVERS / HEAVY HIGHWAY

Truck helper and warehouseman, mobile batch truck helper. HEAVY & HIGHWAY	BASE RATE	\$17.78
	**FRINGE BENEFITS	2.28
Greaser, tire changer and mechanic helper. HEAVY & HIGHWAY	BASE RATE	\$17.83
	**FRINGE BENEFITS	2.28
Truck mechanic HEAVY & HIGHWAY	BASE RATE	\$18.06
	**FRINGE BENEFITS	2.28
Driver-single axle dump and flatbed truck, semi-trailer or pole trailer when used to pull building materials and equipment, tandem axle dump truck, driver of distributors, driver on mixer trucks (all types). HEAVY & HIGHWAY	BASE RATE	\$18.13
	**FRINGE BENEFITS	2.28

TRUCK DRIVERS / HEAVY HIGHWAY: CONTINUED

Driver-Euclid and other heavy earthmoving equipment and low-boy, articulator, cat truck, 5-axle wheel, winch truck and A-Frame truck when used in transporting materials, Ross Carrier, forklift truck when used to transport building materials, driver on pavement breakers.

HEAVY & HIGHWAY	BASE RATE	\$18.14
	**FRINGE BENEFITS	2.28

**FRINGE BENEFITS apply to employees who have been employed a minimum of twenty (20) workdays within any ninety (90) consecutive day period of that employer.

END OF DOCUMENT
CR 8-005
February 27, 2013

CONTRACT CHANGE ORDER

ORDER NO.
DATE
STATE
COUNTY

CONTRACT FOR:

OWNER:

TO: (Contractor)
 You are hereby requested to comply with the following changes from the contract plans and specifications:

Description of Changes (Supplemental Plans and Specifications Attached)	DECREASE in Contract Price	INCREASE in Contract Price
TOTALS
NET CHANGE IN CONTRACT PRICE	\$	\$
	\$	\$

JUSTIFICATION:

=====

The amount of the Contract will be (Decreased) (Increased) By The Sum Of: _____ Dollars (_____).

The Contract Total Including this and Previous Change Orders Will Be: _____ Dollars (_____).

The Contract Period Provided for Completion Will Be (Increased)(Decreased)(Unchanged): _____ Days.

This document will become a supplement to the Contract and all provisions will apply hereto.

Requested _____ (Owner) _____ (Date)

Recommended _____ (Owner's Architect/Engineer) _____ (Date)

Accepted _____ (Contractor) _____ (Date)

Approved By FmHA _____ (Name & Title) _____ (Date)

This information will be used as record of any changes to the original construction contract.

DIVISION 1

SECTION 01110 - SUMMARY OF WORK

PART 1 - GENERAL

1.01 SCOPE OF WORK PERFORMED UNDER THIS CONTRACT

The work consists of the Wax Water Treatment Plant Raw Water Intake Modifications and all appurtenances together with all related work as specified and shown on the Drawings and specified herein.

1.02 ENUMERATION OF DRAWINGS & SPECIFICATIONS

Following are the Drawings and Specifications which form the Contract Documents as set forth in Section 1.1 of the General Conditions:

Drawings

Sheet Number

See Index of Drawings on Sheet 1 of the Contract Plan Set

Specifications

See Table of Contents

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION

SECTION 01120 - GENERAL PROVISIONS

PART 1 - GENERAL

1.01 DESIGNATION OF PARTIES

- A. All references in the Specifications, Contract Documents and Drawings to "Owner" shall mean Edmonson County Water District; all references to "Engineer" shall mean GRW Engineers, Inc., 404 BNA Drive, Nashville, Tennessee 37217.

1.02 PRE-CONSTRUCTION CONFERENCE AND COMMENCEMENT OF CONTRACT TIME

- A. The Contractor, Engineer and Owner, or their duly appointed representative, shall meet in a preconstruction conference prior to the initiation of construction to organize, schedule and determine responsibilities for the work as it pertains to each party of the Contract.
- B. Contract time will commence to run on the day indicated in the Notice to Proceed. In no event will the Contract Time commence to run later than the 90th day after the day of the Bid opening or the 20th day after the effective Date of the Agreement, whichever date is earlier.

1.03 CONSTRUCTION SCHEDULE CHART

- A. Prior to start of any construction, the Contractor shall furnish three (3) copies of a suitable construction schedule or progress chart. The schedule or chart shall be subject to the approval of the Engineer, and be of sufficient detail to show the chronological relationship of all activities of the project, the order in which the Contractor proposes to carry on the work, estimated starting and completion dates of major features, procurement of materials, and scheduling of equipment. The schedule shall be in a form suitable for appropriately indicating the percentage of work scheduled for completion at any time. The schedule shall be kept current and shall reflect completion of all work under the Contract within the specified time and in accordance with these Specifications.
- B. If the Contractor fails to submit a schedule or chart, within the time prescribed, the Owner/Engineer may withhold approval of progress payments until the Contractor submits the required schedule or chart.

If, in the opinion of the Owner/Engineer the Contractor falls behind the approved schedule. The Contractor shall take steps necessary to improve its progress, including those that may be required by the Owner/ Engineer, without additional cost to the Owner. In this circumstance, the Owner/Engineer may require the Contractor to increase the number of shifts, overtime operations, days of work, and/or the amount of construction activity, and to submit for approval any supplementary schedule(s) or chart (s) as the Owner/Engineer deems necessary to demonstrate how the approved rate of progress will be regained.

Failure of the Contractor to comply with the requirements of the Owner/Engineer under this clause shall be grounds for a determination by the Owner/Engineer that the Contractor is not prosecuting the work with sufficient diligence to ensure completion within the time specified in the contract. Upon making this determination, the Owner/Engineer may terminate the Contractor's right to proceed with the work, or any separable part of it, in accordance with the terms of this contract.

1.04 CONSTRUCTION PROGRESS MEETINGS

If required, monthly construction progress meetings shall be held at a designated location established by the Owner. The Contractor, appropriate Sub-Contractors, the Engineer and the Owner shall meet to review construction progress, equipment or material submittals, construction schedules, etc.

1.05 TAXES

Proposals shall be made to include any applicable taxes on payrolls, materials, equipment, vehicles, utilities, etc., including State sales taxes and shall include compensation for such taxes on all work under this Contract.

1.06 LINES AND GRADES

- A. The Engineer has shown on the Plans, available survey reference points and bench marks which are necessary to enable the Contractor to proceed with the work. The Contractor shall be responsible for all lines and grades required for the construction of structures and piping. The Contractor shall set line and grade stakes for all gravity sewers, offset from the centerline of the trench or the axes of the pipelines as required to facilitate accurate construction.
- B. The Contractor shall use a laser beam instrument to set the grades on gravity sewer lines. In using such an instrument, the Contractor shall be responsible for maintaining grades and elevations as called for on the drawing profiles; any variances found shall be corrected by the Contractor at his expense. The Contractor shall verify invert elevation at each manhole for a check. A blower shall be used with the laser beam instrument during warm or hot weather to assure accurate line and grade for the laser beam.
- C. When water lines, force main and other such buried pressure pipelines are involved, the Engineer will assist the Contractor in the location of these lines; however, any detailed layout requiring surveying, or excavation including that required for establishing the grade of the pipeline, shall be accomplished by the Contractor.
- D. The Contractor shall furnish all materials, stakes and grade boards that are required for layout by the Contractor's forces. In addition, the Contractor shall furnish any necessary survey personnel to mark the location of the various facilities on the ground, establishing bench levels and determining as-built conditions after work is completed. The Contractor's personnel engaged in the layout work described herein and the aides furnished to the Engineer shall be fully capable of performing the duties set out herein and shall be fully qualified as required. Contractor shall be responsible for verifying all profiles and elevations prior to construction.
- E. All survey work shall be performed under the direct supervision of a surveyor licensed in the Commonwealth of Kentucky.
- F. Any discrepancy between elevations shown on the plans and elevations taken in the field shall be reported to the Engineer immediately.

1.07 BLASTING

- A. All blasting operations shall be conducted in strict accordance with the Kentucky Regulations, which shall be deemed to be included in these Specifications the same as though herein written in full. The Contractor shall also comply with applicable municipal ordinances,

Federal Safety Regulations and Section 9 of the Manual of Accident Prevention in Construction, published by the Associated General Contractor's of America, Inc. All explosives shall be stored in conformity with said ordinances, laws and safety regulations. No blasting shall be done within five feet of any water mains, telephone, electric or other underground utility lines or ten feet of any gas mains except with light charges of explosives. Any damage done by blasting is the responsibility of the Contractor and shall be promptly and satisfactorily repaired by him. All blast events shall be designed in accordance with state laws.

- B. Unless otherwise required by ordinance or law, each excavation crew shall be provided with two metal boxes equipped with suitable locks. One of these boxes shall be for storing explosives and one for caps. The boxes shall always be locked except when in actual use. They shall be painted a bright color and stenciled with appropriate warning signs. At night, explosives and caps shall be stored in separate magazines.
- C. Shots shall be covered with rope, heavy timber or blasting mats, to prevent all flying material. Unless otherwise specified or directed, delay caps shall be used to reduce earth vibrations and noise.
- D. The Contractor shall keep a blasting log and, for each blast, shall record the date, time of blast, number of holes, type of explosive, number of delays, amount of charge per delay; stemming type, and number of caps; and all other items as required by State laws and regulations. An inventory of all explosives handled and stored shall also be kept.
- E. All blasting shall be supervised and performed by qualified personnel and shall be monitored to ensure compliance with all regulations. The Contractor shall submit a monitoring plan to the Engineer prior to beginning blasting activities.
- F. A pre-blast survey shall be performed by the Contractor. The pre-blast survey shall be accurate and up to date at the time of the blast event. The survey shall be a compilation of the condition, type, and general appearance of all nearby structures. It shall also include a listing of any vibration-sensitive equipment or conditions, which exist at adjacent facilities. The owners and occupants of these facilities shall be notified of the intent to blast and the blasting schedule. The survey shall be conducted by a competent engineering firm or other qualified firm and sufficiently documented by photographs, video, measurements, and diagrams. The survey shall include all structures within 200' of the project or any such structure the Contractor feels may be reasonably affected by ground and/or air vibrations from blasting. Pre-blast survey results shall be submitted to the Owner upon request.
- G. Shot rock, which is excavated, shall be disposed of offsite by the Contractor. No rock larger than one-half cubic foot will be permitted in the backfill.
- H. Blasting operations shall be covered by comprehensive general liability insurance or separate public insurance to cover blasting as set forth in the general conditions.
- I. Compliance with laws, ordinances, and regulations shall be the Contractor's responsibility and he shall save the Owner and/or Engineer harmless from any and all claims of any type or nature arising from blasting or storage of explosives.

1.08 COMPLIANCE WITH SAFETY REGULATIONS

- A. The equipment items furnished shall comply with all governing federal and state laws regarding safety, including all current requirements of the Occupational Safety and Health Act (OSHA). Contractor shall be solely responsible for job safety in accordance with all laws, regulations, methods, etc. of OSHA and the state.
- B. All work under this Contract shall be done in strict compliance with the Occupational Safety and Health Act of 1970 (PL 91-596) and under Section 107 of the Contract Work Hours and Safety Standards Act. (PL 91-54).
- C. It is not the intention of these specifications to conflict with the Act in any way, and where conflicts may arise, the Act shall govern.

1.09 MAINTENANCE AND OPERATIONS MANUAL

Every piece of equipment furnished and installed shall be provided with complete maintenance and operations manuals. These shall be detailed in instructions to the Owner's personnel. They shall be attractively bound for the Owner's records. See Section 01340 and Section 01780 for requirements. The manuals shall be submitted to the Engineer for review as to adequacy and completeness. Provide two copies each, unless otherwise noted.

1.10 OBSTRUCTIONS

- A. In cases where storm sewers, sanitary sewers, gas lines, water lines, telephone lines, electric lines or other overhead and underground structures are encountered, they shall not be displaced or molested unless necessary, in which case they shall be replaced in as good a condition as found and as quickly as possible.
- B. The Contractor is responsible for notifying the appropriate utility companies, and coordinating the protection of the utility. All such lines or underground structures damaged or molested in the construction shall be replaced at the Contractor's expense, unless in the opinion of the Engineer, such damage was caused through no fault of the Contractor.
- C. The following existing utilities were found to be present in the area involved in construction:

Water Lines..... Edmonson County Water District
Power Lines.....Warren Rural Electric and Farmers Rural Electric
Telephone Lines.....ALLTEL Communication, Inc.
Gas Lines.....Western Kentucky Gas Company
- D. With particular respect to existing underground utilities, all available information concerning their location has been shown on the Plans. While it is believed that the locations shown are reasonable correct, neither the Engineer nor the Owner can guarantee the accuracy or adequacy of this information.
- E. It is suggested that the Contractor locate all unknown metallic hazards, namely buried pipe, metals, etc., by using a pipe locator. The pipe locator should immediately precede the trench ditching and all hazards located and marked with a pointed stake in such manner as to notify the ditcher operator of such hazard. The Engineer may require this procedure. Available for assistance to the Contractor is BUD, a service to aid in underground utility location. BUD telephone 1-800-752-6007.

- F. It is expected that the Contractor will be diligent in his efforts and use every possible means to locate existing utilities. Any claims for unavoidable damage, based on improper or unknown locations will be thoroughly examined in light of the Contractor's efforts to locate the said utilities or obstructions prior to beginning construction.

1.11 STORAGE FACILITIES

- A. The Contractor shall be responsible for proper and adequate storage of all materials and equipment used on the site. Any additional off-site space required for construction purposes shall be the Contractor's responsibility to obtain.
- B. Upon completion of the work, the Contractor shall remove all storage facilities, surplus materials and equipment and restore the site to its original condition, or to the finished condition as required by the Contract.

1.12 STANDARDS OF WORKMANSHIP

Work of all crafts and trades shall be laid out to lines and elevations as established by the Contractor from the Drawings or from instructions by the Engineer. Unless otherwise shown, all work shall be plumb and level, in straight lines and true planes, parallel or square to the established lines and levels. The work shall be accurately measured and fitted to tolerance as established by the best practices of the crafts and trades involved, and shall be as required to fit all parts of the work carefully and neatly together.

1.13 PERFORMANCE AND PAYMENT BONDS

Performance and payment bonds, as specified in of the General Conditions, shall run for a period of one (1) year after final acceptance of the work by the Owner. These bonds shall be executed on the forms provided as a part of the Contract Documents.

1.14 GUARANTY

- A. Except as otherwise specified herein, the Contractor shall guarantee all work from latent defects in materials, equipment and workmanship for one (1) year from the date of final completion of the Contract. The date of final completion shall be that date upon which the final estimate is approved by the Owner or the date of substantial completion as defined in Section 01770 of the technical Specifications. In case any date but the date of final completion is established to govern the time of the Guaranty, such date shall be duly recorded together with the terms and conditions of such agreement.
- B. The Contractor agrees that he will obtain from the manufacturers of equipment and materials furnished under this Contract, guarantees against defective materials and workmanship, and if those guarantees furnished by the manufacturer do not extend for the term of one (1) year from and after the date upon which the final estimate is formally approved by the Owner or other established date as set forth hereinbefore, he shall make the necessary arrangements and assume all cost for extending this guarantee for the required period.
- C. The Contractor shall promptly make such repairs or replacement as may be required under the above specified guarantee, and, when the repairs or replacements involve one or more items of installed equipment, shall provide the services of qualified factory-trained servicemen in

the employ of the equipment manufacturers to perform or supervise the repairs or replacements.

- D. When the Engineer or the Owner deems it necessary, and so orders, such replacements or repairs under this section shall be undertaken by the Contractor within twenty-four (24) hours after service of notice. If the Contractor unnecessarily delays or fails to make the ordered replacements or repairs within the time specified, or if any replacements or repairs are of such nature as not to admit of the delay incident to the service of a notice, then the Owner shall have the right to make such replacements or repairs, and the expense thereof shall be paid by the Contractor or deducted from any moneys due the Contractor.
- E. The Performance Bond shall remain in full force and effect throughout the Guaranty period.
- F. All warranties and guarantees remaining in effect at and beyond the Guaranty expiration date shall be relinquished and transferred to the Owner. Copies of such warranty/guaranty shall be submitted to the Engineer prior to date of the start of the guaranty period.

1.15 TRAFFIC CONTROL AND MAINTENANCE

- A. Traffic shall be maintained on all highways and streets at all times during construction of pipe lines across or along side said highways and streets. Access to all existing subdivisions and private residences shall also be kept open. Work shall be performed in accordance with applicable City, County, and state Department of Transportation guidelines. Traffic control shall include proper signing and flagging per these guidelines.
- B. Traffic shall be maintained in accordance with the Manual on Uniform Traffic Control Devices. Work shall include all labor and materials necessary for construction and maintenance of traffic control devices and markings.
- C. Traffic control shall also include all flag persons and traffic control devices such as, but not limited to, flashers, signs, barricades and vertical panels, plastic drums (steel drums will not be permitted) and cones necessary for the control and protection of vehicular and pedestrian traffic as specified by the Manual on Uniform Traffic Control Devices.
- D. Any temporary traffic control items, devices, materials, and incidentals shall remain the property of the Contractor when no longer needed.
- E. The Contractor shall maintain a two-lane traveled way with a minimum lane width of 10 feet; however, during working hours, one-way traffic may be allowed at the discretion of the Owner, provided adequate signing and flagpersons are at the location.
- F. The Contractor shall fully cover with plywood any signs, either existing, permanent or temporary, which do not properly apply to the current traffic phasing, and shall maintain the covering until the signs are applicable or are removed.
- G. In general, all traffic control devices shall be placed starting and proceeding in the direction of the flow of traffic and removed starting and proceeding in the direction opposite to the flow of traffic.
- H. If traffic should be stopped due to construction operations and an emergency vehicle on an official emergency run arrives on the scene, the Contractor shall make provisions for the passage of that vehicle immediately.

1.16 CONSTRUCTION ALONG OR ACROSS A STREAM

- A. All excavations along or across a stream shall be done in such a manner as to prevent degradation of the waters. Spoil material shall not be allowed to enter the flowing portion of the stream.
- B. Effective erosion and sedimentation measures must be employed at all times during the project to prevent degradation of the waters.
- C. Site regrading and reseeding shall be accomplished within 14 days after disturbance, regardless of the season.
- D. The Contractor shall refer to Paragraph 1.37 and Section 02371 of these Detailed Specifications for a more detailed description of requirements of the KPDEC Construction Stormwater Permit and the Kentucky Water Quality Standards Water Quality Certification.

1.17 EXECUTION AND COORDINATION OF THE WORK

A. GENERAL

- 1. It is intended that the work covered by this contract be done so as to cause the minimum interference with the normal operation of the existing water distribution and wastewater collection system of the Edmonson County Water District. The Contractor will be required to organize and schedule his work so as to keep the existing facilities in full operation during the construction period insofar as is consistent with the nature of the construction work to be performed.
- 2. The manner in which shutdowns will be made, and the Contractor's work schedule will be subject to the approval of the Owner and the Engineer; and although every effort will be made to cause the minimum amount of interference with the Contractor's work, the interest of the Owner in regard to the existing facilities must always take precedence over the construction work. Therefore, the right is reserved by the Owner to put any lines that may be shut down for the construction work back into service when an emergency arises. A maximum shutdown period of four (4) hours shall be allowed.
- 3. The Contractor must have sufficient materials, equipment, labor, and supervision available to accomplish the work required in the time allocated for any shutdown.
- 4. The general nature of utility work is such that the Contractor should anticipate not being able to perform all work in a continuous manner from end to end. The Contractor should allow for interruptions, temporarily skipping portions of the work, etc.

B. SPECIAL REQUIREMENTS

- 1. The Contractor is reminded that COE requirements shall be followed on all work within the Nolin Reservoir boundary. A copy of the COE permit is attached in Appendix A.

1.18 ORDER OF WORK, TIME OF COMPLETION AND LIQUIDATED DAMAGES

- A. Work on the contract shall be prosecuted in a timely manner. The work shall be constructed in such a manner that portions of the system can be placed into service as soon as possible. Time of completion of the construction contract shall be as described in Article 3 of the agreement.
- B. If the work is not completed within the time specified, liquidated damages as described in Section 00810 will be deducted from the compensation otherwise due the contractor.

1.19 WEATHER DELAYS

A. EXTENSIONS OF CONTRACT TIME

If the basis exists for an extension of time, an extension of time on the basis of weather may be granted only for the number of Weather Delay Days in excess of the number of days listed as the Standard Baseline for that month.

B. STANDARD BASELINE FOR AVERAGE CLIMATIC RANGE

- 1. Standard Baseline shall be regarded as the normal and anticipatable number of calendar days for each month during which construction activity shall be expected to be prevented and suspended by cause of adverse weather. Suspension of construction activity for the number of days each month as listed in the Standard Baseline is included in the Work and is not eligible for extension of Contract Time.
- 2. Standard Baseline of average climatic range for Edmonson County, Commonwealth of Kentucky as determined from the National Oceanic and Atmosphere Administration is as follows:

Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
15	12	09	08	08	08	07	06	06	06	08	11

C. ADVERSE WEATHER AND WEATHER DELAY DAYS

- 1. Adverse Weather is defined as the occurrence of one or more of the following conditions which prevents exterior construction activity or access to the site within twenty-four (24) hours:
 - a. precipitation (rain, snow, or ice) in excess of one-tenth inch (0.10”) liquid measure.
 - b. temperatures which do not rise above 32 degrees F by 10:00 a.m.
 - c. temperatures which do not rise above that specified for the day’s construction activity by 10:00 a.m. if any is specified.
 - d. sustained wind in excess of twenty-five (25) m.p.h.
 - e. standing snow in excess of one inch (1.00”)

2. Adverse Weather may include, if appropriate, “dry-out” or “mud ” days:
 - a. for rain days above the standard baseline;
 - b. only if there is a hindrance to site access or site work, such as excavation, backfill, and footings, and,
 - c. at a rate not greater than 1 make-up day for each day or consecutive days of rain beyond the standard baseline that total 1.0 inch or more, liquid measure, unless specifically recommended otherwise by the Engineer.
3. A weather Delay Day may be counted if adverse weather prevents work on the project for fifty percent (50%) or more of the contractor’s scheduled work day, including a weekend day or holiday if Contractor has scheduled construction activity that day.

D. DOCUMENTATION AND SUBMITTALS

1. Submit daily jobsite work logs showing which and to what extent construction activities have been affected by weather on a monthly basis.
2. Submit actual weather data to support claim for time extension obtained from nearest NOAA weather station or other independently verified source approved by Engineer at beginning of project.
3. Use Standard Baseline data provided in this Section when documenting actual delays due to weather in excess of the average climatic range.
4. Organize claim and documentation to facilitate evaluation on a basis of calendar month periods, and submit in accordance with the procedures established in the Contract Documents.

1.20 RESTORATION OF DISTURBED AREAS/WORK ON PRIVATE PROPERTY

- A. In connection with work performed on or adjacent to private property, the Contractor shall take all reasonable care to avoid damage to the property owner’s buildings, grounds and facilities and shall be completely responsible for the repair or damage to same. Fences, hedges, shrubs, etc., within the construction limits shall be carefully removed, preserved, and replaced when the construction is completed. Where ditches or excavations cross lawns with sod, the sod shall be removed carefully and replaced when the backfilling has been completed. If sod is damaged or not handled properly the area shall be restored equal to existing sod at the Contractor’s expense. Grassed areas shall be graded, fertilized, and seeded when construction is completed in accordance with the requirements set out in these Detailed Specifications. It is intended that when construction is completed the private property owner’s facilities and grounds shall be restored to as good as or better than its original condition. Foundations adjacent to an excavation which is to be carried below the bottom of the foundation shall be supported by shoring, bracing, or underpinning and the Contractor shall be held strictly responsible for any damage to said foundation.
- B. Work on the rights-of-way of the State or County Highway Departments shall be considered work on private property. It shall be the Contractor’s responsibility to obtain any necessary work permits and to meet all requirements for signs, warning lights, flagmen, etc.

- C. Reasonable care shall be taken during construction to avoid damage to vegetation. Ornamental shrubbery and tree branches shall be temporarily tied back, where appropriate, to minimize damage. Trees, which receive damage to branches, shall be trimmed of those branches to improve the appearance of the tree. Tree trunks receiving damage from equipment shall be treated with a tree dressing.

1.21 BASIS OF PAYMENT

- A. The Contractor shall furnish all necessary labor, machinery, tools, apparatus, materials, equipment, service and other necessary supplies and perform all work at the unit or lump sum prices for the items listed in the BID SCHEDULE.
- B. Items listed in the BID SCHEDULE constitute all of the pay items for this project; any other items of work listed in the Specifications shown on the Plans, or required to construct an operable facility shall be considered incidental to those items.
- C. The contractor shall refer to Section 01271 for the Basis of Payment requirements.

1.22 SHOP DRAWINGS

The Contractor shall submit shop drawings for all materials to be installed. Shop drawings shall be submitted in accordance with Section 01340. Rejection of the same drawings on three separate occasions will constitute grounds for total rejection of the proposed equipment manufacturer or supplier as being unable to meet the Specifications.

Shop drawings shall be checked by the Contractor and evidence of such checking shall be indicated thereon. The Contractor shall be completely responsible for accuracy, completeness, compliance with Plans and Specifications, and compatibility, the Engineer's approval notwithstanding.

1.23 SUPERVISION OF INSTALLATION

All special equipment or materials shall be installed under the supervision of qualified personnel representing the Contractor.

1.24 CONNECTING TO EXISTING LINES

Connections of new lines to existing lines shall be as shown on the Plans and/or directed by the Engineer. The Contractor shall verify materials of construction and size of existing lines before ordering tapping sleeves, couplings, etc.

1.25 FINAL INSPECTION

Final inspection will be held when Contractor notifies the Engineer that work is complete and ready for inspection. The Engineer shall contact concerned parties and set a date for the inspection to be held.

1.26 PERMITS CODES, AGREEMENTS AND/OR CONTRACTS WITH PRIVATE UTILITIES

The Contractor shall make application for, obtain, and pay for all licenses, permits, agreements, and/or contracts with private utility companies and shall pay all fees and charges in connection therewith. The Contractor shall be responsible for all expenses and fees associated with the above.

1.27 UTILITIES REQUIRED BY CONTRACTOR

All electric current and/or any utility service required by the Contractor shall be furnished at his own expense except as otherwise noted in these specifications

1.28 WATER AND UPLIFT

The Contractor shall by the use of well points, pumps, or other approved methods, prevent the accumulation of water in excavated areas. Should water accumulate, it shall be promptly removed. The Contractor shall also provide for dewatering areas adjacent to structures or lines to prevent uplift during construction operations. The Contractor will be held responsible for any damage due to uplift of such structures or lines and to existing structures during construction operations.

1.29 SUBSURFACE CONDITIONS

Neither the Owner nor the Engineer will be held responsible for subsurface conditions. The Contractor should make his own determination concerning the quantities of rock and ground water prior to bidding.

1.30 NOISE AND ODOR CONTROL

Some of the work hereunder is to be performed adjacent to or near private residences. The Contractor shall be responsible for noise and odor abatement procedures and shall not commence work in these areas before 7:00 a.m. local prevailing time.

1.31 CHEMICAL REQUIREMENTS

All chemicals used during project construction or furnished for project operation, whether herbicide, pesticide, disinfectant, reactant or of other classifications, must show approval of either EPA or USDA. Use of all such chemicals and disposal of residues shall be in strict conformance with instructions.

1.32 FIELD REPRESENTATIVE

The Contractor shall have available a responsible on-site representative who can officially receive instructions from the Engineer. The Contractor shall have one complete up-to-date set of plans and specifications available at all times. The Contractor's failure to comply with this requirement shall cause the Contractor to work at his own risk. The jobsite superintendent shall, as a minimum, be provided a pager and mobile telephone with voice mail capabilities.

1.33 EASEMENTS AND WORK ON OR ADJACENT TO PRIVATE PROPERTY

In connection with work performed on or adjacent to private property, the Contractor shall take all reasonable care to avoid damage to the property owner's grounds and facilities and shall be completely responsible for the repair of damage to same. It is intended that when construction is completed, the private property owner's facilities and grounds shall be restored to as good as or better than their original condition.

1.34 ENGINEER'S AUTHORITY

The Engineer does not have the authority to stop work, order work done or to direct or supervise any of the Contractor's forces.

1.35 RETAINAGE REQUIREMENTS

Retainage shall be an amount equal to 5% of the payment earned until 100% of the work has been completed. Amounts retained shall not be paid to the Contractor until after substantial completion. The Owner may, at all times, retain an amount sufficient to cover the estimated cost of the work still to be completed. This retainage requirement supersedes the requirement described in the RUS General Conditions.

1.36 PROPERTY INSURANCE

The Builder's Risk insurance described in the General Conditions shall be purchased and maintained by the Contractor, not the Owner. The policy shall name as the insured the Contractor and the Owner.

1.37 EROSION AND SEDIMENT CONTROL

- A. The Contractor shall maintain all areas where excavation and backfill operations are being performed or have been performed in order that siltation and bank erosion will be kept to a minimum during construction. This requirement includes construction of temporary or permanent erosion barriers and use of special methods to control erosion.
- B. If required, the Contractor shall make application for a Storm Water Discharge permit. The Contractor shall submit the Notice of Intent and Storm Water Pollution Prevention Plan within five (5) working days of notification that he/she will be awarded the contract. Attached, as an Appendix is the KPDES General Permit for Storm Water Discharges from Construction Activities including the Notice of Intent, Notice of Termination, and Construction Storm Water Inspection Report forms. There is a thirty (30) day review process associated with this permit.
- C. A Kentucky Water Quality Certification should be obtained by the Contractor for each blue line stream crossing. The Contractor will be required to abide by all requirements of the permit. The Contractor shall not work within the streambed or tributaries thereof without the Water Quality Certification Permit.
- D. The Contractor shall refer to Section 02371 of these Detailed Specifications for a more detailed description of requirements of the KPDES Construction Stormwater Permit and the Kentucky Water Quality Standards Water Quality Certification.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION

SECTION 01205 - LABOR PROVISIONS - KY

PART 1 - GENERAL

1.01 HOURS OF WORK

- A. The Contractor shall comply in every respect to all provisions of the Kentucky Revised Statutes 337.505 to 337.550.
- B. Hours of work shall be as set out in KRS 337.550; that is, not more than eight (8) hours in one calendar day, nor more than forty (40) hours in one week, except in case of emergency caused by fire, flood or damage to life or property.
- C. The provisions included under KRS 337.540 concerning a 10-hour workday may be allowed if Owner is in agreement.
- D. Any laborer, workman, mechanic, helper, assistant or apprentice working in excess of eight (8) hours per day or forty (40) hours in one week except in case of emergency, shall be paid not less than 1-1/2 times the base rate.

1.02 PREVAILING WAGE REQUIREMENT

- A. In accordance with Kentucky Revised Statutes 337.510, Kentucky State Prevailing Wage Rates shall be in effect and shall apply to all contracts of this project.
- B. Required Wage Rates shall be as specified in Section 00880 "Prevailing Wage Rate Requirements."

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION

SECTION 01271 - BASIS OF MEASUREMENT AND PAYMENT

PART 1 - GENERAL

1.01 DESCRIPTION OF REQUIREMENTS

- A. The Contractor shall furnish all necessary labor, machinery, tools, apparatus, equipment, materials, service and other necessary supplies and perform all Work shown on the Drawings and/or described in the Specifications and Contract Documents at the unit prices as indicated by the Bidder in the Bid.
- B. The Bidder declares that he has examined the site of the Work and informed himself fully in regard to all conditions pertaining to the place where the Work is to be done; that he has examined the Plans, Specification and Contract Documents for the Work, and has read all special provisions furnished prior to the opening of bids; and that he has further satisfied himself relative to the Work to be performed. The Bidder further declares that he understands that unit quantities shown in the Proposal are approximately only, are subject to increase or decrease, and that, should the quantities of any of the items be decreased, the Bidder will make no claim for the anticipated profits.

1.02 PAY ITEMS

The items listed hereinafter refer to and are the same items listed in the PROPOSAL hereinbefore and constitute all of the pay items in this Contract. Any other items of Work listed in the Specifications or shown on the Drawings shall be considered incidental to the items listed below and shall be included in the appropriate item.

1.03 INTAKE SCREEN REPOSITIONING

Payment for furnishing the piping and fittings for repositioning the existing intake drum screen and air piping will be made at the contract price, complete in place, which price shall include compensation for furnishing, removal of accumulated sediment in area of screen, reinstalling the screen and air piping, including mounting brackets and braces, etc., as shown on the Drawings and as specified.

1.04 PACKAGE AIR COMPRESSOR SYSTEM

Payment for furnishing and installing the package air compressor system and appurtenant equipment will be made at the contract price, complete in place, which price shall include compensation for furnishing and installing the complete system (including foundation), electrical work, etc., required for a complete and operable installation and as shown on the Drawings and as specified.

1.05 FLOW METER AND PIT

Payment for furnishing and installing the flow meter, pit and appurtenant equipment will be made at the contract price, complete in place, which price shall include compensation for furnishing and installing the complete system (including concrete pit), electrical work, etc., required for a complete and operable installation and as shown on the Drawings and as specified.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION

SECTION 01310 - PROJECT COORDINATION

PART 1 - GENERAL

1.01 DESCRIPTION OF REQUIREMENTS

Minimum administrative and supervisory requirements necessary for coordination of work on the project include but are not necessarily limited to the following:

- A. Coordination and meetings.
- B. Limitations for use of site.
- C. Coordination of crafts, trades and subcontractors.
- D. General installation provisions.
- E. Cleaning and protection.
- F. Conservation and salvage.

1.02 RELATED DOCUMENTS

Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division 1 Specification sections, apply to work of this section.

1.03 COORDINATION AND MEETINGS

If required, monthly general project coordination meetings will be held at regularly scheduled times convenient for all parties involved. These meetings are in addition to specific meetings held for other purposes, such as regular project meetings and special pre-installation meetings. Representation at each meeting by every party currently involved in coordination or planning for the work of the entire project is requested. Meetings shall be conducted in a manner, which will resolve coordination problems.

1.04 LIMITATIONS ON USE OF THE SITE

Limitations on site usage as well as specific requirements that impact site utilization are indicated on the drawings and by other contract documents. In addition to these limitations and requirements, allocation of available space shall be administered equitably among entities needing both access and space so as to produce the best overall efficiency in performance of the total work of the project. Schedule deliveries so as to minimize space and time requirements for storage of materials and equipment on site. The Contractor shall limit the area to be cleared to the construction site itself as far as practical. No extra clearing shall be allowed for convenience or storage.

1.05 COORDINATION OF CRAFTS, TRADES AND SUBCONTRACTORS

- A. The Contractor shall coordinate the work of all the crafts, trades and subcontractors engaged on the work, and he shall have final responsibility as regards the schedule, workmanship and completeness of each and all parts of the work.
- B. All crafts, trades and subcontractors shall be made to cooperate with each other and with others as they may be involved in the installation of work which adjoins, incorporates, precedes or follows the work of another. It shall be the Contractor's responsibility to point out

areas of cooperation prior to the execution of subcontractor agreements and the assignment of the parts of the work. Each craft, trade and subcontractor shall be made responsible to the Owner, for furnishing embedded items and giving directions, for doing all cutting and fitting and making all provisions for accommodating the work, and for protecting, patching, repairing and cleaning as required to satisfactorily perform the work.

- C. The Contractor shall be responsible for all cutting, digging and other action of his subcontractors and workmen. Where such action impairs the safety or function of any structure or component of the project, the Contractor shall make such repairs, alterations and additions as will, in the opinion of the Engineer, bring said structure or component back to its original design condition at no additional cost to the Owner.
- D. Each subcontractor is expected to be familiar with the General Requirements and all sections of the detailed Specifications for all other trades and to study all Drawings applicable to his work to the end that complete coordination between trades will be effected. Consult with the Engineer if conflicts exist on the Drawings.
- E. No extra compensation will be allowed to cover the cost of removing piping, conduit, ducts, etc., or equipment found encroaching on space required by others.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION

SECTION 01340 - SUBMITTALS

PART 1 - GENERAL

1.01 RELATED DOCUMENTS

Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division 1 Specification sections, apply to work of this section.

1.02 DESCRIPTION OF REQUIREMENTS

A. General

This section specifies procedural requirements for non-administrative submittals including shop drawings, product data, samples (when samples are specifically requested) and other miscellaneous work related submittals. Shop drawings, product data, samples and other work related submittals are required to amplify, expand and coordinate the information contained in the Contract Documents.

All submittals shall be furnished in at least six (6) copies and shall be checked and reviewed by the Contractor before submission to the Engineer. The review of the submittals by the Engineer shall not be construed as a complete check but will indicate only that the general method of construction and detailing is satisfactory. Review of such submittals will not relieve the Contractor of the responsibility for any errors, which may exist, as the Contractor shall be responsible for the dimensions and design of adequate connections, details, and satisfactory construction of all work.

1.03 DEFINITIONS

A. Shop drawings are technical drawings and data that have been specially prepared for this project, including but not limited to the following items:

1. Fabrication and installation drawings.
2. Setting diagrams.
3. Shop work manufacturing instructions.
4. Templates.
5. Patterns.
6. Coordination drawings (for use on-site).
7. Schedules.
8. Design mix formulas.
9. Contractor's engineering calculations.

Standard information prepared without specific reference to a project is not considered to be shop drawings.

B. Product data includes standard printed information on manufactured products that has not been specially-prepared for this project, including but not limited to the following items:

1. Manufacturer's product specifications and installation instructions.
2. Standard color charts.
3. Catalog cuts.

4. Roughing-in diagram and templates.
 5. Standard wiring diagrams.
 6. Printed performance curves.
 7. Operational range diagrams.
 8. Mill reports.
 9. Standard product operating and maintenance manuals.
- C.** Samples, where specifically required, are physical examples of work, including but not limited to the following items:
1. Partial sections of manufactured or fabricated work.
 2. Small cuts or containers of materials.
 3. Complete units of repetitively used material.
 4. Swatches showing color, texture and pattern.
 5. Color range sets.
 6. Units of work to be used for independent inspection and testing.
- D.** Miscellaneous submittals are work related, non-administrative submittals that do not fit in the three previous categories, including, but not limited to the following:
1. Specially-prepared and standard printed warranties.
 2. Maintenance agreements.
 3. Workmanship bonds.
 4. Survey data and reports.
 5. Testing and certification reports.
 6. Record drawings.
 7. Field measurement data.
 8. Operating and maintenance manual.
 9. Certificate of Suitability

1.04 SUBMITTAL PROCEDURES

A. General

Refer to the General Conditions and Paragraph 1.02.A hereinbefore for basic procedures for submittal handling.

B. Coordination

Coordinate the preparation and processing of submittals with the performance of the work. Coordinate each separate submittal with other submittals and related activities such as testing, purchasing, fabrication, delivery and similar activities that require sequential activity.

Coordinate the submittals of different units of interrelated work so that one submittal will not be delayed by the Architect/Engineer's need to review a related submittal. The Architect/Engineer reserves the right to withhold action on any submittal requiring coordination with other submittals until related submittals are forthcoming.

C. Coordination of Submittal Times

Prepare and transmit each submittal to the Architect/Engineer sufficiently in advance of the

scheduled performance of related work and other applicable activities. Transmit different kinds of submittals for the same units of work so that processing will not be delayed by the Architect/Engineer's need to review submittals concurrently for coordination.

D. Review Time

Allow sufficient time so that the installation will not be delayed as a result of the time required to properly process submittals, including time for resubmittal, if necessary. Advise the Architect/Engineer on each submittal, as to whether processing time is critical to the progress of the work and if the work would be expedited if processing time could be shortened.

1. Allow three weeks for the Architect/Engineer's initial processing of each submittal. Allow a longer time period where processing must be delayed for coordination with subsequent submittals. The Architect/Engineer will advise the Contractor promptly when it is determined that a submittal being processed must be delayed for coordination.
2. Allow two weeks for re-processing each submittal.
3. No extension of time will be authorized because of the Contractor's failure to transmit submittals to the Architect/Engineer sufficiently in advance of the work.

E. Submittal Preparation: Mark each submittal with a permanent label for identification. Number each submittal consecutively beginning with the Numeral 1. If, for any reason, a submittal must be returned to the Contractor for resubmittal, than its submittal number would be the same as the first with the letter "A" following the number. Second resubmittals would be "B" and so on. Provide the following information on the label for proper processing and recording of action taken.

1. Submittal number.
2. Project name.
3. Date.
4. Name and address of Architect/Engineer.
5. Name and address of Contractor.
6. Name and address of subcontractor.
7. Name and address of supplier.
8. Name of manufacturer.
9. Number and title of appropriate specification section.
10. Drawing number and detail references, as appropriate.

F. Submittal Transmittal

Package each submittal appropriately for transmittal and handling. Transmit each submittal from the Contractor to the Architect/Engineer, and to other destinations as indicated, by use of a transmittal form. Submittals received from sources other than the Contractor will be returned to the sender "without action".

1.05 SPECIFIC SUBMITTAL REQUIREMENTS

A. Shop Drawings

Shop drawings shall be prepared by a qualified detailer. Details shall be identified by reference to sheet and detail numbers shown on Contract Drawings. Where applicable, show fabrication, layout, setting and erection details.

Shop drawings illustrate some portion of the work and show fabrication, layout, setting or erection details of equipment, materials and components. The Contractor shall, except as otherwise noted, have prepared the number of reviewed copies required for his distribution plus three (3) which will be retained by the Engineer. Shop drawings shall be folded to an approximate size of 8-1/2" x 11" and in such manner that the title block will be located in the lower right-hand corner of the exposed surface.

B. Project Data

Project data shall include manufacturer's standard schematic drawings modified to delete information, which is not applicable to the project, and shall be supplemented to provide additional information applicable to the project. Each copy of descriptive literature shall be clearly marked to identify pertinent information as it applies to the project.

C. Samples

Where samples are required, they shall be adequate to illustrate materials, equipment or workmanship, and to establish standards by which completed work is judged. Provide sufficient size and quantity to clearly illustrate functional characteristics of product and material, with integrally related parts and attachment devices, along with a full range of color samples.

D. Review of Submittals

The Contractor shall review and check submittals, and shall indicate his review by initials and date.

E. Deviations

If the submittals deviate from the Contract Drawings and/or Specifications, the Contractor shall advise the Engineer, in writing of the deviation and the reasons therefore.

F. Modifications

In the event the Engineer does not specifically reject the use of material or equipment at variance to that which is shown on the Drawings or specified, the Contractor shall, at no additional expense to the Owner, and using methods reviewed by the Engineer, make any changes to structures, piping, controls, electrical work, mechanical work, etc., that may be necessary to accommodate this equipment or material. Should equipment other than that on which design drawings are based be accepted by the Engineer, shop drawings shall be submitted detailing all modification work and equipment changes made necessary by the substituted item.

G. Submittals for All Electrically Operated Items

Submittals for all electrically operated items (including instrumentation and controls) shall include complete size, color-coding, all terminations and connections, and coordination with related equipment.

H. Equipment Shop Drawings

Equipment shop drawings shall indicate all factory or shop paint coatings applied by suppliers, manufacturers and fabricators; the Contractor shall be responsible for insuring the compatibility of such coatings with the field-applied paint products and systems.

I. Fasteners

Fastener specifications of manufacturer shall be indicated on equipment shop drawings.

J. No material shall be fabricated or shipped unless the applicable drawings or submittals have been reviewed by the Engineer and returned to the Contractor.

K. All bulletins, brochures, instructions, parts lists, and warranties packaged with and accompanying materials and products delivered to and installed in the project shall be saved and transmitted to the Owner through the Engineer

1.06 CONTRACTOR RESPONSIBILITIES

A. Verify field measurements, field construction criteria, catalog numbers, and similar data.

B. Coordinate each submittal with requirements of work and of Contract Documents.

C. Notify Engineer, in writing at time of submission, of deviations in submittals from requirements of Contract Documents.

D. Begin no work, and have no material or products fabricated or shipped which requires submittals until return of submittals with Engineer's stamp and initials or signature indicating review.

PART 2 - PRODUCTS (Not Applicable).

PART 3 - EXECUTION (Not Applicable).

END OF SECTION

SECTION 01400 - QUALITY CONTROL SERVICES

PART 1 - GENERAL

1.01 RELATED DOCUMENTS

Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division 1 Specification sections, apply to work of this section.

1.02 DESCRIPTION OF REQUIREMENTS

General: Required inspection and testing services are intended to assist in the determination of probable compliance of the work with requirements specified or indicated. These required services do not relieve the Contractor of responsibility for compliance with these requirements or for compliance with requirements of the contract documents.

Tests, inspections and certifications of materials, equipment, subcontractors or completed work, as required by the various sections of the Specifications shall be obtained by the Contractor and all costs shall be included in the contract price.

The Contractor shall submit to the Engineer the name of any testing laboratory to be used.

Contractor shall deliver written notice to the Engineer at least 24-hours in advance of any inspections or tests to be made at the project site. All inspections or tests to be conducted in the field shall be done in the presence of the Engineer or his representative.

Certifications by independent testing laboratories may be by copy of the attest and shall give scientific procedures and results of tests. Certifications by persons having interest in the matter shall be by original attest properly sworn to and notarized.

Inspections, tests and related actions specified in this section and elsewhere in the contract documents are not intended to limit the Contractor's own quality control procedures which facilitate overall compliance with requirements of the contract documents.

1.03 RESPONSIBILITIES

- A. Contractor Responsibilities: Except where they are specifically indicated as being the Owner's responsibility, or where they are to be provided by another identified entity, inspections, tests and similar quality control services are the Contractor's responsibility; these services also include those specified to be performed by an independent agency and not directly by the Contractor. Costs for these services shall be included in the Contract Sum. The Contractor shall employ and pay an independent agency, testing laboratory or other qualified firm to perform quality control services specified.
- B. Retest Responsibility: Where results of required inspections, tests or similar services prove unsatisfactory and do not indicate compliance of related work with the requirements of the contract documents, then retests are the responsibility of the Contractor, regardless of whether the original test was the Contractor's responsibility. Retesting of work revised or replaced by the Contractor is the Contractor's responsibility, where required tests were performed on original work.

- C. Responsibility for Associated Services: The Contractor is required to cooperate with the independent performing required inspections, tests and similar services. Provide such auxiliary services as are reasonably requested. Notify the testing agency sufficiently in advance of operations to permit assignment of personnel. These auxiliary services include but are not necessarily limited to the following:

Providing access to the work.
Taking samples or assistance with taking samples.
Delivery of Samples to test laboratories.
Delivery and protection of samples and test equipment at the project site.

- D. Coordination: The Contractor and each independent agency engaged to perform inspections, tests and similar services for the project shall coordinate the sequence of their activities so as to accommodate required services with a minimum of delay in the progress of the work. In addition the Contractor and each independent testing agency shall coordinate their work so as to avoid the necessity of removing and replacing work to accommodate inspections and tests. The Contractor is responsible for scheduling times for inspections, tests, taking of samples and similar activities.

1.04 SUBMITTALS

- A. General: Refer to Division 1 section on "Submittals" for the general requirements on submittals. Submit a certified written report of each inspection, test or similar service, directly to the Architect/Engineer.
- B. Report Data: Written reports of each inspection, test or similar service shall include, but not be limited to the name of testing agency or test laboratory; dates and locations of samples and tests or inspections; names of individuals making the inspection or test; designation of the work and test method; complete inspection or test data and test results; interpretations of test results; notation of significant ambient conditions at the time of sample taking and testing; comments or professional opinion as to whether inspected or tested work complies with requirements of the contract documents; recommendations on retesting, if applicable.

PART 2 - PRODUCTS (Not Applicable).

PART 3 - EXECUTION

3.01 REPAIR AND PROTECTION

Upon completion of inspection, testing, sample taking and similar services performed on the work, repair damaged work and restore substrates and finishes to eliminate deficiencies, including deficiencies in the visual qualities of exposed finishes. Comply with the contract document requirements for "Cutting and Patching". Protect work exposed by or for quality control service activities, and protect repaired work. Repair and protection is the Contractor's responsibility, regardless of the assignment of responsibility for inspection, testing or similar services.

END OF SECTION

SECTION 01500 - TEMPORARY FACILITIES AND CONTROLS

PART 1 - GENERAL

1.01 CONTROL

Maintain strict supervision of use of temporary utility services.

1. Enforce compliance with applicable standards.
2. Enforce safety practices.
3. Prevent abuse of services.

1.02 REQUIREMENTS OF REGULATORY AGENCIES

- A. Obtain and pay for all permits as required by governing authorities. This shall include (but not be limited to) a building permit.
- B. Obtain and pay for temporary easements required across property other than that of Owner.
- C. Comply with applicable codes.

1.03 REMOVAL

- A. Completely remove temporary materials, equipment, and offices upon completion of construction.
- B. Repair damage caused by installation, and restore to specified or original condition.

1.04 TEMPORARY ELECTRICITY

- A. Provide temporary electrical service for construction needs throughout construction period.
- B. Service shall be adequate for construction use by all trades during construction period.
- C. Power shall be supplied by the Contractor.
- D. Contractor shall pay costs of equipment, furnishing, installing, maintenance and removal of temporary service facilities.

1.05 TEMPORARY LIGHTING

- A. Furnish and install temporary lighting required for:
 1. Construction needs.
 2. Safe and adequate working conditions.
 3. Public Safety.
 4. Security lighting.
 5. Temporary office and storage area lighting.

B. Service periods

1. Security lighting: All hours of darkness.
2. Safety lighting:
 - a. Within construction area: All times that authorized personnel are present.
 - b. Public areas: At all times.
 - c. Costs of installation operation;
 - d. Maintenance of temporary lighting service (replacement of bulbs, etc.) shall be the sole responsibility of the Contractor.

1.06 TEMPORARY WATER

- A.** Contractor shall meter and pay for all potable water provided by the Owner, except as specified for filling and flushing of new water lines.
- B.** Contractor shall pay costs of the furnishing, maintaining and removing all temporary water service equipment, fixtures, hose, piping, etc.

1.07 PROTECTION AND SECURITY

- A.** Provide barricades, lanterns and other such signs and signals as may be necessary to warn of the dangers in connection with open excavation and obstructions.
- B.** Provide an adequate and approved system to secure the project area at all times, especially during non-construction periods; General Contractor shall be solely responsible for taking proper security measures.
- C.** Contractor shall pay all costs for protection and security systems.

1.08 SANITARY FACILITIES

The Contractor shall furnish, install and maintain ample sanitary facilities for the workmen. As the needs arise, enclosed temporary toilets, in sufficient number, shall be placed as directed by the Engineer. Drinking water shall be provided from a proven safe source so piped or transported as to be kept clean and fresh and served from single service containers of satisfactory types.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION

SECTION 01520 - FIELD OFFICES

PART 1 - GENERAL

1.01 CONTRACTOR'S FIELD OFFICE

The Contractor will not be required to establish and maintain a field office on this project but shall have available on-site a responsible representative who can officially receive communications from the Owner and the Engineer. The Contractor's representative shall be provided with a mobile (cell) phone with voice mail feature. Area (such as storage trailer) shall be provided with an area where notices can be posted for workers, etc. Temporary toilet facilities shall be provided for workmen on-site. Notices, instructions, orders, directions or other communications from the Engineer, left on the cell phone, shall be considered as received by the Contractor.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION

SECTION 01631 - PRODUCTS AND SUBSTITUTIONS

PART 1 - GENERAL

1.01 RELATED DOCUMENTS

Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division 1 Specification sections, apply to work of this section.

1.02 DESCRIPTION OF REQUIREMENTS

A. General

Substitution of materials and/or equipment is defined in Paragraph 8 of the General Conditions and more fully hereinafter.

B. Definitions

Definitions used in this paragraph are not intended to negate the meaning of other terms used in the contract documents including such terms as "specialties", "systems", "structure", "finishes", "accessories", "furnishings", "special construction" and similar terms. Such terms are self-explanatory and have recognized meanings in the construction industry.

1. "Products" are items purchased for incorporation in the Work, regardless of whether they were specifically purchased for the project or taken from the Contractor's previously purchased stock. The term "product" as used herein includes the terms "material", "equipment", "system" and other terms of similar intent.
2. "Named Products" are products identified by use of the manufacturer's name for a product, including such items as a make or model designation, as recorded in published product literature, of the latest issue as of the date of the contract documents.
3. "Materials" are products that must be substantially cut, shaped, worked, mixed, finished, refined or otherwise fabricated, processed, or installed to form units of work.
4. "Equipment" is defined as a product with operational parts, regardless of whether motorized or manually operated, and in particular, a product that requires service connections such as wiring or piping.

C. Substitutions

The Contractor's requests for changes in the products, materials, equipment and methods of construction required by the contract documents are considered requests for "substitutions", and are subject to the requirements specified herein. The following are not considered as substitutions:

1. Revisions to the contract documents, where requested by the Owner, Architect or Engineer are considered as "changes" not substitutions.

2. Substitutions requested during the bidding period, which have been accepted prior to the Contract Date, are included in the contract documents and are not subject to the requirements for substitutions as herein specified.
3. Specified Contractor options on products and construction methods included in the contract documents are choices available to the Contractor and are not subject to the requirements for substitutions as herein specified.
4. Except as otherwise provided in the contract documents, the Contractor's determination of and compliance with governing regulations and orders as issued by governing authorities do not constitute "substitutions" and do not constitute a basis for change orders.

1.03 QUALITY ASSURANCE

A. Source Limitations

To the fullest extent possible, provide products of the same generic kind, from a single source, for each unit of work.

B. Compatibility of Options

Compatibility of products is a basic requirement of product selection. When the Contractor is given the option of selecting between two or more products for use on the project, the product selected must be compatible with other products previously selected, even if the products previously selected were also Contractor options. The complete compatibility between the various choices available to the Contractor is not assured by the various requirements of the Contract documents, but must be provided by the Contractor.

1.04 SUBMITTALS

The information required to be furnished for evaluation of product substitution will be as follows:

- A.** Performance capabilities, and materials and construction details will be evaluated based upon conformance with the Specifications. Products that do not conform with the Specifications will not be accepted.
- B.** Manufacturer's production and service capabilities, and evidence of proven reliability will be acceptable if the following is furnished.
 1. Written evidence that the manufacturer has not less than (3) years experience in the design and manufacture of the substitute product.
 2. Written evidence of at least one application, of a type and size similar to the proposed substitute product, in successful operation in a water treatment plant for a period of at least one year.
 3. In lieu of furnishing evidence of a manufacturer's experience and successful operation of an application of the product to be substituted, the Contractor has the option of furnishing a cash deposit or bond which will guarantee replacement if the product the furnished does not satisfy the other requirements specified in this section.

The amount of each deposit or bond will be subject to the approval of the A/E.

- C. Specific reference to characteristics either superior or inferior to specified requirements will be evaluated based on their net effect on the project. Products with any characteristics inferior to those specified will not be acceptable unless offset by characteristics that, in the opinion of the Engineer, will cause the overall effect of the product on the project to be at least equal to that of those specified.
- D. The detailed estimate of operating and maintenance costs will be evaluated based on comparison with similar data on the specified products. Proposed substitute products which have operating and maintenance costs that, in the opinion of the Engineer, exceed that of the specified products will not be considered equal and will not be acceptable.

1.05 PRODUCT DELIVERY, STORAGE, AND HANDLING

A. General

Deliver, store, and handle products in accordance with manufacturer's recommendations, using means and methods that will prevent damage, deterioration and loss, including theft. Control delivery schedules to minimize long-term storage at the site and to prevent overcrowding of construction spaces. In particular coordinate delivery and installation to ensure minimum holding or storage times for items known or recognized to be flammable, hazardous, easily damaged, or sensitive to deterioration, theft and other sources of loss.

1. Deliver products to the site in the manufacturer's sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting and installing.
2. Store products at the site in a manner that will facilitate inspection and measurement of quantity or counting of units.
3. Store heavy materials away from the project structure in a manner that will not endanger the supporting construction.
4. Products not stored in strict accordance with these provisions are not eligible for partial payment for products stored on site.

PART 2 - PRODUCTS

2.01 GENERAL PRODUCT COMPLIANCE

A. General

Requirements for individual products are indicated in the contract documents; compliance with these requirements is in itself a contract requirement. These requirements may be specified in any one of several different specifying methods, or in any combination of these methods. These methods include the following:

- Proprietary.
- Descriptive.
- Performance.

Compliance with Reference Standards.

Compliance with codes, compliance with graphic details, allowances, and similar provisions of the contract documents also have a bearing on the selection process.

B. Procedures for Selecting Products

Contractor's options in selecting products are limited by requirements of the contract documents and governing regulations. They are not controlled by industry traditions or procedures experienced by the Contractor on previous construction projects.

2.02 SUBSTITUTIONS

A. Conditions

Contractor's request for substitution will be received and considered when extensive revisions to the contract documents are not required, when the proposed changes are in keeping with the general intent of the contract documents, when the requests are timely, fully documented and properly submitted, and when one or more of the following conditions is satisfied, all as judged by the Architect/Engineer; otherwise, the requests will be returned without action except to record non-compliance with these requirements.

1. The Architect/Engineer will consider a request for substitution where the request is directly related to an "or equal" clause or similar language in the contract documents.
2. The Architect/Engineer will consider a request for substitution where the specified product or method cannot be provided within the Contract Time. However, the request will not be considered if the product or method cannot be provided as a result of the Contractor's failure to pursue the work promptly or to coordinate the various activities properly.
3. The Architect/Engineer will consider a request for substitution where the specified product or method cannot receive necessary approval by a governing authority, and the requested substitution can be approved.
4. The Architect/Engineer will consider a request for a substitution where a substantial advantage is offered the Owner, in terms of cost, time, energy conservation or other considerations of merit, after deducting offsetting responsibilities the Owner may be required to bear. These additional responsibilities may include such considerations as additional compensation to the Architect/Engineer for redesign and evaluation services, the increased cost of other work by the Owner or separate contractors, and similar considerations.
5. The Architect/Engineer will consider a request for substitution when the specified product or method cannot be provided in a manner, which is compatible with other materials of the work, and where the Contractor certifies that the substitution will overcome the incompatibility.
6. The Architect/Engineer will consider a request for substitution when the specified product or method cannot be properly coordinated with other materials in the work, and where the Contractor certifies that the proposed substitution can be properly

coordinated.

7. The Architect/Engineer will consider a request for substitution when the specified product or method cannot receive a warranty as required by the contract documents and where the contractor certifies that the proposed substitution receives the required warranty.
8. The Contractor shall reimburse the Owner any costs for review by the Engineer of proposed product substitutions which require major design changes, as determined by the Owner, to related adjacent work made necessary by the proposed substitutions.

B. Work-Related Submittals

Contractor's submittal of and the Architect's/Engineer's acceptance of shop drawings, product data or samples which relate to work not complying with requirements of the contract documents, does not constitute an acceptable or valid request for a substitution, nor approval thereof.

2.03 GENERAL PRODUCT REQUIREMENTS

A. General

Provide products that comply with the requirements of the contract documents and that are undamaged and, unless otherwise indicated, unused at the time of installation. Provide products that are complete with all accessories, trim, finish, safety guards and other devices and details needed for a complete installation and for the intended use and effect.

1. Standard Products

Where they are available, provide standard products of types that have been produced and used successfully in similar situations on other projects.

2. Continued Availability

Where, because of the nature of its application, the Owner is likely to need replacement parts or additional amounts of a product at a later date, either for maintenance and repair or replacement, provide standard, domestically produced products for which the manufacturer has published assurances that the products and its parts are likely to be available to the Owner at a later date.

B. Nameplates

Except as otherwise indicated for required labels and operating data, do not permanently attach or imprint manufacturer's or producer's nameplates or trademarks on exposed surfaces of products which will be exposed to view either in occupied spaces or on the exterior of the completed project.

1. Labels

Locate required product labels and stamps on a concealed surface or, where required

for observation after installation, on an accessible surface which, in occupied spaces, is not conspicuous.

2. Equipment Nameplates

Provide permanent nameplate on each item of service-connected or power operated equipment. Locate the nameplate on an easily accessible surface, which is inconspicuous in occupied spaces. The nameplate shall contain the following information and other essential operating data.

- a. Name of manufacturer
- b. Name of product
- c. Model number
- d. Serial number
- e. Capacity
- f. Speed
- g. Ratings

PART 3 - EXECUTION

3.01 INSTALLATION OF PRODUCTS

A. General

Except as otherwise indicated in individual sections of these specifications, comply with the manufacturer's instructions and recommendations for installation of the products in the applications indicated. Anchor each product securely in place, accurately located and aligned with other work. Clean exposed surfaces and protect surfaces as necessary to ensure freedom from damage and deterioration at time of acceptance.

END OF SECTION

SECTION 01740 - CLEANING

PART 1 - GENERAL

1.01 DESCRIPTION OF REQUIREMENTS

- A. Maintain premises free from accumulations of waste, debris, and rubbish.
- B. At completion of work, remove waste materials, rubbish, tools, equipment, machinery and surplus materials, and clean all exposed surfaces; leave project area clean and ready for use.

1.02 RELATED DOCUMENTS

- A. Project Closeout: Section 01770.
- B. Cleaning for Specific Products of Work: Specification Section for that work.

1.03 SAFETY REQUIREMENTS

- A. Hazards Control:
 - 1. Store volatile wastes in covered metal containers, and remove from premises daily.
 - 2. Prevent accumulation of wastes, which create hazardous conditions.
 - 3. Provide adequate ventilation during use of volatile noxious substances.
- B. Conduct cleaning and disposal operations to comply with local ordinances and anti-pollution laws.
 - 1. Do not burn or bury rubbish and waste materials on project site.
 - 2. Do not dispose of volatile wastes such as mineral spirits, oil, or paint thinner in storm or sanitary drains.
 - 3. Do not dispose of wastes into streams or waterways.

PART 2 - PRODUCTS

2.01 MATERIALS

- A. Use only cleaning materials recommended by manufacturer of surface to be cleaned.
- B. Use cleaning materials only on surfaces recommended by cleaning material manufacturer.

PART 3 - EXECUTION

3.01 DURING CONSTRUCTION

- A. Execute cleaning to ensure that building, grounds, and public properties are maintained free from accumulations of waste materials and rubbish.
- B. Wet down dry materials and rubbish to lay dust and prevent blowing dust.
- C. At reasonable intervals during progress of work, clean site and public properties, and dispose of waste materials, debris and rubbish.
- D. Provide on-site containers for collection of waste materials, debris and rubbish.
- E. Remove waste materials, debris and rubbish from site and legally dispose of at public or private dumping areas off Owner's property.
- F. Handle materials in a controlled manner with as few handlings as possible; do not drop or throw materials from heights.
- G. Schedule cleaning operations so that dust and other contaminants resulting from cleaning process will not fall on wet, newly painted surfaces.

3.02 FINAL CLEANING

- A. Employ experienced workmen, or professional cleaners, for final cleaning.
- B. In preparation for substantial completion or occupancy, conduct final inspection of sight-exposed interior and exterior surfaces, and of concealed spaces.
- C. Remove grease, dust, dirt, stains, labels, fingerprints, and other foreign materials, from sight-exposed interior or exterior finished surfaces; polish surfaces so designated to shine finish.
- D. Repair, patch and touch up marred surfaces to specified finish, to match adjacent surfaces.
- E. Broom clean paved surfaces; rake clean other surfaces of grounds.
- F. Maintain cleaning until project, or portion thereof, is occupied by Owner.
- G. The work will not be considered as completed and final payment made until Contractor has done final cleaning in a manner satisfactory to the Engineer.

END OF SECTION

SECTION 01770 - PROJECT CLOSEOUT

PART 1 - GENERAL

1.01 RELATED REQUIREMENTS SPECIFIED ELSEWHERE

- A. Liquidated Damages: Supplemental General Conditions
- B. Cleaning: Section 01740.
- C. Project Record Documents: Section 01785.

1.02 SUBSTANTIAL COMPLETION

- A. In order to initiate project closeout procedures, the Contractor shall submit the following:
 - 1. Written certification to Engineer that project is Substantially Complete.
 - 2. List of major items to be completed or corrected.
- B. Engineer will make an inspection within seven (7) days after receipt of certification, together with Owner's Representative.
- C. Should Engineer consider that work is substantially complete:
 - 1. Contractor shall prepare, and submit to Engineer, a list of items to be completed or corrected, as determined by the inspection.
 - 2. Engineer will prepare and issue a Certificate of Substantial Completion, containing:
 - a. Date of Substantial Completion.
 - b. Contractor's list of items to be completed or corrected, verified and amended by Engineer.
 - c. The time within which Contractor shall complete or correct work of listed items.
 - d. Time and date Owner will assume possession of work or designated portion thereof.
 - 3. Owner occupancy of Project or Designated Portion of Project:
 - a. Contractor shall:
 - (1) Obtain certificate of occupancy.
 - (2) Perform final cleaning in accordance with Section 01740.
 - b. Owner will occupy Project, under provisions stated in Certificates of Substantial Completion.
 - 4. Contractor: Complete work listed for completion or correction, within designated time.

- D. Should Engineer consider that work is not substantially complete:
 - 1. He shall immediately notify Contractor, in writing, stating reasons.
 - 2. Contractor: Complete work, and send second written notice to Engineer, certifying that Project, or designated portion of Project, is substantially complete.
 - 3. Engineer will reinspect work.
- E. Should Engineer consider that work is still not finally complete:
 - 1. He shall notify Contractor, in writing, stating reasons.
 - 2. Contractor shall take immediate steps to remedy the stated deficiencies, and send third written notice to the Engineer certifying that the work is complete.
 - 3. Engineer and Owner will reinspect work at Contractor's expense.

1.03 FINAL INSPECTION

- A. Contractor shall submit written certification that:
 - 1. Contract Documents have been reviewed.
 - 2. Project has been inspected for compliance with Contract Documents.
 - 3. Work has been completed in accordance with Contract Documents.
 - 4. Equipment and systems have been tested in presence of Owner's Representative and are operational.
 - 5. Project is completed, and ready for final inspection.
- B. Engineer will make final inspection within seven (7) days after receipt of certification.
- C. Should Engineer consider that work is finally complete in accordance with requirements of Contract Documents, he shall request Contractor to make Project Closeout submittals.
- D. Should Engineer consider that work is not finally complete:
 - 1. He shall notify Contractor in writing, stating reasons.
 - 2. Contractor shall take immediate steps to remedy the stated deficiencies, and send second written notice to Engineer certifying that work is complete.
 - 3. Engineer will reinspect work.

1.04 CLOSEOUT SUBMITTALS

- A. Project Record Documents: To the requirements of Section 01785.
- B. Guarantees, Warranties and Bonds: To the requirements of particular technical Specifications and Section 01782.

- C. Operation and maintenance data: To the requirements of particular technical specifications

1.06 FINAL APPLICATION FOR PAYMENT

- A. Contractor shall submit final applications in accordance with requirements of General Conditions.

1.07 FINAL CERTIFICATE FOR PAYMENT

- A. Engineer will issue final certificate in accordance with provisions of General Conditions.
- B. Should final completion be materially delayed through no fault of Contractor, Engineer may issue a Semi-Final Certificate for Payment.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION

SECTION 01785 - PROJECT RECORD DOCUMENTS

PART 1 - GENERAL

1.01 MAINTENANCE OF DOCUMENTS

- A. Maintain at job site, one copy of:
 - 1. Contract Drawings
 - 2. Specifications
 - 3. Addenda
 - 4. Reviewed Shop Drawings
 - 5. Change Orders
 - 6. Other Modifications to Contract
- B. Store documents in approved location, apart from documents used for construction.
- C. Provide files and racks for storage of documents.
- D. Maintain documents in clean, dry, legible condition.
- E. Do not use record documents for construction purposes.
- F. Make documents available at all times for inspection by Engineer and Owner.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Shop Drawings, Product Data, and Samples: Section 01340.

1.03 MARKING DEVICES

- A. Provide colored pencil or felt-tip marking pen for all markings.

1.04 RECORDING

- A. Label each document "PROJECT RECORD" in 2-inch high printed letters.
- B. Keep record documents current.
- C. Do not permanently conceal any work until required information has been recorded.
- D. Contract Drawings: Legibly mark to record actual construction:
 - 1. Horizontal and vertical location of underground utilities and appurtenances referenced to permanent surface improvements.

2. Location of internal utilities and appurtenances concealed in construction referenced to visible and accessible features of structure.
 3. Field changes of dimension and detail.
 4. Changes made by Change Order or Field Order.
 5. Details not on original Contract Drawings.
- E. Shop Drawings: Maintain as record documents; legibly annotate shop drawings to record changes made after review.

1.05 SUBMITTALS

- A. At completion of project, deliver record documents to Engineer.
- B. Accompany submittal with transmittal letter, in duplicate, containing:
 1. Date.
 2. Project Title and Number.
 3. Contractor's Name and Address.
 4. Title and Number of each Record Document.
 5. Certification that each Document as Submitted is Complete and Accurate.
 6. Signature of Contractor, or his Authorized Representative.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION (Not Applicable)

END OF SECTION

DIVISION 2

SECTION 02225 - EARTHWORK FOR UTILITY WORK

PART 1 GENERAL

1.01 DEFINITIONS

- A. Rock: Stratified material in place which rings under the blow of a hammer; boulders having a volume of one-half (1/2) cubic yard or more. Shale, slate, soapstone, and chert will NOT be classified as rock.
- B. Utility: Any buried pipe, conduit, or cable.

1.02 REFERENCES

- A. ASTM C33 - Concrete Aggregates.
- B. ASTM C94 - Ready-Mixed Concrete.
- C. ASTM C150 - Portland Cement.
- D. ASTM D698 - Moisture-Density Relations of Soils and Soil-Aggregate Mixture Using 5.5 lb (2.49 kg) Rammer and 12 inch (305 mm) Drop.
- E. ASTM D1556 - Density of Soil in Place by the Sand Cone Method.
- F. ASTM D2167 - Density of Soil in Place by the Rubber Balloon Method.
- G. ASTM D4253 - Maximum Index Density of Soils Using a Vibratory Table.

1.03 SUBMITTALS

Submit two copies of following test reports:

- A. Test reports on borrow material.
- B. Verification of each footing subgrade.

1.04 QUALITY ASSURANCE

- A. Codes and Standards: Perform work in compliance with requirements of governing authorities having jurisdiction.
- B. Inspection and Testing: Provide inspection and testing under provisions of Section 01410.
- C. Excavator: Engage an excavator with not less than 5 years of experience in excavating, rock removal, sheeting, bracing, soil stabilization, dewatering, well pointing, backfilling, and similar operations commonly encountered in major excavation projects.

1.05 JOB CONDITIONS

- A. Existing Utilities: Locate existing underground utilities in areas of work. Protect utilities indicated to remain in place. If uncharted or mischarted utilities are encountered, immediately notify Architect/Engineer and utility owner. Keep services and facilities in operation under

direction of utility Owner.

- B. Repair damaged utilities to satisfaction of utility owner.
- C. Owner will not be responsible for mischarted utilities.
- D. Do not interrupt existing utilities that are in use without written permission of Architect/Engineer and then only after temporary services have been provided.

1.06 EXPLOSIVES

Do not bring explosives on-site or use in work without written permission from authorities having jurisdiction. Contractor is solely responsible for handling, storage and use of explosives.

1.07 PROTECTION OF PERSONS AND PROPERTY

- A. Barricade open excavations occurring as part of this work and post warning lights. Operate warning lights as recommended by authorities having jurisdiction.
- B. Protect structures, utilities, sidewalks, pavements, and other facilities indicated to remain in place from damage caused from possible settlement, lateral movement, undermining, washout and other hazards created by excavation.
- C. Protect plant growth and trees scheduled to remain. Do not excavate or store material within drip line of trees.
- D. Restore property to a condition similar or equal to that existing before construction.

1.08 COORDINATION

- A. Coordinate the Work.
- B. Verify work associated with lower elevation utilities are complete before placing higher elevation utilities.
- C. Where excavation and backfill for utility work passes through or occurs in a landscaped area, repair or replace the landscape work to match original condition and quality of work.
- D. Where excavation and backfill for utility work passes through or occurs in an area of paving, restore construction and finish of paving to match original condition and quality of work.
- E. Coordinate excavations with weather conditions, to minimize the possibility of washouts, settlements and other damages and hazards.
- F. Coordinate with utility owner for shutdown of service. Provide minimum 48 hour notice to Owner and receive written notice to proceed before interrupting any utility.

1.09 SCHEDULING AND SEQUENCING

- A. Do not excavate for utility work until the work is ready to proceed without delay, so that the total time lapse from excavation to completion of backfilling will be minimal.

- B. At street and road crossings, excavate only 1/2 of crossings before placing temporary bridges over side excavated, for convenience of traveling public.

1.10 MAINTENANCE

- A. Where subsidence is measurable or observable at utility work excavations during warranty period, remove surface (pavement, lawn or other finish), add backfill material, compact, and replace surface treatment.
- B. Restore appearance, quality and conditions of surface or finish to match adjacent work, and eliminate evidence of restoration to greatest extent possible.

PART 2 - PRODUCTS

2.01 FILL

- A. Earth Fill: Soil free of roots and organic material, debris and other material considered deleterious by Architect/Engineer. Soil selected shall consist of residual clay occurring within designated borrow areas, or which occurs within on-site areas which are to be excavated. Soil shall be free of rock fragments greater than 2 inches in maximum dimension.
- B. Bedding and Backfill Material: Department of Transportation specification Grade E Crusher Run Gradation or as specified for particular utilities.
- C. Finely-Graded Bedding Material: Well graded sand, gravel, crushed stone or crushed slag, with 100% passing a 3/8 inch sieve.

2.02 ACCESSORIES

- A. Topsoil: Natural, fertile, agricultural soil capable of sustaining plant growth; free of subsoil, slag, rocks, clay, sticks, and roots.
- B. Lean Concrete: Provide concrete in accordance with the following:
 - 1. Cement: ASTM C150 normal - Type 1 Portland.
 - 2. Fine and Coarse Aggregates: ASTM C33.
 - 3. Water: Clean and not detrimental to concrete.
 - 4. Mix concrete in accordance with ASTM C94 with a compressive strength (28 days) of 3,000 psi and a 4 inch slump.

PART 3 - EXECUTION

3.01 EXAMINATION

- A. Examine areas to be excavated, and conditions under which work is to be performed, and notify Architect/Engineer in writing of conditions detrimental to the proper completion of the Work.
- B. Do not proceed with excavating until unsatisfactory conditions have been corrected in an acceptable manner.

3.02 PREPARATION

- A. Identify required lines, levels, contours, and datum.
- B. Strip topsoil and stockpile on site for re-spreading. Do not pile over 8 feet and protect from erosion.
- C. In cases where gas, sewer, or other pipe is encountered, pipe shall not be displaced nor disturbed unless necessary, in which case replace it in good condition as soon as possible.

3.03 EXCAVATION

- A. Excavate for piping with clearance on both sides of pipe as shown, except where otherwise shown or required for proper installation of pipe joints, fittings, valves and other work. Excavate for other utility work to provide minimum practical but adequate working clearances.
- B. Hand trim for bell and spigot pipe joints. For sanitary sewer lines shape bedding to fit shape of bottom half pipe, for uniform continuous support.
- C. Depth for Direct Support: For work to be supported directly on undisturbed soil, do not excavate beyond indicated depths, and hand-excavate the bottom cut to accurate elevations. Support the following work on undisturbed soil at the bottom of the excavations:
 - 1. Piping of 5 inch diameter and less.
 - 2. Cast-in-place concrete.
- D. Depth for Bedding Support: For large piping (6 inch pipe size and larger), tanks and where indicated for other utility work, excavate for installation of bedding material in the depth indicated or, if not otherwise indicated, 6 inches below bottom of work to be supported.
- E. Depth for Unsatisfactory Soil Conditions: Where unsatisfactory soil condition at bottom of indicated excavation is encountered, excavate additional depth to reach satisfactory soil-bearing condition. Backfill with bedding material and compact to indicated excavation depth.
- F. Depth for Exterior Piping: Excavate for exterior water-bearing piping (water, steam, condensate, and drainage) so that top of piping will not be less than 36" in open fields and 48" in roadways vertical distance below finished grade.
- G. When excavating within drip line of large trees, perform the work by hand, and protect the root system from damage or dryout to the greatest extent possible. Maintain moist condition for root system and cover exposed roots with burlap. Paint root cuts of one inch diameter and larger with asphaltic tree paint.
- H. Correct areas over excavated. Correct unauthorized rock removal with lean concrete fill.
- I. Previous Excavations: Where piping crosses over an area more than 5'-0" wide which has been previously excavated to a greater depth than required for piping installation, provide suitable subsidence-proof support for piping.
- J. Comply with the details shown. Where not otherwise shown excavate to undisturbed soil, in a width equal to pipe diameter plus 18". Install 8 inch courses of bedding material, each compacted

to 95% of maximum density, as required to fill excavation and support piping.

- K. Excavation is unclassified, and includes excavation to subgrade elevations indicated, regardless of character of materials and obstructions encountered. Same price shall be considered for excavation whether it be earth or rock.
- L. Unauthorized Excavation: Removal of material beyond indicated elevations or dimensions without direction of Architect/Engineer. Unauthorized excavation, as well as remedial work directed by Architect/Engineer, shall be at Contractor's expense. Backfill and compact unauthorized excavations as specified for authorized excavations of same classification, unless otherwise directed by Architect/Engineer.
- M. Stability of Excavations: Slope sides of excavations to comply with applicable codes. Shore and brace where sloping is not possible. Maintain sides and slopes in safe condition until completion of backfilling.
- N. Shoring and Bracing: Comply with applicable code requirements for shoring and bracing. Provide materials that are in good serviceable condition. Carry down shoring and bracing as excavation progresses and maintain in place as long as excavations are open.

Where removal of shoring may permit lateral movement of soil under adjacent structures, provide steel or pressure treated wood sheet piling to be cut off and left in place.

- O. Material Storage: Stockpile satisfactory material where directed until required for backfill or fill. Place, grade, and shape stockpiles for proper drainage. Do not stockpile material at edge of excavation. Dispose of excess soil and waste material. Do not store under trees within the drip line.

3.04 COMPACTION

- A. Before compacting and filling, proof roll area. Remove soft spots, fill and compact to required density.
- B. Control soil compaction during construction providing minimum percentage of density specified for each area classification indicated below.
- C. Percentage of Maximum Density Requirements: Compact soil to not less than the listed percentages of dry density for soils which exhibit a well-defined moisture density relationship determined in accordance with ASTM D698 (Standard Proctor); and not less than listed percentages of relative density, determined in accordance with ASTM D4253, for soils which will not exhibit a well-defined moisture-density relationship.
 - 1. Pavements: Compact top 12 inches of subgrade and each layer of backfill or fill material at 98% maximum dry density or 90% relative dry density for cohesive soil material.
 - 2. Roadways: 90% for cohesive soils; 95% for cohesionless soils.
 - 3. Lawn or Unpaved Areas: Compact top 6 inches of subgrade and each layer of backfill or fill material at 90% maximum dry density.
 - 4. Walkways: Compact top 6 inches of subgrade and each layer of backfill or fill material at 95% maximum dry density.
- D. Moisture Control: Where subgrade or layer soil material must be moisture conditioned before

compaction, uniformly apply water to surface of subgrade, or layer of soil material, to prevent free water appearing on surface during or subsequent to compaction operations.

- E. Remove and replace, or scarify and air dry, soil material that is too wet to permit compaction to specified density. Soil material that has been removed because it is too wet to permit compaction may be stockpiled or spread and allowed to dry. Assist drying by discing, harrowing or pulverizing until moisture content is reduced to a satisfactory value. Reuse stockpiled material only after dried to proper moisture content.

3.05 BACKFILL AND FILL

- A. Backfill trenches to contours and elevations with unfrozen materials. Systematically backfill trenches to allow maximum time for natural settlement. Do not backfill over porous, wet, frozen or spongy subgrade surfaces.

Provide finely-graded bedding material for wrapped, coated and plastic pipe and tanks. (Excavated dirt free of rock will be suitable.)

- B. Place acceptable fill in layers to required subgrade elevations, for each area classification listed below.
- C. Place and mechanically compact aggregate fill materials in continuous layers not exceeding 6 inches compacted depth each.
 - 1. Place aggregate fill over top of pipe in landscaped areas to depth as shown.
 - 2. In areas of asphaltic concrete paving, fill trench as shown on Standard Drawings.
- D. Place and mechanically compact earth fill material in continuous layers not exceeding 8 inches compacted depth from top of aggregate fill to finish grade.

For site filling, in excavations, under grassed areas, under walks or pavements, use satisfactory excavated or borrow material.

- E. Backfill excavations as soon as work permits, but not until acceptance by Architect/Engineer of the following:
 - 1. Below grade construction.
 - 2. Inspection, testing, approval and recording locations of underground utilities.
 - 3. Removal of formwork and shoring and bracing.
 - 4. Removal of trash and debris
- F. Employ a placement method that does not disturb or damage or create injurious side pressures on pipe in trench.
- G. Topsoil Spreading: Respread topsoil stockpiled on site to a minimum depth of 6 inches. If amount of topsoil is inadequate, provide approved borrowed material at no additional expense to Owner.

3.06 GRADING

- A. Uniformly grade areas within limits of grading under this Section, including adjacent transition

areas. Smooth finished surface within specified tolerances, compact with uniform levels or slopes between points where elevations are indicated, or between such points and existing grades.

- B. Grading Outside Building Lines: Slope grade away from buildings to drain away water and prevent ponding.
- C. Grading Tolerances: Finish surfaces free from irregular surface changes and to following tolerances above or below required subgrade elevations.
 - 1. Lawns and Unpaved Areas: Finish areas to receive topsoil to within not more than 0.10 foot above or below required subgrade elevations.
 - 2. Walks: Shape surface of areas under walks to line, grade and cross-section, with finish surface not more than 0.10 foot above or below required subgrade elevations.
 - 3. Pavements: Shape surface of areas under pavement to line, grade and cross-section, with finish surface not more than 1/2 inch above or below required subgrade elevations when tested with a 10 foot straight edge.
- D. Compaction: After grading, compact subgrade surfaces to depth and percentage of maximum density for each area classification.

3.07 TOLERANCES

- A. Top Surface of Backfilling Under Paved Areas: Plus or minus 1 inch (0.08 feet) from required elevations.
- B. Top Surface of General Backfilling: Plus or minus 1 inch (0.08 feet) from required elevations.

3.08 MAINTENANCE

- A. Protection of Graded Areas: Protect newly graded areas from traffic and erosion. Keep free of trash and debris.
- B. Repair and re-establish grades in settled, eroded, and rutted areas to specified tolerances.
- C. Reconditioning Compacted Areas: Where completed compacted areas are disturbed by subsequent construction operations or adverse weather, scarify surface, re-shape, and compact to required density prior to further construction.

3.09 DISPOSAL OF EXCESS AND WASTE MATERIALS

- A. Remove excess excavated material, trash, debris and waste materials and dispose of it off Owner's property.
- B. Materials excavated shall be disposed of so as to interfere as little as possible with public travel and, in all cases, the disposition of excavated material shall be satisfactory to the Engineer.

3.10 PROTECTION OF FINISHED WORK

- A. Protect finished Work. Do not walk on or work on top of finished piping until trench has been backfilled.

- B. Reshape and re-compact fills subjected to vehicular traffic during construction period. Add mineral aggregate base course as required to maintain trenches in asphaltic concrete areas in a safe and passable condition.

END OF SECTION

SECTION 02240 - DEWATERING

PART 1 - GENERAL

1.01 SCOPE OF WORK

- A. Furnish all labor and equipment required to dewater all excavations.
- B. Dewatering of all excavations shall be the responsibility of the Contractor, and no additional compensation will be allowed for same unless specifically included as a bid item.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Earthwork for Utility Work: Section 02225.
- B. Erosion and sedimentation control is included in Section 02371.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION

3.01 GENERAL

- A. Dewatering equipment shall be of adequate size and quantity to assure maintaining proper conditions for installing pipe, concrete, backfill or other material or structure in the excavation.
- B. Dewatering shall include proper removal of any and all liquid, regardless of its source, from the excavation and the use of all practical means available to prevent surface runoff from entering any excavation.
- C. The site shall be kept free of surface water at all times. The Contractor shall install drainage ditches, dikes and shall perform all pumping and other work necessary to divert or remove rainfall and all other accumulations of surface water from the excavations. The diversion and removal of surface water shall be performed in a manner that will prevent flooding and/or damage to other locations within the construction area where it may be detrimental. The Contractor shall provide, install and operate sufficient trenches, sumps, pumps, hose piping, well points, deep wells, etc., necessary.

END OF SECTION

SECTION 02371 - EROSION AND SEDIMENTATION CONTROL-KPDES REQUIREMENTS

PART 1 - GENERAL

1.01 SCOPE OF WORK

- A. Furnish all labor, materials, and equipment required for erecting, maintaining and removing temporary erosion and sedimentation controls as shown on the Drawings and as specified herein and as recommended by state and local regulatory agencies.
- B. Temporary erosion controls include, but are not limited to grassing, mulching, seeding, providing erosion control and turf reinforcement mats on all disturbed surfaces including waste area surfaces and stockpile and borrow area surfaces; scheduling work to minimize erosion and providing interceptor ditches at those locations which will ensure that erosion during construction will be either eliminated or maintained within acceptable limits.
- C. Temporary sedimentation controls include, but are not limited to, silt dams, traps, barriers, and appurtenances on sloped surfaces which will ensure that sedimentation pollution will be either eliminated or maintained within acceptable limits.
- D. Contractor is responsible for providing and maintaining effective temporary erosion and sediment control measures prior to and during construction or until final controls become effective.
- E. The Contractor shall be responsible for placement of erosion and sedimentation controls. Prior to construction, the Contractor shall develop an erosion control plan and submit to the Engineer for review. Prior to excavation, fill or grade work, the Contractor shall place controls in locations required by the erosion control plan. If during the course of construction, the Engineer determines additional controls are required, the Contractor shall furnish, install and maintain additional mulching, blankets and/or sediment barriers to control erosion and sedimentation to the satisfaction of the Engineer.
- F. The Contractor shall inspect and repair all erosion and sedimentation controls every seven (7) days and after each rainfall of 0.5 inch or greater.
- G. Bare soil areas must be seeded, mulched, or covered after 14 days if no work will be done in the area within the next 7 days. If areas are to be left bare for more than 14 days, erosion controls and sediment barriers are required to be installed.
- H. Erosion Control prevention measures shall be installed prior to removal of vegetation and/or stripping of topsoil.
- I. It is the Contractor's responsibility to comply with all requirements of the permit. If a Notice of Violation (NOV) is received, it is the Contractor's responsibility to correct the problem(s) and pay any associated fine(s).

1.02 PERMIT AND NOTIFICATION REQUIREMENTS

- A. The Contractor shall submit a Notice of Intent Specifically for Construction Activities (NOI-SWCA) before beginning any site disturbance, and shall implement erosion control measures as may be required by state and federal agencies. Contractor shall submit a signed Notice of Intent form and required attachments to the Division of Water at least

seven (7) days, if an electronic submittal or thirty (30) days if a written submittal, prior to beginning of construction activity. See Paragraph 3.07 in this section for detailed requirements.

- B. The Contractor shall comply with all additional requirements of the local regulatory agency.

1.02 RELATED WORK

- A. Dewatering is included in this Division, Section 02240.
- B. Final erosion protection measures where required are included in this Section.
- C. Utility Line Stream Crossings – Division 2.

PART 2 – PRODUCTS

2.01 SEED

- A. The seed mixture to be sown shall match existing grasses in lawns or, where mixed or of unknown variety, shall be in the following proportions:

<u>Common Name</u>	<u>Proportion By Weight</u>	<u>% of Purity</u>	<u>% of Germination</u>
Kentucky 31 Tall Fescue	75	90	85
Italian Rye Grass	10	90	85
Red Top	10	90	85
White Clover	5	95	90

- B. All seed shall be fresh and clean and shall be delivered mixed, in unopened packages, bearing a guaranteed analysis of the seed mixture.
- C. Seed for temporary stabilization shall be annual rye grass, oats or wheat.

2.02 FERTILIZER

- A. If required to establish ground cover, just prior to the planting of turf, evenly broadcast 15 pounds per thousand square feet of fertilizer, 10-10-10 (nitrogen, phosphorus, potassium). Disc or harrow fertilizer 1 to 4 inches into the soil.
- B. Fertilizer shall be delivered to the site in the original unopened container bearing the manufacturer’s guarantee analysis. Any fertilizer that becomes caked or damaged making it unsuitable for use, will not be accepted.

2.03 SOD

- A. Sod shall be at least 70% Bluegrass, strongly rooted and free of weeds.
- B. It shall be mowed to a height not to exceed 3” before lifting, and shall be of uniform thickness with 1” to 1- ½” of soil.

2.04 MULCH

- A. Mulch for seeded areas shall be Conwed Hydro Mulch, Silva-Fiber, or equal. It shall be suitable for use in a water slurry or for application with hydraulic equipment. The moisture content shall be 9-15%, and mulch shall have an organic matter content of minimum 98%.
- B. Clean straw is acceptable as mulch. It shall be spread at the rate of one (1) bale per 1,000 feet (approximately 1" loose depth).
- C. Mulch on slopes greater than 3:1 shall be held in place with erosion control netting.
- D. Mulch on areas subject to surface water run-off or in drainage ditches shall be held in place with erosion control netting.

2.05 EROSION CONTROL BLANKETS

- A. Erosion Control Blanket shall be made up of biodegradable and/or photodegradable products such as jute, wood fiber, coconut fiber, straw and degradable plastic netting. They shall degrade at a rate of approximately 6 months to 24 months.
- B. Erosion Control Blanket shall be installed on slopes greater than 3:1 and in all ditches and drainage channels, and where otherwise indicated on the Contract Drawings or directed by regulatory agencies.

2.06 TURF REINFORCEMENT MAT

- A. Where indicated on the Contract Drawings or as described in the Specifications, Turf Reinforcement Mat shall be installed for permanent erosion control.
- B. Turf Reinforcement Mat shall consist of top and bottom heavy weight netting and biodegradable matrix such as coconut fiber or aspen curled wood excelsior.
- C. Where slope and hydraulic conditions are severe, a synthetic matrix may be used, based on manufacturer's recommendations.

2.07 SILT FENCE

- A. Temporary Silt Fence shall consist of woven geotextile fabric attached to 2" X 2" X 48" tall hardwood stakes.
 - 1. Fabric shall be 48" tall, with top being even with top of stakes. Bottom 12" shall be buried in trench as shown on the Detail Drawings.
 - 2. Stakes shall be at 6' centers unless stated otherwise on Contract Documents.
- B. Temporary Reinforced Silt Fence
 - 1. For areas of steep slopes and high flows, where indicated on the Contract Drawings, or as directed by state or local regulations, Reinforced Silt Fence shall be installed.

2. Fabric shall be woven monofilament geotextile attached to 11 gauge steel fencing of 2" X 4" grid.
 3. Stakes shall be 5" tall steel and shall be installed on 4' centers.
 4. Fabric and fencing shall be buried in trench as shown on the Detail Drawings.
- C. Spacing of Silt Fences on slopes shall be according to the following table, or as directed by state or local regulatory agencies:

Slope Angle	Soil Type		
	Silty	Clays	Sandy
Very Steep (1:1)	50 ft.	75 ft.	100 ft.
Steep (2:1)	75 ft.	100 ft.	125 ft.
Moderate (4:1)	100 ft.	125 ft.	150 ft.
Slight (10:1)	125 ft.	150 ft.	200 ft.

- D. If runoff flows along the uphill side of the silt fence, Contractor shall install "J-hooks" every 40 to 80 feet. These are curved sections of silt fence above the continuous fence that serve as small dams to stop and hold the flow to allow sediment to settle.

2.08 FIBER ROLLS

- A. On long slopes less than 10:1, and where indicated on the Contract Drawings or recommended by the regulatory agency, Fiber Rolls shall be installed.
- B. Fiber Rolls shall be made of wood shavings, coconut fiber or other similar material encased in heavy duty netting.
- C. Wooden stakes at 4'-0" on center shall be used to anchor the Fiber Rolls along the contours of the slope.

2.09 AGGREGATE SILT CHECKS

- A. Where needed to slow flow velocity, to cause ponding or to protect storm water inlet structures, Aggregate Silt Checks shall be installed.
- B. Aggregate Silt Checks shall consist of rock of various sizes ranging from 2" to 6" contained in or placed on geotextile filter fabric. Pea-stone or gravel-filled bags are acceptable for temporary silt checks in low-flow conditions.

2.10 RIP RAP

- A. Rip Rap shall be installed at the outlets of storm drains and on channel banks as noted on the Contract Drawings and/or recommended by state and local regulatory agencies.
- B. Rip Rap shall have no less than 80%, by volume, of individual stones that range in size from 0.0247 to 1.483 cubic feet.

2.11 CONSTRUCTION ENTRANCE PAD

- A. Contractor shall construct entrance pads at all fixed locations where vehicles will enter or exit the site.

- B. Pad shall be a minimum of 20 feet wide, 50 feet long and 6” thick, and consist of No. 2 stone laid on top of filter fabric.

PART 3 - EXECUTION

3.01 GENERAL

- A. Erosion and sediment control practices shall be consistent with the requirements of the state and local regulatory agencies and in any case shall be adequate to prevent erosion of disturbed and/or regraded areas.
- B. Contractor is responsible for notifying the state regulatory agency concerning inclusion under the KPDES General Permit for Storm Water Discharges from Construction Activities.
- C. Gravity sewer lines, force mains and water lines that cross streams shall be constructed by methods that maintain normal stream flow and allow for a dry excavation. Water pumped from the excavation shall be contained and allowed to settle prior to reentering the stream. Excavation equipment and vehicles shall operate outside of the flowing portion of the stream. Spoil material from the line excavation shall not be allowed to enter the flowing portion of the stream. The provisions of this condition shall apply to all types of utility line stream crossings.

Removal of riparian vegetation in the utility line right-of-way shall be limited to that necessary for equipment access. Effective erosion and sedimentation control measures must be employed at all times during the project to prevent degradation of waters of the Commonwealth. Site regrading and reseeding will be accomplished with 14 days after disturbance.

3.02 TEMPORARY AND PERMANENT STABILIZATION REQUIREMENTS

- A. Temporary Stabilization is required for all disturbed areas where active work is not being performed. Rough graded areas and topsoil piles that are not in active use must be seeded immediately. The Contractor shall follow the guidelines in the table below:

Temporary Stabilization Table

Area requiring temporary stabilization	Time frame to apply erosion controls
Any disturbed areas within 50 feet of a stream and not at final grade	Within two days of the most recent disturbance if the area will remain idle for more than 21 days
For all construction activities, any disturbed areas that will be dormant for more than 21 days but less than one year, and not within 50 feet of a stream	Within seven days of the most recent disturbance within the area
Disturbed areas that will be idle over winter	Prior to the onset of winter weather

- B. Permanent control measures to minimize erosion and sedimentation shall be accomplished through the stabilization of soil as soon as possible with perennial vegetation. The Contractor shall follow the guidelines for Permanent Stabilization as

specified in the table below.

Permanent Stabilization Table

Area requiring permanent stabilization	Time frame to apply erosion controls
Any areas that will lie dormant for one year or more	Within seven days of the most recent disturbance
Any areas within 50 feet of a stream and at final grade	Within two days of reaching final grade
Any other areas at final grade	Within seven days of reaching final grade within that area

If permanent seeding is not practical due to the time of year, the disturbed area shall be seeded immediately with an annual rye grass at a rate of 3 lb. per 1,000 sq. feet and mulched with straw at a rate of 2.5 tons per acre. Mulch shall be anchored at 6 to 12-inch intervals across the slope by crimping into soil.

3.03 SEEDING

- A. This item shall consist of seeding a cover of grass, on areas disturbed as a result of construction.
- B. The seed mixture to be sown shall closely match the existing grass in lawns or shall be as previously specified.
- C. All seed shall be fresh and clean and shall be delivered mixed, in unopened packages, bearing a guaranteed analysis of the seed mixture.
- D. Germination must be certified to conform to the following minimums:

Purity	90%
Germination	85%
- E. Pre-fertilization (if required to establish ground cover) :
 - 1. Just prior to the planting of turf, evenly broadcast fertilizer as previously specified.
- F. Method:
 - 1. This work consists of furnishing all labor, equipment and materials and in performing all operations in connection with the fertilizing and seeding of all the finished graded areas not specified to be sodded or occupied by structures, roads, concrete slabs, sidewalks, walls, etc., and including grassed areas destroyed or damaged by the Contractor.
 - 2. The areas to be seeded shall be thoroughly tilled by discing, harrowing, or other approved methods until the condition of the soil is acceptable for sowing. After harrowing or discing, the seedbed shall be dragged and/or hand raked to finish grade.

3. Apply fertilizer uniformly over the seed bed, and lightly harrow, rake or otherwise incorporate them in to the soil for a depth of approximately 1-inch. Fertilizer shall be as previously specified. The incorporation of the fertilizer may be a part of the tillage operation.
4. Seed shall be broadcast either by hand or approved sowing equipment at the rate of ninety (90) pounds per acre (two pounds per 1,000 square feet), uniformly distributed over the area. Broadcasting seeding during high winds will not be permitted. The seed shall be drilled or raked into a depth of approximately ½ inch and the seeded areas shall be lightly raked to cover the seed and rolled. Drilling seeding shall be done with approved equipment with drills not more than 3 inches apart. All ridges shall be smoothed out, and all furrows and wheel tracks likely to develop into washes, shall be removed.
5. Seed may be sown during the following periods:

February 1 to April 15

August 15 to October 15
6. After the seed has been sown, the areas so seeded shall be mulched with clean straw as previously specified.
7. Areas seeded shall be protected until a uniform stand develops, when it will be accepted and the Contractor relieved of further responsibility for maintenance. Displaced mulch shall be replaced or any damage to the seeded area shall be repaired promptly, both in a manner to cause minimum disturbance to the existing stand of grass. If necessary to obtain a uniform stand, the Contractor shall refertilize, reseed and remulch as needed.
8. The Engineer shall inspect the seeding within sixty (60) days after planting and determine if it is acceptable. An area is considered acceptable if it is represented by a minimum of 100 seedlings per square foot (uniform over the entire area) of the permanent species of grass representative of the seed mixture. If an acceptable growth is not obtained on the first planting, reseeding and remulching will be required.
9. Payment for seeding and mulching shall be included in the Contractor's bid.

3.04 INSTALLATION OF EROSION AND SEDIMENT CONTROL DEVICES

- A. All erosion and sediment control products and materials shall be installed per manufacturer's recommendations and in accordance with the Kentucky Erosion Prevention and Sediment Control Field Guide.
- B. Contractor shall pay special attention to the trenching-in of the bottoms of silt fence, the staking of sediment barriers, and the stapling of erosion control blankets.

3.05 MAINTENANCE OF EROSION AND SEDIMENT CONTROL DEVICES

- A. Erosion and sedimentation controls shall be inspected weekly and after rain events of 0.5 inch or greater. Replace silt fencing as needed, filter stone which is dislodged, erosion control blanket which is damaged, and make other necessary repairs.

- B. Remove sediment from fences and barriers when it accumulates to half the height of the barrier, or more often as needed.

3.06 CLEAN UP

- A. Upon completion of the project and/or establishment of satisfactory turf, vegetation or permanent erosion control structures, Contractor shall remove all temporary devices and properly dispose of such.

3.07 KPDES GENERAL PERMIT FOR STORM WATER DISCHARGES FROM CONSTRUCTION ACTIVITIES

- A. The Contractor is responsible for filing the appropriate Notice of Intent (NOI-SWCA) letter at least seven (7) days prior to start of construction activity for an electronic submittal, and at least thirty (30) days prior to start for a paper submittal. The Notice of Intent (NOI) is a Kentucky Pollution Discharge Elimination System (KPDES) permit application as provided by the Kentucky Revised Statutes, Chapter 224. This application is required to be submitted for construction projects that disturb one or more acres of land. A permit application form is included at the end of this section.

- B. The NOI requires the inclusion of the descriptions of (but is not limited to) the following items:

1. Names and designated uses of any receiving waters
2. Anticipated number and locations of discharge points
3. Identification of planned construction in or along a water body

A Topographic map showing project boundaries, areas to be disturbed, locations of anticipated discharge points and receiving waters is also required to be submitted with the NOI.

- C. If the construction site is near a designated “High Quality/Impaired Waters” or a “Cold Water Aquatic Habitat Waters, Exceptional Waters, Outstanding National/State Resource Waters”, additional items and/or individual permits will be required.
- D. The Contractor is responsible for developing, implementing and continuously updating a Stormwater Pollution Prevention Plan (SWPPP) before commencement of site disturbance. The SWPPP should include erosion prevention measures and sediment control measures which are installed and maintained to minimize discharges of sediments and other pollutants from a 2-year, 24-hour storm event. The SWPPP must be kept at the site and available for review by State officials, and must be updated as necessary through the course of the construction project.
- E. The Contractor should receive notification from the Kentucky Division of Water of permit coverage within seven (7) days of an electronic submittal, and thirty (30) days of a paper submittal. At that time, site disturbance is considered permitted.
- F. Unless otherwise noted, the Contractor is responsible for completing and maintaining the required Self-Inspection Forms. A sample is included at the end of this specification section.
- G. Upon completion of the project and establishment of all permanent erosion and sediment control structures and devices, the Contractor shall submit the Notice of Termination

(NOT) form to the Division of Water. This form is included at the end of this specification section.

- H. All subcontractors are required to comply with the requirements of the Permit and the Stormwater Pollution Prevention Plan (SWPPP).

3.08 WHERE TO SUBMIT

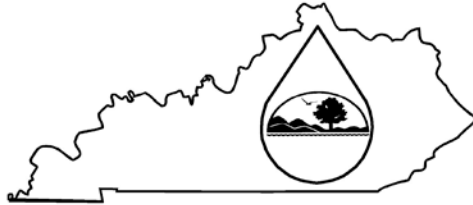
- A. Submit Notice of Intent (NOI) Form to: Section Supervisor, Inventory and Data Management Section, KPDES Branch, Kentucky Division of Water, 200 Fair Oaks, Frankfort Office Park, Frankfort, Kentucky 40601.
- B. If project is within the jurisdiction of a defined “MS4” entity, a copy of the NOI must be submitted to that entity.

3.09 REQUIRED FOR THIS CONTRACT

- A. If required, the Contractor shall submit the signed NOI to the Kentucky Division of Water (address noted above) at least seven (7) days prior to the start of work activities for an electronic submittal, or thirty (30) days for a paper submittal. Do not begin site work until receiving notice of permit approval from the Division of Water.
- B. If required, submit the NOI and locally required documents to the local regulatory agency.
- C. If required, develop, implement, and continuously update the Stormwater Pollution Prevention Plan (SWPPP).
- D. Inspect and document the condition of runoff controls every seven (7) days and after each rain event of one-half inch or more. Maintain inspection reports at the site.
- E. The Contractor shall file a Notice of Termination (NOT) when General Permit coverage is no longer needed (General Permits describe how this is done).

END OF SECTION

KPDES FORM NOI-SW



Kentucky Pollutant Discharge Elimination System
(KPDES)
Notice of Intent (NOI)
for Storm Water Discharges
Associated with Industrial Activity Under the
KPDES General Permit

Submission of this Notice of Intent constitutes notice that the party identified in Section I of this form intends to be authorized by a KPDES permit issued for storm water discharges associated with industrial activity. Becoming a permittee obligates such discharger to comply with the terms and conditions of the permit.

ALL NECESSARY INFORMATION MUST BE PROVIDED ON THIS FORM (See Instructions on back)

I. Facility Operator Information

Name:		Phone:	
Address:		Status of Owner/Operator:	
City, State, Zip Code:			

II. Facility/Site Location Information

Name:			
Address:			
City, State, Zip Code:			
County:			
Site Latitude: (degrees/minutes/seconds)		Site Longitude: (degrees/minutes/seconds)	

III. Site Activity Information

MS4 Operator Name:				
Receiving Water Body:				
Are there existing quantitative data?	Yes <input type="checkbox"/>	If Yes, submit with this form.		
	No <input type="checkbox"/>			
SIC or Designated Activity Code Primary		2nd	3rd	4th
If this facility is a member of a Group Application, enter Group Application Number:				
If you have other existing KPDES Permits, enter Permit Numbers:				

IV. Additional Information Required FOR CONSTRUCTION ACTIVITIES ONLY

Project Start Date:		Completion Date:	
Estimated Area to be disturbed (in acres):			
Is the Storm Water Pollution Prevention Plan in Compliance with State and/or Local Sediment and Erosion Plans?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	

V. Certification: I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Printed or Typed Name:			
Signature:		Date:	

Revised June 1999

**Kentucky Pollutant Discharge Elimination System (KPDES)
Instructions
Notice of Intent (NOI) for Storm Water Discharges Associated with Industrial Activity
To Be Covered Under The KPDES General Permit**

WHO MUST FILE A NOTICE OF INTENT (NOI) FORM

Federal law at 40 CFR Part 122 prohibits point source discharges of stormwater associated with industrial activity to a water body of the Commonwealth of Kentucky without a Kentucky Pollutant Discharge Elimination System (KPDES) permit. The operator of an industrial activity that has such a storm water discharge must submit a NOI to obtain coverage under the KPDES Storm Water General Permit. If you have questions about whether you need a permit under the KPDES Storm Water program, or if you need information as to whether a particular program is administered by the state agency, call the **Storm Water Contact, Industrial Section, Kentucky Division of Water at (502) 564-3410.**

WHERE TO FILE NOI FORM

NOIs must be sent to the following address:

**Section Supervisor
Inventory & Data Management Section
KPDES Branch, Division of Water
Frankfort Office Park
14 Reilly Road
Frankfort, KY 40601**

COMPLETING THE FORM

Type or print legibly in the appropriate areas only. If you have any questions regarding the completion of this form call the **Storm Water Contact, Industrial Section, at (502) 564-3410.**

SECTION I - FACILITY OPERATOR INFORMATION

Give the legal name of the person, firm, public organization, or any other entity that operates the facility or site described in this application. The name of the operator may or may not be the same as the name of the facility. The responsible party is the legal entity that controls the facility's operation, rather than the plant or site manager. Do not use a colloquial name. Enter the complete address and telephone number of the operator.

Enter the appropriate letter to indicate the legal status of the operator of the facility.

F = Federal M = Public (other than federal or state)
S = State P = Private

SECTION II - FACILITY/SITE LOCATION INFORMATION

Enter the facility's or site's official or legal name and complete street address, including city, state, and ZIP code.

SECTION III - SITE ACTIVITY INFORMATION

If the storm water discharges to a municipal separate storm sewer system (MS4), enter the name of the operator of the MS4 (e.g., municipality name, county name) and the receiving water of the discharge from the MS4. (A MS4 is defined as a conveyance or system of conveyances (including roads with drainage systems, municipal streets, catch basins, curbs, gutters, ditches, man-made channels, or storm drains) that is owned or operated by a state, city, town, borough, county, parish, district, association, or other public body which is designed or used for collecting or conveying storm water.)

If the facility discharges storm water directly to receiving water(s), enter the name of the receiving water.

Indicate whether or not the owner or operator of the facility has existing quantitative data that represent the characteristics and concentration of pollutants in storm water discharges. If data is available submit with this form.

List, in descending order of significance, up to four 4-digit standard industrial classification (SIC) codes that best describe the principal products or services provided at the facility or site identified in Section II of this application.

If the facility listed in Section II has participated in Part 1 of an approved storm water group application and a group number has been assigned, enter the group application number in the space provided.

If there are other KPDES permits presently issued for the facility or site listed in Section II, list the permit numbers.

SECTION IV - ADDITIONAL INFORMATION REQUIRED FOR CONSTRUCTION ACTIVITIES ONLY

Construction activities must complete Section IV in addition of Sections I through III. Only construction activities need to complete Section IV.

Enter the project start date and the estimated completion date for the entire development plan.

Provide an estimate of the total number of acres of the site on which soil will be disturbed (round to the nearest acre).

Indicate whether the storm water pollution prevention plan for the site is in compliance with approved state and/or local sediment and erosion plans, permits, or storm water management plans.

SECTION V - CERTIFICATION

Federal statutes provide for severe penalties for submitting false information on this application form. Federal regulations require this application to be signed as follows:

For a corporation: by a responsible corporate officer, which means: (i) president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy or decision making functions, or (ii) the manager of one or more manufacturing, production, or operating facilities employing more than 250 persons or having gross annual sales or expenditures exceeding \$25 million (in second-quarter 1980 dollars), if authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures;

For a partnership or sole proprietorship: by a general partner or the proprietor, or

For a municipality, state, Federal, or other public facility: by either a principal executive officer or ranking elected official.

Revised June 1999

KPDES FORM NOT-SW

	<p style="text-align: center;">Kentucky Pollutant Discharge Elimination System (KPDES)</p> <p style="text-align: center;">NOTICE OF TERMINATION (NOT) of Coverage Under the KPDES General Permit for Storm Water Discharges Associated with Industrial Activity</p>
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Submission of this Notice of Termination constitutes notice that the party identified in Section II of this form is no longer authorized to discharge storm water associated with industrial activity under the KPDES program.

ALL NECESSARY INFORMATION MUST BE PROVIDED ON THIS FORM.
(Please see instructions on back before completing this form.)

I. PERMIT INFORMATION
KPDES Storm Water General Permit Number:
Check here if you are no longer the Operator of the Facility: <input type="checkbox"/>
Check here if the Storm Water Discharge is Being Terminated: <input type="checkbox"/>
II. FACILITY OPERATOR INFORMATION
Name:
Address:
City/State/Zip Code:
Telephone Number:
III. FACILITY/SITE LOCATION INFORMATION
Name:
Address:
City/State/Zip Code:

Certification: I certify under penalty of law that all storm water discharges associated with industrial activity from the identified facility that are authorized by a KPDES general permit have been eliminated or that I am no longer the operator of the facility or construction site. I understand that by submitting this Notice of Termination, I am no longer authorized to discharge storm water associated with industrial activity under this general permit, and that discharging pollutants in storm water associated with industrial activity of waters of the Commonwealth is unlawful under the Clean Water Act and Kentucky Regulations where the discharge is not authorized by a KPDES permit. I also understand that the submittal of this Notice of Termination does not release an operator from liability for any violations of this permit or the Kentucky Revised Statutes.

NAME (Print or Type)	TITLE
SIGNATURE	DATE

Revised June 1999

INSTRUCTIONS
NOTICE OF TERMINATION (NOT) OF COVERAGE UNDER THE KPDES GENERAL PERMIT
FOR STORM WATER DISCHARGES ASSOCIATED WITH INDUSTRIAL ACTIVITY

Who May File a Notice of Termination (NOT) Form

Permittees who are presently covered under the Kentucky Pollutant Discharge Elimination System (KPDES) General Permit for Storm Water Discharges Associated with Industrial Activity may submit a Notice of Termination (NOT) form when their facilities no longer have any storm water discharges associated with industrial activity as defined in the storm water regulations at 40 CFR 122.26 (b)(14), or when they are no longer the operator of the facilities.

For construction activities, elimination of all storm water discharges associated with industrial activity occurs when disturbed soils at the construction site have been finally stabilized and temporary erosion and sediment control measures have been removed or will be removed at an appropriate time, or that all storm water discharges associated with industrial activity from the construction site that are authorized by a KPDES general permit have otherwise been eliminated. Final stabilization means that all soil-disturbing activities at the site have been completed, and that a uniform perennial vegetative cover with a density of 70% of the cover for unpaved areas and areas not covered by permanent structures has been established, or equivalent permanent stabilization measures (such as the use of riprap, gabions, or geotextiles have been employed.

Where to File NOT Form

Send this form to the following address:

Section Supervisor
Inventory & Data Management Section
KPDES Branch, Division of Water
14 Reilly Road, Frankfort Office Park
Frankfort, KY 40601

Completing the Form

Type or print legibly in the appropriate areas and according to the instructions given for each section. If you have questions about this form, call the Storm Water Contact, Industrial Section, at (502) 564-3410.

Section I - Permit Information

Enter the existing KPDES Storm Water General Permit number assigned to the facility or site identified in Section III. If you do not know the permit number, **call the Storm Water Contact, Industrial Section at (502) 564-3410.**

Indicate your reason for submitting this Notice of Termination by checking the appropriate box:

If there has been a change of operator and you are no longer the operator of the facility or site identified in Section III, check the corresponding box.

If all storm water discharges at the facility or site identified in Section III have been terminated, check the corresponding box.

Section II - Facility Operator Information

Give the legal name of the person, firm, public organization, or any other entity that operates the facility or site described in this application. The name of the operator may or may not be the same name as the facility. The operator of the facility is the legal entity which controls the facility's operation, rather than the plant or site manager. Do not use a colloquial name. Enter the complete address and telephone number of the operator.

Section III - Facility/Site Location Information

Enter the facility's or site's official or legal name and complete address, including city, state and ZIP code. If the facility lacks a street address, indicate the state, the latitude and longitude of the facility to the nearest 15 seconds, or the quarter, section, township, and range (to the nearest quarter section) of the approximate center of the site.

Section IV - Certification

Federal statutes provide for severe penalties for submitting false information on this application form. Federal regulations require this application to be signed as follows:

For a corporation: by a responsible corporate officer, which means: (i) president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy or decision making functions, or (ii) the manager of one or more manufacturing, production or operating facilities employing more than 250 persons or having gross annual sales or expenditures exceeding \$25 million (in second-quarter 1980 dollars), if authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures;

For a partnership or sole proprietorship: by a general partner or the proprietor; or

For a municipality, State, Federal, or other public facility: by either a principal executive

Revised June 1999

CONSTRUCTION SITE INSPECTION REPORT – UTILITY LINE PROJECTS

**KENTUCKY EROSION AND SEDIMENT CONTROL
PERMIT COMPLIANCE INSPECTION REPORT**

General Site Information:

Company:	County:
Site:	Date:

Permit Compliance Information:

	Yes	No
Copy Of Permit kept on site		
Copy of Best Management Practices (BMP) Plan kept on site		
Site specific description of project timing/phasing and implementation		
Adequate site map showing:		
• Drainage patterns indicated on plan		
• Receiving waters (stream, river, lake, wetland, etc.) named		
• Approximate slopes after major grading		
• Area of soil disturbance		
• Undisturbed areas and vegetative buffer zones		
• Location of structural and non-structural controls (BMPs)		
• Areas where stabilization practices are to be employed		
• Storm water discharge locations		

Specific Site Information:

Name of receiving stream:	
Total area of site:	
Area disturbed:	

Inspection Results:

Inspection Criteria:	S	M	U
Satisfactory, Marginal, Unsatisfactory			
Condition of receiving stream is BMP Plan adequately implemented?			
Timely seedling and mulching			
• Revegetation on cut/fill/cleared areas			
• Condition of slope areas			
Structural Controls			
• Drainage ditch protection/liners installed			
• Inlet protection for curb drains, etc.			
• Outlet protection – no erosion or scour			
• Silt fences below bare soil areas			
• Rock check dams in ditches			
• Sediment traps/ponds maintained			
• Other controls			

Other Controls			
• Secondary containment for fuel; maintenance area designated			
• Proper disposal of concrete wastes; wash in designated area			
• Other (non-storm water discharge, etc.)			
• Off-site tracking of sediment prevented			
Compliance with State and Local Regulations			
• Waste, fertilizer, paint, pesticide/herbicide storage and disposal			
• Proper sewage management			
Operation and Maintenance of BMPs			
• Maintenance plan incorporated into written BMP Plan			
• Maintenance plan followed			
• Maintenance documented			
• Inspections done as required and documented			
• Inspection reports completed and maintained on site, in file			
Contractor Certification on File			
Plan Certification on File			

Comments:

Inspector's Signature

Kentucky Best Management Practices Plan • Construction Site Inspection Report

Company:	Site:	County:
Site Operator:		Date:
Receiving Water:	Total Site Area (acres):	# Disturbed Acres:
Inspector Name:	Inspector Qualifications:	
Inspection Type: Weekly or ½ Inch Rain	Days Since Last Rainfall _____	# Inches of Last Rainfall: _____

Field Inspection Observations

BMP Category	Compliance Yes No N/A	Field Indicators for Compliance
Project Operations		Notice of Intent (KPDES permit) and other local/state permits on file BMP Plan on site and available for review Project timing/schedule and activities following BMP Plan Weekly inspection and rain-event reports on BMPs available for review Diversions, silt checks/traps/basins, and silt fences/barriers installed prior to clearing Grading and clearing conducted in phases to minimize exposed soil areas No vegetation removal or operations in stream or sinkhole buffer area (25-50 ft min) Rock pad in place on all construction site exits leading to paved roads No sediment, mud, or rock on paved public roads in project area Dust control if needed when working in residential areas during dry conditions
Drainage Management		Upland runoff diverted around bare soil areas with vegetated/lined ditches/berms Drainage channels exiting the site are lined with grass/blanket/rock and stabilized Discharges from dewatering operations cleaned in silt fence enclosure or other filter No muddy runoff leaving site after rains up to 1½ inches
Erosion Protection		Exposed soil seeded/mulched after 2 weeks if no work is planned for the next 7 days Soils on steep slopes seeded/mulched/blanketed as needed to prevent rutting
Sediment Barriers		Silt fence, rock filter, or other sediment barrier below all bare soil areas on slopes Barrier installed across slope on the contour, trenched in, posts on downhill side Multiple sediment barriers at least 125 ft apart on unseeded slopes steeper than 4:1 J-hook interceptors along silt fence where heavy muddy flows run along fencing No visible undercutting or bypassing or blowout of sediment barrier Accumulated sediment is less than halfway to the top of sediment barrier
Slope Protection		Slopes tracked, disked, or conditioned after final grade is established Slopes seeded, mulched, or blanketed within 21 days, no unmanaged rills or gullying Heavy downslope flows controlled by lined downdrain channels or slope drain pipes No muddy runoff from slopes into streams, rivers, lakes, or wetlands
Inlet Protection		Inlet dam/device or filtration unit placed at all inlets receiving muddy flows No visible undercutting, bypassing, or blowout of inlet protection dam or device Accumulated sediment is less than halfway to the top of the inlet protection dam/device
Outlet Protection		High flow discharges have rock or other flow dissipaters of adequate sizing at outlet Culvert outlets show no visible signs of erosion/scour, bank failure, or collapse
Ditch and Channel Stabilization		No unmanaged channel bank erosion or bottom scouring visible within or below site Ditches with slopes more than 3% have check dams spaced as needed, if not grassed Ditch check dams tied in to banks, with center 4" lower than sides, and no bypassing Ditches with slopes of up to 5% are thickly seeded with grass (minimum requirement) Ditches 5% to 15% are lined with thick grass and erosion control blankets as needed Ditches 15% to 33% are lined with thick grass and matting or other approved product Ditches exceeding 33% are paved or lined with rock or other approved product

SECTION 02515 – VALVES

PART 1 - GENERAL

1.01 SCOPE OF WORK

- A. Provide all labor, materials, equipment and services required to furnish and install all valves shown on the Drawings and/or specified herein.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Drawings and General Provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification sections, apply to work of this Section.
- B. Piping is specified in Division 2 Specification sections.

1.03 SUBMITTALS

- A. Descriptive literature, catalog cuts, and dimensional prints clearly indicating all dimensions and materials of construction, shall be submitted on all items specified herein to the Engineer for review before ordering. Comply with provisions of Section 01340.
- B. At the time of submission, the Contractor shall, in writing, call Engineer's attention to any deviations that the submittals may have from the requirements of the Engineer's Contract Drawings and Specifications.

PART 2 - PRODUCTS

2.01 PLUG VALVES

- A. All plug valves shall be eccentric plug valves unless otherwise specified.
- B. Valves shall be of the non-lubricated eccentric type and shall be furnished with mechanical joint connections, unless otherwise shown on the Drawings or specified hereinafter. Megalug type joint restraints shall be installed on all valves; the end-connections furnished shall be suitable for connection to the pipe being installed.
- C. Valve bodies shall be flushing body type and made of ASTM A126 Class B cast iron. Valves shall be furnished with a 1/8" welded overlay seat of not less than 95% pure nickel. Seat area shall be raised, with raised surface completely covered with weld to insure that the plug face contacts only nickel. Screwed-in seats shall not be acceptable. An epoxy coating conforming to AWWA C-550 shall be applied to the interior and exterior ferrous surfaces of the valve except for finished or seating surfaces.
- D. Plugs shall be made of ductile iron and have a cylindrical seating surface eccentrically offset from the center of the plug shaft. The interference between the plug face and body seat, with the plug in the closed position, shall be externally adjustable in the field with the valve in the line under pressure. Plug shall be resilient faced with neoprene or hycar, suitable for use with air.
- E. Valves shall have replaceable sleeve type bearings and grit seals at the upper and lower journals.
- F. Valve shaft seals shall be of the multiple V-ring type and shall be externally adjustable and repackable without removing the bonnet or actuator from the valve under pressure.

- G. Valve pressure ratings shall be 175 psi through 12" and 150 psi for 14" through 72". Each valve shall be given a hydrostatic and seat test with test results being certified when required by the specifications.
- H. Manually operated valves 4-inch and larger shall have a worm gear actuator, stainless steel input shaft and square nut operator. All gearing shall be enclosed in a semi-steel housing and be suitable for running in a lubricant with seals provided on all shafts to prevent entry of dirt and water into the actuator. All exposed nuts, bolts and washers shall be stainless steel.
- I. Valves shall provide drip tight shutoff up to the full pressure rating. Valves shall be provided with adjustable limit stops and rotate 90 degrees from fully opened to fully closed.
- J. Valves shall have rectangular port openings for throttling service, and shall open to 100% of the corresponding pipe diameter.
- K. Each valve shall be installed in a vertical position with a roadway type valve box. Valves set with valve boxes shall be provided with a 2-inch square operating nut and shall be opened by turning to the left (counter-clockwise).
- L. Plug valves shall be as manufactured by DeZurik, or equal.

2.02 INLINE CHECK VALVES

The inline check valves on the air scour header assembly shall be ½" Red ValveTideflex Series 2633, equivalent Proco Products valve, or equal. The valve body and end connections shall be 316 stainless steel with neoprene elastomer check sleeves.

2.03 VALVE BOXES

- A. Valve boxes shall be 5-1/4 inch cast iron, two piece, screw type with drop cover marked "AIR". Valve boxes shall be accurately centered over valve operating nut, and backfill thoroughly tamped about them. Valve box bases shall not rest on the valves but shall be supported on crushed stone fill. They shall be set vertically and properly cut and/or adjusted so that the tops of boxes will be at grade in any paving, walk or road surface, and 1 and 2 inches above ground in grass plots, fields, woods or other open terrain. For ease of location and identification, a concrete pad for valves outside the roadway shall be furnished.

Contractor shall also furnish and install at each valve a two piece HDPE valve box alignment device (BOXLOK) as manufactured by EMMA Sales or approved equal.

- B. Valve boxes shall be set at valve locations shown on the drawings or designated by the Engineer.

PART 3 - EXECUTION

3.01 INSTALLATION

- A. All valves shall be installed in accordance with details on the Contract Drawings and with the manufacturer's recommendations.
- B. All valves shall be installed with Mega-Lug type restraints suitable for the pipe being installed and anchored in accordance with the details on the Contract Drawings.

END OF SECTION

SECTION 02520 - AIR PIPING

PART 1 – GENERAL

1.01 SCOPE OF WORK

Provide all labor, materials, equipment and services required for furnishing and installing all air piping and appurtenances specified herein.

1.02 RELATED WORK SPECIFIED ELSEWHERE

A. Valves: Section 02515

1.03 SUBMITTALS

- A. A notarized certification shall be furnished for all pipe and fittings that verifies compliance with all applicable specifications, including the Buy American provisions of the ARRA.
- B. The requirement for this certification does not eliminate the need for shop drawings submittals in compliance with Section 01340.

1.04 EXISTING CONDITIONS

- A. The existing piping shown on the Contract Drawings is based on the best available information. The Engineer makes no guarantee as to the accuracy of the locations or type of piping depicted.
- B. So that piping conflicts may be avoided, Contractor shall open up his trench well ahead of the pipe laying operation to confirm exact locations of existing piping before installing any new piping.
- C. Contractor shall provide all fittings and adapters necessary to complete the work and avoid the existing piping.

PART 2 - PRODUCTS

2.01 HIGH DENSITY POLYETHYLENE PIPE (FOR AIR LINES)

- A. General: High density polyethylene pipe shall be “Driscopipe” as manufactured by Phillips Product Company, Inc., PLEXCO as manufactured by Chevron, POLYPIPE, or approved equal. Pipe shall be SDR 7 unless otherwise approved.
- B. Materials for Polyethylene Pipe:
 - 1. The polyethylene pipe and fittings shall be made of polyethylene resins classified in ASTM D 1248 as Type III, Category 5, Grade P34 (pipe designation PE 3408 defined per ASTM D 3035, AWWA C901 and NSF 61), having specific base resin densities of 0.941 g/cc minimum and 0.955 g/cc maximum respectively; and having melt index less than 0.15 grams/10 min.
 - 2. Pipe made from these resins must have a long-term strength rating of 1,600 psi or more.

3. The polyethylene resin shall contain antioxidants and shall be stabilized with carbon black against ultra-violet degradation to provide protection during processing and subsequent weather exposure. A portion of the piping will be above ground.
4. The polyethylene resin compound shall have a resistance to environmental stress cracking as determined by the procedure detailed in ASTM D 1693, Condition B with sample preparation by procedure C of not less than 200 hours.
5. Polyethylene shall have cell classification of 345434C as defined by ASTM 3350-84.

C. Polyethylene Pipe and Fittings:

1. The pipe shall be designed for a normal internal working pressure and earth cover over top of the pipe to suit the conditions of proposed use.
2. Each length of pipe shall be marked, at no more than 10 foot intervals, with the following information:

Nominal Pipe Size
 Type Plastic Material - PE3408
 Pipe Pressure Rating
 Manufacturer's Name, Trademark and Code

3. All pipe shall be made from virgin material, no rework compound.
4. Pipe shall be homogenous throughout, and be free of visible cracks, holes, foreign material, blisters, or other deleterious faults.
5. Fittings for the polyethylene pipe line shall be molded or fabricated from the same material as specified hereinbefore for the high density polyethylene pipe.
6. Fittings for bends 22-1/2° or greater shall be provided. For alignment changes of less than 20° deflection, the pipe may be laid in curves with a radius of 100 feet or greater.
7. All run-of-the-pipe fittings shall be fusion welded into the pipeline. Tee branches shall be of the size shown on the Drawings and shall be furnished with flanged ends per ANSI B-16.1. All fittings shall be factory made.
8. Fittings shall be capable of withstanding the same pressure and loading conditions specified for the pipe.
9. Wye branches shall be true wyes.

D. Pipe Jointing:

1. Pipe to be joined by leak proof, thermal, butt fusion joints. All fusion must be done by personnel trained by the pipe supplier using tools approved by the pipe supplier.
2. The fusion machine shall have hydraulic pressure control for fusing 2 pipe ends together; it shall include pressure fusion indicating gauges to correctly monitor fusion pressures. The machines correctly monitor fusion pressures. The machines shall be equipped with an electric or gasoline engine powered facing unit to trim irregularities from the pipe ends. The heating plate on the fusion machine shall be electrically

heated and thermostatically controlled and shall contain a temperature gauge for monitoring temperature.

3. Joint strength must be equal to that of adjacent pipe as demonstrated by tensile test. In addition, results of tensile impact testing of joint should indicate a ductile rather than a brittle fracture. External appearance of fusion bead should be smooth without significant juncture groove.
4. Threaded or solvent cement joints and connections are not permitted.

E. Joining, Terminating or Adapting by Mechanical Means:

1. The polyethylene pipe shall be connected to systems or fittings of other materials by means of an assembly consisting of a polyethylene flange adapter butt-fused to the pipe, a backup ring of either cast iron, steel, or high silica aluminum alloy made to ANSI B-16.1 dimensional standards (with modified pressure ratings), bolts of compatible material (insulated from the fittings where necessary) and a gasket of reinforced black rubber, or other material approved by the Owner, cut to fit the joint. In all cases, the bolts shall be drawing up evenly and in line.
2. Termination of valves or fittings such as tees, bends, etc., made of other materials shall be by the flange assemblies specified hereinbefore. The pipe adjacent to these joints and to joints themselves must be rigidly supported for a distance of one pipe diameter or 1 foot, whichever is greater, beyond the flange assembly.
3. Appurtenances must be placed on their own foundations, unsupported by the pipe, in accordance with the detail plans.

F. Tools and Procedures:

1. Fusion jointing and other procedures necessary for correct assembly of the polyethylene pipe and fittings will be done only by personnel trained in those skills by the pipe supplier.
2. Only those tools designed for aforementioned procedures and approved by the pipe supplier shall be used for assembly of pipe and fittings to insure proper installation.

G. Standard Dimension Ratio (SDR) for Pipe:

All HDPE pipe for air line applications shall be SDR 7 unless otherwise approved.

2.02 STAINLESS STEEL AIR PIPING

Stainless steel air piping and fittings shall be series 316, schedule 80 pipe. Braces shall also be 316 SS. Adapters shall be furnished as required to connect to existing piping.

2.03 MECHANICAL JOINT RESTRAINT

- A. Mechanical joint restraint shall be furnished and installed where shown on the Plans. The restraining mechanism shall consist of individually actuated wedges that increase their resistance to pull-out as pressure or external forces increase. The device shall be capable of full mechanical joint deflection during assembly and the flexibility of the joint shall be maintained after burial. The joint restraint ring and its wedging components shall be made of grade 60-42-10 ductile iron conforming to ASTM A536 latest revision. The wedges shall be

ductile iron heat-treated to a minimum hardness of 370 BHN. Dimensions of the gland shall be such that it can be used with the standardized mechanical joint bell conforming to ANSI/AWWA C111/A21.11 and ANSI/AWWA C153/A21.53 of the latest revision. Torque limiting twist-off nuts shall be used to insure proper actuation of the restraining wedges. The mechanical joint restraint shall be rated for a minimum working pressure of 250 psi and shall be UL listed.

- B. The mechanical joint restraint shall be MEGALUG restraint as manufactured by EBAA Iron Sales Inc., or approved equal and shall be compatible with the pipe being installed.

PART 3 - EXECUTION

3.01 EXCAVATION FOR PIPELINE TRENCHES

- A. Unless otherwise indicated by the Drawings, trenches in which pipes are to be laid shall be excavated in open cut to the depths required by field conditions or as specified by the Engineer. In general this shall be interpreted to mean that machine excavation in earth shall not extend below an elevation permitting the pipe to be properly bedded. Installation shall be in accordance with ANSI/AWWA C600 for ductile iron and Cast Iron O.D. (AWWA) PVC pipe or ASTM F-645 for Iron Pipe O.D. (ASTM) PVC pipe except as modified herein.
- B. If the foundation is good firm earth and the machine excavation has been accomplished as set out hereinbefore, the remainder of the material shall be excavated by hand, then the earth pared or molded to give full support to the lower quadrant of the barrel of each pipe. Where bell and spigot is involved, bell holes shall be excavated during this latter operation to prevent the bells from being supported on undisturbed earth. If for any reason the machine excavation in earth is carried below an excavation that will permit the type of bedding specified above, then a layer of granular material shall be placed so that the lower quadrant of the pipe will be securely bedded in compact granular fill.
- C. Excavation may be undercut to a depth below the required invert elevation that will permit laying the pipe in a bed of granular material to provide continuous support for the bottom quadrant of the pipe. When this method is used, the bedding shall be as set out in Paragraph 3.02 hereinafter.
- D. Trenches shall be of sufficient width to provide free working space on each side of the pipe and to permit proper backfilling around the pipe, but unless specifically authorized by the Engineer, trenches shall in no case be excavated or permitted to become wider than 1'-6" plus the nominal diameter of the pipe at the level of or below the crown of the pipe. If the trench does become wider than 1'-6" at the level of or below the crown of the pipe, special precaution may be necessary, such as providing compacted, granular fill up to top of the pipe or providing pipe with additional crushing strength as determined by the Engineer after taking into account the actual trench loads that may result and the strength of the pipe being used. The Contractor shall bear the cost of such special precautions as are necessary.
- E. All excavated materials shall be placed a minimum of two feet (2') back from the edge of the trench.
- F. Before laying the pipe, the trench shall be opened far enough ahead to reveal obstructions that may necessitate changing the line or grade of the pipeline. Unless specifically directed otherwise by the Engineer or where required to uncover or determine the presence of underground obstructions, not more than three hundred (300) feet of trench shall be opened

ahead of the pipe laying, and not more than two (200) feet of open ditch shall be left behind the pipe laying.

- G. The requirements of the County and State Highway Departments regarding the length of open trench left overnight shall also apply to water line laid along the rights-of-way for all roads and streets.
- H. The trench shall be straight and uniform so as to permit laying pipe to lines and grades given by the Engineer. It shall be kept free of water during the laying of the pipe and until the pipeline has been backfilled. Removal of trench water shall be at the Contractor's expense. Dry conditions shall be maintained in the excavations until the backfill has been placed. During the excavation, the grade shall be maintained so that it will freely drain and prevent surface water from entering the excavation at all times. When directed by Owner, temporary drainage ditches shall be installed to intercept or direct surface water, which may affect work. All water shall be pumped or drained from the excavation and disposed of in a suitable manner without damage to adjacent property or to other work.
- I. Unless otherwise indicated on the Drawings, or directed by the Engineer, the air pipeline shall have at least 24" of cover. All depths of cover are measured to the top of pipe. No departure from this policy shall be made except at the order of the Engineer.
- J. All barricades, lanterns, watchmen, and other such signs and signals as may be necessary to warn the public of the dangers in connection with open trenches, excavations and other obstructions shall be provided by and at the expense of the Contractor. All excavation shall be accomplished in accordance with applicable safety laws and regulations; the Engineer, as previously stated, does not assume responsibility of any degree or sort for acts of the Contractor.
- K. Unless otherwise directed by the Engineer, lines and grades shall be set to conform to those shown on the Plans. Field setting of lines and grades shall be the responsibility of the Contractor.

3.02 PIPE BEDDING

- A. The pipe shall be uniformly and continuously supported throughout the entire length on a firm, stable material. All pipe shall be supported on a bed of granular material, unless the trench has been prepared in accordance with Paragraph 3.01B. In no case shall pipe be supported directly on rock. Bedding shall not be a separate pay item unless otherwise set out in the Detailed Specifications. Bedding shall be provided in earth bottom trenches, as well as rock bottom trenches. Bedding material shall be free from large rock, foreign material, frozen earth, and shall be acceptable to the Engineer. Bedding shall be a minimum of 6" below pipe barrel when rock is encountered. When rock is encountered, backfill the space below grade for pipelines with crushed stone or other approved material, and tamp to the proper grade and make ready for construction.
- B. In all cases the foundation for pipes shall be prepared so that the entire load of the backfill on top of the pipe will be carried on the barrel of the pipe so that none of the load will be carried on the bells.
- C. Where flexible pipe is used, the bedding shall be placed up to at least 12 inches above the top of the pipe. The bedding material and procedures shall conform to ASTM D 2321 and any Technical Specifications set out hereinafter. Granular bedding shall be Size #9-m or ASTM C 33; Size #7 crushed stone, fine gravel, or sand, and is not a separate pay item.

- D. Where undercutting and granular bedding is involved it shall be of such depth that the bottom of the bells of the pipe will be at least three inches above the bottom of the trench as excavated. Undercutting is not a separate pay item unless approved by the Engineer.
- E. In wet, yielding mucky locations where pipe is in danger of sinking below grade or floating out of line or grade, or where backfill materials are of such a fluid nature that such movements of the pipe might take place during the placing of the backfill, the pipe must be weighted or secured permanently in place by such means as will prove effective. When ordered by the Engineer, yielding and mucky materials in subgrades shall be removed below ordinary trench depth in order to prepare a proper bed for the pipe. In such cases, the trench bottom shall be brought back up to proper grade with bedding material.
- F. Installation shall be in accordance with ASTM D 2321 except as modified hereinafter.

3.03 SPECIAL PIPE BEDDING

Granular material for "Special Pipe Bedding" where required shall be Department of Transportation crushed limestone, Size #9.

3.04 LAYING PIPE

- A. The laying of pipe in finished trenches shall be commenced at the lowest point so the spigot ends point in the direction of flow. The pipe shall be laid in a straight line and grade without kinks or sags, and shall be laid in a workmanlike manner.
- B. All pipes shall be laid with ends abutting and true to line and grade as given by the Engineer. Supporting of pipes shall be as set out hereinbefore under "Pipe Bedding" and in no case shall the supporting of pipes on blocks be permitted.
- C. The trench shall be excavated to the required depth and width; bell holes and/or jointing holes shall be dug in advance of pipe laying. Bell holes and/or jointing holes shall be large enough so that the bell or hub will clear the ground and leave ample room for making and inspecting the joints.
- D. Before each piece of pipe is lowered into the trench, it shall be thoroughly swabbed out and inspected to insure that it is clean. Each piece of pipe shall be lowered separately unless the Engineer gives special permission otherwise.
- E. Care shall be taken to prevent injury to the pipe coating both inside and out. No piece of pipe or fitting which is known to be defective shall be laid or placed in the lines. If any defective pipe or fitting shall be discovered after the pipe is laid, it shall be removed and replaced with a satisfactory pipe or fitting without additional charge. In case a length of pipe is cut to fit in a line it shall be so cut as to leave a smooth end at right angles to the longitudinal axis of the pipe as per latest revision of AWWA Specification C600.
- F. Pipe shall not be laid on solid rock. A pad of granular material as specified in Paragraph 3.02 "Pipe Bedding", shall be used as a pipe bedding. Pipe bedding is not a separate pay item. Irregularities in subgrade in an earth trench shall be corrected by use of granular material.
- G. When ordered by the Engineer, unsuitable materials in subgrades shall be removed below ordinary trench depth in order to prepare a proper bed for the pipe.

- H. Open ends of unfinished pipelines shall be securely plugged or closed at the end of each day's work or when the line is left temporarily at any other time, so as to exclude earth or other material, and precautions taken to prevent flotation of pipe by runoff into trench.
- I. No backfilling (except for securing pipe in place) over pipe will be allowed until the Engineer has had an opportunity to make an inspection of the joints, alignment and grade, in the section laid.
- J. Wherever pipe must be deflected from a straight line (in either the vertical or horizontal plane) in order to avoid obstructions, or wherever long radius curves are permitted, the amount of deflection shall not exceed that necessary for the joint to be satisfactorily made, nor that recommended by the pipe manufacturer, and shall be approved by the Engineer.

3.05 BACKFILLING PIPELINE TRENCHES

- A. Backfilling shall begin after line construction is completed, inspected, and approved by the Engineer. Backfilling of pipeline trenches shall be accomplished as shown on the Drawings and with details set forth hereinafter. Before final acceptance, the Contractor will be required to level off all trenches or to bring the trench up to grade. The Contractor shall also remove from roadways, rights-of-way and/or private property all excess earth or other materials resulting from construction. In the event that pavement is not placed immediately following trench backfilling in paved areas, the Contractor shall be responsible for maintaining the trench surface in a level condition at proper pavement grade at all times. Under pavement, roads or driveways, all trench backfill shall be in accordance with Method C. All other trench backfill shall be in accordance with Method A or B.

- B. Method "A" - Backfilling in Open Terrain:

Backfilling of pipeline trenches in open terrain shall be accomplished in the following manner:

1. The lower portion of the trench, from the pipe bedding to a point 12" above the top of the pipe, shall be backfilled with materials acceptable to the Engineer such as fine loose earth, sandy soil or loam, or granular material, free from clods, vegetable matter, debris, stone and/or other objectionable materials. This material shall be placed in even layers simultaneously on each side of the pipe in a manner approved by the Engineer, and shall be carefully compacted to avoid displacement of the pipe. Layers shall not exceed 6" depth (before compaction). Each layer shall be thoroughly and completely tamped into place before placing the succeeding layer. Compaction shall be accomplished by hand-tamping or by approved mechanical methods. Do not use power operated tampers to tamp that portion of the backfill around the pipe within 1' above the pipe.
2. The upper portion of the trench above the compacted portion shall be backfilled with material, which is free from large rock. Incorporation of rock having a volume exceeding one-quarter cubic foot is prohibited. Individual stones shall not exceed 6" in maximum dimension. Backfilling this portion of the trench may be accomplished by any means approved by the Engineer. Sufficient earth material shall be incorporated in such backfill to completely fill all voids. The trench backfill shall be heaped over or leveled as directed by the Engineer.

C. Method "B" - Backfilling Under Dirt Entrances:

Backfilling of pipeline trenches under dirt entrances shall be accomplished in the following manner.

1. The lower portion of the trench, from the pipe bedding to a point 12 inches above the top of the pipe, shall be backfilled with materials acceptable to the Engineer such as fine loose earth, sandy soil or loam, or granular material, free from clods, vegetable matter, debris, stone and/or other objectionable materials. This material shall be placed in even layers simultaneously on each side of the pipe in a manner approved by the Engineer, and shall be carefully compacted to avoid displacement of the pipe. Layers shall not exceed 6" depth (before compaction). Each layer shall be thoroughly and completely tamped into place before placing the succeeding layer. Compaction shall be accomplished by hand-tamping or by approved mechanical methods. Do not use power operated tampers to tamp that portion of the backfill around the pipe within 1' above the pipe.
2. The middle portion of the trench, from a point 12" above the top of the pipe to a point 6" below the grade line, shall be backfilled with material free from rock and/or acceptable to the Engineer. This material shall be placed and compacted in layers of approximately 6 inches. Water (puddling) may be used as required to obtain maximum compaction.

Upon approval of the Engineer, the Contractor may backfill the middle portion of the trench with crushed stone, fine gravel, or sand in lieu of materials, which require compaction.

D. Method "C" - Backfilling Under Streets, Roads, and Driveways:

Backfilling of pipeline trenches under streets, roads and driveways shall be accomplished in the following manner:

1. The lower portion of the trench from the pipe bedding to a point 6" below the bottom of the pavement or concrete sub-slab, shall be backfilled with No. 57 stone, firmly compacted into place.
2. The upper portion of the trench, from a point 6" below the bottom of the pavement or concrete sub-slab to grade, shall be backfilled with No. 57 stone, firmly compacted into place. At such time that pavement replacement is accomplished, the excess base course shall be removed as required.

- E. Trenches outside existing sidewalks, driveways, streets, and highways shall be backfilled in accordance with Method "A". Trenches within the limits of dirt entrances shall be backfilled in accordance with Method "B". Trenches within the paving limits of existing streets, highways and driveways shall be backfilled in accordance with Method "C". When directed by the Engineer, the Contractor shall wet backfill material to assure maximum compaction.

Before final acceptance, the Contractor will be required to level off all trenches or to bring the trench up to grade. The Contractor shall also remove from roadways, rights-of-ways and/or private property all excess earth or other materials resulting from construction.

In the event that pavement is not placed immediately following trench backfilling in streets, highways, and driveways the Contractor shall be responsible for maintaining the trench surface in a level condition at proper pavement grade at all times.

Wherever excavation has been made within easements across private property, the top 6" of backfill material shall consist of fine loose earth free from large clods, vegetable matter, debris, stone, and/or other objectionable materials.

3.06 SETTLEMENT OF TRENCHES

- A. Whenever lines are in, or cross, driveways and streets, the Contractor shall be responsible for any trench settlement which occurs within these rights-of-way within one (1) year from the time of final acceptance of the work. If paving shall require replacement because of trench settlement within this time, the Contractor at no extra cost to the Owner shall replace it. Repair of settlement damage shall meet the approval of the Owner.

3.07 INSPECTION OF LINES DURING CONSTRUCTION

- A. The Contractor shall notify the Engineer when pipe will be received on the job so that arrangements may be made for inspecting the unloading and stringing, as well as inspecting the pipe proper and examining for the stamp of the independent laboratory. In order to avoid damage to pipe, it is recommended that the pipe be delivered in bundles and kept bundled until it is needed. No pipe (or other materials or equipment) shall be stored on private property without the permission of the property owner.
- B. Before the Contractor backfills any of the lines, they shall be first inspected by the Engineer; and the Engineer shall give the Contractor permission to proceed with the backfilling. If any joints, pipes, or other workmanship or materials are found to be defective, they shall be removed and replaced by the Contractor without any extra compensation.

3.09 BITUMINOUS CONCRETE HIGHWAY, STREET AND DRIVEWAY REPLACEMENT

- A. The Contractor shall replace those sections of existing roads, streets and driveways required to be removed to install the pipelines under this contract. He shall construct same to the original lines and grades and in such manner as to leave all such surfaces in fully as good or better condition than that which existed prior to the operations.
- B. Prior to trenching, the pavement shall be scored or cut to straight edges at least twelve (12) inches outside each edge of the proposed trench to avoid unnecessary damage to the remainder of the paving. Edges of the existing pavement shall be re-cut and trimmed to square, straight edges after the pipeline has been installed and prior to placing the new base and pavement.
- C. Backfilling of the trench shall be in accordance with Method "C" as described hereinbefore. Base course for the paving shall be dense graded crushed limestone furnished and placed in accordance with the current requirements of the Standard Specifications for Road and Bridge Construction of the Department of Transportation, to a depth of six (6) inches in roads and streets and four (4) inches in driveways.

3.10 UNPAVED DRIVEWAY (CRUSHED STONE) SURFACE REPLACEMENT

- A. The Contractor shall replace those sections of existing driveways and parking areas required to be removed to install the pipelines under this contract. He shall construct same to the original lines and grades and in such manner as to leave all such surfaces in fully as good or better condition than that which existed prior to the operations.

- B. Material for backfilling of the pipeline trench shall be dense-graded aggregate in accordance with Method "C" as described hereinbefore.

3.11 REPLACEMENT OF EXISTING MAIL BOXES, CULVERTS, CLOTHES LINE POSTS, FENCES AND OTHER SUCH FACILITIES

- A. Existing mail boxes, drainage culverts, clothes line posts, fences and the like shall not be damaged or disturbed unless necessary, in which case, they shall be replaced in as good condition as found as quickly as possible. Existing materials shall be reused in replacing such facilities when materials have not been damaged by the Contractor's operations. Existing facilities damaged by Contractor's operation shall be replaced with new materials of the same type at the Contractor's expense. Work in this category is not a pay item.
- B. Replacement of paved drainage ditches within highway right-of-way shall be accomplished in accordance with Department of Transportation specifications.

3.12 RIP-RAP STREAM BANK SLOPE PROTECTION

- A. The Contractor shall install rip-rap stream bank slope protection at locations directed by the Engineer. Rip-rap slope protection shall be 12-inches thick and shall meet State D.O.T. Standard Specifications.

3.13 CLEAN UP

- A. Upon completion of installation of the piping and appurtenances, the Contractor shall remove all debris and surplus construction materials resulting from the Work. The Contractor shall grade the ground along each side of pipe trenches in a uniform and neat manner leaving the construction area in a shape as near as possible to the original ground line.

END OF SECTION

SECTION 02920 - LAWNS AND GRASSES

PART 1 - GENERAL

1.01 DESCRIPTION OF WORK

Provide all labor, materials, equipment, and services required for seeding of all disturbed areas caused by construction activities and for installation of sod where indicated on the Contract Drawings or specified herein.

1.02 RELATED DOCUMENTS

- A. Drawings and General Provisions of Contract, including General and Supplementary Conditions and Division-1 Specification sections, apply to Work of this Section.
- B. Earthwork for Utility Work: Section 02225
- C. Erosion and Sedimentation Control: Section 02371

1.03 MAINTENANCE

- A. Maintenance shall begin immediately following the last operation of installation for each portion of lawn.
- B. Lawns shall be maintained until a suitable stand of grass is established. At the end of this period an inspection will be made and any deficiencies, which may be attributable to the Contractor, will be noted in writing. Another inspection will be made at the beginning of the next planting season, and the Contractor shall repair any of the previously noted deficiencies still existing.

1.04 INSPECTION FOR ACCEPTANCE

- A. The Inspection of the Work: The inspection of the work of lawns to determine the completion of contract work exclusive of the possible replacement of plants, will be made by the Architect/Engineer upon written notice requesting such inspection submitted by the Contractor at least ten (10) days prior to the anticipated date.
- B. Acceptance: After inspection, the Contractor will be notified in writing by the Owner of acceptance of all work of this Section, exclusive of the possible replacement of plants subject to guaranty, or if there are any deficiencies of the requirements of completion of the Work.

PART 2 - PRODUCTS

Products shall be as specified in Section 02371 – Erosion and Sedimentation Control.

PART 3 – EXECUTION

Execution shall be as specified in Section 02371 – Erosion and Sedimentation Control.

END OF SECTION

DIVISION 3

SECTION 03300 - CAST-IN-PLACE CONCRETE

PART 1 - GENERAL

1.01 SCOPE OF WORK

- A. Provide all labor, materials, equipment and services required to furnish and install all cast-in-place concrete as indicated on the Drawings and specified herein.
- B. All concrete construction shall conform to all applicable requirements of ACI 301 (latest), Specifications for Structural Concrete for Buildings, except as modified by the supplemental requirements specified herein.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Earthwork for Utility Work: Section 02300

1.03 SUBMITTALS

The Contractor shall submit the following data for Engineer's review in accordance with Section 01340.

- A. Concrete mix designs, test results and curves plotted to establish water-cement ratio if ACI 301-99 Section 4.2.3.4.G is followed.
- B. Proposed mix designs and all necessary substantiating data used to establish the proposed mix designs if ACI 301-99 Section 4.2.3.1 is followed.
- C. Mix designs shall be submitted for all mixes proposed or required to be used, including all mixes containing admixtures.

1.04 QUALITY ASSURANCE

The Contractor shall obtain and have available in the field office at all times, the following references:

- A. Specifications for Structural Concrete for Buildings ACI 301 (latest Revision).
- B. Field Reference Manual: Specifications for Structural Concrete for Buildings SP-15 (89).

Available from:

The American Concrete Institute
Publications Department
P.O. Box 19150
Detroit, Michigan 48219-0150

- C. Manual of Standard Practice - CRSI. (Latest Edition).
- D. Placing Reinforcing Bars - CRSI (Latest Edition).

Available from:

PART 2 - PRODUCTS

2.01 CLASSES OF CONCRETE AND USAGE

- A. Structural concrete of the various classes required shall be proportioned by either Method 1 or Method 2 of ACI 301 to produce the following 28-day compressive strengths:
1. Selection of Proportions for Class A Concrete:
 - a. 4,000 psi compressive for strength at 28 days.
 - b. Type II cement plus dispersing agent and air.
 - c. Max. (water)/(cement and dispersing agent) ratio = 0.45.
 - d. Min. cement content = 564 lbs. (6.0 bags)/cu. yd. concrete.
 - e. Nominal max. size coarse aggregate = No. 67 (3/4" max.) or No. 57 (1" max.). Walls with architectural treatment shall use No. 67 (3/4" max.).
 - f. Air content = 6% plus or minus 1% by volume.
 - g. Slump = 3" - 4" in accordance with ASTM C 143.
 2. Selection of Proportions for Class B Concrete:
 - a. 3,000 psi compressive strength at 28 days.
 - b. Type I cement plus dispersing agent and air.
 - c. Max. (water)/(cement and dispersing agent) ratio = 0.56.
 - d. Min. cement content = 470 lbs. (5.0 bags)/cu. yd. concrete.
 - e. Nominal max. size coarse aggregate = No. 67 (3/4" max.) or No. 57 (1" max.). Walls with architectural treatment shall use No. 67 (3/4" max.).
 - f. Air content = 6% plus or minus 1% by volume.
 - g. Slump - 3" - 4" in accordance with ASTM C 143.
- B. Concrete shall be used as follows:
1. Class A concrete for all concrete work except as noted below.
 2. Class B concrete for fill concrete, thrust blocks and topping over hollow-core slabs, and where indicated on the Drawings.

- C. Type II cement conforming to ASTM C 150 shall be used in all structural concrete. The alkali content shall not exceed 0.6% calculated as sodium oxide. Cement for exposed to view concrete shall have a uniform color classification.
- D. Coarse aggregate for concrete shall be size No. 57, as specified in ASTM C33 unless a smaller size aggregate is required to conform to provisions of Section 4.2.2.3 of ACI 301. Coarse aggregate shall conform to all requirements of ASTM C33.
- E. Manufactured sand shall not be used as fine aggregate in concrete.

2.02 ADMIXTURES

- A. An air-entraining admixture shall be used on all concrete and shall be synthetic air entrainment such as that manufactured by Master Builders or approved equal. Certification attesting to the percent of effective solids and compliance of the material with ASTM C 260 shall be furnished, if requested.
- B. A water-reducing, set controlling admixture (nonlignin type) shall be used in all concrete. The admixture shall be a combination of polyhydroxylated polymers including catalysts and components to produce the required setting time based on job site conditions, specified early strength development, finishing characteristics required, and surface texture, as determined by the Engineer.
- C. Certification shall be furnished attesting that the admixture exceeds the physical requirements of ASTM C 494, Type A, water-reducing and normal setting admixture, and when required, for ASTM C 494, Type D, water-reducing and retarding admixture when used with local materials with which the subject concrete is composed.
- D. The admixture manufacturer, when requested, shall provide a qualified concrete technician employed by the manufacturer to assist in proportioning concrete for optimum use. He shall also be available, when requested, to advise on proper addition of the admixture to the concrete and on adjustment of the concrete mix proportions to meet changing job conditions.
- E. The use of admixtures to retard setting of the concrete during hot weather, to accelerate setting during cold weather, and to reduce water content without impairing workability will be permitted if the following conditions are met:

The admixture shall conform to ASTM C494, except that the durability factor for concrete containing the admixture shall be at least 100 percent of control, the water content a maximum of 90 percent of control and length change shall not be greater than control, as defined in ASTM C 494.

- F. Where the Contractor finds it impractical to employ fully the recommended procedures for hot weather concreting, the Engineer may at his discretion, require the use of a set retardant admixture for mass concrete 2.5 feet or more thick for all concrete whenever the temperature at the time concrete is cast exceeds 80°F. The admixture shall be selected by the Contractor subject to the review of the Engineer. The admixture and concrete containing the admixture shall meet all the requirements of these Specifications. Preliminary tests of this concrete shall be required at the Contractor's expense.
- G. Admixtures shall be used in concrete design mixes in the same manner and proportions as in the field so that the effects of the admixtures are included in preliminary tests submitted to the Engineer for review prior to the start of construction.

- H. When more than one (1) admixture is used, all admixtures shall be compatible. They should preferably be by the same manufacturer.
- I. Calcium chloride will not be permitted as an admixture in any concrete.

2.03 REINFORCEMENT

- A. The minimum yield strength of the reinforcement shall be 60,000 pounds per square inch. Bar reinforcement shall conform to the requirements of ASTM A 615. All bar reinforcement shall be deformed.
- B. Wire-mesh reinforcement shall be continuous between expansion joints. Laps shall be at least one full mesh plus 2 inches, staggered to avoid continuous lap in either direction, and securely wired or clipped with standard clips.
- C. Smooth dowels shall be plain steel bars conforming to ASTM A 615, Grade 60, or steel pipe conforming to ASTM A 120, Schedule 80. Pipe, if used, shall be closed flush at each end with mortar or metal or plastic cap. Dowels shall be installed at right angles to construction joints and expansion joints. Dowels shall be accurately aligned parallel to the finished surface, and shall be rigidly held in place and supported during placing of the concrete. One end of dowels shall be oiled or greased or dowels shall be coated with high-density polyethylene with a minimum thickness of 14 mils.
- D. Reinforcement supports and other accessories in contact with the forms for members which will be exposed to view in the finished work shall be of stainless steel or shall have approved high-density polyethylene tips so that the metal portion shall be at least one-quarter of an inch from the form or surface. Supports for reinforcement, when in contact with the ground or stone fill, shall be precast stone concrete blocks.
- E. Particular care shall be taken to bend tie wire ends away from exposed faces of beams, slabs and columns. In no case shall ends of tie wires project toward or touch formwork.

2.04 OTHER MATERIALS

- A. Anchorage items shall be of standard manufacture and of type required to engage with the anchors to be installed therein under other sections of the Specifications and shall be subject to approval by the Engineer.
 - 1. Slots shall be galvanized dovetail-type as specified in Section "Masonry Work".
 - 2. Inserts shall be malleable iron or steel, and of sturdy design adequate strength for the load to be carried. All inserts shall be galvanized. Adjustable wedge inserts shall have an integral loop or strap at the back, or shall be slotted to receive a special-headed bolt not smaller than 5/8-inch in diameter and of the required length and fitted with hexagonal nut. Other inserts shall be either threaded or slotted as required by their usage. Threaded inserts shall have integral lugs to prevent running.
 - 3. Concrete anchors shall be an approved expansion type conforming to Federal Specification FF-S-325, Groups I, II, III, or VIII and shall be installed in strict accordance with the manufacturer's recommendations. Material for anchors shall be as specified in Section 05500 "Miscellaneous Metals". Anchors shall develop ultimate shear and pull out loads of not less than the following values in Class A concrete:

- | | | |
|----|------------------------------------|--|
| | ASTM D 695 | 73E F. cure |
| d. | Compressive Modules
ASTM D 695 | 470,000 psi min. @
28 days, 73EF cure |
| e. | Compressive Strength
ASTM D 695 | 5,500 psi min. @
24 days 73EF cure |
| f. | Water Pick-up
ASTM D 570 | 1.5 max. |
- C. Flashing reglets shall be as specified in Section 07530. Reglets shall be correctly placed into forms prior to placing concrete in formwork.
- D. Premolded expansion-joint filler strips shall conform to ASTM D 1752 and shall be 3/8-inch thick unless otherwise shown.
- E. Joint sealants shall conform to ANSI A 116.1. The following joint sealants are acceptable:
1. Colma by Sika Chemical Corporation
 2. Hornflex by A.C. Horn, Inc.
 3. Sonolastic by Sonneborn Division of Contech, Inc.
- F. Nonshrink grout shall be Embeco 636 grout by Master Builders Company, Euco Firmix grout by the Euclid Chemical Company, or equal. The approved product shall be delivered to the site of the Work in the original sealed containers, each bearing the trade name of the material and the name of the manufacturer.
- G. Hardeners and dustproofers shall be colorless, aqueous solution of zinc or magnesium fluosilicate. Each gallon of solution used for the first application shall contain not less than one pound of crystals. Each gallon of solution used for subsequent application shall contain not less than two pounds of crystals. Materials shall be reviewed by the Engineer.
- H. Porous fill shall be crushed rock or gravel of such size that all will pass a 1-1/2 inch screen and not more than 5 percent will pass a No. 4 screen, free from earth clay or other foreign substances.
- I. Waterstops: Waterstops shall be styrene-butadiene rubber, standard (non-split) type, flat dumbbell shape (no center bulb), of size shown on Drawings, complete with fittings as required such as unions, vertical tees, vertical ells, flat crosses, flat ells, flat tees, etc. Waterstops shall be securely wired into place to maintain proper position during placement of fresh concrete, as shown on the Drawings. Care shall be taken in the installation of the waterstop and the placing of the concrete to avoid "folding" while concrete is being placed, and to prevent voids in the concrete surrounding the waterstop.

All materials, including adhesive, shall be W.R. Grave SERVICISED Construction Products; Williams Products, Inc.; Construction Gaskets, Inc.; or equal, and shall be installed in accordance with the manufacturer's recommendations.

- J. Form Liners: Form liners for construction of fluted wall treatment shall be prefabricated plastic liners as manufactured by Greenstreak Plastic Products, Interform Company, or Symons Corporation.

Liners shall be fiberglass or ABS (acrylonitrile - butadiene - styrene) of such configuration as to obtain the fluted pattern shown or indicated on the Drawings.

For purposes of designating type and quality of material required, form liners shall be pattern 361 trapezoidal liners as manufactured by Greenstreak Plastic Products.

Preparation of forming materials, sealing of joints to prevent grout leakage and form release treatment (if required) shall be in strict compliance with the manufacturer's printed instructions and recommendations.

PART 3 - EXECUTION

3.01 FINISHES

- A. Exposed to Public View Concrete Surfaces:
1. All concrete exposed to view in the completed structure shall be produced using materials and workmanship to such quality that only nominal finishing will be required. The provisions of paragraphs 6.2.2.1 and 6.3.6 of ACI 301 shall apply to all exterior exposed to public view concrete surfaces, including the outside surfaces of tanks.
 2. Forms for exposed concrete surfaces shall be exterior grade, high-density overlay plywood, steel, or wood forms with smooth tempered hard-board form-liners.
 3. Forms shall be coated with an approved release agent before initial pour and between subsequent pours, in accordance with the manufacturer's printed instructions. Form boards shall not be wet water prior to placing concrete.
 4. Recessed joints in concrete shall be formed using lacquer-coated wood battens or forms, milled to indicated profiles. Battens and corner strips shall be carefully inspected before concrete is placed and damaged pieces replaced.
 5. Chamfer strips shall be 1 inch radius with leg, polyvinyl chloride strips by Gateway Building Products, Saf-T-Grip Specialties Corp., Vinylex Corp., or equal.
 6. Particular attention is directed to the requirements of paragraphs 5.3.3.3G and 6.3.3 of ACI 301. Form panels shall be provided in the maximum sized practicable in order to minimize form joints. Wherever practicable, form joints shall occur at recessed joints. All form joints in exterior exposed to view surfaces shall be carefully caulked with an approved nonstaining caulking compound. Joints shall not be taped. Form oil or other material, which will impart a stain to the concrete, shall not be allowed to contact concrete surfaces.
 7. Care shall be taken to prevent chipping of corners or other damage to concrete when forms are removed. Exposed corners and other surfaces, which may be damaged by ensuing operations, shall be protected from damage by boxing, corner boards or other approved means until construction is completed.

8. Form ties shall remain in the walls and shall be equipped with a water seal to prevent passage of water through the walls. Minimum set back of form ties shall be 1-1/2 inches from faces of wall. The hole left by removal of tie ends shall be sealed and grouted in accordance with the procedure described hereinafter in Par. 3.01.F.
 9. All formed exposed to view concrete surfaces shall have a "smooth rubbed finish". Exterior vertical surfaces shall be rubbed to one foot below grade. Interior exposed to public view vertical surfaces of liquid containers shall be rubbed to one (1) foot below the minimum liquid level that will occur during normal operations.
- B. All vertical surfaces in liquid containing structures shall have a "smooth form" finish.
- All "smooth form" concrete vertical surfaces shall be a true plane within 1/4 inch in 10 feet as determined by a 10 foot straightedge place anywhere on the surface in any direction. Abrupt irregularities shall not exceed 1/8 inch.
- C. Basin, flume, conduit and tank floors shall have a "troweled" finish unless shown otherwise on Drawings.
 - D. Weirs and overflow surfaces shall be given a "troweled" finish.
 - E. Exterior platforms, steps and landings, shall be given a "broom" finish. "Broom" finish shall be applied to surfaces, which have been steel-troweled to an even, smooth finish. The troweled surface shall then be broomed with a fiber-bristle brush in the direction transverse to that of the main traffic.
 - F. Patching of holes due to removal of tie ends and other repairable defective areas, shall be as follows: Entire contact area of hole shall be coated with two-part moisture insensitive epoxy bonding compound as specified in Par. 2.04.B. in accordance with manufacturer's specifications, and prior to placing of freshly mixed patching mortar. Patching mortar shall be mixed and placed in general accordance with ACI Par. 5.3.7.5.
 - G. For floors and slabs in which drains occur, special care shall be exercised to slope the floors uniformly to the drains. All floors with drains shall be sloped not less than 1/8 inch per foot unless otherwise shown. In all areas where quarry tile or other materials requiring more than 1/4 inch drop are to be overlaid, the concrete base slab shall be depressed as shown to provide a finished floor at the same elevation as surrounding areas.

3.02 TESTING

- A. All testing shall be in accordance with provisions of ACI 301. Testing services listed in ACI Sections 1.6.4 shall be performed by a testing agency acceptable to the Engineer and Owner.
- B. The testing services of ACI sections 1.6.4.2 and 1.6.4.3 shall be performed at the Contractor's expense. The Contractor shall be responsible for making concrete test cylinders, storing and protecting concrete cylinders and delivering cylinders to the Owner's testing laboratory.
- C. Testing services of ACI Section 1.6.4.4 shall be paid for by the Contractor. Test shall be made for each 50 cubic yards of concrete and/or each day concrete is placed.

3.03 ADDITIONAL REQUIREMENTS

- A. Unless otherwise directed by the Engineer, the vertical surfaces of footings shall be formed. Excavations and reinforcement for all footings shall have been inspected by the Engineer before any concrete is placed.
- B. The installation of underground and embedded items shall be inspected before slabs are placed. Pipes and conduits shall be installed below the concrete unless otherwise indicated. Fill required to raise the subgrade shall be placed as specified in Section 02300 "Earthwork". Porous fill not less than 6 inches in compacted thickness shall be installed under all slabs, tank bottoms, and foundations. The fill shall be leveled and uniformly compacted to a reasonably true and even surface. The surfaces shall be clean, free from frost, ice, mud and water. Waterproof paper, polyethylene sheeting of nominal 4-mil minimum thickness, or polyethylene-coated burlap shall be laid over all surfaces receiving concrete.
- C. Concrete shall be placed in layers not over 18 inches deep and each layer shall be compacted by mechanical internal-vibrating equipment supplemented by hand spading, rodding and tamping as directed. Vibrators shall not be inserted into lower courses that have begun to set.
- D. Concrete that is truck mixed or transported in truck mixers or truck agitators shall be delivered to the site of the work and discharge completed in the forms within the time specified in Paragraph 10.7 of ASTM C 94 except that when the concrete temperature exceeds 85°F., the time shall be reduced to 45 minutes. Transit-mixed concrete that is completely mixed at the site of concrete placement or batched cement and aggregates transported to mixers shall be placed in the forms within 1-1/2 hours after cement has been added. Concrete shall be placed in the forms within 15 minutes after discharge from the mixer at the job site.
- E. If concrete is placed by pumping, no aluminum shall be used in any parts of the pumping system, which contact or might contaminate the concrete. Aluminum chutes and conveyors shall not be used.
- F. All concrete surfaces not in contact with forms shall be moist cured by the application of absorptive mats or double thickness of fabric kept continuously wet. Forms shall be kept continuously wet. Use of other curing methods will not be permitted unless written authorization is received from the Engineer.
- G. The unit of operation shall not exceed 30 feet for tank walls and walls exposed to weather, and 45 feet for other work in any horizontal direction and not less than 48 hours shall elapse between casting of adjoining units unless these requirements are waived by the Engineer. Provision shall be made for jointing successive units as indicated or required to be made at spacing of approximately 25 feet. Additional construction joints required to satisfy the 25 foot spacing shall be located by the Contractor subject to the review of the Engineer. The Contractor shall submit for review drawings separate from the steel reinforcing drawings, showing the location of all proposed construction joints. All construction joints shall be prepared for bonding by roughening the surface of the concrete in an acceptable manner which will expose the aggregate uniformly and will not leave laitance, loosened particles of aggregate or damaged concrete at the surface. Joints in walls and columns shall be maintained level. Concrete shall be placed in layers not over 18 inches deep and each layer shall be compacted by mechanical internal-vibrating equipment supplemented by hand spading, rodding and tamping as directed. Vibrators shall not be inserted into lower courses that have begun to set.

- H. Formwork for beam soffits and slabs and other parts that support the weight of concrete, shall remain in place until the concrete has reached its specified 28-day strength, unless otherwise specified or permitted.
- I. Concrete Walks and Curbs:
1. Subgrade shall be true and well compacted at the required grades. Spongy and otherwise unsuitable material shall have been removed and replaced with approved material. Concrete walks shall be placed upon porous fill covered with waterproof paper, polyethylene sheeting of nominal 4-mil minimum thickness or polyethylene-coated burlap.
 2. Concrete walks shall be not less than 4 inches in thickness. Walks shall have contraction joints every 5 linear feet in each groove in the top surface of the slab to a depth of at least one-fourth the slab thickness with a jointing tool. Transverse expansion joints shall be installed at all returns, driveways, and opposite expansion joints in adjacent curbs. Where curbs are not adjacent, transverse expansion joints shall be installed at intervals of approximately forty (40) feet. Sidewalks shall receive a "broomed" finish. Scoring shall be in a transverse direction. Edges of the sidewalks and joints shall be edged with a tool having a radius not greater than 1/6 inch. Sidewalks adjacent to curbs shall have a slope of 1/4 inch per foot toward the curb. Sidewalks not adjacent to curbs shall have a slope of 1/4 inch per foot. The surface of the concrete shall show no variation in cross section in excess of 1/4 inch in 5 feet. Concrete walks shall be reinforced with 66-1010 welded wire fabric.
 3. Concrete curbs shall be constructed to the section indicated on the Standard Detail, and all horizontal and vertical curves shall be incorporated as indicated or required. Forms shall be steel as approved by the Engineer. At the option of the Contractor, the curbs may be precast or cast-in-place. Cast-in-place curbs shall be divided into sections 8 to 10 feet in length using steel divider plates. The divider plates shall extend completely through the concrete and shall be removed. Precast curbs shall be cast in lengths of 4 to 5 feet. All exposed surfaces of concrete shall be finished smooth. All sharp edges and the edges of joints and divisions shall be tooled to 1/4 inch radius. Steel reinforcement shall be installed where the curb crosses pipe trenches or other insecure foundations. Such reinforcement shall consist of two (2) No. 4 deformed bars near the bottom of the curb and shall extend at least 24 inches beyond the insecure area. Transverse expansion joints shall be installed at all curb returns and at intervals of approximately 40 feet.
- J. Column base plates, bearing plates for beams and similar structural members, machinery and equipment bases shall, after being plumbed and properly positioned, be provided with full bearing with nonshrink grout. Concrete surfaces shall be rough, clean, free of oil, grease, and laitance and shall be moistened thoroughly immediately before grout is placed. Metal surfaces shall be clean and free of oil, grease and rust. Mixing and placing shall be in conformance with the material manufacturer's printed instructions. After the grout has set, exposed surfaces shall be cut back 1 inch and covered with a parge coat of mortar consisting of 1 part Portland cement, 2 parts sand and sufficient water to make the mixture place able. Parge coat shall have a smooth dense finish. Exposed surfaces of grout and parge coat shall be water cured with wet burlap for 7 days.
- K. Grout fill, which is formed in place by using rotating equipment as a screen, such as clarifiers and similar types of equipment, shall be mixed in proportions and consistencies as required by the manufacturer or supplier of the equipment.

- L. Water tightness:
1. The structures, which are intended to contain liquids and/or will be subjected to exterior hydrostatic pressures shall be so constructed that, when completed and tested, there shall be no loss of water and no wet spots shall show.
 2. As soon as practicable, after the completion of the structures, the Contractor shall fill them with water and if leakages develop or wet spots show, the Contractor shall empty such structures and correct the leakage in an approved manner. Any cracks, which appear in the concrete, shall be dug out and suitably repaired. Temporary bulkheads over pipe openings in walls shall be provided as required for the testing.
 3. After repairs, if any are required, the structures shall be tested again and further repaired if necessary until satisfactory results are obtained. All work in connection with these tests and repairs shall be at the expense of the Contractor.
 4. Waterstops shall be placed in other locations as indicated on the Drawings and as may be required to assure the water tightness of all containers of liquids. Special shop fabricated ells, tees and crosses shall be provided at junctions. Waterstops shall be extended at least 6 inches beyond end of placement in order to provide splice length for subsequent placement. In slabs and tank bottoms, water stops shall be turned up to be made continuous with waterstops at bottom of walls or in walls.
 5. Joints between pipe (except cast iron wall pipe) and cast-in-place concrete walls shall be sealed by means of a groove cast completely around the pipe; the groove shall be filled with a quick setting hydraulic compound similar and equal to Waterplug as made by Standard Dry Wall Products, Inc., mixed and applied in accordance with the manufacturer's instructions.
- M. Unless otherwise shown or directed, all pumps, other equipment, and items such as lockers, motor control centers and the like, shall be installed on concrete bases. The bases shall be constructed to the dimensions shown on the plans or as required to meet plan elevations. Where no specific plan elevations are required, the bases shall be 6 inches thick and shall extend 3 inches outside the metal equipment base. In general, the concrete bases shall be placed up to 1-inch below the metal base. The equipment shall then be properly shimmed to grade and the 1-inch void filled with nonshrink grout. Prior to the final set of the grout it shall be cut back and the edge plastered with 1:2 cement mortar.
- N. Concrete which, in the opinion of the Architect-Engineer, has excessive honeycomb, aggregate pockets or depressions will be rejected and the Contractor shall, at his own expense, remove the entire section containing such defects and replace it with acceptable concrete.
- O. Manhole or access steps shall be plastic, constructed of copolymer polypropylene meeting the requirements of ASTM D 2146 for Type II, Grade 16906 material. Step shall be reinforced with ASTM A 615, Grade 60, #4 deformed steel reinforcing bar, be 9" deep, 14" wide, provided with notched tread ridge, foot retainer lugs on each side of tread and penetration stops for press fit installation. Plastic steps shall be PS2-PF as manufactured by M.A. industries, Inc., Peachtree City, Georgia. Steps shall be installed by drilling 1" diameter holes, minimum 3-3/4 inches deep into the wall, and then driving steps into hole to the penetration stop, resulting in a press fit condition.
- P. Tank pressure relief valves shall be 6" diameter Neenah Foundry Company R-5001-1, American Valve & Hydrant B315.1, or equal, floor type, with outside hooks or inside self-contained lock; quantity and spacing as shown on structural drawings. No part of

pressure relief valves shall project above the neat line of the tank floor to prevent fouling of scraper mechanisms where used.

- Q. All existing contact surfaces with new patch shall be coated with moisture insensitive epoxy bonding adhesive, Sikadur Hi-Mod, Sonobond, or equal. Patch shall consist of base pour of 4,000 psi structural concrete, then a topping of non-shrink natural aggregate grout, Master Builders Masterflow 713, SonogROUT, or equal, mixed and placed in accordance with manufacturer's instructions, to the thicknesses shown on Drawings. Coat base pour with epoxy bonding adhesive prior to placing grout course.

END OF SECTION

SECTION 03600-PRECISION GROUTING

PART 1 - GENERAL

1.01 SCOPE OF WORK

- A. Provide all labor, material, equipment and services required for grouting of equipment, machinery, structural steel, handrails, anchor bolts and other items or work for which grouting is specified or required.
- B. The object of these Specifications is to obtain grout which can be mixed to a flowable consistency (i.e., thinner than plastic consistency), placed in leak proof forms, with a minimum of strapping, without bleed water exceeding Specification requirements. The requirement of 24 hour presoak of existing concrete is of prime importance and must be adhered to. Trade name of grout shall be submitted to Engineer for review well in advance of preparation for grouting.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Cast-in-place Concrete is included in Section 03300.
- B. Review all divisions and sections for equipment, machinery, and other items to be grouted.

1.03 DESCRIPTION OF WORK

- A. High strength, precision support of machine bases and soleplates, setting anchor bolts, including equipment subject to thermal movement and repetitive dynamic loading.
- B. Work includes providing a non-shrink, ready-to-use, fluid precision grout material; proportioned, pre-mixed and packaged at the factory; delivered to the job-site to place with only the addition of water; forming, placing and curing as specified in this section.

1.04 QUALITY ASSURANCE

Comply with the following codes, standards, tests and recommended practices for foundation concrete as applies to precision grouting.

- A. ACI 304R-85 "Guide for Measuring, Mixing, Transporting and Placing Concrete."
- B. ACI 305R-77 (Revised 1982) "Recommended Practice for Hot Weather Concreting."
- C. ACI 306R-78 (Revised 1983) "Recommended Practice for Cold Weather Concreting."
- D. ACI 347-78 "Recommended Practice for Concrete Formwork."
- E. ASTM C 309-74 "Standard Specifications for Liquid Membrane Forming Compounds for Curing Concrete."
- F. Manufacturer's Information Use of Grout: Attached to each bag of grout.
- G. Corps of Engineers CRD C-79 Method of Test for Flow of Grout Mixtures (Flow-Cone method).

- H. ASTM C 109-73 "Tentative Method of Test for Compressive Strength of Hydraulic Cement Mortars."

1.05 SUBMITTALS

- A. Purchase Orders: Furnish copies of purchase orders relating to materials in this Section to the Engineer prior to delivery.

PART 2 - PRODUCTS

2.01 GROUT

- A. Precision-support grout shall consist of a cementitious system, special graded and processed ferrous metallic internal reinforcing aggregate, carefully graded natural fine aggregate and additional technical components.
- B. Grouts which depend upon aluminum powders, chemicals or other agents which produce gas for expansion are not acceptable.
 - 1. Free of gas producing agents.
 - 2. Free of oxidizing catalyts.
 - 3. Free of inorganic accelerators, including chlorides.
- C. Provide Performance Characteristics when mixed to fluid consistency, 25 to 30 seconds (Flow Cone Method CRD C-79), as follows:
 - 1. No visible bleeding and/or settlement up to 2 hours on 1/4 to 2 gal. grout poured into gallon can, covered with glass plate to prevent evaporation. Grout shall meet the requirements of Paragraph 4.1 of Corps of Engineers CRD C 588-76.
 - 2. Maintain firm, full contact with underside of 4'x 4' x 2" steel plate firmly bolted to supports at quarter points at 1, 7 and 14 days, evidenced by tapping of plate and visual observation after stripping. Grout shall be cured in accordance with manufacturer's printed instructions.
 - 3. Provide strengths as specified in Paragraph 3.05 (2" x 2" cubes). Prepare specimens and test in accordance with ASTM C 109-73.

2.02 MEMBRANE CURING COMPOUND

Membrane forming curing compound shall be in accordance with ASTM C 309-74.

2.03 WATER

Water shall be suitable for drinking.

PART 3 - EXECUTION

3.01 PREPARATION FOR GROUTING

- A. Remove laitance down to sound concrete.

- B. Surface to receive grout shall be rough and reasonably level.
- C. Surface shall be properly wet cured. DO NOT USE CURING COMPOUNDS. (See Section 03300).
- D. Clean surface of oil, grease, dirt, and loose particles.
- E. Clean bolt holes, bolts and underside of bed plate.
- F. Saturate concrete including bolt holes for 24 hours prior to grouting. Blow out excess water with oil free compressed air, or siphon prior to grouting.

3.02 FORMWORK

Formwork shall be compatible with proposed method of placing grout. Design for rapid, continuous and complete filling of space to be grouted.

- A. Build strong, tight forms braced so they will not leak or buckle under weight of fluid grout. On placing side, slant form at 45° angle and pour grout directly on slanted face. On other sides, place form 2" or more from base of bed plate and 1" or more higher than underside of the plate.
- B. Caulk forms with grouting material being used on inside or a sand-cement mortar outside to prevent leakage and loss of "head." Use expanded polystyrene or other means to caulk between foundation and portions of the bed plate and equipment to seal off areas where grout is not desired.

3.03 PREPARATION OF GROUT

Preparation of grout shall be in paddle-type mortar mixer suitable mechanical mixer. DO NOT MIX BY HAND.

- A. Mix grout adjacent to area being grouted, have sufficient manpower and equipment available for rapid and continuous mixing and placing. DO NOT ADD CEMENT, SAND OR PEA GRAVEL ADDITIVES.
- B. Avoid a consistency that produces bleeding. Mix materials for a minimum of 3 minutes and place immediately. DO NOT RETEMPER. DO NOT USE MIXING WATER ABOVE 80°F. (27°C.).

3.04 PLACING

Placing of grout shall be at a temperature of 65-75 degrees F. (18-24 degrees C.) for foundation, bed plate and grout material. Maintain for 24 hours following installation, hereafter above 40 degrees F. (4 degrees C.) until strength exceeds 4,000 psi (280 kg/cm².) DO NOT USE COKE-FIRED SALAMANDERS.

- A. Place grout quickly and continuously; avoid surface of overworking material and segregation. DO NOT VIBRATE GROUT. DO NOT OVERWORK GROUT.
- B. Field service representative of the manufacturer shall be available during initial planning for installation to suggest recommended procedures and at start of placement for further suggestions.

1. A minimum of three (3) days notice shall be given by the Contractor to the manufacturer prior to use of the product.

3.05 FINISHING AND CURING

Follow manufacturer's printed instructions for the brand and type of grout being used.

- A. The grout shall meet the following strengths:

	<u>Plastic Mix</u>	<u>Flowable Mix</u>
1-day	4,000 psi	2,000 psi
3-days	6,000 psi	3,000 psi
7-days	8,000 psi	5,000 psi
28-days	10,000 psi	7,000 psi

END OF SECTION

DIVISION 5

SECTION 05500 - MISCELLANEOUS METALWORK

PART 1 - GENERAL

1.01 THE REQUIREMENT

- A. The Contractor shall provide miscellaneous metalwork and appurtenances, complete and in place, in accordance with the Contract Documents.

1.02 REFERENCE SPECIFICATIONS, CODES, AND STANDARDS

A. Federal Specifications

MIL-G-18015 A (3)	(Ships) Aluminum Planks. (6063-T6)
MIL-A-907E	Antiseize Thread Compound, High Temperature

B. Commercial Standards

AA-M32C22A41	Aluminum Assn.
AASHTO HS-20	Truck Loading
AISC	Manual of Steel Construction
AISI	Design of Light Gauge, Cold-Formed Steel Structural Members
ASTM A 36	Carbon Structural Steel
ASTM A 48	Gray Iron Castings
	ASTM A 53 Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A 123	Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A 153	Zinc Coating (Hot-Dip) on Iron and Steel Hardware
	ASTM A 193 Alloy Steel and Stainless Steel Bolting Materials for High Temperature Service
	ASTM A 194 Carbon and Alloy Steel Nuts for Bolts for High Pressure and High Temperature Service
ASTM A 307	Carbon Steel Bolts and Studs, 60,000 psi Tensile Strength
	ASTM A 325 Structural Bolts, Steel, Heat Treated, 120/105 ksi Minimum Tensile Strength
	ASTM A 500 Cold-Formed Welded and Seamless Carbon Steel Structural Tubing in Rounds and Shapes
ASTM A 992	Steel for Structural Shapes for Use in Building Framing
ANSI/AWS D1.1	Structural Welding Code - Steel
ANSI/AWS D1.2	Structural Welding Code - Aluminum
ANSI/AWS QC1	Qualification and Certification of Welding Inspectors

1.03 CONTRACTOR SUBMITTALS

- A. Shop Drawings: Shop Drawings of all miscellaneous metalwork shall be submitted in accordance with Section 01340 – Submittals.

1.04 QUALITY ASSURANCE

- A. All weld procedures and welder qualifications shall be available in the Contractor's field office for review.
- B. All welding shall be inspected by a Contractor-furnished inspector qualified in accordance with AWS requirements and approved by the Engineer.

PART 2 - PRODUCTS

2.01 GENERAL REQUIREMENTS

- A. Steel

Wide Flange Shapes	ASTM A 992
Shapes, Plates, Bars	ASTM A 36
Pipe, Pipe Columns, Bollards	ASTM A 53, Type E or S, Grade B standard weight unless noted otherwise
HSS	ASTM A 500 Grade B

- B. Corrosion Protection: Unless otherwise indicated, fabricated steel metalwork which will be used in a corrosive environment and/or will be submerged in water/wastewater shall be coated in accordance with Section 09961 – High Performance Paint and Coating and shall not be galvanized prior to coating. All other miscellaneous steel metalwork shall be hot-dip galvanized after fabrication.
- C. Stainless Steel: Unless otherwise indicated, stainless steel metalwork and bolts shall be of Type 316 stainless steel.
- D. Aluminum: Unless otherwise indicated, aluminum metalwork shall be of Alloy 6061-T6. Aluminum in contact with concrete, masonry, wood, porous materials, or dissimilar metals shall have contact surfaces coated to prevent corrosion.
- E. Cast Iron: Unless otherwise indicated, iron castings shall conform to the requirements of ASTM A 48, Class 50B or better.

2.02 BOLTS AND ANCHORS

- A. Unless otherwise indicated, all bolts and anchors shall be stainless steel. Stainless steel bolts, anchor bolts, nuts, and washers shall be Type 316 stainless steel, Class 2, conforming to ASTM A 193 for bolts and to ASTM A 194 for nuts. All threads on stainless steel bolts shall be protected with an antiseize lubricant suitable for submerged stainless steel bolts, to meet government specification MIL-A-907E. Buried bolts in poorly drained soil shall be coated the same as the buried pipe.
 - 1. Antiseize lubricant shall be classified as acceptable for potable water use by the NSF.

2. Antiseize lubricant shall be "PURE WHITE" by Anti-Seize Technology, Franklin Park, IL, 60131, AS-470 by Dixon Ticonderoga Company, Lakehurst, NJ, 08733, or equal.
- B. Bolt Requirements
1. The bolt and nut material shall be free-cutting steel.
 2. The nuts shall be capable of developing the full strength of the bolts. Threads shall be Coarse Thread Series conforming to the requirements of the American Standard for Screw Threads. All bolts and cap screws shall have hexagon heads and nuts shall be Heavy Hexagon Series.
 3. Bolts and nuts shall be installed with washers fabricated of material matching the base material of bolts, except that hardened washers for high strength bolts shall conform to the requirements of the AISC Specification. Lock washers fabricated of material matching the bolts shall be installed where indicated. Double nuts shall be provided on all inaccessible locations and areas subject to vibrations.
 4. The length of each bolt shall be such that after the joint is made up, the bolt extends through the entire nut, but in no case more than 1/2-inch beyond the nut.
- C. Adhesive Anchors: Unless otherwise indicated, all drilled, concrete or masonry anchors shall be adhesive anchors. No substitutions will be considered unless accompanied with ICBO report verifying strength and material equivalency.
1. Epoxy adhesive anchors are required for drilled anchors for indoor installations, in submerged, wet, splash, overhead, and corrosive conditions, and for anchoring handrails and reinforcing bars. Threaded rod shall be stainless steel Type 316 for corrosive applications. Epoxy anchors shall not be permitted in areas where the concrete temperature is in excess of 100 degrees F or higher than the limiting temperature recommended by the manufacturer, whichever is lower. Epoxy anchors shall not be used when anchors are subject to vibration or fire. Embedment depth shall be as the manufacturer recommends for the load to be supported.
 2. Unless otherwise indicated, glass capsule, polyester resin adhesive anchors will be permitted in locations not included above and shall be Hilti HVA or Cobra Anchors. Threaded rod shall be stainless steel.
- D. Expanding-Type Anchors: Expanding-type anchors if indicated or permitted, shall be stainless steel expansion type ITW Ramset/Redhead "Trubolt" anchors; McCullock Industries "Kwick-Bolt;" or equal. Lead caulking anchors will not be permitted. Size shall be as indicated. Embedment depth shall be as the manufacturer recommends for the load to be supported.
- E. Non-Shrink Grouted Anchors: Anchors, if indicated or permitted, shall be grouted with a non-shrink cementitious grout in accordance with the manufacturer's recommendation. Embedment depth shall be as the manufacturer recommends for the load to be supported. Non-shrink grout material shall be Class B or C in accordance with Section 03600 – Precision Grouting.

PART 3 - EXECUTION

3.01 FABRICATION AND INSTALLATION REQUIREMENTS

- A. Fabrication and Erection: Except as otherwise indicated, the fabrication and erection of structural steel shall conform to the requirements of the American Institute of Steel Construction "Manual of Steel Construction."
- B. Aluminum Railings: Aluminum railing fabrication and installation shall be performed by craftsmen experienced in the fabrication of architectural metalwork. Exposed surfaces shall be free from defects or other surface blemishes. Dimensions and conditions shall be verified in the field. All joints, junctions, miters and butting sections shall be precision fitted with no gaps occurring between sections, and with all surfaces flush and aligned. Electrolysis protection of materials shall be provided.

3.02 WELDING

- A. Method: Welding shall be by the metal-arc method or gas-shielded arc method as described in the American Welding Society's "Welding Handbook" as supplemented by other pertinent standards of the AWS. Qualification of welders shall be in accordance with the AWS Standards governing same.
- B. Quality: In assembly and during welding, the component parts shall be adequately clamped, supported, and restrained to minimize distortion and for control of dimensions. Weld reinforcement shall be as indicated by the AWS Code. Upon completion of welding, weld splatter, flux, slag, and burrs left by attachments shall be removed. Welds shall be repaired to produce a workmanlike appearance, with uniform weld contours and dimensions. Ground all sharp corners of material which is to be painted or coated to a minimum of 1/32-inch on the flat.

3.03 GALVANIZING

- A. Structural steel plates shapes, bars, and fabricated assemblies required to be galvanized shall, after the steel has been thoroughly cleaned of rust and scale, be galvanized in accordance with the requirements of ASTM A 123. Any galvanized part that becomes warped during the galvanizing operation shall be straightened. Bolts, anchor bolts, nuts and similar threaded fasteners, after being properly cleaned, shall be galvanized in accordance with the requirements of ASTM A 153.
- B. Field repairs to damaged galvanizing shall be made by preparing the surface and applying a coating.
 - 1. Surface preparation shall consist of removing oil, grease, soil, and soluble material by cleaning with water and detergent (SSPC SP1) followed by brush off blast cleaning (SSPC SP7), over an area extending at least 4-inches in all directions into the undamaged area.
 - 2. Coating shall be applied to at least 3 mils dry film thickness. Use Galvax by Alvin Products, or ZRC by ZRC Worldwide.

3.04 DRILLED ANCHORS

- A. Drilled anchors and reinforcing bars shall be installed in strict accordance with the manufacturer's instructions. Holes shall be roughened with a brush on a power drill, cleaned and dry. Drilled anchors shall not be installed until the concrete has reached the required 28-day compressive strength. Adhesive anchors shall not be loaded until the adhesive has reached its indicated strength in accordance with the manufacturer's instructions.

END OF SECTION

DIVISION 11

SECTION 11296 - TRANSIT TIME ULTRASONIC FLOW METER

PART 1 - GENERAL

1.01 SCOPE

- A. This section describes the requirements for the ultrasonic flow measurement transmitter plus transducers for installation on an existing 10" PVC potable water pipe.
- B. Under this item, the Contractor shall furnish and install the flow measurement equipment and accessories as indicated on the Drawings and as herein specified.

1.02 SUBMITTALS

- A. The following information shall be included in the submittal for this section:
 - 1. Data sheets and catalog literature for microprocessor-based transmitter and transducer.
 - 2. Interconnection and dimensional drawings.
- B. The Contractor shall comply with the requirements of Section 01340 of these specifications.
- C. One paper copy and one electronic (disk) copy of the O & M manual(s) shall be provided referencing the specific model numbers of the equipment items actually furnished.

PART 2 - PRODUCTS

2.01 TRANSIT TIME ULTRASONIC FLOW METERS

- A. The transit time ultrasonic flow measurement system shall be a microprocessor based transit time (time of flight) measuring type providing an electronic output signal proportional to the flow of liquid in closed piping systems as may be required. It shall consist of a transmitter and one transducer set connected by up to 990 feet [300 meters] of cable. A minimum of twenty (20) feet of cable shall be furnished with each meter. The flow metering system shall be capable of measuring flow in both directions indicating the direction of flow with a net totalized flow.
- B. Transducer:
 - 1. Operating principle: Two ultrasonic transducers function as both transmitters and receivers. Flow measurement is made by transmitting acoustic impulses alternately between two ultrasonic transducers positioned lineally, a known distance apart, on the outside pipe wall of a closed conduit. Pulse travel time between the two transducers is measured and the difference time calculated – contrapropagation. Difference time is directly proportional to fluid velocity, with a system Reynolds Numbers correction factor applied.
 - 2. Primary Sensor: The compression-mode acoustic transducer shall contain a polarized Zirconium crystal with impedance matched wave-guide.
 - a. Universal transducers shall operate on pipe sizes ranging from 2" through 100".

- b. Transducer housing shall be CPVC or Ultem.
- c. Electrical connections shall be 1/2" NPT.
- d. Standard operating temperature shall be -40° to 250° F.
- e. Suitable for immersion conditions up to 199'.

C. Transmitter

- 1. Enclosure shall be NEMA 4X polycarbonate or SS suitable for mounting in the outdoor enclosure shown on the Drawings.
- 2. Power supply shall be 115 VAC +/- 15% @ 50/60 Hz. Contractor shall connect flow meter to power supplies as called for on the Drawings via a continuous UPS battery backup system to isolate the flow meter from electrical surges, etc. UPS system shall be industrial grade, sized to operate the flow metering equipment for a minimum of 8 hours (minimum 850 VA). UPS system shall be SOLA SDU-850 or approved equal.
- 3. Power consumption shall be 5 VA.
- 4. Operating temperature shall be -40° to 185° F.
- 5. Input/output options: The transmitter shall accept up to two output options to be installed as listed below. Input/output options shall be field installable and replaceable. Outputs shall be powered internally.
 - a. 4-20ma into 800 ohms; jumper configurations for internal or external power
 - b. 2 form C, SPDT multi-purpose relays rated 0.5 A /200 VAC non-inductive
- 6. Control and Programming

All parameters and commands shall be entered via an integral keypad that allows field configuration without the use of a computer.
- 7. Transmitter shall output a digitally synthesized waveform from a discrete, field uploadable file.
- 8. Measurements shall be made by measuring differential time of contrapropogating waveforms using cross-correlation of data sets. Automatic Reynolds Number corrections are applied.
- 9. Data collection shall be 20 MHz to 40 MHz.

D. Transmitter and Transducer Performance

- 1. Measuring range -40 to +40 FPS.
- 2. Accuracy shall be ± 1 % of reading or ±0.01 FPS, whichever is greater.
- 3. Sensitivity is 0.001 FPS.

4. Maximum separation between transmitter and transducer shall be 990 feet
- E. Indication
1. Optional display shall be two lines: 8-digit LCD flow indicator with .7" high numerical values and 8-digit LCD totalizer with 0.35" high alpha numeric values. Display is backlighted with white LEDs.
 2. Indicators for Run and Program modes and Relay 1 and Relay 2 status

F. Accessories and Startup

Equipment shall be furnished with an infrared serial adaptor, a USB to DB-9 serial communications converter and Ultralink software. A minimum of 1 day startup services shall be provided which shall include operator instruction and training.

G. Equipment

The transit time ultrasonic flow meter shall be a Dynasonics Series DTFXB-ZN-AKNN-FN-ACCL transmitter and Dynasonics Series DTTN 020-A020-N-ACCL transducer (suitable for immersion conditions) to match existing flow metering equipment. Cable length furnished shall be of sufficient length for each application and may vary from the minimum length called for in the model number above. The information for the equipment specified was from Automatic Controls Company, Louisville, KY, telephone 502-266-6640.

2.02 PANEL ENCLOSURES

Panel enclosures as shown on the Drawings shall be NEMA 4X 316 SS suitable for outdoor service and of sufficient size for the equipment furnished (minimum of 24" x 24"), including the duplex GFCI receptacle, flow transmitter and UPS battery backup system (UPS system for flow meter operation only). Power for sump pump shall be separate from the UPS system. Required components, such as circuit breakers, terminal blocks, etc., shall be Allen Bradley, or approved equal. An Autocad installation drawing shall be furnished with the system. The electrical design shall comply with NFPA 70 National Electrical Code and wiring per JIC Standards.

PART 3 - OPERATOR FUNCTIONS

3.01 Calibration

- A. Flow meter calibration data shall be entered via an integral keypad. No additional equipment shall be required.
- B. Internal self-diagnostics shall be available to assist in installation and maintenance of the flow meter.

3.02 Transmitter Function Details

The following functions shall be provided:

- A. The flow meter shall output, via infrared communications, flow rate, positive, negative and net flow accumulations and diagnostic data.
- B. A local display shall display flow rate (with direction) and total net accumulated flows.

- C. The transducers shall be mounted at the measuring site and shall be installed in accordance with the manufacturer's recommendations.
- D. The transducers shall transmit and receive acoustic signals to accurately measure liquid flow.
- E. Operational range shall be adjustable by entering new data via optional keypad.
- F. If equipped with an optional output module, the flow meter shall be capable of zero to full scale output simulation to assure proper operation with regards to flow charts or pump control parameters.
- H. There shall be no internal potentiometers or switches used in programming or adjusting the transmitter.
- I. The power to operate the transducers shall come solely from the transmitter over the signal interconnection cable.
- J. If the flow meter is equipped with dual alarm relays it shall be programmable for rate of flow, batch/total accumulation, loss of signal strength or system error.
- K. The flow meter shall have a FLASH memory and shall not require a battery to ensure protection of stored data.
- L. Flow meter shall provide automatic Reynolds Number and speed of sound compensation.

PART 4 - EXECUTION

4.01 Installation

- A. Follow manufacturer's recommendation upstream and downstream straight pipe diameters and transducer orientation.
- B. Enter pipe and liquid configuration information into the flow meter. The flow meter will calculate transducer separation from the data entered.
- C. Mount the transducers onto the pipe at the calculated separation distance. All accessories and supplies shall be furnished for a complete and operable installation.
- D. Additional cable for the transducers shall be RG59 coaxial. All connections shall be 75 ohm.

PART 5 - WARRANTY

The Contractor of the above specified equipment shall Warranty the installation for twelve (12) months from date of startup and acceptance of that the equipment. In the event a component fails to perform as specified or is proven defective in service during the warranty period, the Contractor/manufacturer shall promptly repair or replace the defective part at no cost to the Owner.

END OF SECTION

SECTION 11800 –AIR COMPRESSOR EQUIPMENT

PART 1 - GENERAL

1.01 SCOPE OF WORK

- A. Furnish all labor, materials, equipment, and services for manufacturing, assembling, delivering, installing, testing, and placing in service one (1) rotary screw air compressor system with receiver tank, controls, valves and appurtenances to make the system complete.
- B. Piping and valves shall be as specified in other Sections as listed hereinafter.

1.02 RELATED WORK SPECIFIED ELSEWHERE

- A. Submittals: Section 01340
- B. Air Piping: Section 02520
- D. Valves: Section 2515

1.03 SUBMITTALS

- A. Descriptive literature, catalog cuts, and dimensional prints clearly indicating all dimensions and materials of construction, shall be submitted on all items specified herein to the Engineer for review before ordering. Comply with provisions of Section 01340.
- B. At the time of submission, the Contractor shall, in writing, call Engineer's attention to any deviations that the submittals may have from the requirements of the Engineer's Contract Drawings and Specifications.

1.04 MANUFACTURER

- A. The Manufacturer shall have designed equipment for a minimum of five similar installations that have demonstrated reliable performance for at least one full year of service. The compressor system shall be as manufactured by Ingersoll Rand Industrial Technologies, or approved equal.

PART 2 - PRODUCTS

2.01 COMPRESSED AIR SYSTEM

- A. The Compressed Air System shall consist of one Rotary Screw air compressor with integral clean air filters (air drying not required), one 120 gallon air receiver with required accessories and condensate drain; process air valves, pressure regulators and instruments, along with the required additional valves, instrumentation and controls for a full operation of the system. The compressor and associated devices, controls, etc., shall be packaged inside of an integral weatherproof, sound attenuating NEMA 4 enclosure suitable for outdoor installation and service. The outdoor module option and frost protection option shall be included.
- B. The compressor shall be Model UP6 25 125 as manufactured by Ingersoll Rand, or equal, with rated FAD of 102 cfm at 125 psig. The compressor shall be maximum 25

HP, TEFC electric motor powered. All electrical components, including solid state soft starter, and Model Xe controller shall be included with package for local control of the compressor. An air-cooled sequential cooling system shall be integral to the package to insure proper cooling during operation. Automatic pressure regulation shall be included to protect compressor since backpressure on system will be minimal when the air piping valve for the scouring system is opened.

- C. Components of the compressed air system shall be fabricated, assembled, erected, and placed in proper operating condition in full conformity with the specifications, engineering data, instructions, and recommendations of the equipment manufacturer. Components of the compressed air equipment system shall be the latest standard products of manufacturers regularly engaged in the production of equipment of this type.
- D. All components of the compressed air system, including motors and enclosures, shall be shop-primed and finish painted to manufacturer's standards prior to shipment to the site, with the following exceptions: stainless steel, nonferrous, nonmetallic surfaces are not required to be painted; the air receiver shall be furnished with the external surface painted with polyurethane paint.
- E. The compressed air system shall be suitable for supply of air used in the air scour system for the existing raw water intake screen as shown on the Drawings.
- F. Two spare air filter elements shall be provided. Sufficient quantity of advanced synthetic, biodegradable compressor coolant shall be supplied for the initial installation and one coolant change.
- F. Operating voltage of compressor shall be 460 volt, 3 phase, 60 Hz.

PART 3 - EXECUTION

3.01 PREPARATION

- A. Coordinate with other trades, equipment and systems to the fullest extent possible.
- B. Take all necessary measurements in the field to determine the exact dimensions for all work and the required sizes of all equipment under this contract. All pertinent data and dimensions shall be verified by the Contractor.

3.02 INSTALLATION

- A. Installation shall be in strict accordance with the manufacturer's instructions and recommendations in the locations shown on the Drawings. Anchor bolts shall be set in accordance with the manufacturer's recommendations and setting plans.

END OF SECTION

APPENDIX A

COE PERMIT



DEPARTMENT OF THE ARMY
U.S. ARMY ENGINEER DISTRICT, LOUISVILLE
CORPS OF ENGINEERS
P.O. BOX 59
LOUISVILLE KY 40201-0059
FAX: (502) 315-6677
<http://www.lrl.usace.army.mil/>

October 10, 2013

Operations Division
Regulatory Branch (South)
ID No. LRL-2012-297-pjl

Mr. Nelson Sanders
Edmonson County Water District
P.O. Box 208
Brownsville, Kentucky 42210

Dear Mr. Sanders:

This is in response to your request for authorization to install an air scouring assembly to the existing intake pump and also to dredge accumulated sediment and debris from around a water intake located at the Wax Water Treatment Plant at Nolin River Lake in Hart/Grayson Counties in Kentucky. The work would involve a diver dredging by hand an area 8 feet x 8 feet and approximately 8 feet deep to remove approximately 20 cubic yards of material from the intake pipe, pushing it away to the surrounding lake bed. The information supplied by you was reviewed to determine whether a Department of the Army (DA) permit will be required under the provisions of Section 404 of the Clean Water Act.

Your project is considered a discharge of dredged material into "waters of the United States". The project is authorized under the provisions of 33 CFR 330 Nationwide Permit (NWP) No. 18, Minor Discharges, as published in the Federal Register February 21, 2012. Under the provisions of this authorization you must comply with the enclosed Terms and General Conditions for Nationwide Permit No. 18.

You must also comply with the enclosed Water Quality Certification (WQC) Conditions for Nationwide Permit No. 18 dated March 19, 2012, issued by the Kentucky Division of Water (KDOW). Once you obtain your certification, or if no application was required, you may proceed with the project without further contact or verification from us.

This decision is valid for 2 years from the date of this letter. The enclosed Compliance Certification should be signed and returned when the project is completed. If your project is not completed within this 2-year period or if your project is modified, you must contact us for another permit determination. Note that we also perform periodic inspections to ensure compliance with our permit conditions and applicable Federal laws. A copy of this letter is being sent to the KDOW.

If you have any questions, please contact this office by writing to the above address, ATTN: CELRL-OP-FS, or by calling me at 502-315-6693. All correspondence pertaining to this matter should refer to our ID No. LRL-2012-297-pj1.

Sincerely,

A handwritten signature in cursive script, appearing to read "Pam Loeffler".

Pam Loeffler
Regulatory Specialist
Regulatory Branch

Enclosures

Copies Furnished:
CELRL-ED-T-H
CELRL-OP-GR-N

Terms for Nationwide Permit No. 18
Minor Discharges

Minor discharges of dredged or fill material into all waters of the United States, provided the activity meets all of the following criteria:

(a) The quantity of discharged material and the volume of area excavated do not exceed 25 cubic yards below the plane of the ordinary high water mark or the high tide line;

(b) The discharge will not cause the loss of more than 1/10-acre of waters of the United States; and

(c) The discharge is not placed for the purpose of a stream diversion.

Notification: The permittee must submit a pre-construction notification to the district engineer prior to commencing the activity if: (1) The discharge or the volume of area excavated exceeds 10 cubic yards below the plane of the ordinary high water mark or the high tide line, or (2) the discharge is in a special aquatic site, including wetlands. (See general condition 31.) (Sections 10 and 404)



STEVEN L. BESHEAR
GOVERNOR

LEONARD K. PETERS
SECRETARY

ENERGY AND ENVIRONMENTAL PROTECTION CABINET
DEPARTMENT FOR ENVIRONMENTAL PROTECTION
DIVISION OF WATER
200 FAIR OAKS LANE
FRANKFORT, KENTUCKY 40601
www.kentucky.gov

General Certification--Nationwide Permit # 18 Minor Discharges

This General Certification is issued March 19, 2012, in conformity with the requirements of Section 401 of the Clean Water Act of 1977, as amended (33 U.S.C. §1341), as well as Kentucky Statute KRS 224.16-050.

For this and all nationwide permits, the definition of surface water is as per 401 KAR 10:001 Chapter 10, Section 1(80): Surface Waters means those waters having well-defined banks and beds, either constantly or intermittently flowing; lakes and impounded waters; marshes and wetlands; and any subterranean waters flowing in well-defined channels and having a demonstrable hydrologic connection with the surface. Lagoons used for waste treatment and effluent ditches that are situated on property owned, leased, or under valid easement by a permitted discharger are not considered to be surface waters of the commonwealth.

The Commonwealth of Kentucky hereby certifies under Section 401 of the Clean Water Act (CWA) that it has reasonable assurances that applicable water quality standards under Kentucky Administrative Regulations Title 401, Chapter 10, established pursuant to Sections 301, 302, 304, 306 and 307 of the CWA, will not be violated for the activity covered under NATIONWIDE PERMIT 18, namely Minor Discharges, provided that the following conditions are met:

1. The activity will not occur within surface waters of the Commonwealth identified by the Kentucky Division of Water as Outstanding State or National Resource Water, Cold Water Aquatic Habitat, or Exceptional Waters.
2. The activity will not occur within surface waters of the Commonwealth identified as perpetually-protected (e.g. deed restriction, conservation easement) mitigation sites.
3. The activity will impact less than 300 linear feet of surface waters of the Commonwealth.
4. The Kentucky Division of Water may require submission of a formal application for an individual certification for any project if the project has been determined to likely have a significant adverse effect upon water quality or degrade the waters of the Commonwealth so that existing uses of the water body or downstream waters are precluded.

General Certification--Nationwide Permit # 18
Minor Discharges
Page 2

5. Activities that do not meet the conditions of this General Water Quality Certification require an Individual Section 401 Water Quality Certification.
6. Activities qualifying for coverage under this General Water Quality Certification are subject to the following conditions:
 - Erosion and sedimentation pollution control plans and Best Management Practices must be designed, installed, and maintained in effective operating condition at all times during construction activities so that violations of state water quality standards do not occur.
 - Sediment and erosion control measures, such as check-dams constructed of any material, silt fencing, hay bales, etc., shall not be placed within surface waters of the Commonwealth, either temporarily or permanently, without prior approval by the Kentucky Division of Water's Water Quality Certification Section. If placement of sediment and erosion control measures in surface waters is unavoidable, design and placement of temporary erosion control measures shall not be conducted in such a manner that may result in instability of streams that are adjacent to, upstream, or downstream of the structures. All sediment and erosion control devices shall be removed and the natural grade restored within the completion timeline of the activities.
 - Measures shall be taken to prevent or control spills of fuels, lubricants, or other toxic materials used in construction from entering the watercourse.
 - Removal of riparian vegetation in the utility line right-of-way shall be limited to that necessary for equipment access.
 - To the maximum extent practicable, all in-stream work under this certification shall be performed under low-flow conditions.
 - Heavy equipment, e.g. bulldozers, backhoes, draglines, etc., if required for this project, should not be used or operated within the stream channel. In those instances in which such in-stream work is unavoidable, then it shall be performed in such a manner and duration as to minimize turbidity and disturbance to substrates and bank or riparian vegetation.
 - Any fill shall be of such composition that it will not adversely affect the biological, chemical, or physical properties of the receiving waters and/or cause violations of water quality standards. If rip-rap is utilized, it should be of such weight and size that bank stress or slump conditions will not be created because of its placement.
 - If there are water supply intakes located downstream that may be affected by increased turbidity and suspended solids, the permittee shall notify the operator when such work will be done.
 - Should evidence of stream pollution or jurisdictional wetland impairment and/or violations of water quality standards occur as a result of this activity (either from a spill or other forms of water pollution), the Kentucky Division of Water shall be notified immediately by calling (800) 928-2380.

General Certification--Nationwide Permit # 18
Minor Discharges
Page 3

Non-compliance with the conditions of this general certification or violation of Kentucky state water quality standards may result in civil penalties.



**US Army Corps
of Engineers.**

Louisville District

Nationwide Permit Conditions

The following General Conditions must be followed in order for any authorization by NWP to be valid:

1. Navigation. (a) No activity may cause more than a minimal adverse effect on navigation.
- (b) Any safety lights and signals prescribed by the US Coast Guard, through regulations or otherwise, must be installed and maintained at the permittee's expense on authorized facilities in navigable waters of the US.
- (c) The permittee understands and agrees that, if future operations by the US require the removal, relocation, or other alteration, of the structure or work herein authorized, or if, in the opinion of the Secretary of the Army or his authorized representative, said structure or work shall cause unreasonable obstruction to the free navigation of the navigable waters, the permittee will be required, upon due notice from the Corps of Engineers, to remove, relocate, or alter the structural work or obstructions caused thereby, without expense to the US. No claim shall be made against the US on account of any such removal or alteration.
2. Aquatic Life Movements. No activity may substantially disrupt the necessary life cycle movements of those species of aquatic life indigenous to the waterbody, including those species that normally migrate through the area, unless the activity's primary purpose is to impound water. All permanent and temporary crossings of waterbodies shall be suitably culverted, bridged, or otherwise designed and constructed to maintain low flows to sustain the movement of those aquatic species.
3. Spawning Areas. Activities in spawning areas during spawning seasons must be avoided to the maximum extent practicable. Activities that result in the physical destruction (e.g., through excavation, fill, or downstream smothering by substantial turbidity) of an important spawning area are not authorized.
4. Migratory Bird Breeding Areas. Activities in waters of the US that serve as breeding areas for migratory birds must be avoided to the maximum extent practicable.
5. Shellfish Beds. No activity may occur in areas of concentrated shellfish populations, unless the activity is directly related to a shellfish harvesting activity authorized by NWPs 4 and 48, or is a shellfish seeding or habitat restoration activity authorized by NWP 27.
6. Suitable Material. No activity may use unsuitable material (e.g., trash, debris, car bodies, asphalt, etc.). Material used for construction or discharged must be free from toxic pollutants in toxic amounts (see Section 307 of the Clean Water Act).
7. Water Supply Intakes. No activity may occur in the proximity of a public water supply intake, except where the activity is for the repair or improvement of public water supply intake structures or adjacent bank stabilization.
8. Adverse Effects From Impoundments. If the activity creates an impoundment of water, adverse effects to the aquatic system due to accelerating the passage of water, and/or restricting its flow must be minimized to the maximum extent practicable.
9. Management of Water Flows. To the maximum extent practicable, the pre-construction course, condition, capacity, and location of open waters must be maintained for each activity, including stream channelization and storm water management activities, except as provided below. The activity must be constructed to withstand expected high flows. The activity must not restrict or impede the passage of normal or high flows, unless the primary purpose of the activity is to impound water or manage high flows. The activity may alter the pre-construction course, condition, capacity, and location of open waters if it benefits the aquatic environment (e.g., stream restoration or relocation activities).
10. Fills Within 100-Year Floodplains. The activity must comply with applicable FEMA-approved state or local floodplain management requirements.
11. Equipment. Heavy equipment working in wetlands or mudflats must be placed on mats, or other measures must be taken to minimize soil disturbance.
12. Soil Erosion and Sediment Controls. Appropriate soil erosion and sediment controls must be used and maintained in effective operating condition during construction, and all exposed soil and other fills, as well as any work below the ordinary high water mark or high

- tide line, must be permanently stabilized at the earliest practicable date. Permittees are encouraged to perform work within waters of the US during periods of low-flow or no-flow.
13. Removal of Temporary Fills. Temporary fills must be removed in their entirety and the affected areas returned to pre-construction elevations. The affected areas must be revegetated, as appropriate.
 14. Proper Maintenance. Any authorized structure or fill shall be properly maintained, including maintenance to ensure public safety and compliance with applicable NWP general conditions, as well as any activity-specific conditions added by the district engineer to an NWP authorization.
 15. Single and Complete Project. The activity must be a single and complete project. The same NWP cannot be used more than once for the same single and complete project.
 16. Wild and Scenic Rivers. No activity may occur in a component of the National Wild and Scenic River System, or in a river officially designated by Congress as a "study river" for possible inclusion in the system while the river is in an official study status, unless the appropriate Federal agency with direct management responsibility for such river, has determined in writing that the proposed activity will not adversely affect the Wild and Scenic River designation or study status. Information on Wild and Scenic Rivers may be obtained from the appropriate Federal land management agency responsible for the designated Wild and Scenic River or study river (e.g., National Park Service, US Forest Service, US Fish and Wildlife Service).
 17. Tribal Rights. No activity or its operation may impair reserved tribal rights, including, but not limited to, reserved water rights and treaty fishing and hunting rights.
 18. Endangered Species. (a) No activity is authorized under any NWP which is likely to directly or indirectly jeopardize the continued existence of a threatened or endangered species or a species proposed for such designation, as identified under the Federal Endangered Species Act (ESA), or which will directly or indirectly destroy or adversely modify the critical habitat of such species. No activity is authorized under any NWP which "may affect" a listed species or critical habitat, unless Section 7 consultation addressing the effects of the proposed activity has been completed.
 - (b) Federal agencies should follow their own procedures for complying with the requirements of the ESA. Federal permittees must provide the district engineer with the appropriate documentation to demonstrate compliance with those requirements. The district engineer will review the documentation and determine whether it is sufficient to address ESA compliance for the NWP activity, or whether additional ESA consultation is necessary.
 - (c) Non-federal permittees must submit a pre-construction notification (PCN) to the district engineer if any listed species or designated critical habitat might be affected or is in the vicinity of the project, or if the project is located in designated critical habitat, and shall not begin work on the activity until notified by the district engineer that the requirements of the ESA have been satisfied and that the activity is authorized. For activities that might affect Federally-listed endangered or threatened species or designated critical habitat, the PCN must include the name(s) of the endangered or threatened species that might be affected by the proposed work or that utilize the designated critical habitat that might be affected by the proposed work. The district engineer will determine whether the proposed activity "may affect" or will have "no effect" to listed species and designated critical habitat and will notify the non-Federal applicant of the Corps' determination within 45 days of receipt of a complete PCN. In cases where the non-Federal applicant has identified listed species or critical habitat that might be affected or is in the vicinity of the project, and has so notified the Corps, the applicant shall not begin work until the Corps has provided notification the proposed activities will have "no effect" on listed species or critical habitat, or until Section 7 consultation has been completed. If the non-Federal applicant has not heard back from the Corps within 45 days, the applicant must still wait for notification from Corps.
 - (d) As a result of formal or informal consultation with the USFWS or NMFS the district engineer may add species-specific regional endangered species conditions to the NWPs.

(e) Authorization of an activity by a NWP does not authorize the "take" of a threatened or endangered species as defined under the ESA. In the absence of separate authorization (e.g., an ESA Section 10 Permit, a Biological Opinion with "incidental take" provisions, etc.) from the USFWS or the NMFS, the Endangered Species Act prohibits any person subject to the jurisdiction of the US to take a listed species, where "take" means to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture, or collect, or to attempt to engage in any such conduct. The word "harm" in the definition of "take" means an act which actually kills or injures wildlife. Such an act may include significant habitat modification or degradation where it actually kills or injures wildlife by significantly impairing essential behavioral patterns, including breeding, feeding or sheltering.

(f) Information on the location of threatened and endangered species and their critical habitat can be obtained directly from the offices of the USFWS and NMFS at <http://www.fws.gov/> or <http://www.fws.gov/ipac> and <http://www.nmfs.gov/fisheries.html> respectively.

19. Migratory Birds and Bald and Golden Eagles. The permittee is responsible for obtaining any "take" permits required under the USFWS's regulations governing compliance with the Migratory Bird Treaty Act or the Bald and Golden Eagle Protection Act. The permittee should contact the appropriate local office of the USFWS to determine if such "take" permits are required for a particular activity.

20. Historic Properties. (a) In cases where the district engineer determines that the activity may affect properties listed, or eligible for listing, in the National Register of Historic Places, the activity is not authorized, until the requirements of Section 106 of the National Historic Preservation Act (NHPA) have been satisfied.

(b) Federal permittees should follow their own procedures for complying with the requirements of Section 106 of the National Historic Preservation Act. Federal permittees must provide the district engineer with the appropriate documentation to demonstrate compliance with those requirements. The district engineer will review the documentation and determine whether it is sufficient to address section 106 compliance for the NWP activity, or whether additional section 106 consultation is necessary.

(c) Non-federal permittees must submit a pre-construction notification to the district engineer if the authorized activity may have the potential to cause effects to any historic properties listed on, determined to be eligible for listing on, or potentially eligible for listing on the National Register of Historic Places, including previously unidentified properties. For such activities, the pre-construction notification must state which historic properties may be affected by the proposed work or include a vicinity map indicating the location of the historic properties or the potential for the presence of historic properties. Assistance regarding information on the location of or potential for the presence of historic resources can be sought from the State Historic Preservation Officer or Tribal Historic Preservation Officer, as appropriate, and the National Register of Historic Places (see 33 CFR 330.4(g)). When reviewing pre-construction notifications, district engineers will comply with the current procedures for addressing the requirements of Section 106 of the National Historic Preservation Act. The district engineer shall make a reasonable and good faith effort to carry out appropriate identification efforts, which may include background research, consultation, oral history interviews, sample field investigation, and field survey. Based on the information submitted and these efforts, the district engineer shall determine whether the proposed activity has the potential to cause an effect on the historic properties. Where the non-Federal applicant has identified historic properties on which the activity may have the potential to cause effects and notified the Corps, the non-Federal applicant shall not begin the activity until notified by the district engineer either that the activity has no potential to cause effects or that consultation under Section 106 of the NHPA is complete.

(d) The district engineer will notify the prospective permittee within 45 days of receipt of a complete pre-construction notification whether NHPA Section 106 consultation is required. Section 106 consultation is not required when the Corps determines that the activity does not have the potential to cause effects on historic properties (see 36 CFR §800.3(a)). If NHPA section 106 consultation is required and will occur, the district engineer will notify the non-Federal applicant that he or she cannot begin work until Section 106 consultation is completed. If the non-Federal applicant has not heard back from the Corps within 45 days, the applicant must still wait for notification from the Corps.

(e) Prospective permittees should be aware that section 110k of the NHPA (16 U.S.C. 470h-2(k)) prevents the Corps from granting a permit or other assistance to an applicant who,

with intent to avoid the requirements of Section 106 of the NHPA, has intentionally significantly adversely affected a historic property to which the permit would relate, or having legal power to prevent it, allowed such significant adverse effect to occur, unless the Corps, after consultation with the Advisory Council on Historic Preservation (ACHP), determines that circumstances justify granting such assistance despite the adverse effect created or permitted by the applicant. If circumstances justify granting the assistance, the Corps is required to notify the ACHP and provide documentation specifying the circumstances, the degree of damage to the integrity of any historic properties affected, and proposed mitigation. This documentation must include any views obtained from the applicant, SHPO/THPO, appropriate Indian tribes if the undertaking occurs on or affects historic properties on tribal lands or affects properties of interest to those tribes, and other parties known to have a legitimate interest in the impacts to the activity on historic properties.

21. Discovery of Previously Unknown Remains and Artifacts. If you discover any previously unknown historic, cultural or archeological remains and artifacts while accomplishing the activity authorized by this permit, you must immediately notify the district engineer of what you have found, and to the maximum extent practicable, avoid construction activities that may affect the remains and artifacts until the required coordination has been completed. The district engineer will initiate the Federal, Tribal and state coordination required to determine if the items or remains warrant recovery effort or if the site is eligible for listing in the National Register of Historic Places.

22. Designated Critical Resource Waters. Critical resource waters include, NOAA-managed marine sanctuaries and marine monuments, and National Estuarine Research Reserves. The district engineer may designate, after notice and opportunity for public comment, additional waters officially designated by a state as having particular environmental or ecological significance, such as outstanding national resource waters or state natural heritage sites. The district engineer may also designate additional critical resource waters after notice and opportunity for public comment.

(a) Discharges of dredged or fill material into waters of the US are not authorized by NWPs 7, 12, 14, 16, 17, 21, 29, 31, 35, 39, 40, 42, 43, 44, 49, 50, 51, and 52 for any activity within, or directly affecting, critical resource waters, including wetlands adjacent to such waters.

(b) For NWPs 3, 8, 10, 13, 15, 18, 19, 22, 23, 25, 27, 28, 30, 33, 34, 36, 37, and 38, notification is required in accordance with general condition 31, for any activity proposed in the designated critical resource waters including wetlands adjacent to those waters. The district engineer may authorize activities under these NWPs only after it is determined that the impacts to the critical resource waters will be no more than minimal.

23. Mitigation. The district engineer will consider the following factors when determining appropriate and practicable mitigation necessary to ensure that adverse effects on the aquatic environment are minimal:

(a) The activity must be designed and constructed to avoid and minimize adverse effects, both temporary and permanent, to waters of the US to the maximum extent practicable at the project site (i.e., on site).

(b) Mitigation in all its forms (avoiding, minimizing, rectifying, reducing, or compensating for resource losses) will be required to the extent necessary to ensure that the adverse effects to the aquatic environment are minimal.

(c) Compensatory mitigation at a minimum one-for-one ratio will be required for all wetland losses that exceed 1/10-acre and require pre-construction notification, unless the district engineer determines in writing that either some other form of mitigation would be more environmentally appropriate or the adverse effects of the proposed activity are minimal, and provides a project-specific waiver of this requirement. For wetland losses of 1/10-acre or less that require pre-construction notification, the district engineer may determine on a case-by-case basis that compensatory mitigation is required to ensure that the activity results in minimal adverse effects on the aquatic environment. Compensatory mitigation projects provided to offset losses of aquatic resources must comply with the applicable provisions of 33 CFR part 332.

(1) The prospective permittee is responsible for proposing an appropriate compensatory mitigation option if compensatory mitigation is necessary to ensure that the activity results in minimal adverse effects on the aquatic environment.

(2) Since the likelihood of success is greater and the impacts to potentially valuable uplands are reduced, wetland restoration should be the first compensatory mitigation option considered.

been independently reviewed by similarly qualified persons, and appropriate modifications made to ensure safety.

25. Water Quality. Where States and authorized Tribes, or EPA where applicable, have not previously certified compliance of an NWP with CWA Section 401, individual 401 Water Quality Certification must be obtained or waived (see 33 CFR 330.4(c)). The district engineer or State or Tribe may require additional water quality management measures to ensure that the authorized activity does not result in more than minimal degradation of water quality.

26. Coastal Zone Management. In coastal states where an NWP has not previously received a state coastal zone management consistency concurrence, an individual state coastal zone management consistency concurrence must be obtained, or a presumption of concurrence must occur (see 33 CFR 330.4(d)). The district engineer or a State may require additional measures to ensure that the authorized activity is consistent with state coastal zone management requirements.

27. Regional and Case-By-Case Conditions. The activity must comply with any regional conditions that may have been added by the Division Engineer (see 33 CFR 330.4(e)) and with any case specific conditions added by the Corps or by the state, Indian Tribe, or USEPA in its section 401 Water Quality Certification, or by the state in its Coastal Zone Management Act consistency determination.

28. Use of Multiple Nationwide Permits. The use of more than one NWP for a single and complete project is prohibited, except when the acreage loss of waters of the US authorized by the NWPs does not exceed the acreage limit of the NWP with the highest specified acreage limit. For example, if a road crossing over tidal waters is constructed under NWP 14, with associated bank stabilization authorized by NWP 13, the maximum acreage loss of waters of the US for the total project cannot exceed 1/3-acre.

29. Transfer of Nationwide Permit Verifications. If the permittee sells the property associated with a nationwide permit verification, the permittee may transfer the nationwide permit verification to the new owner by submitting a letter to the appropriate Corps district office to validate the transfer. A copy of the nationwide permit verification must be attached to the letter, and the letter must contain the following statement and signature: "When the structures or work authorized by this nationwide permit are still in existence at the time the property is transferred, the terms and conditions of this nationwide permit, including any special conditions, will continue to be binding on the new owner(s) of the property. To validate the transfer of this nationwide permit and the associated liabilities associated with compliance with its terms and conditions, have the transferee sign and date below."

(Transferee)

(Date)

30. Compliance Certification. Each permittee who receives an NWP verification letter from the Corps must provide a signed certification documenting completion of the authorized activity and any required compensatory mitigation. The success of any required permittee-responsible mitigation, including the achievement of ecological performance standards, will be addressed separately by the district engineer. The Corps will provide the permittee the certification document with the NWP verification letter. The certification document will include:

- (a) A statement that the authorized work was done in accordance with the NWP authorization, including any general, regional, or activity-specific conditions;
- (b) A statement that the implementation of any required compensatory mitigation was completed in accordance with the permit conditions. If credits from a mitigation bank or in-lieu fee program are used to satisfy the compensatory mitigation requirements, the certification must include the documentation required by 33 CFR 332.3(i)(3) to confirm that the permittee secured the appropriate number and resource type of credits; and
- (c) The signature of the permittee certifying the completion of the work and mitigation.

(3) If permittee-responsible mitigation is the proposed option, the prospective permittee is responsible for submitting a mitigation plan. A conceptual or detailed mitigation plan may be used by the district engineer to make the decision on the NWP verification request, but a final mitigation plan that addresses the applicable requirements of 33 CFR 332.4(c)(2) - (14) must be approved by the district engineer before the permittee begins work in waters of the US, unless the district engineer determines that prior approval of the final mitigation plan is not practicable or not necessary to ensure timely completion of the required compensatory mitigation (see 33 CFR 332.3(k)(3)).

(4) If mitigation bank or in-lieu fee program credits are the proposed option, the mitigation plan only needs to address the baseline conditions at the impact site and the number of credits to be provided.

(5) Compensatory mitigation requirements (e.g., resource type and amount to be provided as compensatory mitigation, site protection, ecological performance standards, monitoring requirements) may be addressed through conditions added to the NWP authorization, instead of components of a compensatory mitigation plan.

(d) For losses of streams or other open waters that require pre-construction notification, the district engineer may require compensatory mitigation, such as stream rehabilitation, enhancement, or preservation, to ensure that the activity results in minimal adverse effects on the aquatic environment.

(e) Compensatory mitigation will not be used to increase the acreage losses allowed by the acreage limits of the NWPs. For example, if an NWP has an acreage limit of 1/2-acre, it cannot be used to authorize any project resulting in the loss of greater than 1/2-acre of waters of the US, even if compensatory mitigation is provided that replaces or restores some of the lost waters. However, compensatory mitigation can and should be used, as necessary, to ensure that a project already meeting the established acreage limits also satisfies the minimal impact requirement associated with the NWPs.

(f) Compensatory mitigation plans for projects in or near streams or other open waters will normally include a requirement for the restoration or establishment, maintenance, and legal protection (e.g., conservation easements) of riparian areas next to open waters. In some cases, riparian areas may be the only compensatory mitigation required. Riparian areas should consist of native species. The width of the required riparian area will address documented water quality or aquatic habitat loss concerns. Normally, the riparian area will be 25 to 50 feet wide on each side of the stream, but the district engineer may require slightly wider riparian areas to address documented water quality or habitat loss concerns. If it is not possible to establish a riparian area on both sides of a stream, or if the waterbody is a lake or coastal waters, then restoring or establishing a riparian area along a single bank or shoreline may be sufficient. Where both wetlands and open waters exist on the project site, the district engineer will determine the appropriate compensatory mitigation (e.g., riparian areas and/or wetlands compensation) based on what is best for the aquatic environment on a watershed basis. In cases where riparian areas are determined to be the most appropriate form of compensatory mitigation, the district engineer may waive or reduce the requirement to provide wetland compensatory mitigation for wetland losses.

(g) Permittees may propose the use of mitigation banks, in-lieu fee programs, or separate permittee-responsible mitigation. For activities resulting in the loss of marine or estuarine resources, permittee-responsible compensatory mitigation may be environmentally preferable if there are no mitigation banks or in-lieu fee programs in the area that have marine or estuarine credits available for sale or transfer to the permittee. For permittee-responsible mitigation, the special conditions of the NWP verification must clearly indicate the party or parties responsible for the implementation and performance of the compensatory mitigation project, and, if required, its long-term management.

(h) Where certain functions and services of waters of the US are permanently adversely affected, such as the conversion of a forested or scrub-shrub wetland to a herbaceous wetland in a permanently maintained utility line right-of-way, mitigation may be required to reduce the adverse effects of the project to the minimal level.

24. Safety of Impoundment Structures. To ensure that all impoundment structures are safely designed, the district engineer may require non-Federal applicants to demonstrate that the structures comply with established state dam safety criteria or have been designed by qualified persons. The district engineer may also require documentation that the design has

31. Pre-Construction Notification (PCN). (a) Timing. Where required by the terms of the NWP, the prospective permittee must notify the district engineer by submitting a PCN as early as possible. The district engineer must determine if the PCN is complete within 30 calendar days of the date of receipt and, if the PCN is determined to be incomplete, notify the prospective permittee within that 30 day period to request the additional information necessary to make the PCN complete. As a general rule, district engineers will request additional information necessary to make the PCN complete only once. However, if the prospective permittee does not provide all of the requested information and the PCN review process will not commence until all of the requested information has been received by the district engineer. The prospective permittee shall not begin the activity until either:

- (1) He or she is notified in writing by the district engineer that the activity may proceed under the NWP with any special conditions imposed by the district or division engineer; or
 - (2) 45 calendar days have passed from the district engineer's receipt of the complete PCN and the prospective permittee has not received written notice from the district or division engineer. However, if the permittee was required to notify the Corps pursuant to general condition 18 that listed species or critical habitat might be affected or in the vicinity of the project, or to notify the Corps pursuant to general condition 20 that the activity may have the potential to cause effects to historic properties, the permittee cannot begin the activity until receiving written notification from the Corps that there is "no effect" on listed species or "no potential to cause effects" on historic properties, or that any consultation required under Section 7 of the Endangered Species Act (see 33 CFR 330.4(f) and/or Section 106 of the National Historic Preservation Act (see 33 CFR 330.4(g)) has been completed. Also, work cannot begin under NWPs 21, 49, or 50 until the permittee has received written approval from the Corps. If the proposed activity requires a written waiver to exceed specified limits of an NWP, the permittee may not begin the activity until the district engineer issues the waiver. If the district or division engineer notifies the permittee in writing that an individual permit is required within 45 calendar days of receipt of a complete PCN, the permittee cannot begin the activity until an individual permit has been obtained. Subsequently, the permittee's right to proceed under the NWP may be modified, suspended, or revoked only in accordance with the procedure set forth in 33 CFR 330.5(d)(2).
- (b) Contents of Pre-Construction Notification: The PCN must be in writing and include the following information:

- (1) Name, address and telephone numbers of the prospective permittee;
- (2) Location of the proposed project;
- (3) A description of the proposed project; the project's purpose; direct and indirect adverse environmental effects the project would cause, including the anticipated amount of loss of water of the US expected to result from the NWP activity, in acres, linear feet, or other appropriate unit of measure; any other NWP(s), regional general permit(s), or individual permit(s) used or intended to be used to authorize any part of the proposed project or any related activity. The description should be sufficiently detailed to allow the district engineer to determine that the adverse effects of the project will be minimal and to determine the need for compensatory mitigation. Sketches should be provided when necessary to show that the activity complies with the terms of the NWP. (Sketches usually clarify the project and when provided results in a quicker decision. Sketches should contain sufficient detail to provide an illustrative description of the proposed activity (e.g., a conceptual plan), but do not need to be detailed engineering plans);
- (4) The PCN must include a delineation of wetlands, other special aquatic sites, and waters, such as lakes and ponds, and perennial, intermittent, and ephemeral streams, on the project site. Wetland delineations must be prepared in accordance with the current method required by the Corps. The permittee may ask the Corps to delineate the special aquatic sites and other waters on the project site, but there may be a delay if the Corps does the delineation, especially if the project site is large or contains many waters of the US. The 45 day period will not start until the delineation has been submitted to or completed by the Corps, as appropriate;
- (5) If the proposed activity will result in the loss of greater than 1/10-acre of wetlands and a PCN is required, the prospective permittee must submit a statement describing how the mitigation requirement will be satisfied, or explaining why the adverse effects are minimal and why compensatory mitigation should not be required. As an alternative, the prospective permittee may submit a conceptual or detailed mitigation plan.

(6) If any listed species or designated critical habitat might be affected or is in the vicinity of the project, or if the project is located in designated critical habitat, for non-Federal applicants the PCN must include the name(s) of those endangered or threatened species that might be affected by the proposed work or utilize the designated critical habitat that may be affected by the proposed work. Federal applicants must provide documentation demonstrating compliance with the Endangered Species Act; and

(7) For an activity that may affect a historic property listed on, determined to be eligible for listing on, or potentially eligible for listing on, the National Register of Historic Places, for non-Federal applicants the PCN must state which historic property may be affected by the proposed work or include a vicinity map indicating the location of the historic property. Federal applicants must provide documentation demonstrating compliance with Section 106 of the National Historic Preservation Act.

(c) Form of PCN Notification: The standard individual permit application form (Form ENG 4345) may be used, but the completed application form must clearly indicate that it is a PCN and must include all of the information required in paragraphs (b)(1) through (7) of this general condition. A letter containing the required information may also be used.

(d) Agency Coordination: (1) The district engineer will consider any comments from Federal and state agencies concerning the proposed activity's compliance with the terms and conditions of the NWPs and the need for mitigation to reduce the project's adverse environmental effects to a minimal level.

(2) For all NWP activities that require PCN notification and result in the loss of greater than 1/2-acre of waters of the US, for NWP 21, 29, 39, 40, 42, 43, 44, 50, 51, and 52 activities that require PCN notification and will result in the loss of greater than 300 linear feet of intermittent and ephemeral stream bed, and for all NWP 48 activities that require PCN notification, the district engineer will immediately provide (e.g., via e-mail, facsimile transmission, overnight mail, or other expeditious manner) a copy of the complete PCN to the appropriate Federal or state offices (USFWS, state natural resource or water quality agency, EPA, State Historic Preservation Officer (SHPO) or Tribal Historic Preservation Office (THPO), and, if appropriate, the NMFS). With the exception of NWP 37, these agencies will have 10 calendar days from the date the material is transmitted to telephone or fax the district engineer notice that they intend to provide substantive, site-specific comments. The comments must explain why the agency believes the adverse effects will be more than minimal. If so contacted by an agency, the district engineer will wait an additional 15 calendar days before making a decision on the PCN notification. The district engineer will fully consider agency comments received within the specified time frame concerning the proposed activity's compliance with the terms and conditions of the NWPs, including the need for mitigation to ensure the net adverse environmental effects to the aquatic environment of the proposed activity are minimal. The district engineer will provide no response to the resource agency, except as provided below. The district engineer will indicate in the administrative record associated with each PCN notification that the resource agencies' concerns were considered. For NWP 37, the emergency watershed protection and rehabilitation activity may proceed immediately in cases where there is an unacceptable hazard to life or a significant loss of property or economic hardship will occur. The district engineer will consider any comments received to decide whether the NWP 37 authorization should be modified, suspended, or revoked in accordance with the procedures at 33 CFR 330.5.

(3) In cases of where the prospective permittee is not a Federal agency, the district engineer will provide a response to NMFS within 30 calendar days of receipt of any Essential Fish Habitat conservation recommendations, as required by Section 305(b)(4)(B) of the Magnuson-Stevens Fishery Conservation and Management Act.

(4) Applicants are encouraged to provide the Corps with either electronic files or multiple copies of PCN notifications to expedite agency coordination.

Further Information

1. District Engineers have authority to determine if an activity complies with the terms and conditions of an NWP.

2. NWPs do not obviate the need to obtain other federal, state, or local permits, approvals, or authorizations required by law.

3. NWPs do not grant any property rights or exclusive privileges.

4. NWPs do not authorize any injury to the property or rights of others.

5. NWPs do not authorize interference with any existing or proposed Federal project.

Compliance Certification:

Permit Number: LRL-2012-297-pjl

Name of Permittee: Edmonson County Water District

Date of Issuance: October 10, 2013

Upon completion of the activity authorized by this permit and any mitigation required by this permit, sign this certification and return it to the following address:

U.S. Army Corps of Engineers
CELRL-OP-FS
P.O. Box 59
Louisville, Kentucky 40201

Please note that your permitted activity is subject to a compliance inspection by an U.S. Army Corps of Engineers representative. If you fail to comply with this permit you are subject to permit suspension, modification, or revocation.

I hereby certify that the work authorized by the above referenced permit has been completed in accordance with the terms and conditions of the said permit, and required mitigation was completed in accordance with the permit conditions.

Signature of Permittee

Date

ADDRESS FOR COORDINATING AGENCY

Ms. Sandra Gruzesky
Director
Kentucky Energy and Environment Cabinet
Division of Water
200 Fair Oaks, 4th Floor
Frankfort, Kentucky 40601