



Steven L. Beshear  
Governor

Leonard K. Peters  
Secretary  
Energy and Environment Cabinet

Commonwealth of Kentucky  
**Public Service Commission**  
211 Sower Blvd.  
P.O. Box 615  
Frankfort, Kentucky 40602-0615  
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psc.ky.gov

David L. Armstrong  
Chairman

James W. Gardner  
Vice Chairman

Linda K. Breathitt  
Commissioner

January 30, 2014

W. Randall Jones, Esq.  
Rubin & Hays  
Kentucky Home Trust Building  
450 South Third Street  
Louisville, Kentucky 40202

Mr. Leihman Howard  
Hyden-Leslie County Water District  
325 Wendover Road  
Hyden, Kentucky 41749

Re: Case No. 2013-00388  
Hyden-Leslie County Water District

**VIA ELECTRONIC MAIL**

Gentlemen:

The enclosed electronic mail message and a copy of Hyden-Leslie County Water District's Request For Payment and Project Status Report for Project No. WX21131008 (Sept. 26, 2013) have been filed in the record of the above-referenced case. Any objections to this action should be submitted to the Commission within five days of receipt of this letter.

Sincerely,

Jeff Derouen  
Executive Director

gw

Enclosures

1. Email dtd 24 Jan 2014 0910
2. Request for Payment & Project Status Report

## ENCLOSURE 1

**From:** [Brown, Vernon - RD, Lexington, KY](#)  
**To:** [Wuetcher, Jerry \(PSC\)](#)  
**Cc:** [Pridemore, Greg - RD, Hazard, KY](#)  
**Subject:** RE: Request for Assistance - Hyden-Leslie County Water District  
**Date:** Friday, January 24, 2014 9:10:14 AM

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Good Morning Jerry:

We don't have a complete application from Hyde Leslie Water District.

We have not completed an Environmental review.

We have not made a commitment of funding .

If there are question, please advise.

Thanks

Vernon

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**From:** Wuetcher, Jerry (PSC) [mailto:[JWuetcher@ky.gov](mailto:JWuetcher@ky.gov)]  
**Sent:** Thursday, January 23, 2014 5:02 PM  
**To:** Brown, Vernon - RD, Lexington, KY; Hollinsworth, Anthony - RD, Lexington, KY  
**Subject:** Request for Assistance - Hyden-Leslie County Water District

Gentlemen:

Good afternoon.

Hyden-Leslie County Water District has applied for authorization to borrow approximately \$2,455,000 from the Kentucky Rural Water Finance Corporation to construct several water system improvement projects. The proposed loan is for a term of three years. At the end of this period, the principal amount of the loan is due. Hyden-Leslie County Water District has stated that it plans to apply for permanent financing from Rural Development.

The Commission respectfully requests RD's assistance in obtain information to verify Hyden-Leslie County Water District's statements. To the extent that federal law permits RD to disclose such information, please advise (1) whether Rural Development has received any preliminary application or final application from Hyden-Leslie County Water District for such permanent financing and (2) whether RD has made any commitment to Hyden-Leslie County Water District to lend the water district \$2.455 million.

Thank you for any assistance that you can provide.

Respectfully,

Gerald E. Wuetcher

Executive Advisor/Attorney  
Public Service Commission of Kentucky  
[gerald.wuetcher@ky.gov](mailto:gerald.wuetcher@ky.gov)  
Office: (502) 564-3940  
Direct: (502) 782-2590  
Cell: (502) 229-6500

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CIEDF  
2008

OK/DLM  
10-8-13

ENCLOSURE 2

HB 608

EXHIBIT 2

REQUEST FOR PAYMENT AND PROJECT STATUS REPORT

94C-2008

Request for Payment will be processed monthly

Requests must be received before the 10<sup>th</sup> of each month and must be consistent with Project Budget.

Each Request must be signed and dated by the Project Administrator and the Authorized Official

MEMORANDUM OF AGREEMENT DATED \_\_\_\_\_

Project No. WX21131008 ✓  
Grant ID No. 94C-2008  
Request No. #2 ✓  
Dated: 9/26/13

2013 OCT 8 AM 10 38  
RECEIVED  
KENTUCKY INFRASTRUCTURE  
AUTHORITY

Original sent to: Kentucky Infrastructure Authority  
1024 Capital Center Drive  
Frankfort, KY 40601

From: Hyden - Leslie County Water District (the "Utility")

Pursuant to Memorandum of Agreement between the Kentucky Infrastructure Authority, and the Utility, we, the undersigned, hereby certify that the Utility has incurred the following expenses relating to the implementation of the Project and request the Authority to pay its share of these expenses herein shown to be in the amount totaling:

\$ 365,396.39 ✓

An itemized invoice and other documentation supporting the expenses incurred and identified per this request are attached.

ELIGIBLE PROJECT EXPENSES INCURRED

<u>Vendor/Contractor (s)</u>	<u>Expenses this Request</u>
<u>Expenses to Date</u>	
Packs, INC - 3 - 07080	\$ 319,946.39
SME # 07080-5	\$ 45,450.00
<b>TOTAL:</b>	<b>\$ 365,396.39</b>

KIA adm fee still outstanding. Hold future

**EXHIBIT 8**

**GRANT ASSISTANCE AGREEMENT  
REQUEST FOR PAYMENT**

**WX21131008 – 94C - 2008**

**Hyden – Leslie County Water District**

Request #   2  

Dated   9/26/13  

Pursuant to the Agreement between the Kentucky Infrastructure Authority, and the Grantee, we, the undersigned, hereby certify that the Grantee has completed all requirements in the Grant Assistance Agreement necessary to receive funds and request the Authority to pay the amount shown:

\$   365,396.39  

The Grantee commits to expend all funds in accordance with the terms and conditions of the Grant Assistance Agreement and all applicable laws and regulations.

Project Administrator:

Michael K. Maggard

Authorized Representative:

Dejesta Rahn  
(Must be designated by Resolution)

Request for Payment will be processed monthly. Requests must be received **before the 10<sup>th</sup> of each month** and must be consistent with Project Budget.

Send Request for Payments to:

Mr. John E. Covington, III  
Kentucky Infrastructure Authority  
1024 Capital Center Drive  
Frankfort, Kentucky 40601

SISLER-MAGGARD ENGINEERING, PLLC.  
 ENGINEERING SURVEYING  
 220 East Reynolds Road Suite A3  
 LEXINGTON, KY 40523-3780  
 (859) 271-2978  
 Fax (859) 271-5670

DATE: September 26, 2013  
 INVOICE NO.: 07080-5  
 Sheet 1 of 2

SERVICE FOR:  
 HYDEN LESLIE COUNTY WATER DISTRICT

JOB DESIGNATION:  
 HYDEN - LESLIE COUNTY WATER DISTRICT  
 Phase II - Water System Improvements

ATTN: AUGUSTUS ROBERTS, CHAIRMAN  
 P.O. BOX 906  
 HYDEN, KY 41749

CONTRACT:

**DESCRIPTION OF WORK**


**EXHIBIT C: BASIC SERVICES**

**ARTICLE 4- PAYMENTS TO THE ENGINEER**

Fee Per RD1942-19 Table 1 = Estimated \$3,265,268.00  
 \$3,265,268.00 x 7.10% = \$232,000.00

**C4.01 FOR BASIC DESIGN**

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
C4.01.3.b STUDY & REPORT PHASE	\$5,000.00	100.00%	\$5,000.00	\$5,000.00	\$0.00
C4.01.3.b ONE CONTRACT					
B. Preliminary Design - 15%	\$34,800.00	100.00%	\$34,800.00	\$34,800.00	\$0.00
C. Final Design - 55%	\$127,600.00	100.00%	\$127,600.00	\$127,600.00	\$0.00
D. Bidding & Negotiating - 10%	\$23,200.00	100.00%	\$23,200.00	\$0.00	\$23,200.00
E. Construction Phase - 15%	\$34,800.00	0.00%	\$0.00	\$0.00	\$0.00
F. Closeout - 5%	\$11,600.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01.3.b.:B thru F	\$232,000.00	80.00%	\$185,600.00	\$162,400.00	\$23,200.00
TOTAL FOR BASIC DESIGN	\$237,000.00	80.42%	\$190,600.00	\$167,400.00	\$23,200.00

  
 \_\_\_\_\_  
 HYDEN LESLIE COUNTY WATER DISTRICT DATE

Contract No. 15 = \$2,916,353.00  
 Contract No. 16 = \$ 348,915.00  
TOTAL = \$3,265,268.00

SISLER-MAGGARD ENGINEERING, PLLC.  
 ENGINEERING SURVEYING  
 P.O. BOX 23780  
 LEXINGTON, KY 40523-3780  
 (859) 271-2978  
 Fax (859) 271-5670

DATE: September 26, 2013  
 INVOICE NO.: 07080-5  
 Sheet 2 of 2

SERVICE FOR:

HYDEN LESLIE COUNTY WATER DISTRICT  
 0  
 ATTN: AUGUSTUS ROBERTS, CHAIRMAN  
 P.O. BOX 906  
 HYDEN, KY 41749

JOB DESIGNATION:  
 HYDEN - LESLIE COUNTY WATER DISTRICT  
 Phase II - Water System Improvements

CONTRACT:

DESCRIPTION OF WORK

EXHIBIT C: BASIC SERVICES

ARTICLE 4- PAYMENTS TO THE ENGINEER

Fee Per RD1942-19 Table II = Construction Cost= \$3,265,268.00  
 $\$3,265,268.00 \times 3.88\% = \$127,000.00$

C4.01 RESIDENT INSPECTION

	Fee	Percent Complete	Earned To Date	Previous Earnings	This Period
INSPECTION	\$127,000.00	0.00%	\$0.00	\$0.00	\$0.00
TOTAL C4.01 INSPECTION	\$127,000.00	0.00%	\$0.00	\$0.00	\$0.00
<u>C4.01 SPECIFIC ADD'L SRVS.</u>					
1. Environmental	\$7,000.00	100.00%	\$7,000.00	\$0.00	\$7,000.00
2. Surveys	\$2,500.00	100.00%	\$2,500.00	\$0.00	\$2,500.00
3. Permits	\$5,000.00	100.00%	\$5,000.00	\$0.00	\$5,000.00
4. Easements Asst.	\$15,500.00	50.00%	\$7,750.00	\$0.00	\$7,750.00
TOTAL C4.01 SPEC. ADD'L	\$30,000.00	74.17%	\$22,250.00	\$0.00	\$22,250.00
TOTAL CONTRACT PHASE II	\$394,000.00	54.02%	\$212,850.00	\$167,400.00	\$45,450.00
INVOICES NOT PAID					\$0.00
TOTAL NOW DUE					\$45,450.00

CONTRACT G-1 - WATER TRANSMISSION LINES  
RATTLESNAKE RIDGE WATER DISTRICT

**PERIODIC ESTIMATE**

ESTIMATE No.3  
PERIOD 8/24/13-9/20/13

PROJECT NO: 07080

CONTRACTOR: Packs' Incorporated  
75 Baldrige Road  
Morehead, KY 40351

**2 SCHEDULE OF CONTRACT CHANGE ORDERS:**

NO	DATE	DESCRIPTION	TOTAL ADD	TOTAL DEDUCT	COMPLETED TO DATE
1			0	\$ -	
2			0	\$ -	
<b>TOTALS</b>			<b>0</b>	<b>\$ -</b>	

**3 ANALYSIS OF ADJUSTED CONTRACT AMOUNT TO DATE:**

A	ORIGINAL CONTRACT AMOUNT	\$2,916,353.00
B	PLUS ADDITIONS SCHEDULED ABOVE	\$0.00
C	LESS DEDUCTIONS SCHEDULED ABOVE	\$0.00
ADJUSTED CONTRACT AMOUNT TO DATE		\$2,916,353.00

**4 ANALYSIS OF WORK PERFORMED**

A	COST OF ORIGINAL CONTRACT WORK PERFORMED TO DATE	\$ 1,010,859.62
B	EXTRA WORK PERFORMED TO DATE (CHANGE ORDERS)	\$ 0.00
C	TOTAL COST OF WORK PERFORMED TO DATE	\$ \$ 1,010,859.62
D	ADD MATERIALS STORED AT CLOSE OF THIS PERIOD	\$ 318,037.27
E	TOTAL COST OF WORK PERFORMED AND MATERIAL STORED TO DATE	\$ \$1,328,896.89
F	LESS AMOUNT RETAINED IN ACCORDANCE WITH CONTRACT ( 5 % )	\$ (\$66,444.84)
G	NET AMOUNT EARNED ON CONTRACT WORK TO DATE	\$ \$1,262,452.05
H	LESS AMOUNT OF PREVIOUS PAYMENTS	\$ (\$942,505.66)
I	<b><u>BALANCE DUE THIS PAYMENT</u></b>	\$ \$319,946.39



PROJECT NO. 07080  
 CONTRACTOR: Packs Incorporated

1. COST OF WORK COMPLETED TO DATE UNDER ORIGINAL CONTRACT ONLY

ITEM#	DESCRIPTION OF ITEM	% PAY	EST. QTY	UNITS	UNIT COST	TOTAL COST	QTY. THIS PERIOD	COST THIS PERIOD	TOTAL QTY.	TOTAL COST TO DATE	COST OF UNCOMPLETED WORK	COST OF COMPLETED WORK	% COMP
1	4" CR60 (DR14) PVC Waterline	100%	8,750	LF	\$750.00	\$6,562,500.00		\$0.00	7460	\$65,100.00	\$6,562,500.00	\$65,100.00	9%
		95%	8,312		\$714.875	\$5,941,875.00		\$0.00		0.00		\$0.00	0%
		85%	7,437		\$639.625	\$4,755,625.00	14,180	\$105,483.75		105,483.75		\$105,483.75	18%
2	4" W.L. CL 250 PVC Waterline	100%	7,100	LF	\$539.600	\$3,830,160.00		\$0.00		0.00	\$3,830,160.00	\$3,830,160.00	0%
		95%	6,746		\$512.620	\$3,459,000.00		\$0.00		0.00		\$0.00	0%
		85%	6,030		\$453.000	\$2,729,700.00	11,855	\$70,272.25		437,468.98	\$2,769,972.25	\$437,468.98	95%
3	3" Class 250 PVC Waterline	100%	48,000	LF	\$275.000	\$13,200,000.00		\$0.00		0.00	\$13,200,000.00	\$13,200,000.00	0%
		95%	5,700		\$982.200	\$5,596,340.00		\$0.00		0.00		\$0.00	0%
		85%	5,100		\$234,600.00	\$1,196,710.00	3,670	\$18,717.00		112,954.80	\$1,209,427.80	\$112,954.80	48%
4	3" Class 200 PVC Waterline	100%	25,000	LF	\$145,000.00	\$3,625,000.00		\$0.00		0.00	\$3,625,000.00	\$3,625,000.00	0%
		95%	5,510		\$137,750.00	\$759,862.50		\$0.00		0.00		\$0.00	0%
		85%	4,900		\$188,600.00	\$923,290.00		\$0.00		0.00		\$0.00	0%
5	2" Class 250 PVC Waterline	100%	34,000	LF	\$174,420.00	\$5,910,280.00		\$0.00		0.00	\$5,910,280.00	\$5,910,280.00	0%
		95%	5,130		\$156,060.00	\$800,608.20	23,754	\$108,898.06		161,320.14	\$811,928.34	\$161,320.14	100%
6	2" Class 200 PVC Waterline	100%	5,250	LF	\$15,860.00	\$83,265.00		\$0.00		0.00	\$83,265.00	\$83,265.00	0%
		95%	4,875		\$15,860.00	\$77,122.50		\$0.00		0.00		\$0.00	0%
		85%	4,425		\$15,860.00	\$70,155.00		\$0.00		0.00		\$0.00	0%
7	1" W.L. CL 250 Polyethylene Service	100%	5,000	LF	\$2,165.00	\$10,825,000.00	420	\$2,165.00		2,165.00	\$2,165.00	\$2,165.00	8%
8	3/4" W.L. CL 200 Polyethylene Service	100%	7,500	LF	\$3,942.75	\$29,570,625.00	475	\$1,942.75		1,942.75	\$29,572,567.75	\$1,942.75	8%
9	4" Gate Valve	100%	650	EA	\$24,050.00	\$15,632,500.00	6	\$3,900.00		7,150.00	\$16,900.00	\$16,900.00	30%
10	3" Gate Valve	100%	572	EA	\$8,580.00	\$4,885,560.00	1	\$572.00		1,144.00	\$7,436.00	\$7,436.00	13%
11	2" Gate Valve	100%	425	EA	\$5,450.00	\$2,316,250.00	7	\$3,675.00		4,725.00	\$4,725.00	\$4,725.00	50%
12	Leak Detection Assembly	100%	12	EA	\$13,200.00	\$158,400.00	2	\$6,600.00		24,200.00	\$26,800.00	\$26,800.00	31%
13	Blowoff Valve Assembly	100%	1,100	EA	\$77,000.00	\$84,700.00	2	\$3,850.00		1,350.00	\$12,500.00	\$12,500.00	10%
14	Air Release Valves	100%	875	EA	\$1,950.00	\$1,708,750.00	2	\$875.00		3,500.00	\$3,500.00	\$3,500.00	0%
15	Pavement Replacement (HD) C.R.	100%	2,500	SY	\$112,500.00	\$281,250.00		\$0.00		20,710.00	\$229,540.00	\$229,540.00	52%
16	Pavement Replacement (LD) D.W.	100%	40,000	SY	\$109,000.00	\$4,360,000.00		\$0.00		0.00	\$4,360,000.00	\$4,360,000.00	0%
17	Gravel Surface Replacement	100%	8,000	SY	\$40,000.00	\$320,000.00		\$0.00		0.00	\$320,000.00	\$320,000.00	0%
18	Concrete Surface Replacement	100%	58	SY	\$3,800.00	\$220,400.00	59	\$1,100.00		3,280.00	\$3,280.00	\$3,280.00	33%
19	Concrete Enrasement	100%	500	SY	\$55,600.00	\$27,800,000.00		\$0.00		0.00	\$27,800,000.00	\$27,800,000.00	0%
20	Large Stream Crossing	100%	800	LF	\$1,500.00	\$1,200,000.00	1	\$1,500.00		1,500.00	\$1,500.00	\$1,500.00	100%
21	Tie New 2" to existing 3"	100%	1,500	EA	\$1,500.00	\$2,250,000.00		\$0.00		0.00	\$2,250,000.00	\$2,250,000.00	0%
22	Tie New 3" to existing 3"	100%	2,000	EA	\$2,000.00	\$4,000,000.00		\$0.00		0.00	\$4,000,000.00	\$4,000,000.00	0%
23	Tie New 4" to existing 4"	100%	2,000	EA	\$2,000.00	\$4,000,000.00		\$0.00		0.00	\$4,000,000.00	\$4,000,000.00	0%
24	Tie New 2" to existing 2" with wet tap	100%	2,000	EA	\$2,000.00	\$4,000,000.00		\$0.00		0.00	\$4,000,000.00	\$4,000,000.00	0%
25	Tie New 3" to existing 3" with wet tap	100%	2,000	EA	\$2,000.00	\$4,000,000.00		\$0.00		0.00	\$4,000,000.00	\$4,000,000.00	0%
26	Tie New 2" to existing 4" with wet tap	100%	1,500	EA	\$3,000.00	\$4,500,000.00		\$0.00		0.00	\$4,500,000.00	\$4,500,000.00	0%
27	Tie New 2" to existing 8" with wet tap	100%	2,000	EA	\$6,000.00	\$12,000,000.00		\$0.00		0.00	\$12,000,000.00	\$12,000,000.00	0%
28	Tie New 2" to existing 8" with wet tap	100%	2,500	EA	\$2,500.00	\$6,250,000.00		\$0.00		0.00	\$6,250,000.00	\$6,250,000.00	0%
29	Tie New 3" to existing 3" with wet tap	100%	1,500	EA	\$1,500.00	\$2,250,000.00		\$0.00		0.00	\$2,250,000.00	\$2,250,000.00	0%
30	Tie New 3" to existing 4" with wet tap	100%	2,000	EA	\$2,000.00	\$4,000,000.00	1	\$2,000.00		2,000.00	\$2,000.00	\$2,000.00	100%
31	Tie New 3" to existing 4" with wet tap	100%	2,340	EA	\$7,020.00	\$16,426,800.00	2	\$4,680.00		4,680.00	\$16,480,000.00	\$16,480,000.00	67%
32	Tie New 4" to existing 4" with wet tap	100%	2,067	EA	\$18,288.00	\$37,600,000.00		\$0.00		0.00	\$37,600,000.00	\$37,600,000.00	0%



HYDEN - LESLIE COUNTY WATER DISTRICT  
 WATER TRANSMISSION LINES - CONTRACT NO. G-1  
 PERIODIC ESTIMATE NO. 3  
 PERIOD August 24, 2013 TO September 20, 2013  
**MATERIALS STORED**

PROJECT NO. 07080  
 CONTRACTOR: Packs' Incorporated

ITEM #	DESCRIPTION OF ITEM	BID QTY.	UNITS	UNIT PURCHASE PRICE	TOTAL COST	MATERIAL ON HAND LAST PRD.	MATERIAL PURCHASED THIS PRD.	TOTAL COST MATERIAL PURCHASED	QUANTITY USED THRU LAST PRD.	QUANTITY USED THIS PERIOD	TOTAL QUANTITY USED	STORED MATERIAL ON HAND	VALUE STORED MAT ON HAND
1	4" CS900 (DR14) PVC Waterline	96,000	LF	\$ 2.24	\$ 192,640	23,800		\$ 53,312.00	7440	14180	21620	7500	\$ 16,800.00
2	4" Class 250 PVC Waterline	76,000	LF	\$ 1.60	\$ 121,600	10,510		\$ 16,816.00	53410	11635	65045	16480	\$ 29,568.00
3	3" Class 250 PVC Waterline	46,000	LF	\$ 1.00	\$ 46,000	46,000		\$ 46,000.00	18475	1150	19626	27254	\$ 27,254.00
4	3" Class 200 PVC Waterline	25,000	LF	\$ 0.84	\$ 21,000	22,000		\$ 16,480.00	3860		3860	18140	\$ 15,237.60
5	2" Class 250 PVC Waterline	34,000	LF	\$ 0.47	\$ 15,980	34,000		\$ 15,980.00	11412	23734	35146	22586	\$ 10,616.36
6	2" Class 200 PVC Waterline	3,200	LF	\$ 0.41	\$ 1,312	3,200		\$ 1,312.00		0	0	3200	\$ 1,312.00
7	1" Class 250 P.E. Waterline	5,000	LF	\$ 0.30	\$ 1,500		1000	\$ 300.00		420	420		\$ 650.00
8	3/4" P.E. Class 200 Waterline	7,500	LF	\$ 0.23	\$ 1,725	1,000		\$ 230.00		410	410	1000	\$ 230.00
9	4" Gate Valve	37	LF	\$ 389.60	\$ 14,415	37		\$ 14,415.20	5	6	11	32	\$ 12,467.20
10	3" Gate Valve	15	LF	\$ 325.00	\$ 4,875	15		\$ 4,875.00	1	1	2	14	\$ 4,550.00
11	2" Gate Valve	18	LF	\$ 275.00	\$ 4,950	18		\$ 4,950.00	16	7	23	14	\$ 3,850.00
12	Pump Station (Head for Certain)	1	LS		\$ -			\$ -			0		\$ -
13	Pump Station (Grassy)	1	LS		\$ -			\$ -		0	0		\$ -
14	Pump Station (SR 1780Grassy)	1	LS		\$ -			\$ -			0		\$ -
15	Miscellaneous Materials	1	LS	\$ 227,500.00	\$ 227,500			\$ -	0			1	\$ 177,500.00
					\$ -			\$ -			0		\$ -
					\$ -			\$ -			0		\$ -

▒ = AREA TO BE COMPLETED BY USER

SUBTOTAL	\$	300,035.16
TAX	\$	10,400.11
TOTAL	\$	310,435.27

PERIODIC ESTIMATE

ESTIMATE No. 3  
Estimate  
Period 8/24/13to9/20/13

5 CERTIFICATION OF CONTRACTOR:

TO THE BEST OF MY KNOWLEDGE AND BELIEF, I CERTIFY THAT ALL ITEMS AND AMOUNTS SHOWN ON THE FACE OF THIS PERIODIC ESTIMATE FOR PARTIAL PAYMENT ARE CORRECT. THAT ALL WORK HAS BEEN PERFORMED AND/ OR MATERIAL SUPPLIED IN FULL ACCORDANCE WITH THE REQUIREMENTS OF THE REFERENCED CONTRACT, AND/OR DULY AUTHORIZED DEVIATIONS, SUBSTITUTIONS, ALTERATIONS, AND/OR ADDITIONS, THAT THE FOREGOING IS A TRUE AND CORRECT STATEMENT OF THE CONTRACT ACCOUNT UP TO AND INCLUDING THE LAST DAY OF THE PERIOD COVERED BY THIS PERIODIC ESTIMATE; THAT NO PART OF THE 'BALANCE DUE THIS PAYMENT' HAS BEEN RECEIVED, AND THAT THE UNDERSIGNED AND HIS SUBCONTRACTORS HAVE (CHECK APPLICABLE LINE):

- A  COMPLIED WITH ALL THE LABOR PROVISIONS OF SAID CONTRACT
- B  COMPLIED WITH ALL THE LABOR PROVISIONS OF SAID CONTRACT EXCEPT IN THOSE INSTANCES WHERE AN HONEST DISPUTE EXISTS WITH RESPECT TO SAID LABOR PROVISIONS (IF 'B' IS CHECKED, BRIEFLY DESCRIBE NATURE OF DISPUTE.)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

CONTRACTOR: Pack' Incorporated  
75 Baldrige Eoad  
Morehead, KY 40354

APPROVED BY: *Albert Pack* TITLE: President DATE: 9/23/2013

6 CERTIFICATION OF ENGINEER

I CERTIFY THAT I HAVE CHECKED AND VERIFIED THE ABOVE AND FOREGOING PERIODIC ESTIMATE FOR PARTIAL PAYMENT; THAT TO THE BEST OF MY KNOWLEDGE AND BELIEF IT IS A TRUE AND CORRECT STATEMENT OF WORK PERFORMED AND/OR MATERIAL SUPPLIED BY THE CONTRACTOR; THAT ALL WORK AND/OR MATERIAL INCLUDED IN THIS PERIODIC ESTIMATE HAS BEEN INSPECTED BY ME AND/OR MY DULY AUTHORIZED REPRESENTATIVE OR ASSISTANTS AND THAT IS HAS BEEN PERFORMED AND/OR SUPPLIED IN FULL ACCORDANCE WITH REQUIREMENTS OF THE REFERENCED CONTRACT; AND THAT PARTIAL PAYMENT CLAIMED AND REQUESTED BY THE CONTRACTOR IS CORRECTLY COMPUTED ON THE BASIS OF WORK PERFORMED AND/OR MATERIAL SUPPLIED TO DATE.

ENGINEER: SISLER-MAGGARD ENGINEERING, PLLC  
220 EAST REYNOLDS ROAD / SUITE A3  
LEXINGTON, KY 40517

APPROVED BY: *Robin Webb* TITLE: Inspector DATE: 9/26/13  
RESIDENT PROJECT REPRESENTATIVE

*Michael S. Maggard* TITLE: V.P. 9/26/13  
ENGINEER

7 APPROVAL BY OWNER: HYDEN - LESLIE COUNTY WATER DISTRICT

APPROVED BY: *Augusta Kober* DATE:    /   /   

8 APPROVAL BY RD FUNDING

APPROVED BY: \_\_\_\_\_ DATE:    /   /

PERIODIC ESTIMATE  
ESTIMATE NO. 3

EESTIMATE  
PERIOD 8/24/13to9/20/13

FORM OF WAIVER AND RELEASE OF LIEN

TO ALL WHOM IT MAY CONCERN

WHEREAS, the undersigned has performed or furnished, is performing, or furnishing, or will perform or furnish labor or material, fuel, equipment, equipment, tools, etc. in connection with the construction of

Contract 15 Water Lines  
for HYDEN - LESLIE COUNTY WATER DISTRICT  
at LESLIE COUNTY

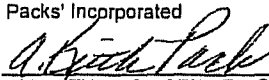
NOW, THEREFORE, THESE PRESENTS WITNESS, that the undersigned, for a good and valuable consideration to the undersigned will and truly paid at or before the signing and delivery hereof, the receipt whereof is hereby acknowledged, does hereby waive, release and relinquish any and all claims, liens and rights and claims of liens which the undersigned now has, or may hereafter have, on or against the said premises and the building, plant equipment and machinery of their Owner:

HYDEN - LESLIE COUNTY WATER DISTRICT  
or on or against HYDEN - LESLIE COUNTY WATER DISTRICT  
on account of labor performed or to be performed or material, fuel, equipment, tools, etc furnished or to be furnished by the undersigned for use in connection with the construction and erection of said project; so that HYDEN - LESLIE COUNTY WATER DISTRICT  
its successors and assigns, shall and may have, hold and enjoy the same, freed and discharged from all liens, claims, and demands whatsoever which the undersigned now has or might or could have if these presents had not been made.

IN WITNESS WHEREOF, the undersigned has hereunto set his hand and seal this  
23rd day of September, 2013\_\_.

Packs' Incorporated

By:



SIGNATURE OF OFFICER OR PARTNER

President

TITLE OF OFFICER

WITNESS:

**SISLER-MAGGARD ENGINEERING,  
PLLC.**

220 E. REYNOLDS ROAD, SUITE A3  
P.O. BOX 23780  
LEXINGTON, KY 40523-3780  
(859) 271-2978  
Fax (859) 271-5670  
E-MAIL [sme@sislermaggard.com](mailto:sme@sislermaggard.com)

**LETTER OF TRANSMITTAL**

TO Kentucky Infrastructure Authority  
1024 Capital Center Drive Suite 340  
Frankfort, KY 40601

FROM Michael K. Maggard

DATE	October 6, 2013	JOB NO.	07080
ATTENTION	<b>Debby Milton</b>		
RE:	Hyden-Leslie County Water District		
	Request for Payment #2 - Exhibit #2		
	Project Number: WX21131008 - 94C - 2008		

WE ARE SENDING YOU  Attached  Under separate cover via \_\_\_\_\_ the following items:

- Shop drawings     Prints     Plans     Samples     Specifications  
 Copy of letter     Change Order

COPIES	DATE	NO.	DESCRIPTION
1			Request for payment & project status report #2
1			Packs Inc Invoice #3
1			SME invoice #07080-5

THESE ARE TRANSMITTED as checked below:

- For approval     Approved as submitted     Resubmit \_\_\_\_\_ copies for approval  
 For your use     Approved as noted     Submit \_\_\_\_\_ copies for distribution  
 As requested     Returned for corrections     Return \_\_\_\_\_ corrected prints  
 For review and comment     PAYMENT  
 FOR BIDS DUE     PRINTS RETURNED AFTER LOAN TO US

REMARKS:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

RECEIVED  
 KENTUCKY INFRASTRUCTURE  
 AUTHORITY  
 2013 OCT 8 AM 10 38

COPY TO file

SIGNED: \_\_\_\_\_

If enclosures are not as noted, kindly notify us at once.