



Steven L. Beshear
Governor

Leonard K. Peters
Secretary
Energy and Environment Cabinet

Commonwealth of Kentucky
Public Service Commission
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Frankfort, Kentucky 40602-0615
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David L. Armstrong
Chairman

James W. Gardner
Vice Chairman

Linda K. Breathitt
Commissioner

October 17, 2013

Ms. Agnes Johnson
East Daviess County Water Association, Inc.
9210 Highway Route 144
Knottsville, Kentucky 42366

Re: Case No. 2013-00366
East Daviess County Water Association

Dear Ms. Johnson:

The enclosed documents have been filed in the record of the above-referenced case. Any objections to this action should be submitted to the Commission within five days of receipt of this letter.

Sincerely,

A handwritten signature in black ink, appearing to read "Jeff Derouen".

Jeff Derouen
Executive Director

gw
Enclosure

From: [Agnes](#)
To: [Wuetcher, Jerry \(PSC\)](#)
Subject: RE: Case No. 2013-00366: East Daviess County Water Association
Date: Tuesday, October 15, 2013 3:31:14 PM
Attachments: [Statement of Disclosure.pdf](#)

Mr. Wuetcher,

Please find attached the signed and notarized ARF Form-3 for each of our Board Members. If you need anything else please let me know.

Thank you,

Agnes Johnson

East Daviess County Water

Office Manager

270-281-5187

From: Wuetcher, Jerry (PSC) [mailto:JWuetcher@ky.gov]
Sent: Monday, October 14, 2013 2:33 PM
To: 'agnes@east-daviess.utilitydistrict.com'
Subject: Case No. 2013-00366: East Daviess County Water Association
Importance: High

Ms. Johnson:

This message confirms our telephone conversation of this day. Commission Staff has reviewed East Daviess County Water Association's application for compliance with 807 KAR 5:076. During this review, Commission Staff discovered that East Daviess County WA had not submitted a completed ARF Form-3 for each member of its Board of Directors. 807 KAR 5:076, Section 4(1)(h) requires a completed form from each member of a WA's Board of Directors and its general manager. Until these completed forms are submitted, the application will not be deemed filed.

If you wish to expedite the submission of these forms, please scan and e-mail to me. I will take the necessary actions to ensure that the forms are filed in the case record.

If you have any questions, please contact me.

Sincerely,

Gerald E. Wuetcher
Executive Advisor/Attorney
Public Service Commission of Kentucky
gerald.wuetcher@ky.gov
Office: (502) 564-3940
Direct: (502) 782-2590
Cell: (502) 229-6500

**STATEMENT OF DISCLOSURE OF
RELATED PARTY TRANSACTIONS**

I swear or affirm to the best of my knowledge and belief the information set forth below represents all present transactions and those transactions occurring within the past twenty-four (24) months between EAST DAVLESS COUNTY WATER ASSOCIATION ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation

Check this box if the Utility has no related party transactions.

Check box if additional transactions are listed on the supplemental page.

J. Warren Lanham
(Print Name)

J. Warren Lanham
(Signed)

Treasurer
(Position/Office)

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF Daviess

Subscribed and sworn to before me by J. Warren Lanham
(Name)

this 15th day of October, 2013.

Mary Edge
NOTARY PUBLIC
State-at-Large

**STATEMENT OF DISCLOSURE OF
RELATED PARTY TRANSACTIONS**

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Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation

- Check this box if the Utility has no related party transactions.
- Check box if additional transactions are listed on the supplemental page.

WAYNE ESTES
(Print Name)

Wayne Estes
(Signed)

BOARD MEMBER
(Position/Office)

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF Daviess

Subscribed and sworn to before me by Wayne Estes
(Name)

this 15th day of October, 20 13.

Mary Edge
NOTARY PUBLIC
State-at-Large

**STATEMENT OF DISCLOSURE OF
RELATED PARTY TRANSACTIONS**

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Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation

Check this box if the Utility has no related party transactions.

Check box if additional transactions are listed on the supplemental page.

Tommy Fulkes
(Print Name)

Tommy Fulkes
(Signed)

Board Member
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF Daviess

Subscribed and sworn to before me by Tommy Fulberson
(Name)

this 15th day of October, 2013.

Mary Edge
NOTARY PUBLIC
State-at-Large

**STATEMENT OF DISCLOSURE OF
RELATED PARTY TRANSACTIONS**

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Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation

Check this box if the Utility has no related party transactions.

Check box if additional transactions are listed on the supplemental page.

William E Hayes
(Print Name)

William E Hayes
(Signed)

President
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF Owens

Subscribed and sworn to before me by William E. Hayes
(Name)

this 14th day of October, 2013.

Mary Edge
NOTARY PUBLIC
State-at-Large

STATEMENT OF DISCLOSURE OF RELATED PARTY TRANSACTIONS

I swear or affirm to the best of my knowledge and belief the information set forth below represents all present transactions and those transactions occurring within the past twenty-four (24) months between EAST DAVIESS COUNTY WATER ASSOCIATION ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation

- Check this box if the Utility has no related party transactions.
- Check box if additional transactions are listed on the supplemental page.

Henry "Pete" Shouse
(Print Name)

Henry "Pete" Shouse
(Signed)

Secretary
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF Owsen

Subscribed and sworn to before me by Henry "Pete" Showse
(Name)

this 15th day of October, 2013.

Mary Edge
NOTARY PUBLIC
State-at-Large