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Energy and Environment Cabinet

Commonwealth of Kentucky
Public Service Commission
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David L. Armstrong
Chairman

James W. Gardner
Vice Chairman

Linda Breathitt
Commissioner

August 20, 2013

Wayne Lonaker, Manager
East Pendleton Water District
601 Woodson Road
P.O. Box 29
Falmouth, KY 41040

Re: Case No. 2013-00103
East Pendleton Water District

Dear Mr. Lonaker:

The Statement of Disclosure of Related Party Transactions, ARF Form 3 was not included with the application filed on March 14, 2013. Under 807 KAR 5:076, Section 4(1)(h), an ARF Form 3 must be completed by each member of the board of commissioners or board of directors; each person who has an ownership interest of ten percent or more in the utility, and the utility's chief executive officer. A copy of the ARF Form 3 is attached for your convenience. Please have the required personnel complete the ARF Form 3 and return the form to the Public Service Commission no later than August 30, 2013. Be sure the notary section of each form is completed.

Any questions regarding the ARF Form 3 should be directed to Ann Ramser, Staff Attorney, at (502) 782-2585.

Sincerely,

Jeff Derouen
Executive Director

AR/kar
Enclosure

**STATEMENT OF DISCLOSURE OF
RELATED PARTY TRANSACTIONS**

I swear or affirm to the best of my knowledge and belief the information set forth below represents all present transactions and those transactions occurring within the past twenty-four (24) months between _____ ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation

Check this box if the Utility has no related party transactions.

Check box if additional transactions are listed on the supplemental page.

(Print Name)

(Signed)

(Position/Office)

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF _____

Subscribed and sworn to before me by _____
(Name)

this _____ day of _____, 20_____.

NOTARY PUBLIC
State-at-Large