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**Public Service Commission**  
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Vice Chairman

**Linda Breathitt**  
Commissioner

June 5, 2014

Honorable Ruth H Baxter  
Crawford & Baxter, P.S.C. Attorneys at Law  
523 Highland Avenue  
P. O. Box 353  
Carrollton, KY 41008

RE: Case No. 2014-00174  
Carroll County Water District #1  
Filing Deficiencies

The Commission Staff has reviewed the application in the above case. This filing is rejected for the reasons set forth below.

Filing deficiencies pursuant to:

- (1) 807 KAR 5:001: Section 18(1)(b) – Statement of original cost of applicant's property and the cost to the applicant, if different.
- (2) 807 KAR 5:001: Section 18(2)(b) - Copies of all trust deeds or mortgages. If previously filed, state case number.
- (3) 807 KAR 5:001: Section 18(2)(b) – If property is to be acquired, detailed estimates by USOA account number.
- (4) 807 KAR 5:001: Section 12(1)(b) – For a utility that had less than \$5,000,000 in gross annual revenue in the immediate past calendar year, comply with paragraph (a) of this subsection or cover operations for the twelve (12) month period contained in the utility's most recent annual report on file with the commission, and contain a statement that: 1. Material changes have not occurred since the end of that twelve (12) month period or 2. Identifies all material changes that have occurred since the end of that twelve (12) month period.
- (5) 807 KAR 5:001: Section 12(e) – Bonds.
  - Amount Authorized
  - Amount Issued
  - Name of Utility Who Issued
  - Description of Each Class Issued
  - Date of Issue
  - Date of Maturity
  - How Secured
  - Interest Paid in Last Fiscal Year

(6) 807 KAR 5:001: Section 12(f) – Notes Outstanding.

- Date of Issue
- Amount
- Maturity Date
- Rate of Interest
- In Whose Favor
- Interest Paid in Last Fiscal Year

(7) 807 KAR 5:001: Section 12(g) – Other Indebtedness.

- Description of Each Class
- How Secured
- Description of Any Assumption of Indebtedness by Outside Party (i.e., any transfer)
- Interest Paid in Last Fiscal Year

(8) 807 KAR 5:001: Section 12(i) – Detailed Income Statement and Balance Sheet.

The statutory time period in which the Commission must process this case will not commence until the above-mentioned required information is filed with the Commission. You are requested to file this information within 15 days of the date of this letter.

If you need further assistance, please contact my staff at 502-564-3940.

Sincerely,



Linda Faulkner